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1 **Synthesis and properties of a biodegradable polymer-drug conjugate: Methotrexate-**
2 **poly(glycerol adipate)**

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24 **Abstract**

25 Polymer-drug conjugates have been actively developed as potential anticancer drug delivery
26 systems. In this study, we report the first polymer-anticancer drug conjugate with poly(glycerol
27 adipate) (PGA) through the successful conjugation of methotrexate (MTX). MTX-PGA
28 conjugates were controllably and simply fabricated by carbodiimide-mediated coupling reaction
29 with various high molar ratios of MTX. The MTX-PGA conjugate self-assembled into
30 nanoparticles with size dependent on the amount of conjugated MTX and the pH of medium.
31 Change in particle size was attributed to steric hindrance and bulkiness inside the nanoparticle
32 core and dissociation of free functional groups of the drug. The MTX-PGA nanoparticles were
33 physically stable in media with pH range of 5-9 and ionic strength of up to 0.15 M NaCl and
34 further chemically stable against hydrolysis in pH 7.4 medium over 30 days but enzymatically
35 degradable to release unchanged free drug. Although 30% MTX-PGA nanoparticles exhibited
36 only slightly less potency than free MTX in 791T cells in contrast to previously reported human
37 serum albumin-MTX conjugates which had >300 times lower potency than free MTX. However,
38 the MTX nanoparticles showed 10 times higher toxicity to Saos-2 cells than MTX. Together
39 with the enzymic degradation experiments, these results suggest that with a suitable
40 biodegradable polymer a linker moiety is not a necessary component. These easily synthesised
41 PGA drug conjugates lacking a linker moiety could therefore be an effective new pathway for
42 development of polymer drug conjugates.

43 **Keywords:** Poly(glycerol adipate); Methotrexate; Polymer-drug conjugate; Nanoparticle;
44 Osteosarcoma cell

45 **Abbreviations**

46 HSA-MTX, Human serum albumin-methotrexate conjugates; MTX, Methotrexate; MTX-PGA,
47 Methotrexate-conjugated poly(glycerol adipate); PCE, Porcine carboxylesterase enzyme; PDC,
48 Polymer-drug conjugates; PGA, Poly(glycerol adipate)

49 **1. Introduction**

50 Polymer-drug conjugates are once more being actively pursued as potential anticancer agents,
51 and a range of different drugs and polymers are under investigation [1]. Drugs are required to be
52 both potent in molar terms and have a chemical functional group for effective delivery which
53 gives a limited choice, and among the favourites from earlier studies was methotrexate (MTX).
54 There is also a close connection between polymer drug delivery and targeted drug delivery in
55 which drugs are linked to antibodies, and MTX was the first drug to be used for this type of work
56 [2]. The fields of polymer-drug conjugates and antibody targeted MTX are also connected
57 through work by Garnett and co-workers who constructed human serum albumin-MTX (HSA-
58 MTX) conjugates linked to monoclonal antibodies which were particularly potent and selective
59 [3, 4]. This early work on antibody-MTX conjugates has been comprehensively reviewed [5].
60 MTX still has some advantages in polymer-drug conjugates, as unlike the anthracyclines it is
61 quite robust chemically, but has similar potency in sensitive cancers.
62 Many efforts have been made to develop macromolecular based drug delivery systems for MTX
63 including polymer-drug conjugates, microparticles and nanoparticles [6, 7]. Several polymers
64 have been proposed to deliver MTX using a polymer-drug conjugate approach such as human
65 serum albumin [8], poloxamer [9], hydroxyethyl starch [10], polypeptide [11], poly(L-lysine)
66 [12], chitosan [13, 14]. Polymer-MTX conjugates can circumvent drug resistance, increase
67 MTX's half-life and potentiate its antitumour efficacy better than the MTX-physically-entrapped
68 particulate carriers [12, 15]. One of the principal causes of MTX resistance is due to

69 downregulation of uptake pathways, and it has been shown that resistance can be largely
70 overcome by macromolecular conjugates delivered by the lysosomotropic route [16]. A key
71 feature of polymer-drug conjugates is that a biodegradable linkage is required to release drug at
72 the target site through a lysosomotropic mode of action [17, 18]. For many of the earlier
73 conjugates with HSA and poly-L-lysine, it appears to be assumed that these amide-linked natural
74 and semisynthetic polymers would release drug due to the proteolytic degradation in the
75 lysosomal compartment. However, later work by Fitzpatrick and Garnett showed that this
76 degradation was limited and inefficient, and led largely to the release of lysyl-MTX derivatives
77 [19, 20].

78 In addition to the limited number of drugs which can be conjugated, there are also a limited
79 number of suitable functional polymers for producing polymer-drug conjugates. Key work on
80 understanding polymer-drug conjugates employed hydroxypropyl methacrylamide, a plasma
81 expander [21]. However, as this was a non-biodegradable polymer, suitable linkages to release
82 the drug had to be incorporated and many such linkages have been described [1, 22], but these
83 are mainly designed for drugs like doxorubicin attached by a free amine on the drug. A suitable
84 linkage has also been developed for MTX release [19, 20], however, non-biodegradable
85 polymers have a further disadvantage in that they can be difficult to eliminate from the body. For
86 the production of the simplest and most effective polymer-drug conjugates, a biodegradable
87 functional polymer would be the best way forward, eliminating the need for inclusion of a
88 degradable linker.

89 Poly(glycerol adipate) (PGA) has been introduced in the pharmaceutical and drug delivery fields
90 due to its versatility and well-suited characteristics for potential clinical use. It consists of two
91 non-toxic monomers, namely glycerol and adipic acid, linked with ester bonds [23]. Major

92 advantages of PGA are biocompatibility with the body and biodegradability by human enzymes
93 producing non-toxic removable metabolites [24]. Further major advantages over other
94 biodegradable amphiphilic polymers is that every repeating unit of PGA contains a pendant
95 hydroxyl group along the polymer backbone offering the potential for high drug loading using an
96 easy synthetic route. The conjugation of MTX at available hydroxyl groups of PGA leads to a
97 hydrolysable ester linkage of the conjugates which may release the active parent free drug after
98 internalisation in cancer cells. Previously used polymers have significant disadvantages.
99 Albumin and poly-L-lysine do not result in significant release of free drug [8, 12]. Poloxamer,
100 hydroxyethyl starch and chitosan are not significantly biodegradable and polymers such as
101 poloxamer have only terminal groups available for conjugation of drug [9, 10, 13, 14]. These
102 properties variously result in low drug loading and lower cytotoxicity compared to the parent
103 drug. Furthermore, recent work on MTX-conjugated biodegradable poly(ϵ -caprolactone)-co-
104 poly(ethylene glycol) required several steps of synthesis and inclusion of a triazole linker
105 between drug and polymer [25, 26]. Therefore, the above characteristics of PGA are
106 advantageous and potential for anticancer drug delivery. Up to now, there have been no reports
107 on polymer-anticancer drug conjugates using PGA as a backbone.

108 The aim of the present work is to synthesise MTX-PGA polymer-drug conjugates and to
109 determine their properties. Due to the amphiphilicity of the polymer [27], the polymer-drug
110 conjugates are expected to be assembled into small nanoparticles in a similar fashion to that
111 reported by the Kataoka group on PEG-polyaspartate-adriamycin conjugate micelles [28]. Also
112 we aim to assess their efficacy for this work in comparison to historical efficacy data on HSA-
113 MTX conjugates to help elucidate mechanistic advantages which may lead to development of
114 more effective polymer-drug conjugates for cancer therapy.

115 **2. Materials and methods**

116 **2.1. Materials**

117 PGA was synthesized according to the previously published method [29]. MTX and porcine
118 carboxylesterase (PCE, with activity of 18 units/mg solid) were used as received from Sigma-
119 Aldrich, Missouri, USA. N,N'-Dicyclohexylcarbodiimide (DCC), 4-(dimethylamino)pyridine
120 (DMAP) were bought from Fluka, Tokyo, Japan. Osteosarcoma cell line 791T originally
121 obtained from the U.S. Naval Biomedical Center, Oakland, USA [30], was obtained from Prof L
122 Durrant, Department of Medicine, Nottingham City Hospital, University of Nottingham, a
123 culture of the cell line used in the works originally published by Garnett et al [3, 4, 19, 20]. Saos-
124 2 cell line (human primary osteogenic sarcoma, ATCC number HTB-85) was kindly gifted from
125 Dr. Pakpoom Kheolamai, Division of Cell Biology, Faculty of Medicine, Thammasat University,
126 Thailand. Eagles Minimum Essential Medium (MEM) and glutamine solution were obtained
127 from Sigma-Aldrich (Dorset, UK). Dulbecco's modified Eagle's medium (DMEM) powder, low
128 glucose, Dulbecco's phosphate buffered saline (DPBS) without calcium chloride without
129 magnesium chloride and PrestoBlue[®] cell viability reagent were purchased from Life
130 Technologies Corporation, Oregon, USA. Sodium pyruvate was obtained from Merck KGaA,
131 Damstadt, Germany. 0.05% Trypsin-EDTA was collected from Gibco[®] (Invitrogen Ltd, Paisley,
132 UK). Resazurin was sourced from Acros Organics (Loughborough, UK). Foetal bovine serum
133 (FBS) was supplied by Invitrogen Ltd (Paisley, UK). Commercially available sterile
134 methotrexate solution for injection (25 mg/mL) was obtained from Mylan, Hatfield, UK.
135 Dimethyl formamide (DMF) and acetonitrile were of high performance liquid chromatography
136 (HPLC) grade and used as received. Dimethyl sulfoxide (DMSO) was dried using molecular
137 sieves prior to use. Water employed throughout this study was deionized (DI) grade or higher.

138 **2.2. Synthesis of MTX-conjugated PGA (MTX-PGA) polymers**

139 Conjugates of varying nominal MTX % mole with respect to PGA polymer repeating unit were
140 produced by a simple carbodiimide coupling reaction. In brief, PGA (1 g = 4.95 mmole glycerol
141 adipate repeating units) was dissolved in dried DMSO (10 mL). Calculated amounts of MTX
142 (1.5 equiv.), DCC (1.2 equiv.) and DMAP (0.3 equiv.) relative to the mol% nominal value of
143 polymer repeating units were then added. The reaction was stirred for 72 h and protected from
144 light. After that, the precipitate was removed by centrifugation at 4500 rpm, 4°C for 15 min. The
145 supernatant was collected and precipitated in methanol. The precipitate was washed with
146 methanol for another 3 times and re-dissolved in a small volume of DMSO. The polymer
147 solution was dialyzed against DI water for 24 h using dialysis bag (MWCO 12,400 Da, Sigma-
148 Aldrich, Missouri, USA). Finally, the polymer was freeze dried for 24 h. The dried polymer was
149 kept in a desiccator until use.

150 **2.3. Polymer characterization**

151 **2.3.1. IR spectroscopy**

152 Presence of drug in conjugated PGA polymer was first assessed by infrared (IR) spectroscopy
153 using an Attenuated Total Reflection (ATR) spectrometer (Agilent Cary 630 FTIR, Agilent
154 Technologies, Santa Clara, USA). The spectra were recorded with a resolution of 4 cm⁻¹ over the
155 range of 4000-650 cm⁻¹ by recording 32 interferograms.

156 **2.3.2. Nuclear magnetic resonance (NMR) spectroscopy**

157 The quantitation of drug coupling and structure of MTX-PGA polymers were investigated by
158 proton ¹H NMR spectroscopy. The spectra were recorded by Bruker 400 MHz spectrometer
159 (Bruker corporation, Rheinstetten, Germany) using DMSO-*d*₆ as a solvent.

160 MTX-PGA (DMSO-*d*₆; δ, ppm): 8.58 (s, 1H), 7.72-7.74 (m, 2H), 6.82-6.84 (d, 2H), 5.26-5.19
161 (m, 2H), 4.95 (m, 1H), 4.79 (s, 2H), 4.37 (t, 1H), 4.23 (m, 2H), 4.18-3.88 (m, 6H), 3.63 (m, 2H),
162 3.21 (s, 3H), 2.32 (m, 4H), 2.09 (m, 2H), 1.96 (m, 2H), 1.53 (m, 4H).

163 PGA (DMSO-*d*₆; δ, ppm): 5.26-5.19 (m, 2H), 4.95 (m, 1H), 4.27-4.24 (m, 2H), 4.18-3.88 (m,
164 6H), 3.63 (m, 2H), 2.32 (m, 4H), 1.53 (m, 4H).

165 2.3.3. Gel permeation chromatography (GPC)

166 The molecular weights (number- and weight-average, M_n and M_w , respectively) were measured
167 by gel permeation chromatography (PL50 Plus Polymer Laboratories system) equipped with a
168 refractive index detector. Two mixed PL-Gel 5 μm bed (D) columns maintained at 50°C were
169 used as a stationary phase using DMF containing 0.1% LiBr at a flow rate of 1 mL/min as an
170 eluent. Poly (methyl methacrylate) standards (M_n range of 1,810,000-505 g/mol) were employed
171 to construct a calibration curve.

172 2.3.4. UV analysis of MTX content

173 The amount of conjugated MTX was analysed by UV/Vis spectrophotometer (UV-2600,
174 Shimadzu Corporation, Kyoto, Japan). The absorbance value of the polymers was measured in
175 DMF at a wavelength of 412 nm. The amount of conjugated MTX was calculated from a
176 calibration curve of MTX over the range of 5-100 μg/mL. The molar absorptivity of MTX in
177 DMF was $3.6643 \times 10^3 \text{ M}^{-1}$.

178 2.4. Nanoparticle formation

179 The nanoparticles of MTX-PGA polymers (MTX-PGA NPs) were prepared by a solvent
180 diffusion and dialysis method [26]. In brief, 10 mg of the polymer was dissolved in 1 mL of
181 DMSO. This solution was then added dropwise to 1 mL of aqueous phase while stirring to allow
182 solvent diffusion. Then the colloidal dispersion was sealed in a dialysis tube (MWCO 1 kDa,

183 Spectra/Por[®] 6, Spectrum Laboratories, Inc., Dominguez, USA) for 24 h. The assembled
184 nanoparticles were collected and kept as a dispersion until use.

185 ***2.5. Analyses of particle size, size distribution and zeta potential***

186 The mean hydrodynamic diameter (z-ave), size distribution (PDI) and zeta potential (ZP) were
187 assessed by Zetasizer NanoZS (Malvern Instrument Ltd., Malvern, UK). The sample without
188 dilution was measured with He-Ne laser at a wavelength of 633 nm, an angle of 173° and 25°C.
189 The ZP of nanoparticles was evaluated according to the electrophoretic mobility of the particles
190 and calculated by the Helmholtz-Smoluchowsky equation. All measurements were performed in
191 triplicate.

192 ***2.6. Physical stability of nanoparticles in various pHs and ionic strengths***

193 To evaluate effects of pH and ionic strength of medium on the stability of the nanoparticles, the
194 nanoparticles were diluted 10-fold in water adjusted to various pHs (1-13) using 5 M HCl or
195 NaOH and to different ionic strengths (0.05-0.50 M sodium chloride solution; NaCl) using 5 M
196 NaCl solution, respectively [31]. After mixing for 5 min, the sample was examined for
197 hydrodynamic diameter, PDI and derived count rate. The derived count rate reflecting
198 aggregation, sedimentation or dissociation of the nanoparticles is illustrated as kilo counts per
199 second (kcps). The results are expressed as relative values of hydrodynamic diameter, PDI or
200 kcps in the changed medium compared to an equal dilution of the nanoparticles in sterile water
201 for injection.

202 ***2.7. In vitro non-enzymatic and enzymatic drug release studies***

203 The drug release study of MTX-PGA NPs was performed in 25 mM phosphate buffered saline
204 (PBS) pH 7.4 by dialysis method [26]. A typical protocol for release study was as follows.
205 Freshly prepared MTX-PGA NPs (1 mL) were measured into a dialysis bag (MWCO 1000 Da,

206 Spectra/Por 6, Spectrum Laboratories, Inc., Dominguez, USA). The tightly sealed bag was
207 immersed in the external medium (20 mL PBS pH 7.4 containing 0.02% w/v sodium azide). The
208 release study was conducted at 37°C in the light-protected container with magnetic stirring at
209 100 rpm. At predetermined times, sample (1 mL) was withdrawn from the external medium and
210 was replenished with an equal volume of fresh PBS. In the case of enzymatic drug release study,
211 porcine carboxylesterase (PCE) enzyme was mixed with the nanoparticle dispersion yielding 20
212 and 50 units/mL of PCE [32-34]. The NPs mixture was filled into the dialysis bag and the release
213 study was similarly performed as previously described. The enzymatic release study was
214 conducted for 7 days. The MTX solution was employed as a control. The amount of MTX in the
215 sample was analysed by HPLC (Shimadzu HPLC apparatus, Shimadzu Corporation, Kyoto,
216 Japan) using Luna C18 column 150×4.6 mm plus a C18 guard column (Phenomenex, Torrance,
217 USA) as a stationary phase and the mixture of 10% v/v acetonitrile and 90% v/v 0.05 M
218 phosphate buffer pH 6.0 at a flow rate of 1.2 mL/min as a mobile phase.

219 ***2.8. Cytotoxicity test in 791T osteosarcoma cells***

220 *2.8.1. Cell culture experiment*

221 The osteosarcoma cell line 791T was grown as a monolayer in tissue culture polystyrene flasks
222 in Eagles Minimum Essential Medium with the addition of 10% foetal bovine serum and 20 mM
223 glutamine. Medium was changed every 2-3 days and cells were detached using 0.05% trypsin-
224 EDTA for subculture. The cells were kept in an incubator at 37°C with a humidified atmosphere
225 with 5% CO₂.

226 *2.8.2. Drug solution and nanoparticle suspension preparation*

227 Commercially available sterile MTX solution for injection (25 mg/mL, 55 mM in saline), and
228 sterile-filtered nanoparticle suspensions (115-173 µM MTX equivalent) in PBS were diluted in

229 cell-culture medium to 9 half- \log_{10} -spaced concentrations spanning from (2 nM to 200 μ M).
230 MTX concentrations for the nanoparticle suspensions were calculated from UV absorption
231 measurements. Drugs and nanoparticles were added as 2 \times solutions (100 μ L/well) to build a
232 dose-response from 1 nM to 100 μ M. PBS concentration in all wells was kept at 10% v/v. For
233 incubations longer than 72 h, media was refreshed with solutions/suspensions with the nominal
234 MTX concentration equivalent. There were 6 technical replicates for each condition.

235 *2.8.3. Drug treatment in monolayer*

236 791T cells were seeded in flat bottom cell culture treated 96-well plates (100 μ L,
237 20×10^3 cells/mL) and left in the incubator for 24 h. Drug solution and nanoparticle suspensions
238 were added from 2 \times stocks and left for 72 h. For the 6-day treatment experiments in monolayer,
239 the old medium (150 μ L) was removed, replaced with fresh drug solution (150 μ L) and the cells
240 cultured for another 72 h. On days 4 and 7 cell viability was determined using the resazurin
241 assay.

242 *2.8.4. Drug treatment for spheroid cultures*

243 791T cells were seeded in round bottom ultra-low attachment 96-well plates (100 μ L, 10×10^3
244 cells/mL) and left to incubate for 72 h. MTX and MTX-PGA NPs were added on day 3 from 2 \times
245 stock solutions, then refreshed on day 6. Spheroids were imaged on days 3, 6, and 9 and
246 resazurin activity was determined on days 6 and 9.

247 *2.8.5. Resazurin assay*

248 Assay-ready resazurin solution (60 μ M) was prepared from resazurin stock solution (440 μ M in
249 Hank's Buffered Salt Solution) and fresh cell culture media. Spent medium (150 μ L) was
250 removed from each well and replaced with the same volume of assay resazurin solution. Cells in
251 monolayer were incubated for 2 h, while spheroids were left for 4 h in the incubator.

252 Fluorescence was measured with an excitation wavelength of 530 nm and emission 590 nm on a
253 Flexstation II plate reader.

254 *2.8.6. Spheroid imaging*

255 Brightfield spheroid images were acquired with a Nikon Ti Eclipse inverted microscope using 4
256 × objective. Spheroid volume was determined with an in-house open source macro for the FiJi
257 distribution of ImageJ [35, 36].

258 **2.9. Cytotoxicity test in Saos-2 osteosarcoma cells**

259 *2.9.1. Cell culture experiment*

260 Saos-2 cells were cultured in Dulbecco's modified Eagle's medium (DMEM) supplemented with
261 10% FBS and penicillin/streptomycin (100 units/mL) in a 5% CO₂ humidified incubator at 37°C.
262 The medium was change every 2-3 days. For subculture, the cells were trypsinised using 0.25%
263 trypsin-EDTA.

264 *2.9.2. Drug solution and nanoparticle suspension preparation*

265 MTX stock solution was prepared in Dulbecco's PBS pH 7.4. The stock solutions of MTX and
266 nanoparticles were filtered through sterile 0.22 µm syringe filter and subsequently diluted in
267 DMEM to the concentration range of 0.002 – 220 µM.

268 *2.9.3. Drug treatment in Saos-2 monolayer*

269 Saos-2 cells (100 µL) were seeded in 96-well plate at a cell density of 2,000 cells/well and
270 incubated under 5% CO₂ humidified atmosphere at 37°C for 24 h. After aspirating the medium,
271 100 µL of sample was subsequently added into each well and the cells were incubated for 72 h.
272 After that PrestoBlue[®] cell viability reagent (10 µL) was added in each well and then incubated
273 for 50 min in the incubator. The absorbance was measured at 570 and 600 nm as measuring and
274 reference wavelengths, respectively, by a microplate reader (Tecan's Infinite[®] 200 NanoQuant,

275 Männedorf, Switzerland). The measurement was performed in six replicates for at least 2
276 different days.

277 **2.10. Statistical analysis**

278 The z-ave, PDI and ZP of MTX-PGA NPs were statistically compared using one-way ANOVA
279 (IBM SPSS statistic 21). The significant difference is considered when p -value is less than 0.05.
280 Data from resazurin experiments were normalized to untreated controls (100% viability) and
281 cell-free wells (0% viability). The volume of untreated spheroids was taken as 100% viability
282 and 0 as 0% viability. Four-parameter logistic dose-response curves were fitted to the resazurin,
283 volume and PrestoBlue[®] data in GraphPad Prism, the top was constrained to 100 and the bottom
284 to ≥ 0 . IC₅₀s used are the inflection point of the dose-response curve, half-way between the
285 untreated controls (100%) and the curve bottom (maximum effect). Results are displayed as
286 mean \pm SD unless stated otherwise.

287 **3. Results and Discussion**

288 **3.1. Conjugation of MTX onto PGA backbone**

289 By a simple coupling reaction, various amounts of MTX were successfully conjugated to the
290 PGA backbone, which were designated X%MTX-PGA, with X corresponding to the nominal
291 mole% MTX per polymer repeating unit. As compared to the IR-ATR spectrum of PGA (Fig.
292 1A), the sharp C=O stretching peak at 1718 cm⁻¹ corresponding to the ester coupling of MTX
293 and glycerol adipate repeating unit overlapped to that ester along the PGA backbone. Other
294 characteristics of MTX were also observed in the spectra. The peaks of N-H bending of amine,
295 C=O stretching of amide bond, C=C stretching of aromatic ring of MTX were overlapping to
296 each other at 1624, 1600 and 1553 cm⁻¹, respectively. However, the intensity of these peaks
297 increased with the MTX content. The peaks of N-H stretching of amine and amide occurred over

298 the region of 2950-2800 cm^{-1} which overlapped with O-H stretching of PGA. As previously
299 reported on the NMR spectrum of PGA, the adipic protons presented at 1.5 and 2.3 ppm in
300 DMSO- d_6 (Fig. 1B) which slightly shifted to upfield region as compared to those in acetone- d_6
301 [29]. Meanwhile, the protons related to glycerol repeating units were apparent in the region of
302 3.6 ppm and 4.9 ppm. The methine protons corresponding to 1,2 and 1,3 di-substituted
303 glycerides occurred at 5.20 ppm coinciding with the presence of the methine proton of 1,2,3 tri-
304 substituted glycerol units at 5.26 ppm. The latter proton indicates the tri-substituted repetitive
305 glycerol unit of PGA polymer. The conjugation of MTX at free hydroxyl group available on
306 glycerol units resulted in the shift of methylene proton peaks from 3.6 ppm to 4.2 ppm. The
307 methine proton at 5.26 ppm increased when higher amounts of MTX were conjugated,
308 confirming the functionalization of the secondary hydroxyl group. The glycerol and adipic
309 protons of MTX-PGA polymers were still observed at a similar chemical shift to those of PGA.
310 In addition, the characteristic protons of MTX were also observed in the NMR spectra.

311 **Fig. 1**

312 The percent MTX conjugation can be calculated from NMR spectra based on the pteridine
313 proton of MTX at 8.58 ppm and the methylene protons in adipate units of PGA at 2.32 ppm as
314 shown in the equation (1). The methine proton at 5.26 ppm could not be accounted for in the
315 calculation of %conjugated MTX due to the interference of methine proton of di-substituted
316 repeating units. The results are illustrated in Table 1. The % conjugated MTX was found to be
317 7.0, 14.5 and 27.5% with respect to number of repeating units of PGA chain for 10%, 20% and
318 30%MTX-PGA, respectively. Using these NMR data, the conjugation efficiency based on
319 theoretical conjugation reached 58.3, 60.4 and 76.4% for 10%, 20% and 30%MTX-PGA,
320 respectively. The amount of conjugated MTX was further confirmed by UV spectrophotometry.

321 The analysed amount of MTX was found to be 8.86 ± 0.32 , 17.33 ± 1.25 , 33.26 ± 4.72 %mole MTX
322 conjugated per mole of polymer repeating unit. The difference between the analysis using NMR
323 and UV spectrophotometry is probably due to changes in extinction coefficients on conjugation
324 of MTX.

$$325 \frac{I_{8.58 \text{ ppm}/1}}{I_{2.32 \text{ ppm}/4}} \times 100 \quad (1)$$

326 where $I_{8.58 \text{ ppm}}$ and $I_{2.32 \text{ ppm}}$ are the integrals of pteridine proton of MTX at 8.58 ppm and
327 methylene protons in adipate repeating units of PGA at 2.32 ppm, respectively.

328 **Table 1**

329 The M_n of PGA starting materials was 13000 g/mol. After conjugation, the M_n of MTX-PGA
330 polymers increased gradually with %MTX conjugation. The M_w/M_n values of all MTX-PGA
331 polymers decreased compared to that of PGA due to the purification of polymer by precipitation
332 in which the unconjugated PGA could be removed during washing which may tend to selectively
333 remove the lower molecular weight polymers. These results indicated that MTX was
334 successfully conjugated along PGA backbone by a simple carbodiimide-mediated coupling
335 reaction.

336 **3.2. Nanoparticle formation**

337 The MTX-PGA NPs were prepared in deionized water by solvent diffusion-dialysis method. As
338 shown in Fig. 2, the hydrodynamic diameter of MTX-PGA NPs tended to increase with %MTX
339 except for 20%MTX-PGA nanoparticles whose value was extraordinarily larger than the others.
340 The particle size of 20% and 30%MTX-PGA was approximately 6 and 2 times larger than
341 10%MTX-PGA NPs, respectively. The increasing particle size with drug loading may be due to
342 higher steric hindrance and bulkiness inside the nanoparticle core as a result of poor packing of
343 drug moiety as seen in the case of 20%MTX-PGA NPs. Meanwhile, for 30%MTX, a better

344 compaction of the nanoparticles was achieved, probably due to increased hydrophobicity of the
345 polymer-drug conjugates. The size distribution of 10% and 30%MTX-PGA NPs was narrow
346 while, that of 20%MTX-PGA NPs was quite broad. The size distribution related to the diameter
347 of the nanoparticles. A greater negative surface charge of nanoparticles was observed when
348 increasing %MTX in particular to 30%MTX-PGA NPs indicating that an increasing number of
349 MTX moieties was displayed on the nanoparticle surface. Combining the results of
350 hydrodynamic diameter and zeta potential, the dramatic size increase of 20%MTX-PGA NPs
351 was thought to result from destabilization of the nanoparticles followed by agglomeration upon
352 particle formation.

353 **Fig. 2**

354 From these results, we anticipated that the pH of preparation medium may affect the particle
355 formation due to a presence of pH-sensitive moiety in the drug molecule. Therefore, the effect of
356 pH of preparation medium was further investigated. Two pH media were used, namely acidic pH
357 3.0 medium and pH 7.4 medium. As expected, the pH of preparation medium considerably
358 affected the hydrodynamic diameter. In medium pH 7.4, the particle size decreased with
359 increasing %MTX. Meanwhile, the diameter of nanoparticles gradually increased in acidic pH
360 3.0 medium with increasing %MTX. This result was likely caused by the acid dissociation of
361 MTX in different medium pHs. MTX possesses three pKa value ranges of 3.3-3.4, 3.9-4.7 and
362 5.3-5.7 at alpha and gamma carboxyl groups and pteridine ring, respectively [37, 38]. The
363 gamma carboxyl of MTX is more reactive so tend to conjugate to hydroxyl pendant of PGA
364 more readily resulting in a higher preponderance of free alpha carboxyl group [39], so the free
365 carboxyl and pteridine of MTX are involved in the dissociation of MTX in the medium. MTX
366 protons were almost totally dissociated in medium pH 7.4 [40] while acid groups remained

367 unionized at pH 3.0. The ionized MTX molecule exhibited more hydrophilicity and favoured an
368 aqueous phase. Thus the drug molecules were preferably presented on the surface of particles
369 and fewer molecules incorporated in the core thus dramatically reducing the particle size to less
370 than 100 nm. On the other hand, the acidic aqueous phase suppressed the dissociation of
371 carboxylic group of MTX which enhanced the hydrophobicity of drug molecules and
372 nanoparticle core. Thus, it enlarged the MTX-PGA NPs with increasing MTX content. The size
373 distribution of the nanoparticles increased in acidic medium but declined in pH 7.4 medium
374 relative to that in deionized water. The zeta potential of MTX-PGA NPs became positive and
375 more negative in media pH 3.0 and 7.4, respectively. The difference in amount of MTX did not
376 affect the zeta potential (p -value>0.05). The change of surface charge of MTX-PGA NPs was
377 possibly as a result of ionised hydronium and hydroxyl species in the acidic and pH 7.4 media,
378 respectively.

379 ***3.3. Physical stability of nanoparticles in various pHs and ionic strengths***

380 The physical stability of MTX-PGA NP dispersion was evaluated in various pHs and ionic
381 strengths. The relative hydrodynamic diameter, PDI and kcps compared to the nanoparticles
382 equally diluted in sterile water for injection are summarised in Fig. 3. Regarding the effect of pH,
383 the hydrodynamic diameter of all MTX-PGA NPs increased by at least twice in extremely low
384 and high pHs (1-3 and 11-13). The size distribution was also broadened particularly to
385 10%MTX-PGA NPs over pH range of 1-3 and 11-13. The relative kcps of MTX-PGA NPs in pH
386 1-3 considerably increased especially 20%MTX-PGA NPs whilst it decreased in pH 11-13.
387 Principally, an increase of count rate suggests an occurrence of aggregation of particles whereas
388 a decrease of count rate indicates the sedimentation or dissociation of nanoparticles [41, 42].

389 Combining the hydrodynamic diameter and kcps data, the MTX-PGA NPs aggregated into large
390 particles in media with pH of less than 5 and dissociated or settled down in media pH over 7.

391 **Fig. 3**

392 Regarding the effect of ionic strength, the nanoparticles started to aggregate in 0.25 M NaCl as
393 seen by dramatic increases of hydrodynamic diameter and PDI. Meanwhile, the increment of
394 kcps was initially observed in 0.15 M NaCl particular to 20%MTX-PGA NPs whereas the others
395 remained almost unchanged. The results indicated that all MTX-PGA NPs aggregated in the
396 medium with NaCl concentration of 0.25 M or higher. 10%MTX-PGA NPs and 30%MTX-PGA
397 NPs were physically stable in the medium with 0.15 M NaCl or lower. From the results above, it
398 was suggested that the MTX-PGA NPs were physically stable in physiological relevant medium
399 with pH range of 5-9 and ionic strength of lower than 0.15 M NaCl.

400 **3.4. *In vitro* drug release experiment**

401 We have chosen carboxylesterase (PCE) as an example of an enzyme which can degrade PGA to
402 investigate drug release. The hydrolytic release of MTX from MTX-PGA NPs was investigated
403 in PBS pH 7.4 over 30 days. The results are graphically demonstrated in Fig. 4. The control
404 MTX solution showed a rapid diffusion from the dialysis tubing with over 90% release within 8
405 h. Meanwhile, the MTX release from all MTX-PGA NPs was considerably slower over 30 days
406 showing effective conjugation of the drug to the polymer with only a slow hydrolytic
407 degradation. The maximum MTX release provided by 30%MTX-PGA NPs reached only 17% at
408 day 30. Regarding various %MTX conjugations, the extent of MTX release depended on the
409 amount of conjugated MTX. 10%MTX-PGA NPs released the lowest amount of MTX by only
410 9% at the end of experiment even though they had smallest average diameter after preparation.
411 The presence of esterase enzyme in PBS accelerated the release of MTX from 30%MTX-PGA

412 NPs. Moreover, the rate of MTX release escalated with the PCE concentration. At day 7, 40%
413 and 62% of MTX were released in PBS containing 20 and 50 units/mL PCE, respectively. The
414 liberated MTX peak in HPLC chromatogram was identical to the MTX standard peak (data not
415 shown) suggesting that the degradation of MTX-PGA NPs could be catalysed by esterase
416 enzyme liberating intact MTX molecules whose pharmacological activity should not be changed.
417 There are a wide range of proteolytic enzymes present in the lysosomal environment with
418 different specificities and this can be illustrated with a previous paper by our group which
419 reported the uptake and metabolism of PGA nanoparticles in DAOY cells [43]. The PGA
420 nanoparticles are taken up by the cells which then enter endosomes and lysosomes and undergo
421 fast degradation in the cells. This environment is likely to result in a much faster and complete
422 degradation and drug release than seen in the present experiment. However the above experiment
423 demonstrates the potential for an enzymic release of free drug from this polymer which is more
424 effective than the release of MTX previously reported from HSA-MTX conjugates using
425 lysosomal enzyme preparations [19, 20].

426 **Fig. 4**

427 ***3.5. Cell response experiment***

428 To further confirm the potency of MTX-PGA NPs, a cell response experiment was performed in
429 osteosarcoma 791T cells. 10%MTX-PGA NPs and 30%MTX-PGA NPs were selected to study
430 their cell response in comparison with the clinically available MTX solution. MTX and MTX-
431 PGA NPs elicited a dose dependent decrease in 791T cell viability after incubation for 72 h (Fig.
432 5). The cytotoxic effects of MTX and the nanoparticles were more pronounced in monolayer
433 cultures (Fig. 5A), where MTX had an IC_{50} of 15 nM and killed 75% of cells. These results are

434 in agreement with previous studies on the cytotoxic effects of MTX in monolayer by Garnett et
435 al [4].

436 **Fig. 5**

437 Present results of MTX-PGA NPs and historic results with HSA-MTX by Garnett et al. (Fig. S1
438 in supplementary data) are compared using 791T cells in 2D cell culture. Values in parenthesis
439 give % drug loading w/w as drug loading appears to affect cytotoxicity. The MTX-PGA
440 analogues were 2.6 and 11.3 times less potent compared to free MTX. This is significantly better
441 compared to the >300× potency differences seen with the HSA-MTX conjugates (Table 2). The
442 increased potency of the MTX-PGA analogues compared to HSA-MTX is probably due to the
443 quick degradation of PGA in the lysosomes once internalized in the cells. MTX-PGA NPs were
444 probably degraded to free drug by enzyme-catalysed hydrolysis as seen in the enzymatic release
445 experiment. This is in contrast to HSA-MTX conjugates which mainly released the lysyl-MTX
446 derivatives [19, 20]. It has been reported that the efficiency of dihydrofolate reductase inhibition
447 of MTX is lowered by conjugation due to steric interference between the enzyme and the
448 modified drug [44, 45]. Therefore, the higher potency of MTX-PGA NPs as compared to HSA-
449 MTX may be attributed to improved free drug release.

450 **Table 2**

451 It has been demonstrated in previous publications by our group that there is a greater uptake of
452 PGA nanoparticles into DAOY tumour spheroids than for similar mixed rat neonatal normal
453 brain cells [46], and we have recently published a convenient method for determination of
454 cytotoxicity in spheroid cultures [35]. We have therefore also investigated the cytotoxicity of
455 MTX-PGA NPs in 791T spheroids compared to free drug. The results for resazurin reduction in
456 791T spheroids were considerably more variable compared to monolayers resulting in

457 ambiguous curve-fits (Fig. 5B). Nevertheless, a similar trend was observed, where free MTX
458 was the most potent, closely followed by 30%MTX-PGA (1.2 times IC_{50} difference) and
459 10%MTX-PGA was the least potent (30 times IC_{50} difference). When spheroid volume was used
460 to estimate spheroid viability, variability was much lower, curve fitting and the estimation of
461 IC_{50} s and maximal effects improved (Fig. 5C). Although MTX was still active in the nanomolar
462 range (IC_{50} =45 nM), cell viability remained above 50% even at micromolar concentrations.
463 Increased resistance to chemotherapy when cells are cultured in 3D has been reported before [35,
464 47, 48]. Notwithstanding the decrease in sensitivity, the potency differences between MTX and
465 the MTX-PGA conjugates remained unchanged (Fig. 5D). Longer incubation periods (6 days)
466 produced even more potent responses to MTX with lower IC_{50} s and smaller surviving fraction of
467 cells, along with similar potency ratio between the free drug and the conjugates (Fig. S2 in
468 supplementary data). It was disappointing that the 3D culture conditions did not show an
469 improvement in relative activity of MTX-PGA/MTX compared to 2D culture but this may be
470 due to other factors like the physicochemical properties of the nanoparticles and their cellular
471 interactions.

472 Further investigation was performed in another osteosarcoma cell line, Saos-2, to further confirm
473 whether the MTX-PGA NPs would affect in a similar or different fashion as observed in 791T
474 cells. The IC_{50} values of MTX-PGA NPs against Saos-2 cells are summarised in Table 2. MTX
475 had an IC_{50} of 210.9 μ M in Saos-2 and only resulted in 47.7% cell viability even at the highest
476 concentration of MTX tested in this study. This value was high in the micromolar range and
477 extremely high compared to the value in 791T cells but was consistent with previous studies on
478 low MTX-responsive or MTX-resistant Saos-2 cells [49, 50]. In the case of MTX-PGA NPs,
479 10%MTX-PGA NPs and 30%MTX-PGA NPs were relatively unresponsive on Saos-2 in

480 comparison to 791T cells with IC₅₀s of 26.8 and 20.2 μM, respectively. Although the IC₅₀ values
481 of the nanoparticles on Saos-2 cells were still in the micromolar range, they possessed 7.9 and
482 10.4 times higher potency than free drug, respectively. This result revealed that the MTX-PGA
483 NPs provided better relative potency in Saos-2 cells than 791T cells suggesting the improved
484 efficacy of MTX-PGA conjugates in Saos-2 cells. As evidenced by the previous reports [49, 50],
485 the low MTX-responsive or MTX-resistant Saos-2 cells are attributed to a reduction of MTX
486 uptake by RFC, an overexpression of DHFR protein, an increment of MTX efflux due to
487 overexpression of multidrug resistant protein, a reduction of MTX polyglutamylation, a decrease
488 of DHFR affinity to MTX and the combination of these mechanisms [50-52]. The improved
489 efficacy in Saos-2 cells by the MTX-PGA NPs may be attributed to overcoming one of the
490 resistance mechanisms. Further work will be needed to investigate the mechanistic resistance of
491 Saos-2 to MTX and to evaluate whether the MTX-PGA NPs can be used in MTX-resistant
492 osteosarcoma.

493 **5. Conclusion**

494 Our study showed the feasibility of the conjugation of anticancer drug, MTX, to a PGA
495 backbone, the first polymer-anticancer drug conjugate reported with this polymer. The MTX-
496 PGA conjugates contained high molar MTX content by 27.5 mole% and showed promising
497 characteristics in terms of particle properties, physical stability in the physiological medium,
498 stability of polymer-drug conjugate linker over 30 days and enzymatic degradability. Although
499 the MTX-PGA NPs showed lower cytotoxicity to 791T cells than free MTX, 30%MTX-PGA
500 NPs were only slightly less potent than MTX in either 2D or 3D cultures. Nonetheless, the
501 nanoparticles exhibited relatively higher toxicity to Saos-2 cells than the parent drug. The
502 improved efficacy of MTX in Saos-2 cells rather than 791T cells was possibly due to

503 surmounting MTX-resistant mechanism in this cell. However, further work is needed to
504 determine the mechanism overcoming the drug resistance by MTX-PGA NPs. Taking the
505 enzymic degradation results together with the cytotoxicity data and previous reports on the
506 degradation of PGA in the lysosomal compartment of cells, this strongly suggests that this PGA
507 polymer conjugate does not require a complex linker between drug and polymer. This opens the
508 way to a possible new paradigm for polymer-drug conjugates which have a simpler synthesis
509 together with a more effective mechanism of action. Nevertheless, further improvement of
510 potency and greater specificity of the conjugate may be needed for this type of polymer-drug
511 conjugate and we are continuing to investigate these possible improvements.

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523 **Conflict of Interest**

524 The authors declare no competing financial interest.

525 **Supplementary data**

526 Historical cytotoxicity data of 791T cells treated with HSA-MTX and free MTX for 24 h and
527 viability data of 791T monolayers and spheroids treated with MTX and MTX-PGA NPs for 6
528 days supplied as Supplementary data.

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616 **Figure and table captions**

617 **Figure 1.** IR-ATR spectra (A) and ¹H NMR spectra (B) of PGA, MTX and MTX-PGA
618 conjugates.

619 **Figure 2.** Mean hydrodynamic diameter (z-ave, A), size distribution (PDI, B) and zeta potential
620 (ZP, C) of MTX-PGA NPs at various %MTX conjugations. An error bar indicates the standard
621 deviation from three measurements. *Statistically significant difference comparing different
622 amount of conjugated MTX (p -value<0.05). **Statistically significant difference compared to
623 MTX-PGA NPs prepared in DI water at an equal amount of conjugated MTX (p -value<0.05).

624 **Figure 3.** Relative hydrodynamic diameter (A and D), PDI (B and E) and k_{cps} (C and F) of
625 MTX-PGA NPs in various pHs (left column) and ionic strengths (right column) of media as
626 compared to those in an equal dilution in sterile water for injection. Error bar indicates standard
627 deviation of three measurements. *Statistically significant difference when comparing the same
628 formulation in different media (p -value<0.05). **Insignificant difference when comparing the
629 same formulation in different media (p -value>0.05).

630 **Figure 4.** Release profiles of MTX from MTX-PGA NPs in PBS pH 7.4 with an absence of
631 enzyme for 30 days and the presence of 20 and 50 units/mL PCE at 37°C for 7 days. Error bars
632 indicate standard deviation from three experiments.

633 **Figure 5.** Viability of 791T monolayers and spheroids treated with MTX and MTX-PGA NPs
634 for 3 days. A-resazurin viability assay for 791T cells treated in monolayer, B-resazurin viability
635 assay for 791T spheroids, C-dose-response curves for spheroid volume. D-table summarising the
636 IC₅₀ and maximum effect with the corresponding 95% confidence intervals for the estimates.
637 MTX (black circles), 10%MTX-PGA NPs (red triangles) or 30%MTX-PGA (blue diamonds)

638 **Table 1** Molecular characteristics of PGA and MTX-PGA polymers

639 **Table 2** Comparison of relative efficacy of polymer-drug conjugates (PDC) with MTX