

1 **An approach to predict population exposure to ambient air PM_{2.5}**
2 **concentrations and its dependence on population activity for the megacity**
3 **London**

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14 **ABSTRACT**

15 A comprehensive modelling approach has been developed to predict population exposure to
16 the ambient air PM_{2.5} concentrations in different microenvironments in London. The
17 modelling approach integrates air pollution dispersion and exposure assessment, including
18 treatment of the locations and time activity of the population in three microenvironments,
19 namely, residential, work and transport, based on national demographic information. The
20 approach also includes differences between urban centre and suburban areas of London by
21 taking account of the population movements and the infiltration of PM_{2.5} from outdoor to
22 indoor. The approach is tested comprehensively by modelling ambient air concentrations of
23 PM_{2.5} at street scale for the year 2008, including both regional and urban contributions. Model
24 analysis of the exposure in the three microenvironments shows that most of the total exposure,
25 85%, occurred at home and work microenvironments and 15% in the transport
26 microenvironment. However, the annual population weighted mean (PWM) concentrations of
27 PM_{2.5} for London in transport microenvironments were almost twice as high (corresponding
28 to 13-20 µg/m³) as those for home and work environments (7-12 µg/m³). Analysis has shown
29 that the PWM PM_{2.5} concentrations in central London were almost 20% higher than in the
30 surrounding suburban areas. Moreover, the population exposure in the central London per unit
31 area was almost three times higher than that in suburban regions. The exposure resulting from
32 all activities, including outdoor to indoor infiltration, was about 20% higher, when compared
33 with the corresponding value obtained assuming inside home exposure for all times. The
34 exposure assessment methodology used in this study predicted approximately over one quarter
35 (-28%) lower population exposure, compared with using simply outdoor concentrations at
36 residential locations. An important implication of this study is that for estimating population
37 exposure, one needs to consider the population movements, and the infiltration of pollution
38 from outdoors to indoors.

Analysis, based on a modelling approach, demonstrates that it is critical to consider both population movements in key microenvironments and the infiltration of pollution from outdoors to indoors for calculating the total exposure due to the ambient PM_{2.5}

39 1. INTRODUCTION

40 Most epidemiological studies focusing on health impacts of air pollution are based on
41 relationships between measured pollution concentrations at fixed monitoring sites, or
42 modelled concentrations, and various health indicators (e.g., Pope and Dockery, 2006; Rohr
43 and Wyzga. 2012, de Hoogh et al., 2014). However, such approaches ignore the activity
44 patterns of individuals, i.e., people's day-to-day movements from one location to another and,
45 the infiltration of outdoor air to indoor. Both factors are known to cause significant variations
46 in the predicted exposure (e.g., Beckx et al., 2009; Soares et al., 2014; Kukkonen et al., 2016).

47 Variations in the individual exposure during the daily activity have been studied by measuring
48 the personal exposure to ambient air concentrations using portable instruments in different
49 microenvironments (Wallace and Ott, 2011, Steinle et al., 2013, 2015; Williams and Knibbs,
50 2016; Ham et al., 2017; Carvalho et al., 2018). As the studies were based on measurements
51 over relatively short periods, they do not account for the day-to-day and seasonal variations
52 in the exposure to ambient pollutants. To account for the temporal variability, earlier studies
53 (Dockery et al., 1993), estimated the population exposure based on the measured
54 concentrations at the nearest monitoring site, which was then assumed to represent the
55 pollution levels over a fairly wide area. Other studies (Bell, 2006; Brauer et al., 2008) used
56 the concentrations measured at several monitoring sites, to spatially interpolate the pollutant
57 concentrations using inverse distance weighting (IDW) and kriging techniques (Singh et al.,
58 2011) to estimate the exposure. However, such methods do not capture the finer scale spatial
59 heterogeneity in the air pollution across the city. The concentrations of pollutants in urban
60 areas are highly heterogeneous and may vary by an order of magnitude on street scale in
61 different areas due to traffic-originated pollution (e.g., Beevers et al., 2013; Singh et al., 2014;
62 Pattinson et al., 2014; Targino et al., 2016).

63 Exposure models can vary from simple empirical relationships between health outcomes and
64 outdoor air concentrations up to comprehensive deterministic exposure models (e.g. Kousa et
65 al., 2002; Ashmore and Dimitripoulou, 2009; Soares et al., 2014; Smith et al., 2016). A more
66 refined procedure combines the spatially predicted concentrations, and location and activity
67 of the population, to estimate the spatial and temporal variation of mean exposure in different
68 MEs (e.g., Soares et al., 2014; Kukkonen et al., 2016, Smith et al., 2016). This is particularly
69 important, as accurate exposure estimates are necessary to reliably quantify population health
70 impacts.

71 Geographical Information Systems based approaches have been used by Jensen (1999) and
72 Gulliver and Briggs (2005) to estimate the exposure from traffic. Considerably more
73 sophisticated Eulerian gridded chemical transport models have been used globally (Lelieveld
74 et al., 2015, Picornell et al., 2019) and at regional scale (Isakov et al., 2007; Borrego et al.,
75 2009; Beckx et al., 2009; Conibear et al., 2018) to estimate the exposure at different grid
76 resolutions. The city scale dispersion models (Carruthers et al., 2000; Sokhi et al., 2008; Singh
77 et al., 2014) and land use regression models (Beelen et al., 2010; Gulliver et al., 2011 and de
78 Hoogh et al., 2014) provide the within-city variations in the concentrations. There are,
79 however, fundamental differences in approach adopted by such methods in terms of the

80 methodology to estimate the concentrations. While dispersion models use a deterministic
81 approach to estimate the pollutant concentrations based on the spatially resolved emissions
82 and meteorology driven dispersion, land use regression models predict the pollutants based
83 on empirical relations between measured pollutant concentrations at a number sites and
84 predictor variables, such as land use, traffic and topography (Beelen et al, 2013; Korek et al.,
85 2016).

86 Probabilistic models such as EXPOLIS (Hänninen et al., 2003, 2005) and INDAIR
87 (Dimitroulopoulou et al., 2006) provide the frequency distribution of exposure within a
88 population. In order to estimate the spatial distribution of mean exposure, an integrated
89 deterministic modelling approach such as EXPAND (Exposure model for Particulate matter
90 And Nitrogen oxideS; Soares et al., 2014; Kukkonen et al., 2016) and LHEM (London Hybrid
91 Exposure Model; Smith et al., 2016) has been adopted. These models can be applied for
92 various temporal and urban spatial domains based on the available temporal and spatial
93 resolution of population activity and emission data.

94 With a population of over 8 million in accordance with the 2011 census (ONS, 2012), London
95 is one of the largest cities in the Europe. It serves as an ideal study area, as comprehensive
96 datasets on emissions, air pollutant concentrations and population are available. A few London
97 specific urban high-resolution (from tens of m to a few hundreds of m) dispersion modelling
98 studies have been reported (Beevers et al., 2013, Singh et al., 2014 and Hood et al., 2018).
99 Singh et al., (2014) and Beevers et al., (2013) evaluated dispersion models against annual
100 mean PM_{2.5} measurements and both reported that the regional background was on the average
101 the largest contributor to the total PM_{2.5} concentration. Near busy roads, however, the levels
102 of PM_{2.5} due to vehicular emissions were of similar magnitude as the regional background.

103 Examining how air pollution distributions are influenced by population activities within a
104 complex urban environment, such as London, it is essential to understand exposure to air
105 pollution. Picornell et al., (2019) highlighted the importance of people's movements for
106 calculating the exposure using population movement based on mobile phone data. Reis et al.,
107 (2018) evaluated the influence of population mobility on exposure in the whole of the UK at
108 a resolution of 1 km×1 km. They reported that taking workday location into account had only
109 a minor influence (0.3%) on the predicted exposure to PM_{2.5}, compared with considering
110 simply the residential exposure. However, they did not address the outdoor to indoor
111 infiltration of pollution. The minor effect probably reflects not allowing for the infiltration
112 effects and the fairly coarse resolution.

113 GLA (2013) provides ambient air PM_{2.5} concentrations for population weighted exposure
114 calculations over London, but does not allow for different human activities or infiltration of
115 air pollution to indoors. Kaur and Nieuwenhuijsen (2009) and studies of Adams et al. (2001a
116 and 2001b) have examined the personal exposure in London based on the field measurements,
117 including a limited amount of samples. The use of dispersion model combined with space-
118 time-activity data allows the calculation of exposure in detail.

119 A detailed study by Smith et al., (2016) combines the outdoor pollution concentrations
120 evaluated by the CMAQ-Urban model and space-time-activity data based upon London Travel
121 Demand Survey (LTDS) to estimate the exposure of the Greater London population to the
122 outdoor air concentrations of PM_{2.5} and NO₂ using the LHEM model. They calculated the
123 population average daily exposure in indoor, in-vehicle and outdoor microenvironments and
124 their contribution to the total exposure. Smith et al., (2016) to a large extent focused on the
125 examination of the differences of the exposure values evaluated by the LHEM model,

126 compared with the exposures computed at residential addresses. They also investigated the
127 differences of exposure to PM_{2.5} and NO₂. The present study, in contrast to Smith et al. (2016),
128 also analyzes in detail predicted spatial concentration distribution and population weighted
129 concentrations for PM_{2.5} in main microenvironments (home, work and transport). We
130 considered it important also to investigate the impacts of the spatial heterogeneity of the
131 population and PM_{2.5} concentrations over the whole of London.

132 In this study, we have extended the previously published development and application of the
133 OSCAR Air Quality Modelling System, which is mainly based on a multiple-source Gaussian
134 dispersion approach. The OSCAR modelled concentrations of PM_{2.5} have been combined with
135 the estimates of the regional background concentrations and population activity based on
136 census data reported by the Office for National Statistics (ONS) in the UK, (ONS, 2012) and
137 population activity from the London Travel Demand Survey (LTDS, 2011 from Transport for
138 London), to predict the population exposure to ambient air concentrations of PM_{2.5} across a
139 megacity of London, UK.

140 The objectives of this study were to:

- 141 (i) Develop and implement a comprehensive approach to analyse and estimate the
142 time activity of the population of London for three microenvironments (home,
143 work and transport);
- 144 (ii) Quantify the population exposure to the concentrations of PM_{2.5} in London;
- 145 (iii) Examine the relative importance of exposure to ambient PM_{2.5} in terms of key
146 microenvironments, their spatial distributions across Greater London and quantify
147 the difference between central London and surrounding regions; and
- 148 (iv) Assess the importance of including the movements of the populations and the
149 infiltration of ambient air pollution indoors to the total exposure of the population,
150 compared, e.g., with using solely the exposure predicted at residential locations.

151 In order to achieve the research objectives, we have estimated the concentrations and the time-
152 activities of the population, and combined these datasets to examine the exposure of the whole
153 population in London to outdoor concentrations of PM_{2.5}. In line with the first objective, we
154 demonstrate a robust methodology that can be applied to quantify spatially resolved
155 population exposures due to air pollution in cities such as London for any time period, without
156 the reliance on excessively detailed population activity data.

157 **2. METHODOLOGY**

158 We present an overview of the methodology, including the modelling of the PM_{2.5}
159 concentrations and exposure. In addition, we explain the selection and definitions of the
160 microenvironments, and present the data and methods for the assessment of the locations and
161 movements of the population.

162 **2.1 Modelling of the PM_{2.5} concentration in London for 2008**

163 We have used the OSCAR Air Quality Assessment system (Singh et al., 2014; Sokhi et al.,
164 2008) to model the PM_{2.5} concentrations originated from vehicular urban sources in London
165 (Supplementary Figure S1). A detailed description of the modelling domain, road traffic data
166 and model validation can be found in Singh et al., (2014). The OSCAR Air Quality
167 Assessment System consists of an emission model, a meteorological pre-processing model
168 and a road network Gaussian dispersion model (Kukkonen et al., 2001).

169 The OSCAR modelled concentrations of PM_{2.5} have been combined with the estimates of the
170 regional and urban background concentrations. The annual mean regional and urban
171 background concentrations of PM_{2.5} at 1 km × 1 km grid resolution were extracted from Grice
172 et al. (2009). The regional and urban background concentration was added to the modelled
173 concentrations originating from the urban vehicular sources by linear interpolation using a
174 geographic information system (GIS). The temporal variability in the annual mean regional
175 and urban background concentrations was derived using the measured hourly time series from
176 a representative urban background station at Camden – Bloomsbury.

177 The emission model of the OSCAR system is based on the COPERT IV (Gkatzoflias et al.,
178 2012) and Department for Transport (DfT; Boulter et al., 2009) emission functions and factors
179 as used in London Atmospheric Emission Inventory (LAEI, GLA, 2010). The PM_{2.5} non-
180 exhaust emissions due to tyre and brake wear were based on the UK National Atmospheric
181 Emission Inventory (NAEI; Dore et al., 2008). The particle resuspension has not been
182 considered because of its relatively small contribution compared with tyre and brake wear
183 (Beever et al., 2013). Although the OSCAR model does not include a detailed treatment of
184 traffic congestion on emissions, the effects of congestion are allowed for on an average level,
185 via the influence of vehicle travel speed on emissions.

186 The meteorological pre-processor GAMMA-MET (Bualert, 2002) was used to process the
187 hourly parameters including wind speed and direction, solar radiation, friction, velocity,
188 temperature, relative humidity and Monin–Obukhov length. The influence of buildings and
189 other obstacles on the dispersion was represented using the roughness length (z_0) (see Seinfeld
190 and Pandis, 2006). Roughness length value equal to 1.5m was used for the central London and
191 a lower value of 0.2m was used for open road environments located in outer London. It should
192 be noted that, in order to retain efficient and reasonable computation run times, complex street
193 canyons were not treated within OSCAR. This may potentially lead to an underestimation of
194 PM_{2.5} concentrations as street canyons would typically reduce dispersion. The model includes
195 dry deposition process for the fine particulate matter originating from the line source
196 (Kukkonen et al., 2001); this has been allowed for in the modelling. However, the chemical
197 transformation processes were not taken into account in the urban scale modelling. Therefore,
198 the particles originating from the urban traffic sources were treated mainly as primary
199 particles, although regional and urban background concentrations used in the model included
200 contributions from secondary particles.

201 For the sake of brevity, we have not presented any further details on the model and its
202 evaluation against experimental data. For more detailed descriptions, the readers are referred
203 to Singh et al. (2014), Sokhi et al. (2008) and Srimath et al. (2005, 2017).

204 **2.2 Evaluation of population exposure**

205 **2.2.1 Definitions of exposure and population weighted concentration**

206 The time averaged population exposure E_i at a given location i (or a computational grid square)
207 and for a given time period t , can be written as (Soares et al., 2014; Reis et al., 2018).

$$208 \quad E_i = \sum_{j=1}^N \sum_{t=1}^{24} C_{ijt} P_{ijt} \quad (1),$$

209 where C_{ijt} and P_{ijt} are the pollutant concentration and the number of persons at the location i
210 and microenvironment j at a time period of the day t , and N is the number of the considered

211 microenvironments. Clearly, equation (1) can be defined correspondingly for hourly, daily or
212 annual as in the current case. The use of equation (1) also allows for the modelling of exposure
213 in various microenvironments (MEs), including peoples' movements and the evaluation of
214 outdoor pollution in indoor air.

215 It is also useful to define a population weighted mean (PWM) concentration to which the
216 population is exposed in different environments. For a time period of 24 hours, this can be
217 defined as (Reis et al., 2018):

$$218 \quad C_i = \frac{\sum_{j=1}^N \sum_{t=1}^{24} C_{ijt} P_{ijt}}{\sum_{t=1}^{24} P_{it}} \quad (2),$$

219 where the denominator is the cumulative amount of population within location i during 24
220 hours period. In this current study, we have presented numerical results on the population
221 exposure and population weighted concentration values as annual averages.

222 2.2.2 Microenvironments

223 ME is a useful concept when considering movement of people and their resultant exposure to
224 air pollution. It is defined as a location having relatively uniform concentration, such as home
225 or workplace, in which exposure takes place. Three MEs have been considered in this study,
226 namely, home, work and transport. One could also define other, more specific
227 microenvironments. For instance, Soares et al. (2014) considered a microenvironment called
228 'other environments' that included exposure in recreational activities, such as sports activities,
229 shopping and restaurants. The microenvironments considered in this study are as follows

- 230 (i) The home microenvironment includes all the people at home or working at home.
- 231 (ii) The work microenvironment includes all the people at workplace. We have assumed,
232 for simplicity, that all the people are working either in offices or inside buildings.
- 233 (iii) The transport microenvironment includes exposure of people while travelling in buses,
234 personal cars, trains, pedestrians and cyclists and hence includes all the people
235 travelling by all modes of transport (supplementary Figure S2) to homes, work or to
236 any other location.

237
238 As mentioned previously, this study considers only exposure to outdoor air pollution; the
239 effects of indoor air pollution sources in London (Shrubsole et al., 2012) were outside the
240 scope of this study. The infiltration of outdoor air pollutants indoors is dependent on numerous
241 factors, such as, e.g., the structure and ventilation systems of the building, on the particular
242 pollutant, and in case of particulate matter, on its size distribution. As the information on the
243 infiltration coefficients for various buildings and vehicles in London was very scarce, we have
244 used estimates from available literature (Hänninen et al., 2004 and 2011). Further discussion
245 is given in section 2.3.3.

246 2.3 Evaluation of the location and time-activity of the population

247 We have analysed the amounts of population at home, at work and in transport
248 microenvironments within London for 2008. The analysis was based on the census population
249 data reported by Office for National Statistics (ONS, 2012). The diurnal variation of

250 population activity has been obtained from the London Travel Demand Survey LTDS (2011).
251 Instead of having individual activity pattern based on the individual trips such as analysed by
252 Smith et al., (2016), our study calculates the population space-time activity that has been
253 estimated by combining the information extracted from ONS (2012) and LTDS (2011). The
254 population space-time activity provides the information on the number of people in a given
255 microenvironment at given time of the day at the census location. This approach allows a
256 population based analysis, as most of the cities have residential as well as work population
257 records based on the census survey.

258 **2.3.1 London population data**

259 The spatial distribution of the London population (supplementary Figure S3) has been taken
260 from the ONS census data. Census of the population is conducted every 10 years in the UK.
261 In census 2011, the data was collected from the 95% household based on the questionnaire
262 that provided the detailed information on the residential and work population. We used the
263 population at the output areas (OA, Census Glossary, 2011) that is the highest available
264 geographical resolution for population allocation published over for all the districts of Greater
265 London. The area of OA is different which varies from 156 m² to 12.2 km². The median area
266 of OA across London is 0.033 km².

267 The census population information has been reported for the years 2001 and 2011; we have
268 therefore extrapolated the values in 2008, by assuming a linear growth rate of the total
269 population in London from 2001 to 2011. The numbers of residential and workday population
270 were evaluated to be 7.86 and 8.37 million in London in 2008, respectively. The workday
271 population is larger than the resident population due to the population commuting from the
272 outside of London. The growth rates of resident and workday populations were on average
273 1.39% and 1.33% per annum, during the decade from 2001 to 2011.

274 The spatial distribution of population during daytime (defined as the period from 7:00 am to
275 7:00 pm) and nighttime (the other times) have been presented in supplementary Figure S3
276 (a,b). All the spatial distributions in this study have been presented at the output areas (OA,
277 Census Glossary, 2011) for all the districts of Greater London. As expected, the population
278 density during daytime is clearly higher in central London and in the vicinity of the busiest
279 business districts. The population at night is distributed much more uniformly across the
280 whole area of London.

281 The percentages of the modes of travel from home to work in London based on ONS (2012)
282 have been presented in supplementary Figure S2. Public transport includes buses, trains and
283 the underground. Public transport accounts for approximately a half of all transportation from
284 home to work. Other vehicular modes of travel, such as private car, taxi and motorcycles are
285 responsible for almost a third of all travels. A fairly small fraction of people, 13% walk or
286 cycle to work.

287 **2.3.2 The London Travel Demand Survey (LTDS) data**

288 LTDS is a continuous household survey of the London area, covering the Greater London
289 area, assessed based on the travel demand. LTDS collects the information on households,
290 people, trips and vehicles. The diurnal and weekly variation of the fractions of people
291 travelling in London obtained from LTDS has been presented in supplementary Figure S4.
292 Clearly, during the weekdays, there are substantial morning and afternoon rush hours peaks.

293 During the weekends, the amount of people travelling peaks at approximately 11 am, and then
294 slowly decreases at later times of the day.

295 The percentages of population in the selected microenvironments are presented in Figure 1.
296 Based on ONS (2012) and LTDS (2011) datasets, more than half of the population spent their
297 time at home throughout the day. The data shows that the time spent in both work and in
298 transport environments is distributed fairly evenly during the working hours. However, as
299 expected, there are higher activities associated with the transport environment during the
300 morning and afternoon rush hours.

301 **2.3.3 Outdoor to indoor infiltration**

302 While indoor sources and sinks were not considered, the contribution of outdoor air pollution
303 to indoor air quality was determined by the use of the efficiency of infiltration, which takes
304 account of outdoor air coming indoors and the ventilation. The infiltration factor is defined to
305 be equal to the fraction of outdoor air pollution that will be infiltrated indoors (e.g., Soares et
306 al., 2014). In this study a mean value of the infiltration factor for PM_{2.5} of 0.60 has been used,
307 based on Hänninen et al., (2004 and 2011), to calculate the concentrations at home and at work
308 microenvironments. Hänninen et al. (2011) presented an overview of a number of European
309 studies that have determined IF's for PM_{2.5} and PM₁₀; the values in the overview ranged from
310 0.37 to 0.70. Soares et al. (2014) presented an update of part of these values. We have selected
311 the value of 0.60, based on averages of the extensive datasets within these updates, based on
312 the EXPOLIS and ULTRA studies.

313

314 Smith et al (2016) have used spatially resolved infiltration factors within a range of from 0.35
315 to 0.86; however, these have been derived only for domestic buildings. It is not clear, whether
316 these values are representative of commercial areas of London, including the centre.

317

318 In the case of the transport microenvironment, the information on the infiltration factors for
319 various modes of transport is not known sufficiently well for a detailed modelling analysis.
320 The mean values and the range of the PM_{2.5} concentrations reported within traffic
321 microenvironments by Smith et al., (2016) suggest that the concentrations within traffic
322 micro-environments are in the range of the ambient concentration, except for the underground
323 environment. The infiltration factor used in this study for all the various transport
324 microenvironments, for all transport modes is therefore assumed to be unity.

325

326

327

328 **3. RESULTS AND DISCUSSION**

329 **3.1 Temporal and spatial distribution of PWM concentrations and exposures for** 330 **different microenvironments**

331 A diurnal variation of the modelled annual average PM_{2.5} concentrations has been presented
332 in supplementary Figure S5. The diurnal profile shows a bimodal distribution. The two broad
333 highs are due to increased urban traffic in the morning, approximately from 7 to 9 am and
334 again in the evening, approximately from 7 to 9 pm. In general, the day time concentrations
335 are higher by 3-4 µg/m³, as compared with the values at night. The overall PM_{2.5} diurnal
336 profile is of course a resultant of the variations in the emissions as well as meteorology (e.g.
337 changes in boundary layer height) over the day and night hours.

338 The spatial distribution of the modelled annual mean PM_{2.5} concentrations for 2008 has been
339 previously presented by Singh et al. (2014). The highest concentrations were found near busy
340 roads, motorways, at their junctions, and in the centre of London. For this study, the modelled
341 spatial distributions of PWM concentrations of PM_{2.5} have been presented in Figure 2 for
342 homes and workplaces, transport and for the total of all the microenvironments. All the results
343 have been presented for exposure to outdoor air pollution, including infiltration of outdoor air
344 pollution to indoors.

345 Across London, our analysis shows that people at workplace and home are exposed to the
346 annual average concentrations ranging from 7 to 11 µg/m³ of PM_{2.5} with mean value of 8
347 µg/m³. However, people in the transport microenvironment are exposed to relatively much
348 higher concentrations, the annual averages ranging from 13 to 20 µg/m³ with a mean value of
349 15 µg/m³.

350 The analysis of population weighted concentrations has been extended for city-wide mean
351 values. PWM concentrations of PM_{2.5} in the different MEs are presented in Figure 3. People
352 are exposed on average to almost twice as high concentration in the transport
353 microenvironment, compared with the home and workplace environments. However, the total
354 PWM concentration in all the considered MEs is only slightly higher than the corresponding
355 average value in the home and work MEs, due to the large fraction of time that people spend
356 in the home and work environments.

357 The predicted spatial distribution of population exposures has been presented in Figure 4 for
358 homes and workplaces, transport and for the all combined microenvironments exposure for
359 London in 2008. The highest exposures occurred in the central areas of London, for both the
360 total exposure and for both work and home, and the transport microenvironments. The largest
361 proportion of exposure (85%) takes place at homes and workplaces microenvironments as
362 much of the population spend large amount of the time indoors.

363 **3.2 Spatial difference in concentrations and exposure for central and outer areas of** 364 **London**

365 A separate analysis has been conducted to understand the differences in exposure for central
366 and outer parts of London. The central parts include Westminster, City of London, Kensington
367 and Chelsea as shown in red colour in supplementary Figure S1 and the remaining area is
368 referred as outer London. The central parts of London have high day time population, due to
369 the working population (supplementary Figure S3). Figure 5 presents PWM concentrations
370 and exposures for Greater London divided into a central part and an outer part. The PWM
371 concentration of PM_{2.5} averaged over the central part of London is 20% higher than the
372 corresponding average concentration in the outer parts of London. However, the population
373 exposure is almost three times higher in central London, compared to outer London. The
374 higher concentrations in central London are mainly caused by traffic originated air pollution.
375 Reis et al., (2018) estimated around 8% differences in annual mean concentrations for PM_{2.5}
376 experienced by individuals living at Mayfair in central London, compared with living at
377 Southfields in outer London. The lower estimate by Reis et al., (2018), compared with the
378 corresponding values in the present study, could be due the exclusion of exposure in the
379 transport microenvironments.

380 The urban and traffic concentration increment calculations for London by Singh et al., (2014)
381 showed that a major fraction of the total PM_{2.5} concentrations, 73%, was caused by regional
382 background contributions, 19% by urban non-road sources and 8% by the emissions originated

383 by road transport. These percentages provide useful information on the importance of these
384 source categories on a city-wide scale but as indicated above there are spatial differences such
385 as between the central part compared to the outer areas of London.

386 **3.3 Importance of including population activity to quantify exposure to PM_{2.5}**

387 The predicted diurnal variations of the population exposure, both including and excluding
388 population activity have been plotted in Figure 6. The figure presents exposures in all the
389 microenvironments, allowing for the influence of the infiltration of outdoor air indoors. The
390 exposure excluding activity has been computed by assuming people spend their time only in
391 the residential (indoor home) environment (but including infiltration effects from outdoor air
392 pollution). The more realistic exposure with activity is where people also spend their time in
393 transport and work environments and hence results in substantially higher population exposure
394 values (by about 20%). This is especially the case during the day time, with higher values
395 during the morning and evening commuting periods. Such a comparison clearly illustrates the
396 importance of increased exposure due to taking account of population activity patterns
397 compared to assuming a static residential population.

398 **3.4 Implication of this study for air pollution exposure and health impact assessments**

399 Air pollutant concentrations at residential locations of the population are commonly used in
400 health impact assessments and epidemiological studies. The implicit assumption in these
401 studies is that the residential exposure is representative of the total exposure of the target
402 population or cohort members. However, this study questions this assumption by showing that
403 exposures in various microenvironments are not the same. As this bias has been present in
404 almost all of the previous larger scale exposure and health assessment studies, it is useful at
405 least to know the magnitude of this uncertainty.

406 We have, therefore, evaluated the difference of using only residential coordinates in
407 estimating the total population exposure, compared with using the exposure evaluated
408 separately for the three microenvironments addressed in this study. The exposure assessment
409 methodology used in this study predicted over one quarter (-28%) lower total population
410 exposure, compared with using simply outdoor concentrations at residential locations.

411 The difference between exposure based on the use of static population exposed to residential
412 ambient concentration and the exposure for a dynamic population moving within three
413 microenvironments is mainly caused by two counteracting factors. (i) The so-called residential
414 exposure in traditional health impact assessments is evaluated based on the assumption that
415 the general population exposure is reflected by the air pollutant concentrations outside the
416 vicinity of their homes. In the present study, we have also allowed for the infiltration effect of
417 the houses and work buildings. The resulting modelled exposure of people indoors affected
418 by a fraction of ambient air pollution that is infiltrated indoors, and the actual exposure inside
419 the homes, is therefore smaller. We have evaluated this exposure reduction to be of the order
420 of 40% (with an infiltration factor assumed to be equal to 0.60) (ii) The exposure in road
421 transport environments is substantially higher than the corresponding exposures at homes. The
422 exposure at workplaces also tends to be slightly higher than that at homes per unit of time
423 (Soares et al, 2014), as the former are more commonly situated near roads with heavier traffic.
424 The resulting predicted exposure is, hence expected to be higher for other microenvironments
425 besides homes. These two factors counterbalance each other to some extent.

426 It can be shown by simple numerical evaluations that the first mentioned effect (i) is larger
427 than the second effect (ii). The resulting percentage change of the predicted exposure
428 mentioned above (-28%) is therefore negative, but its absolute value is smaller than the above
429 mentioned 40%. The results of detailed computations for the traditional method and the more
430 refined one, both evaluated using the modelling system used in this study, are presented in
431 Figure 7. The population exposure, taking into account all three microenvironments and the
432 infiltration of pollution indoors, was 72% of the corresponding result obtained with the
433 traditional method. The corresponding percentage was slightly lower, 67%, for the population
434 weighted mean concentration, compared with the population exposure.

435 This percentage has been evaluated for London for 2008; the overall reduction will probably
436 be different for other urban regions and time periods. In particular, the exposure of people
437 spending time near heavy traffic roads, as is the case in central London, will result to higher
438 exposure compared to using residential concentrations.

439 There is an important implication for exposure and health assessments (e.g., epidemiological
440 studies identifying links between air pollution and health outcomes). The analysis of exposure
441 in this study demonstrates to the importance of taking into account the exposure in various
442 microenvironments and the infiltration of pollution to indoors, instead of using only the
443 residential exposures.

444 **3.5. Underlying assumptions and limitations**

445 The scope of current study has included the exposure to ambient air pollution, both outdoors
446 and indoors; however, we have not considered indoor sources and sinks of air pollution.
447 Various European studies have reported infiltration factors for PM_{2.5} and PM₁₀ that range from
448 0.37 to 0.70 (Hänninen et al., 2011), i.e., substantial fractions of outdoor particulate pollution
449 can be infiltrated to indoor air. The pollution infiltrated from outdoor to indoor air in the
450 western and central European countries may therefore be more important for peoples' health
451 than pollution from the indoor sources, with the exception of tobacco smokers.

452 We have considered emissions from road transport and most other urban sources; however,
453 we have not addressed contributions from trains. In particular, the metro (underground)
454 microenvironments are outside the scope of this study. The emission modelling allows for the
455 effects of traffic congestion only implicitly, i.e., as a variation of exhaust coefficients as a
456 function of travel speed.

457 The infiltration factor for the transport microenvironments has been assumed to be unity, due
458 substantial uncertainties of the ranges of these values (Smith et al., 2016). While our analysis
459 was done for 2008, the main findings are relevant also to the present situations, as the temporal
460 changes in PM_{2.5} concentrations for London have been modest during the last decade (e.g.,
461 Brook and King, 2017, Font and Fuller., 2016). This study has considered exposure to PM_{2.5},
462 due to its association with serious health impacts (e.g., Rohr and Wyzga. 2012). To retain the
463 focus on exposure, we have not examined health impacts.

464 In addition, we have not considered explicitly the chemical components of PM_{2.5}, or other
465 pollutants, such as NO₂ and O₃, and their resulting health impacts. The eventual goal is to
466 evaluate the exposure and health impacts of all the relevant pollutants, and those for the
467 various chemical components and different properties of particulate matter. However, it is
468 important to understand the spatial and temporal distribution of population exposure to PM_{2.5},

469 before examining the contribution from its chemical constituents. Important questions still
470 remain on how exposure from PM_{2.5} affects the population spatially and in different key
471 microenvironments.

472

473 4. CONCLUSIONS

474 High resolution PM_{2.5} predictions from the OSCAR Air Quality Assessment model for
475 London have been combined with demographic datasets to determine spatial distribution of
476 population exposure for three different microenvironments (home, work and transport). The
477 exposure model includes a treatment of the locations and time use of population and a simple
478 treatment of the infiltration of pollution from outdoor to indoor air. This comprehensive
479 modelling approach has been used to analyse the time activity dependent population exposure
480 for more than eight million inhabitants of London megacity. The annual population exposure
481 to ambient air PM_{2.5} concentrations has been estimated based on hourly time-activities at fine
482 scale for the whole of Greater London.

483 Numerical results have been presented for time activities, PWM concentrations and the
484 population exposures to PM_{2.5}. The computations included the regionally and long-range
485 transported pollution with contributions originating from all urban pollution source categories,
486 including especially those related to vehicular emissions. A number of key conclusions can
487 be drawn from the study.

488 (i) We have demonstrated the development and applicability of the OSCAR
489 modelling approach for predicting population exposure to PM_{2.5} for a megacity,
490 London, UK. The approach combines high resolution, spatially and temporally
491 resolved concentrations of ambient PM_{2.5} with data on time activity for three main
492 microenvironments. As this approach does not rely on excessively detailed
493 information, it can be utilized for evaluating the impacts of urban and traffic
494 planning and for conducting assessment of adverse health impacts resulting from
495 air pollution exposure as well as for urban air quality research.

496
497 (ii) Our analysis shows that on an annual average level, more than half of the
498 population of London is at home throughout the day. The time spent in both work
499 and in transport microenvironments is distributed fairly evenly during the working
500 hours, although expectedly, there were higher activities in the transport ME during
501 the morning and afternoon rush hours. A similar variation of the population
502 activities has been reported by Kousa et al. (2002) and Soares et al. (2014) for the
503 Helsinki Metropolitan Area.

504 (iii) In terms of microenvironments, people at work and home were exposed to
505 concentrations ranging from 7 to 11 µg/m³ of PM_{2.5} on an annual average level,
506 whereas people in transport, were exposed to almost twice as high concentrations,
507 the annual averages ranging from 13 to 20 µg/m³.

508 (iv) Analysis on a city-wide basis in terms of the individual ME and the total population
509 exposures to PM_{2.5} reveals that 85% of the total exposure occurred at home and
510 workplace microenvironments, and 15% in the transport microenvironment. Smith
511 et al., (2014) found in their study that travel was responsible from 4 to 12% of the
512 total population exposure.

- 513 (v) There is a distinct demarcation of exposure for people spending time in central
514 London compared to other regions. Comparison of the spatial distribution shows
515 that the highest exposures per unit area occurred in the centre of London and in the
516 area of urban business centres. This is the case for both the total exposure and for
517 both work and home, and the transport microenvironments. In terms of population
518 weighted concentration of PM_{2.5}, the value averaged over the central part of
519 London is 20% higher than the corresponding average concentration in the outer
520 parts of London. Because of higher PM_{2.5} concentrations due to higher traffic
521 density and high population density, the population exposure per unit area is almost
522 three times higher in central London, compared to outer London.
523
- 524 (vi) The total exposure resulting from all the considered activities, including the
525 outdoor to indoor infiltration compared with indoor home exposure only (inside
526 the homes, considering the infiltration of PM_{2.5} from outdoors to indoors) resulted
527 in about 20% higher exposure to PM_{2.5}. This analysis illustrates the importance of
528 allowing for population activity.
529
- 530 (vii) There are important implications also for air quality and health related
531 epidemiological studies that assume that the air pollutant concentrations outside
532 the home place are representative of the total population exposure. These studies
533 also commonly neglect the infiltration of pollutants to indoors. This study shows
534 that the exposures to ambient concentrations of PM_{2.5} can be substantially different
535 in different microenvironments. Results from the current work demonstrate that
536 the total population exposure was over one quarter (-28%) lower on a city-wide
537 average level, compared with using simply outdoor concentrations at residential
538 locations. Smith et al., (2016) have also shown that exposure estimates based on
539 space-time activity and infiltration of PM_{2.5} to indoors is lower; they found a 37%
540 lower value, compared to the outdoor exposure evaluated at residential addresses.
541 However, this proportion will be different for other urban regions and time periods,
542 or when addressing specific population sub-groups. For pollutants that are more
543 dominated by local urban sources (such as, e.g., NO₂), this difference in using only
544 residential exposure could be substantially higher, compared with the
545 corresponding difference in case of PM_{2.5} (Kukkonen et al, 2016).

546 In exposure and health assessments, therefore, it is important to allow for the movements
547 of the population and for the infiltration of ambient air pollution indoors. The
548 epidemiological studies commonly use outdoor concentrations in the residential areas or
549 at home addresses. The use of more dynamic exposure data in epidemiological studies in
550 the future could substantially improve the accuracy of health impact assessments.

551 **5. AVAILABILITY OF EXECUTABLE MODEL PROGRAM**

552 The executable programs and the datasets used are available as part of a collaboration
553 agreement upon request from the authors.

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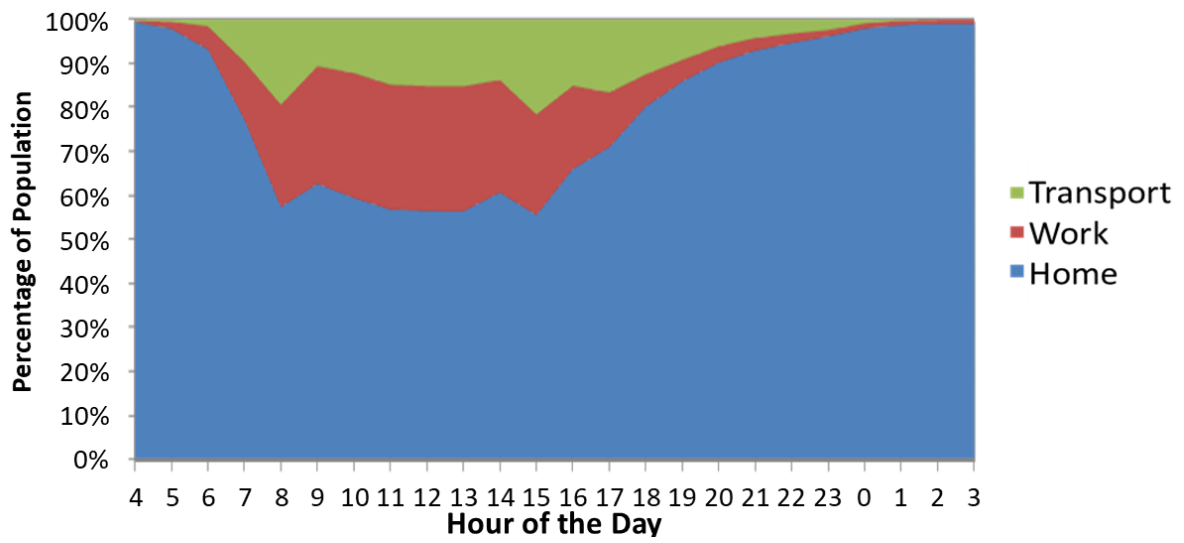
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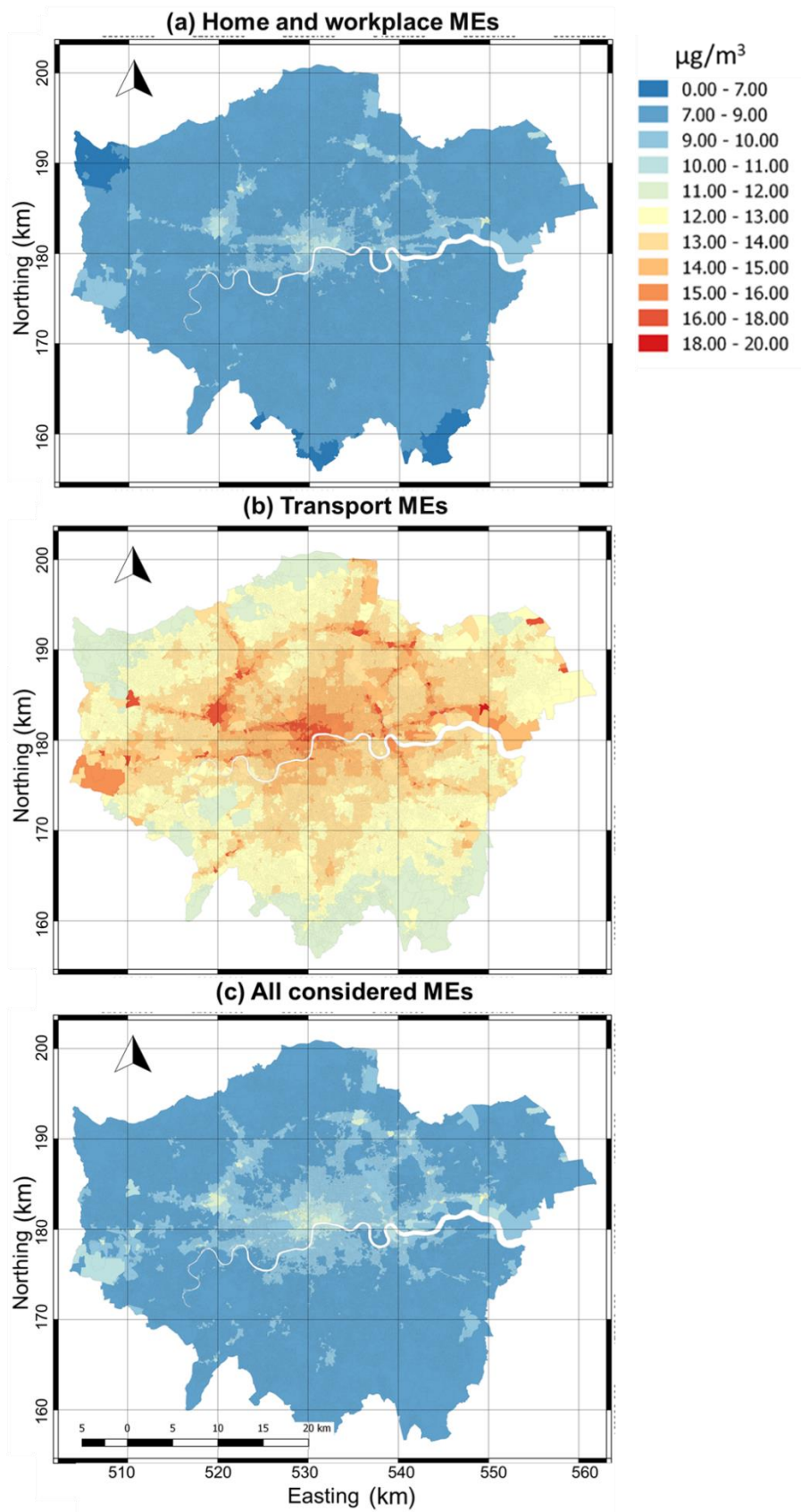
789 Figure 1. The diurnal variation of the activity of the population in London in three
790 microenvironments in 2008.

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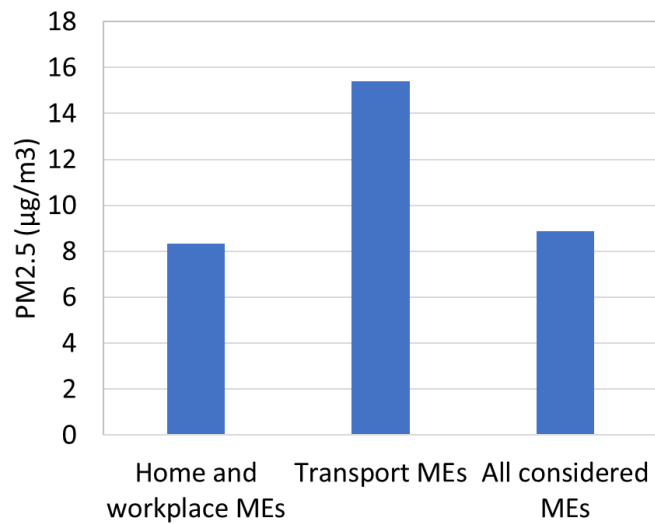
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796 Figure 2 a-c. Population weighted mean concentrations of PM_{2.5} in London (a) at homes and
 797 workplaces, (b) in traffic and (c) in the three considered microenvironments in 2008 (µg/m³).

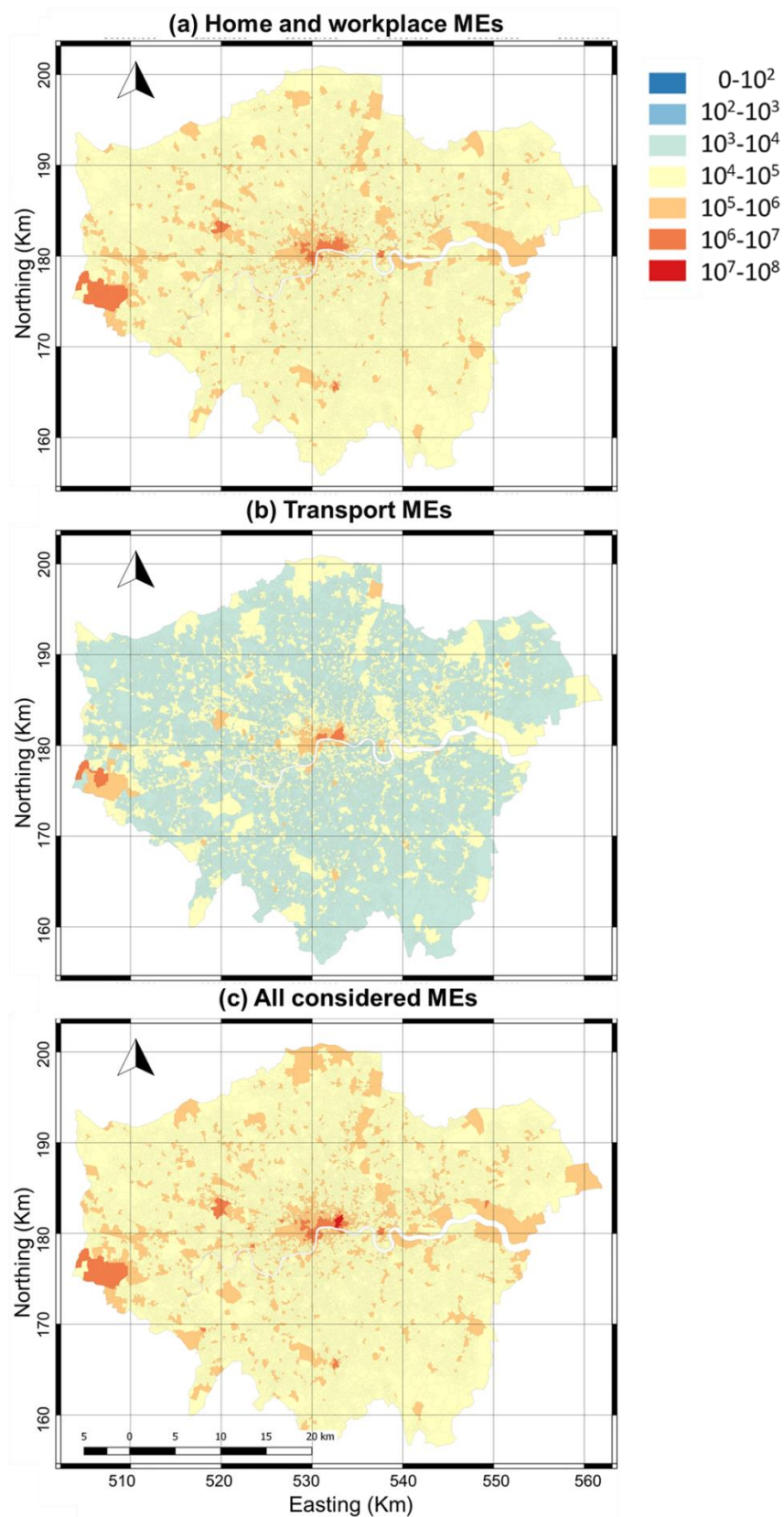


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799 Figure 3. Population weighted mean concentration of PM_{2.5} in combined home and workplace
800 microenvironments, in transport microenvironments, and in all of these microenvironments
801 combined in London in 2008.

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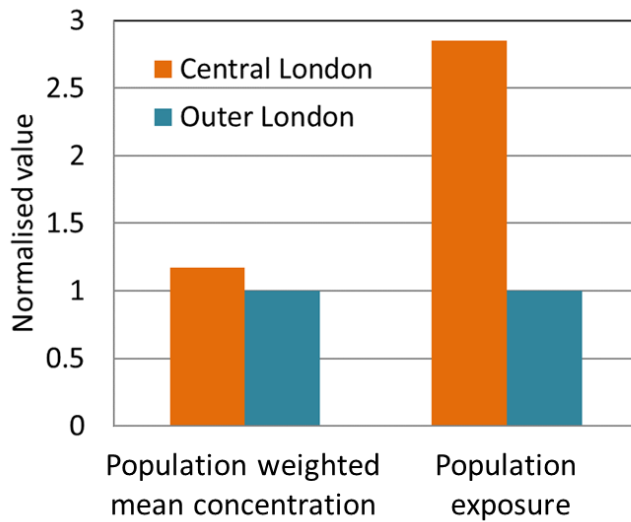
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805 Figure 4 a-c. The predicted population exposures ($\mu\text{g}/\text{m}^3 \times \text{number of people}$) to $\text{PM}_{2.5}$ (a) in
 806 homes and workplaces, (b) in transport, and (c) in all the considered microenvironments
 807 combined in 2008.

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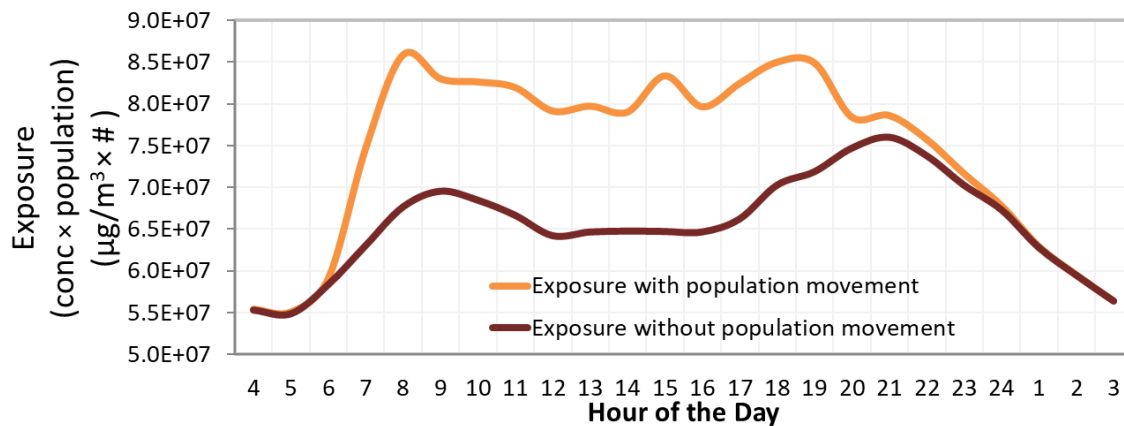
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810 Figure 5. Relative population weighted mean concentrations of PM_{2.5} and population exposure
811 per unit area in central and outer London (Supplementary Figure S1). The values have been
812 normalised to the values of the outer London.

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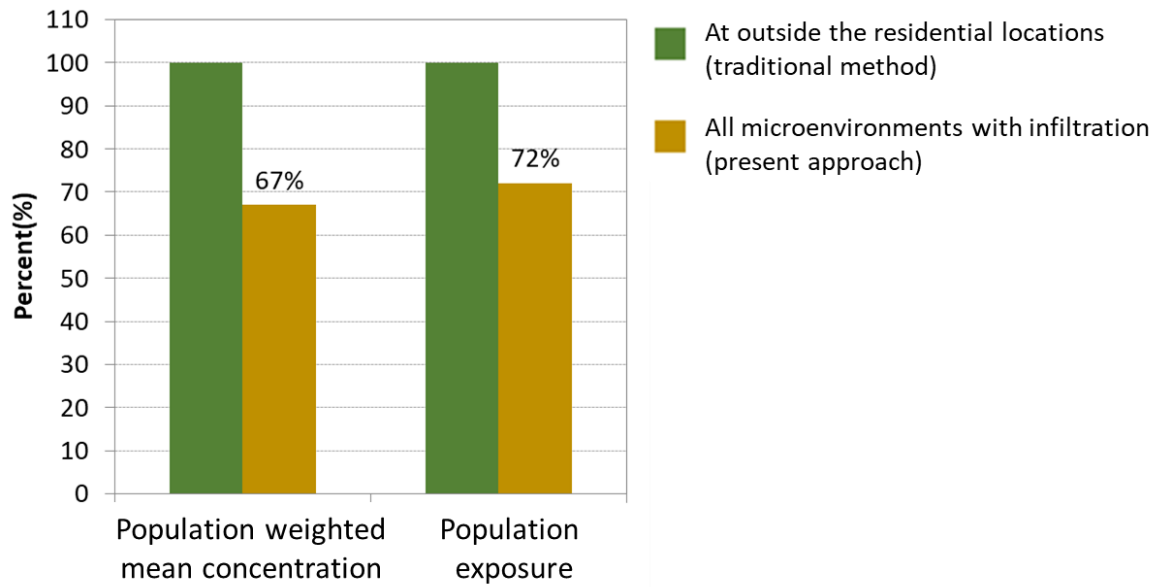


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817 Figure 6. Diurnal variations in population exposure where people spend all the time in a
818 residential (home) indoors environment (Exposure without activity) and combined exposure
819 when people move within the transport and work environments (Exposure with activity),
820 taking into account of infiltration of outdoor air pollution indoors for all the
821 microenvironments. The difference illustrates the influence due to the population activity.

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823



824

825 Figure 7. Predicted relative population weighted annual mean PM_{2.5} concentrations and
 826 population exposure in London, calculated using the traditional method outside the residential
 827 locations (traditional method) and using the approach presented in this work. The approach of
 828 this work allows for three microenvironments and infiltration of pollution from outdoor to
 829 indoor. The values have been normalised to the values of the traditional method.