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Aircraft Ice Accretion Prediction Using Neural Network and Wavelet Packet Decomposition

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Abstract

A combined wavelet packet transform (WPT) and artificial neural networks (ANNs) modeling is developed for predicting the ice accretion on the surface of an airfoil. Wavelet packet decomposition is used to reduce the number of the input vectors to ANN and improves the training convergence. Artificial neural network is developed with five variables (velocity, temperature, liquid water content, median volumetric diameter and exposure time) taken as input data and one dependent variable (decomposed ice shape) as the output. For the purpose of comparison, three different artificial neural networks, back-propagation network (BP), radial basis function network (RBF), and generalized regression neural network (GRNN) are trained to simulate the wavelet packet coefficients as a function of the in-flight icing conditions. The predicted ice accretion shapes are compared with the corresponding results of NASA experiment, LEWICE and the Fourier-expansion-based method. Results show that the GRNN network has an advantage in predicting both the rime ice and glaze ice when the specimens are prepared using a separate method. Whereas the RBF network demonstrates a better performance in predicting the ice shape for the case of using the whole set of specimens. It is also found that WPT shows an advantage in performing the analysis of ice accretion information with high accuracy. The proposed model could be an efficient and a robust tool to predict aircraft ice accretion.

Keywords: Aircraft icing; Wavelet packet transform; Conformal mapping; Neural network

Nomenclature

c	chord length [inch]	ler	airfoil leading-edge radius [inch]
f	single-valued ice thickness functions [-]	LWC	liquid water content [g/m ³]
\bar{g}	wavelet decomposition low-pass filter [-]	MVD	median volumetric diameter [μm]
g^*	wavelet reconstruction low-pass filter [-]	$Time$	time for the airfoil exposed to the icing condition [min]
\bar{h}	wavelet decomposition high-pass filter [-]	T_∞	free-stream static temperature [K]
h^*	wavelet reconstruction high-pass filter [-]	V_∞	free-stream velocity [m/s]

28 1. Introduction

29 Aircraft icing has long been recognized for over sixty years and continues to be an
30 important flight safety issue in the aerospace community. Ice accretion on an aircraft wing occurs
31 when supercooled water droplets in the atmosphere impact on the surface of aircraft's wings. The
32 formation of ice on an aircraft wing results in a sharp increase in drag and a reduction in
33 maximum lift. Furthermore, ice accretion on aircraft wings also leads to a reduction in stall angle
34 and increment in moment coefficient of the wing. This causes a deterioration in the aerodynamic
35 performance of the aircraft [1,2]. In terms of this, it is imperative to predict the ice accretion
36 prior to designing reliable anti-icing/de-icing system. It is well recognized that several
37 parameters, such as exposure time, liquid water content (LWC), median volumetric diameter
38 (MVD), temperature, flight speed, angle of attack (AOA) and the chord length, play an dominate
39 role in ice accretion. Droplets may freeze directly, building up rime ice or form a thin water film
40 before freezing, and it may lead to glaze ice under certain conditions of high temperature and
41 large LWC [3,4]. The former ice shape presents a smooth outline and can be simulated easily.
42 Whereas the latter one usually exhibits uneven behaviour and may form two ice horns, which is
43 more threatening to the flight safety [5].

44 Many efforts have been devoted to in-flight icing certification from both experimental and
45 numerical aspects [6,7]. Icing wind tunnel testing usually provides most reliable data in

46 fundamental study of aircraft ice accretion. Nevertheless, icing wind tunnel tests are very
47 expensive and time consuming. It is thus not difficult to imagine that a CFD-based approach is
48 desirable to save resources and to obtain relatively accurate results [8]. However, most of the
49 models that are available rely on assumptions and simplifications that disagree at the real
50 conditions of operation. In order to overcome these limitations, some researchers proposed a fast
51 prediction method for aircraft icing through statistical strategy [9-11]. These efforts have
52 achieved success in improving the icing prediction efficiency, but owing to the insufficiency
53 and non-grid of the experimental data, a considerable computing time is still needed to obtain
54 numerical simulation samples for the interpolation procedure.

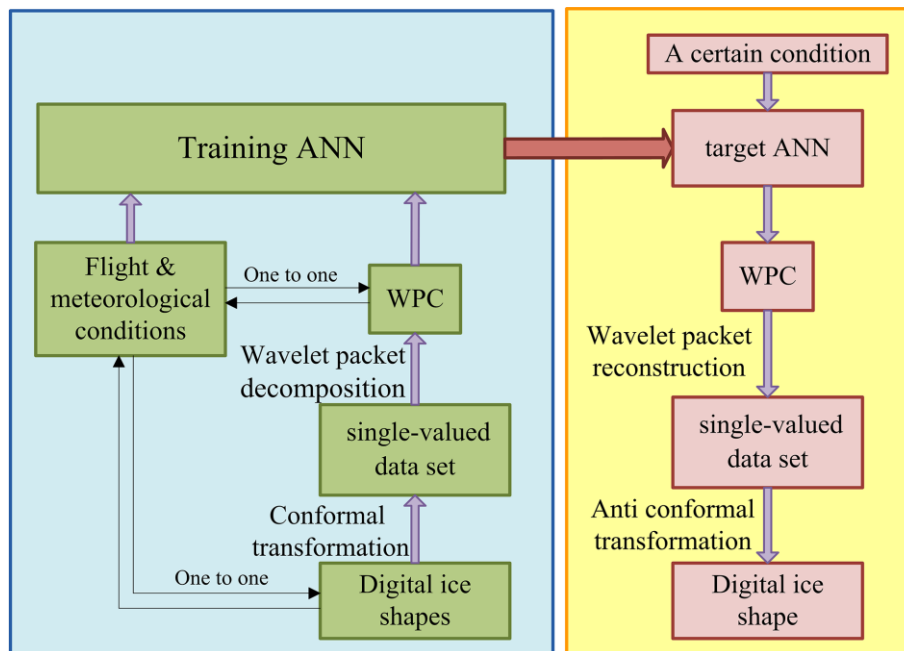
55 The artificial neural networks (ANNs) technique offers an alternative approach for predicting
56 the performance and generalizations of complex non-linear systems shortly. It is a method that is
57 often used for predicting the response of a physical system that cannot be easily modeled. Neural
58 networks have demonstrated the strong capability of learning non-linear and complex
59 relationships between process variables without any prior knowledge of system behaviors.
60 Ogretim et al. [12] achieved attractive performance with the neural network for predicting rime
61 ice. ANN has been applied in modeling complicated relations or to find patterns in detection for
62 in-flight icing characteristics [13], calibration of the multi-hole aerodynamic pressure probe [14],
63 identification of the icing intensity [15], and predicting the effects of ice geometry on airfoil
64 performance [16]. As data sets increase in size, their analysis become more complicated and time
65 consuming. Thus, it is essential to reduce the size of data sets. The discrete wavelet transform
66 (WT) is normally to analyze the irregular signals in view of its flexible time–frequency
67 resolution [17]. However, WT can determine analysis only for low band frequency. As an
68 extension of the WT, the wavelet packet transform (WPT) is capable of dividing the whole

69 time-frequency plane while the classical [18-21]. For this reason, WPT will be considered in the
70 current study.

71 The present study proposes a new methodology by the application of WPT and ANN to
72 predict a 2D aircraft ice accretion. The paper is organized as follows: Section 2 recalls the
73 conformal transform (CT), WPT and neural network techniques. Section 3 summarizes the
74 results and observations. Finally Section 4 concludes the findings of this paper.

75 2. Algorithm and methodology

76 In this paper, the input data are converted to a single-valued signal using conformal transform
77 (CT). Afterwards, the signal will be further analyzed through wavelet packet transform (WPT).
78 Finally, the optimum artificial neural networks (ANNs) is selected as the target network. The
79 schematic diagram of a combined WPT and ANN modeling is illustrated in Fig. 1.



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Fig. 1. The structure of intelligent modeling.

83 2.1. Conformal transform (CT)

84 Since the input data of the WPT must be single-valued, the coordinate of the original ice
85 shapes are converted based on the conformal mapping method [22]. In the current study, the
86 Cartesian coordinate system where the ice shape and airfoil originally exist is converted to the
87 parabolic coordinate system where the ice shape will become a single-value function of abscissa.
88 The leading-edge geometry of the airfoil with ice accretion is non-dimensionalized by the chord
89 length, and then scaled by the non-dimensional airfoil leading-edge radius to coincide with the
90 parabola:

$$91 \quad x' = (x/c)/(ler/c) - 0.5, \quad y' = (y/c)/(ler/c) \quad (1)$$

92 where ler represents the airfoil leading-edge radius, and c is the chord length, $x-y$ is the
93 original coordinate system and $x'-y'$ is the scaled coordinate system.

94 The parabolic shape and the ice accretion shape are illustrated in the same coordinate, as
95 shown in Fig. 2. A conformal mapping is applied to transform the scaled physical $x'-y'$ plane
96 to the $\xi'-\eta'$ plane by using Eq. (2):

$$97 \quad x' = \frac{\xi'^2 - \eta'^2}{2}, \quad y' = \xi'\eta' \quad (2)$$

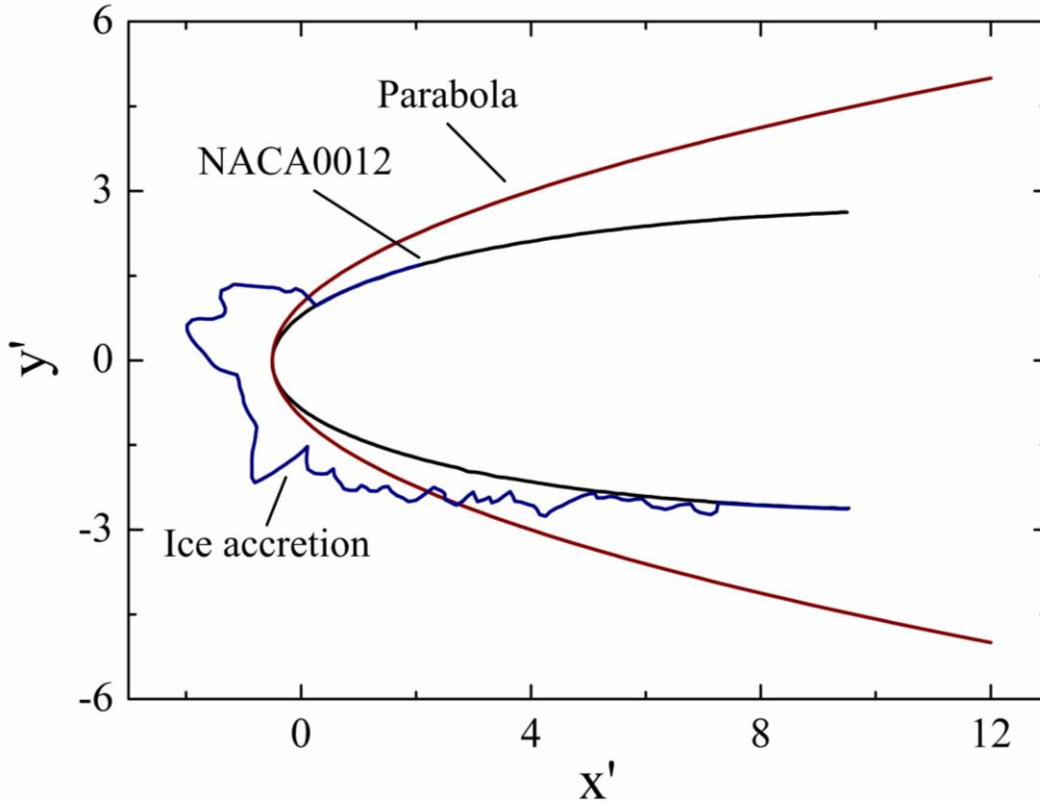


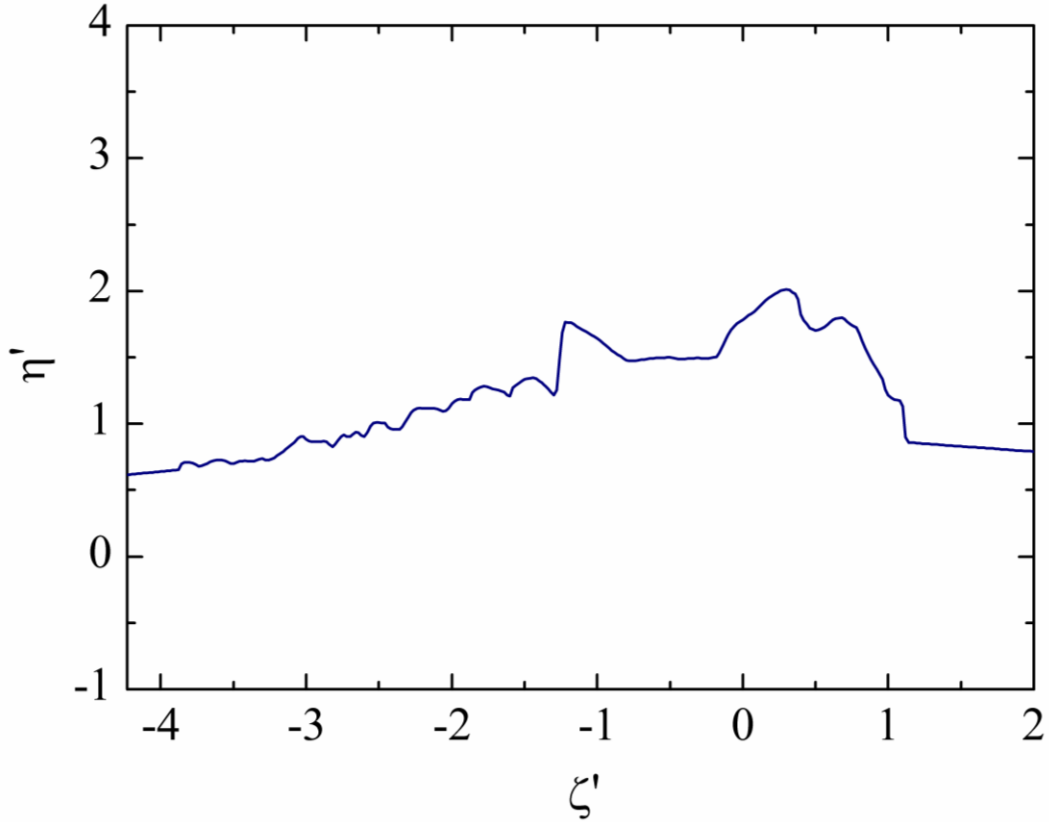
Fig. 2. Base parabola and scaled experimental ice shape in the $x' - y'$ plane.

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101 As a consequence, the parabola surface in the physical plane becomes a straight line and the
102 airfoil with ice accretion can be seen as perturbations to the baseline parabola. The ice shape
103 after conformal mapping is illustrated in Fig. 3. Following the conformal mapping, the Prandtl
104 transposition is applied to separate the variables from the baseline:

$$105 \quad \xi' = \xi, \quad \eta' = \eta + f(\xi) \quad (3)$$

106 where $f(\xi)$ is an analytic expression representing all the perturbations at $\eta' = 1$. In order to
107 normalize the specimens, the new coordinates of the ice shape in $\xi - \eta$ plane are obtained
108 through linear interpolation. In this paper, the value of the abscissa is in the range from -4.38 to
109 2.0, and the space step is 0.02.



110 **Fig. 3.** Ice shape after conformal transform and its prolongation with airfoil.
 111
 112

113 *2.2. Wavelet packet transformation (WPT)*

114 Unlike the wavelet transform (WT), which is obtained by iterating the low pass branch, the
 115 wavelet packet transform (WPT) is obtained by iterating both low pass (approximation
 116 coefficients) and high pass branches (detail coefficients) at each level j . During wavelet packet
 117 decomposition procedure, both lower and higher frequency bands are decomposed into two
 118 sub-bands. Thereby wavelet packet gives a balanced binary tree structure. Fig. 4 shows a two
 119 level wavelet packet decomposition tree of an ice shape. For the j -level decomposition, the ice
 120 shape geometry after conformal transformation can be expressed as:

121
$$f(t) = \sum_{p=1}^{2^j} f_j^p(t) \quad (4)$$

122

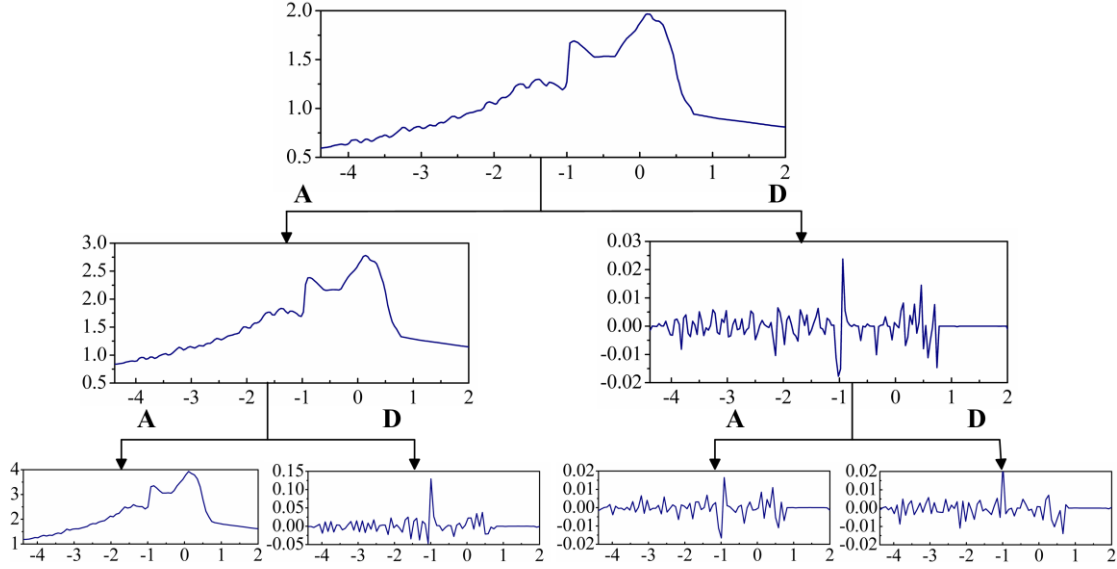


Fig. 4. Total decomposition tree of wavelet packet transform analysis.

Let \bar{h} and \bar{g} denote the high-pass filter and the low-pass filter, the remaining wavelet packet functions for $p = 2, 3 \dots$ can be defined by the following recursive relationships:

$$\begin{cases} f_{j+1,k}^{2p-1}(t) = \sqrt{2} \cdot \sum_k \bar{h}(k-2t) \cdot f_{j,k}^p(t) \\ f_{j+1,k}^{2p}(t) = \sqrt{2} \cdot \sum_k \bar{g}(k-2t) \cdot f_{j,k}^p(t) \end{cases} \quad (5)$$

where the integers j and k are the index scale and translation operations, respectively. The index p is an operation modulation parameter or oscillation parameter. By iterating Eqs. (4) and (5) along the branches of the wavelet packet tree will compute the full wavelet packet decomposition. Then the wavelet packet component signal can be obtained with quadrature mirror filters. Different quadrature mirror filters can lead to different wavelet packet decompositions.

In the present study, both conformal mapping and WPT are applied to all experimental ice shapes to yield the corresponding wavelet packets coefficients. Since the order of the magnitude of the input data is large, normalization is implemented to make sure the input data within an

138 appropriate range. After that, both the wavelet packet coefficients and the normalized icing
139 conditions are used as the input to train the neural network. Once the target network is obtained,
140 a group of data can be acquired as a function of the predicted icing condition, which will
141 reconstruct an ice shape through the following reconstruction algorithm:

$$142 \quad f_{j,k}^p(t) = \sum_k h^*(t-2k)f_{j+1,k}^{2p}(t) + \sum_k g^*(t-2k)f_{j+1,k}^{2p+1}(t) \quad (6)$$

143 where h^* and g^* are the reconstruction filters associated with the decomposition filters.

144 2.3. Artificial neural networks (ANN)

145 Artificial neural network (ANN) is a mathematical algorithm that highly interconnected the
146 input and output parameters, learning from examples through iteration, without requiring a prior
147 knowledge of the relationship of the process parameters. ANN is not new in concept, but
148 research interest in this research area has increased significantly in the last two decades. The
149 major reason for this interest is the short computing time and a high potential of robustness and
150 adaptive performance. An artificial neural network is a computing system made up of simple
151 interconnected processing elements called neurons. The neurons are interconnected by weighted
152 links over which signals can pass and operate only on their local data and on the input they
153 receive via the connections. The restrictions to local operations can often be relaxed during the
154 learning process. ANNs should have specific training rules whereby the weights of connections
155 are adjusted based on learning data. In other words, an ANN learns from examples (of known
156 input/output sequences) and exhibits some capability for generalization beyond the training data.
157 A network normally has great potential for parallelism, since the computations of the
158 components are largely independent of each other. The function of each element is determined
159 by its structure, connection strengths, and the processing performed at computing elements or
160 nodes. The trained network is utilized in output prediction corresponding to a set of new inputs.

161 A sufficiently trained network is expected to produce outputs that are satisfactorily close to
162 actual outputs.

163 In the current study, the available published experimental ice shapes from NASA icing wind
164 tunnel will be used to train the neural network. During the training process, the network learns
165 the wavelet packet coefficients of an ice shape as a function of the corresponding atmospheric
166 and flight conditions. Fig. 5 shows an illustration of a typical multilayer feed-forward neural
167 network. A total of five normalized icing condition variables (velocity, temperature, LWC, MVD
168 and exposure time) are used as the input and decomposed ice shape as the output. If the wavelet
169 packet decomposition process is taken for j times, there would be 2^j independent arrays, the
170 length of which will be the 2^j th of the original length. In order to increase the training
171 efficiency of the network, these 2^j groups of the wavelet packet coefficients are separated into
172 2^j different training sets. Once all the trainings are converged, the target network can be used to
173 predict the ice shape through Eq. (6). For the purpose of balancing the efficiency and the
174 accuracy, a parametric study to determine an optimum number of j is implemented. Four-level
175 wavelet packet decomposition is recommended for the current study.

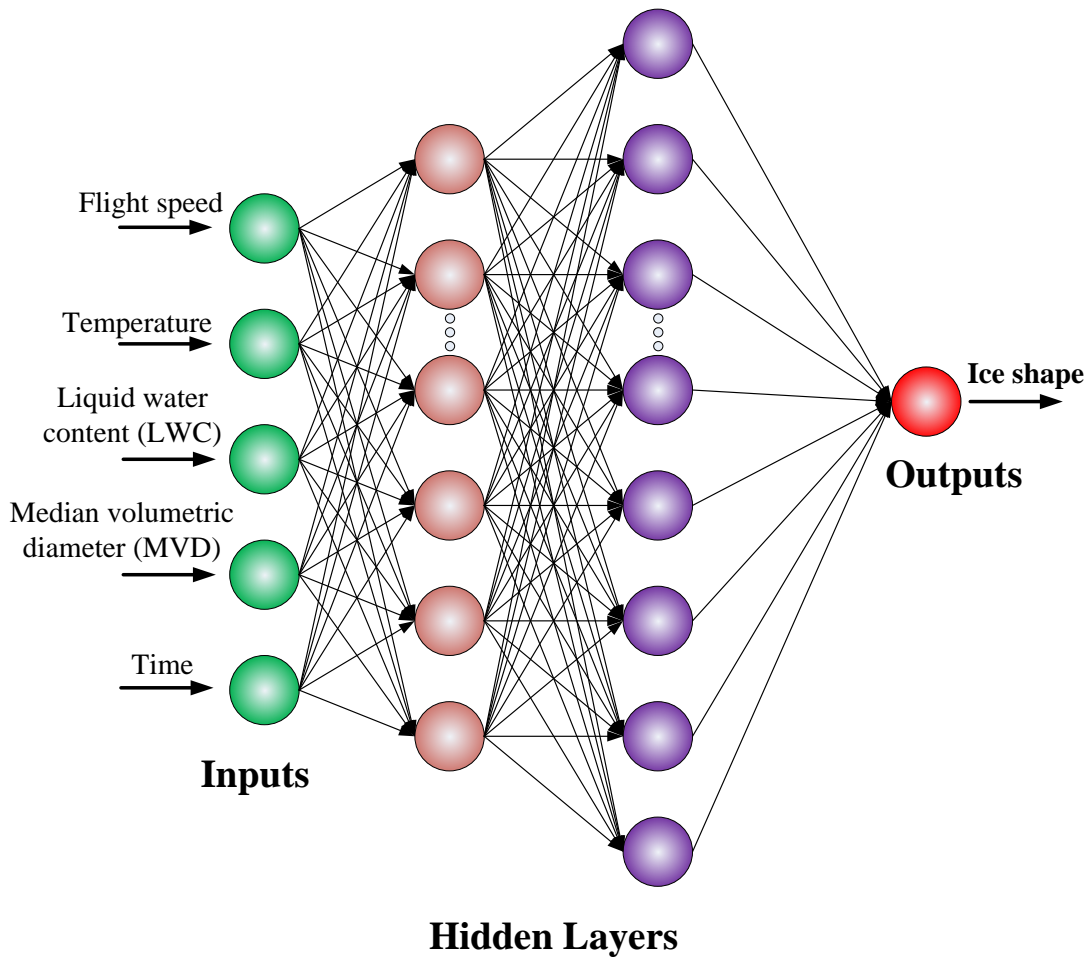


Fig. 5.

Schematic diagram of the artificial neural network structure.

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179 In the current study, three different structures of ANN are selected to implement the
180 prediction. They are back-propagation (BP) network, radial basis function (RBF) network and
181 generalized regression neural network (GRNN). A comparative study is carried out in order to
182 select an adequate neural network. The ANN is trained with the data from the experiments of the
183 NASA Icing Research Tunnel (IRT) at NASA Glenn and the LEWICE validation report [23].
184 Four typical icing conditions that selected from the work of Ogretim et al. are listed in Table 1.

185

186 Table 1

187 Ice accretion input test data for ANN application.

Ice type	IRT run number	Velocity (m/s)	Static Temperature(K)	LWC (g/m ³)	MVD (microns)	Icing Time (min)
Rime1	July 1996 20735	102.8	256.49	0.34	20	11.5
Rime2	July 1991 27-6-36	58.1	256.19	1.30	20	8
Glaze1	July 1996 21236	102.8	262.04	0.44	30	8.75
Glaze2	July 1996 21336	102.8	262.04	0.48	40	8

188

189

190 *2.4. Error analysis*

191 To evaluate the accuracy of the proposed algorithm, the quantitative comparison between the
 192 predicted ice shapes and the experimental results are conducted. The predicted results are also
 193 compared with that of LEWICE and the work of Ogretm et al. The relative cross-section area
 194 error is selected as the main criteria. The data points in the $\xi-\eta$ plane are utilized to represent
 195 the ice thickness since the perturbation $f(\xi)$ is a single-value function. The relative
 196 cross-section area error can be calculated by:

$$197 \quad \%error = \frac{\sum_{i=1}^N |f_{e_i} - f_{p_i}| \Delta \xi_i}{\sum_{i=1}^N |f_{e_i}| \Delta \xi_i} \times 100\% = \frac{\text{total area of error region}}{\text{total experimental area}} \times 100\% \quad (7)$$

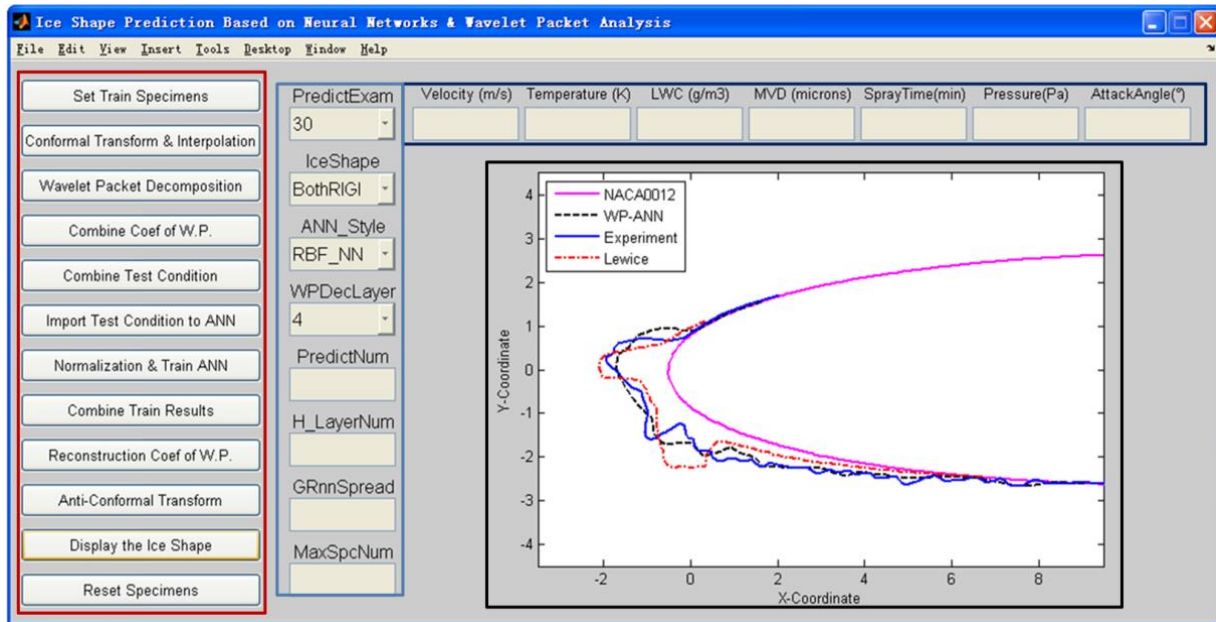
198 where the subscript e denotes the experimental ice thickness and the subscript p denotes
 199 the predicted ice thickness, N is the total number of data points, $|f_{e_i} - f_{p_i}| \Delta \xi_i$ is the area of the
 200 i -th rectangular element between the experimental and the predicted ice shape, $|f_{e_i}| \Delta \xi_i$ is the
 201 absolute cross-section area of the i -th rectangular element for the experimental ice shape. The

202 selected method of the error calculation can successfully reflect the general performance of the
203 prediction methods.

204 **3. Results and discussion**

205 *3.1. Software interface for ice shape prediction*

206 MATLAB Neural Network and Wavelet Toolboxes are used to build the network as well as
207 an in-house ice prediction program is developed. Fig. 6 shows the screen shot of the developed
208 software for predicting the ice shape based on WPT and ANN. Fig. 6 mainly consists four parts:
209 flight conditions on the upper right, WPT and ANN option in the middle, data setting on the left,
210 and results display window on the lower right. In the current study, five flight conditions, i.e. V_∞ ,
211 T_∞ , LWC , MVD and $Time$, need to be given before running the software. Then selecting the type
212 of the database (“IceShape”) and the number of the wavelet packet decomposition layers
213 (“WPDecLayer”), followed by activating the ice accretion prediction button. When clicking the
214 “PredictExam”, the number of samples need to be selected as the target output. After running the
215 simulation, the predicted ice shape will be plotted together with the experimental results and
216 LEWICE for comparison, as illustrated in Fig. 6.



217
218 **Fig. 6.** The interface developed for the prediction of the ice shape.
219

220 To comprehensively evaluate the performance of the proposed methodology, the simulation
221 will be conducted using both the separated-specimen method and the whole-set method [12]. The
222 separated-specimen method is used to divide the ice shape database into the rime ice and the
223 glaze ice, and the prediction will be carried out for each set. It is generally considered that the ice
224 shape can be predicted using separated-specimen method with higher accuracy and efficiency.
225 While the whole-set method will incorporate the whole set of specimens into the developed
226 software and determine whether it is rime ice or glaze ice by the predicted results, which is
227 thought more practical. For the purpose of comparison, in the current work, both the
228 separated-specimen method and whole-set method will be tested.

229 3.2. Analysis with separated specimens

230 It is recognized that either rime ice or glaze ice has its unique characteristics. As is always the
231 case, horns and excessive ice roughness normally show the behavior of glaze ice, whereas
232 smooth geometry denotes rime ice. Since the current work only focuses on predicting the outer

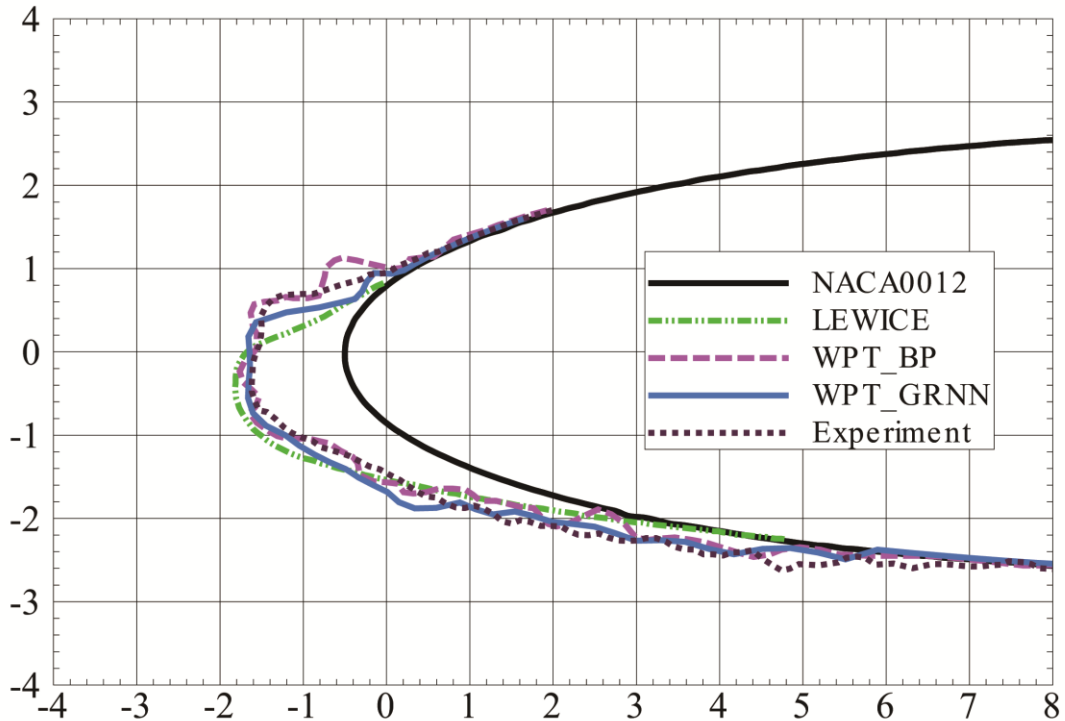
233 ice shape profile, the classification is taken in terms of the outer ice shape which is similar to
 234 the work of Ogretim et al [12].

235 Figs. 7-10 show the comparison of the ice shapes (rime and glaze) of the experimental,
 236 LEWICE and present BP, RBF and GRNN result. It can be seen clearly from both Figs. 7 and 8
 237 that the ice extension and ice shape of the leading edge is predicted better by both the BP and
 238 GRNN than that predicted by LEWICE. Although the maximum thickness of GRNN result for
 239 the second rime case is under-predicted, the location of the maximum thickness is fairly well
 240 predicted. A quantitative comparison of the neural network and LEWICE prediction results to
 241 the experimental results in terms of the relative cross-section area error is given in Table 2.
 242 Herein N.N. stands for the work of Ogretim et al. (combination of the Fourier expansion and
 243 ANN). From Table 2, it is observed that small fluctuation appeared in the BP network results.
 244 This may be attributed to the over-fitting, which could lead to a serious distortion when the
 245 whole set of the specimens are applied in training BP neural network. In contrast, both the RBF
 246 and GRNN network predicted ice shapes with smooth geometry.

247 Table 2
 248 Summary of errors when using the separated specimens.

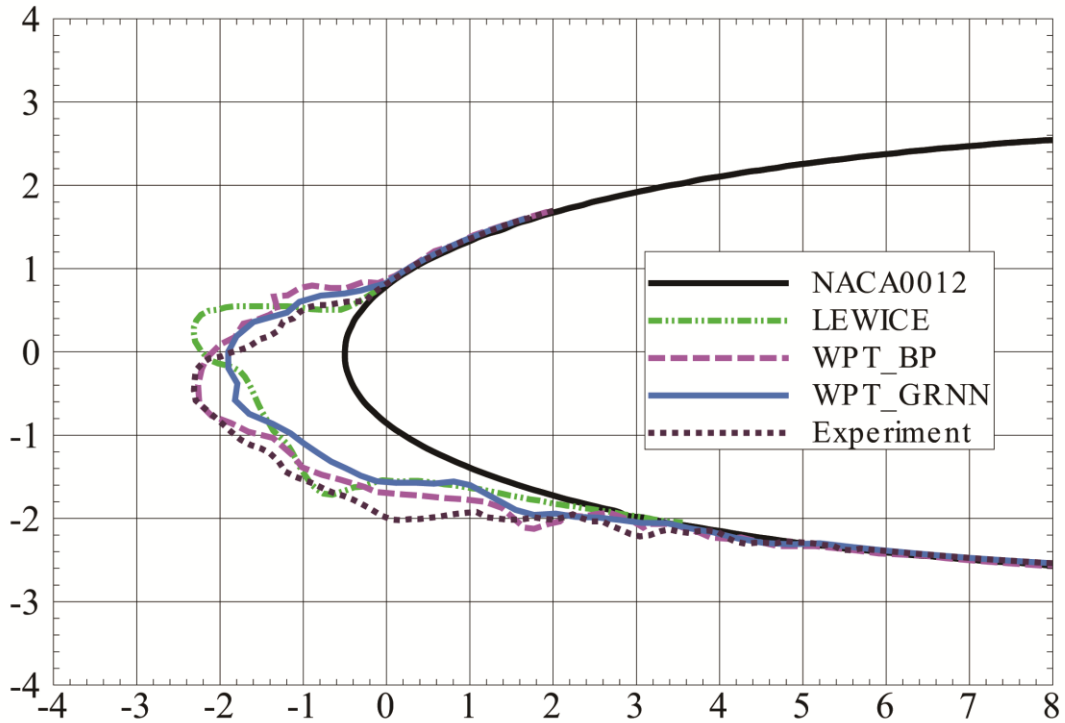
Ice type	Data file number	LEWICE Area error(%)	N.N. Area error(%)	BP Area error(%)	RBF Area error(%)	GRNN Area error(%)
Rime ice1	JULY 1996 20736	35.40	12.43	18.10	24.00	18.51
Rime ice2	JULY 1991 27-6-36	27.70	22.95	21.42	27.03	24.31
Glaze ice1	JULY 1996 21236	28.43	32.32	33.20	24.17	24.57
Glaze ice2	JULY 1996 21336	28.23	32.43	34.58	29.06	29.76

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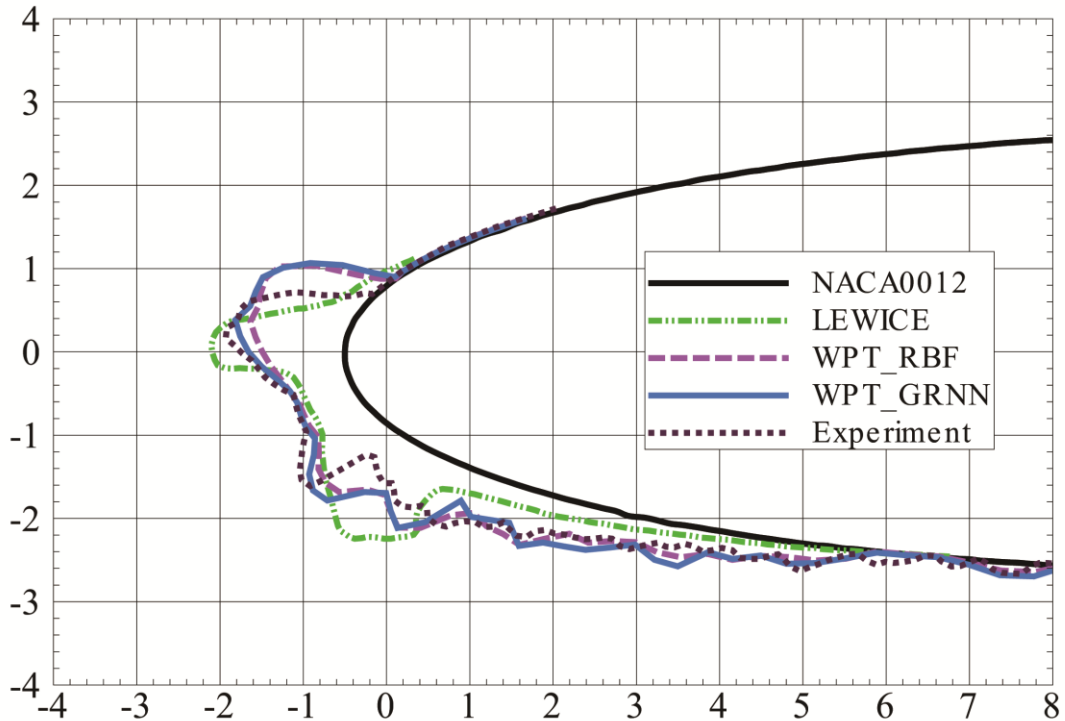
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Fig. 7. Comparison of the rime ice1 shapes of the experimental, LEWICE and present BP and GRNN result.



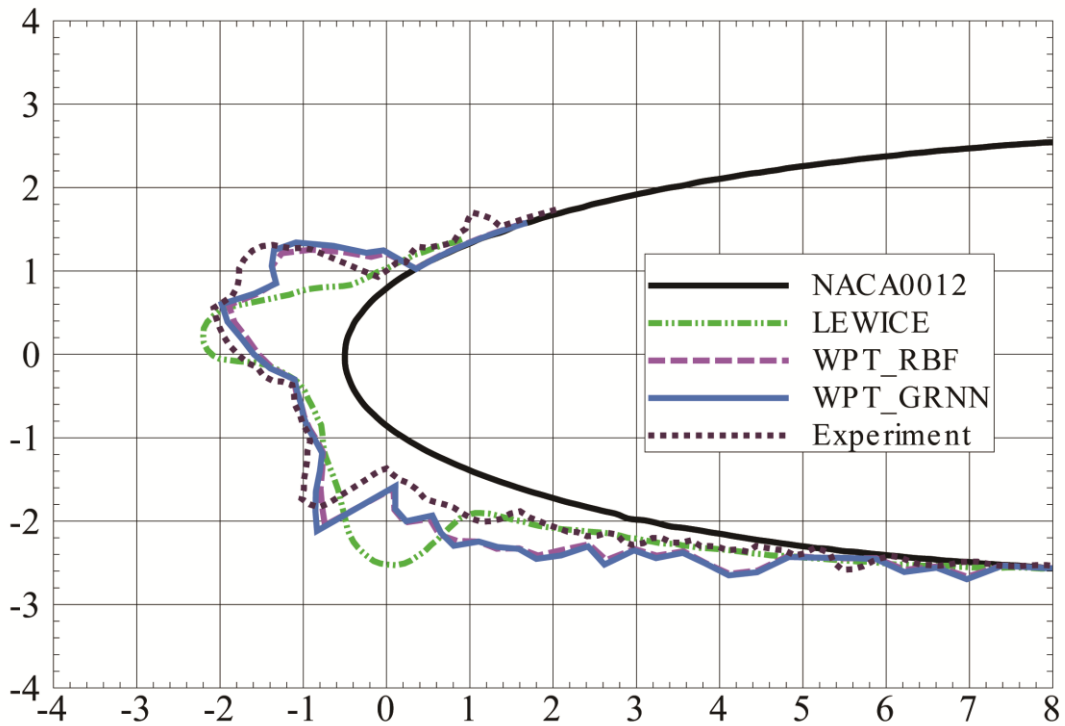
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Fig. 8. Comparison of the rime ice2 shapes of the experimental, LEWICE and present BP and GRNN result.



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Fig. 9. Comparison of the glaze ice1 shapes of the experimental, LEWICE and present RBF and GRNN result.



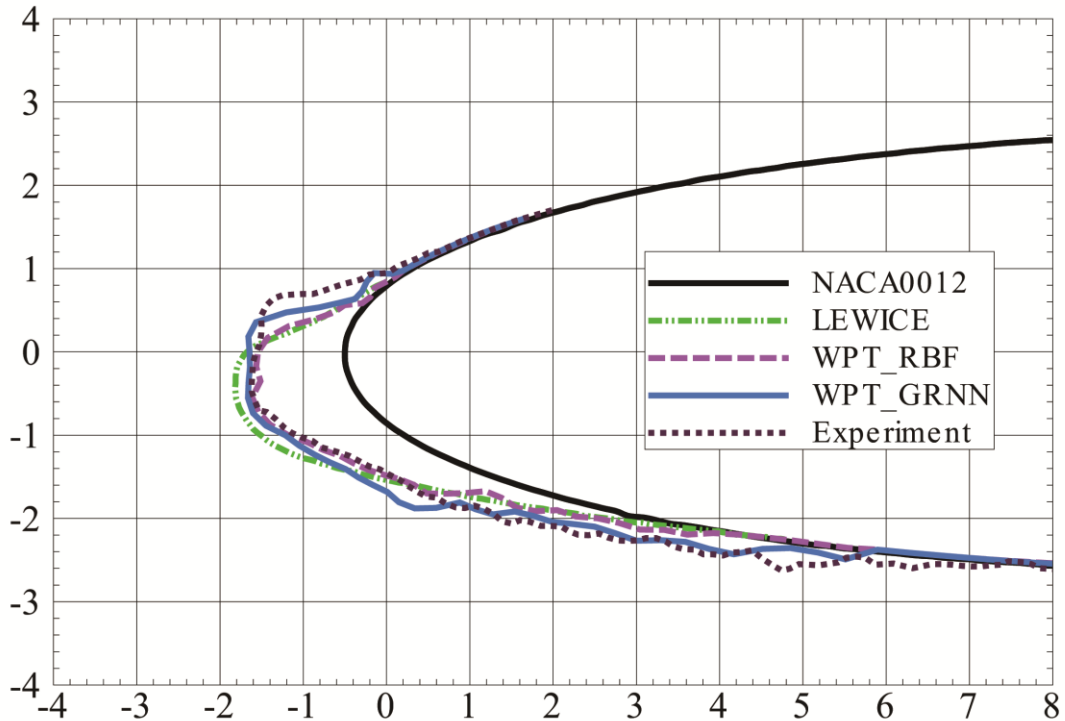
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Fig. 10. Comparison of the glaze ice2 shapes of the experimental, LEWICE and present RBF and GRNN result.

264 Figs. 9 and 10 show a similar comparison for the case of glaze ice conditions. It is clearly
265 observed that both the RBF and GRNN are able to satisfactorily predict the ice shape in terms of
266 the location and height of the ice horns as well as the surface roughness. The extent of the ice
267 shape on the lower side is also fairly well predicted. For the glaze ice cases, the ice mass
268 predicted by GRNN and RBF are similar and both over predicted the experimental result. As an
269 overall evaluation, the GRNN network demonstrates a better performance in predicting the ice
270 shape for both the rime ice and glaze ice is clearly classified.

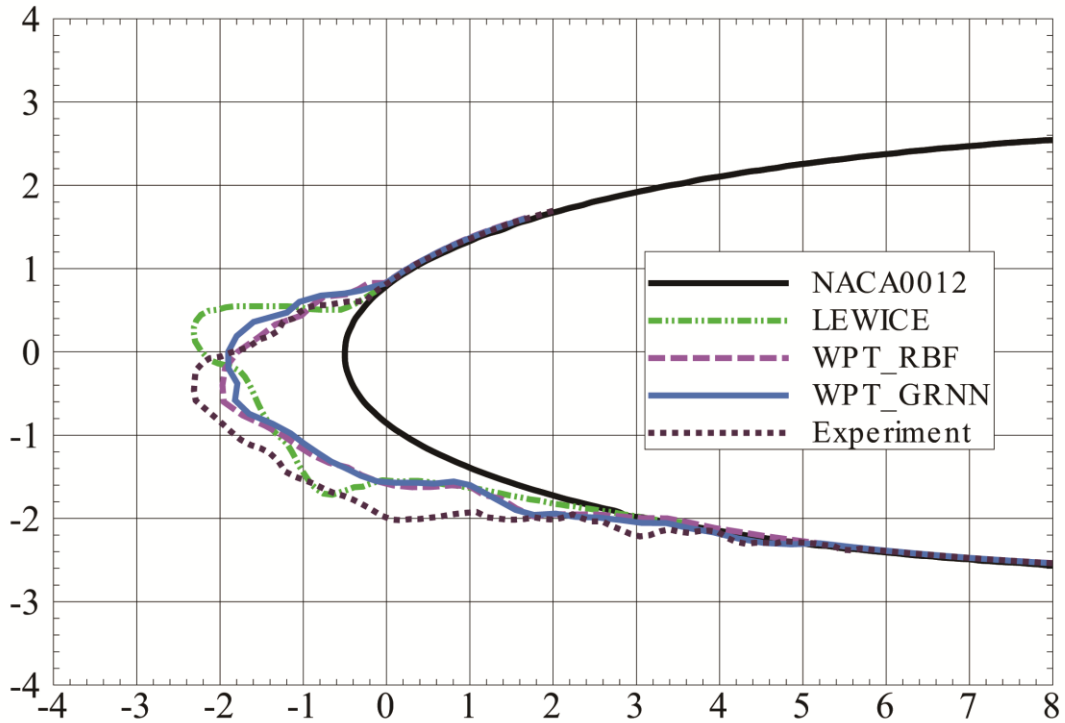
271 *3.3. Analysis with the whole set of specimens*

272 In this section, the whole set of the ice shape samples are implemented into the neural
273 network as the input data. It is always not possible to know the type of the prediction ice
274 conditions before ice accretion simulation since there is a considerable conditions in nature that
275 cannot be simply determined. As a matter of fact, given the whole set of the specimens, the
276 GRNN can keep the same accuracy compared to the predicted results using the
277 separated-specimen method, whereas the RBF network even achieves better performance, as
278 shown in Figs. 11-14. In general, the predicted ice horns are accurately captured and the surfaces
279 of the predicted glaze ices are obviously rougher than that of the rime ices.



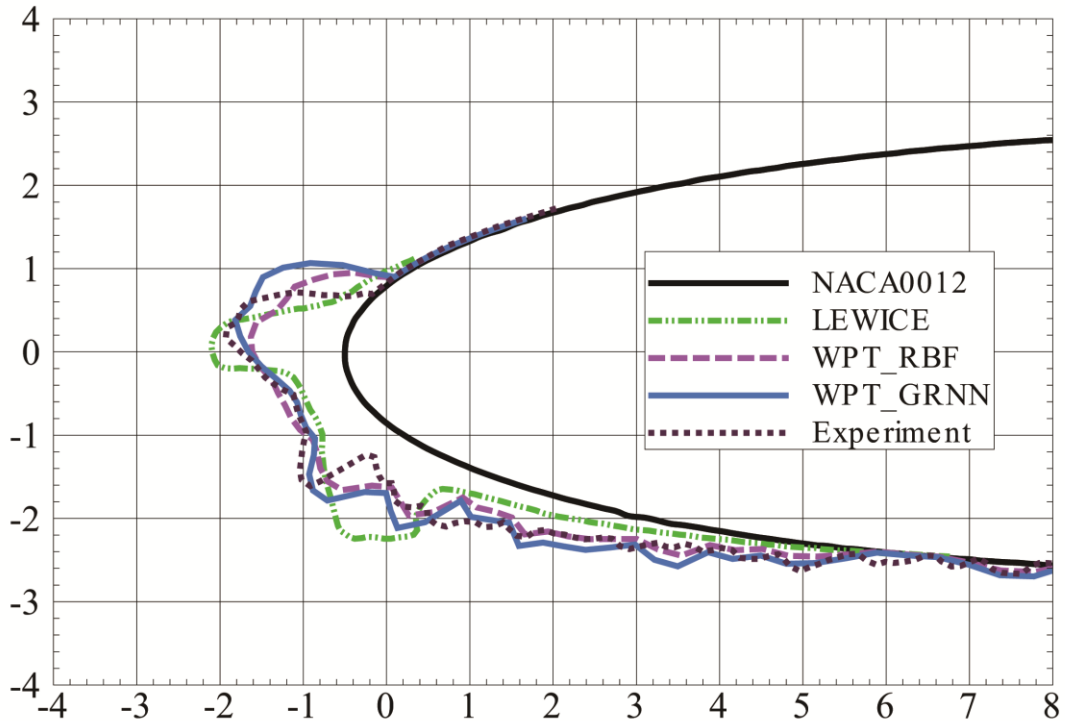
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Fig. 11. Comparison of the rime ice1 shapes of the experimental, LEWICE and present RBF and GRNN result.



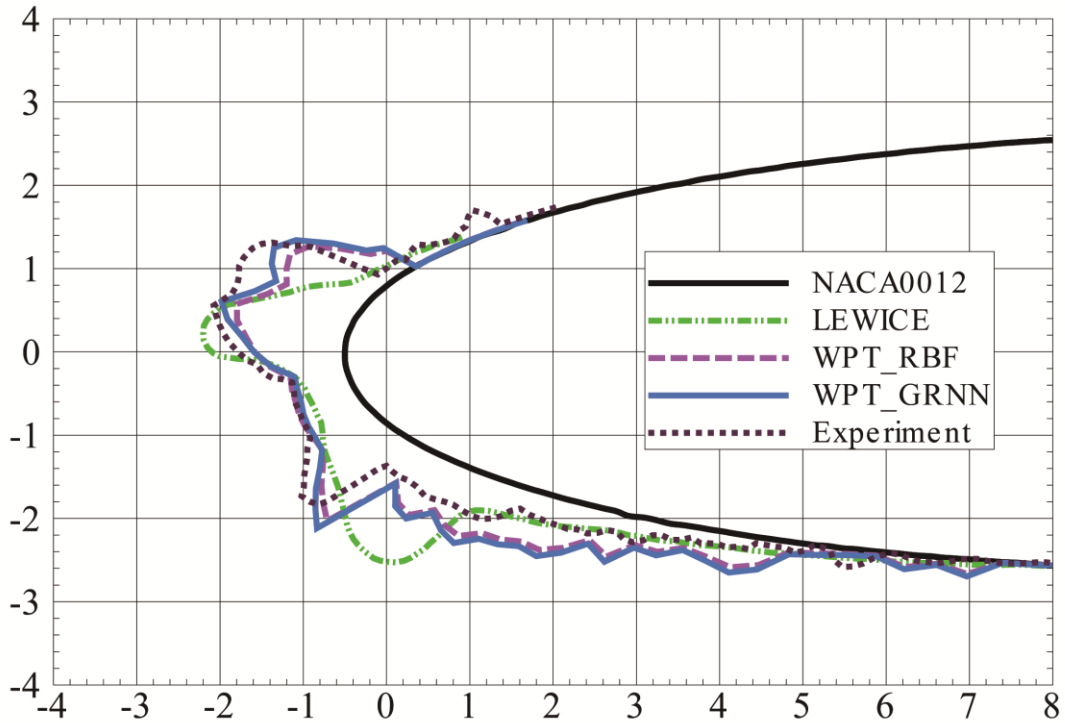
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Fig. 12. Comparison of the rime ice2 shapes of the experimental, LEWICE and present RBF and GRNN result.



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Fig. 13. Comparison of the glaze ice1 shapes of the experimental, LEWICE and present RBF and GRNN result.



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Fig. 14. Comparison of the glaze ice2 shapes of the experimental, LEWICE and present RBF and GRNN result.

293 For rime ice cases, as shown in Figs. 11 and 12, the overall shape and the extent of the ice
 294 accretion are both well predicted except for the ice mass which is slightly small. A close look at
 295 the glaze ice shape in Figs. 13 and 14, the angles of the upper and lower ice horns are fairly well
 296 captured. The distribution of the ice thickness over the surface is also reasonably predicted and
 297 the roughness of the experimental ice is well agreed. From the quantitative comparison shown in
 298 Table 3, it can be seen that the RBF should be considered as a first attempt at applying this
 299 technique to ice shape prediction when using the whole set of specimens.

300 Table 3
 301 Summary of area-weighted errors when using the whole specimens.

Ice type	Data file number	LEWICE Area error(%)	RBF Area error(%)	GRNN Area error(%)
Rime ice1	JULY 1996 20736	35.40	24.83	18.51
Rime ice2	JULY 1991 27-6-36	27.70	21.74	24.31
Glaze ice1	JULY 1996 21236	28.43	21.56	24.57
Glaze ice2	JULY 1996 21336	28.23	27.34	29.76

302

303

304 **4. Conclusions**

305 In the present study, a combined wavelet packet transform (WPT) and artificial neural
 306 network (ANN) method is proposed for predicting the ice accretion on the surface of NACA0012
 307 airfoil. Three different neural networks are proposed to predict the ice shape, and they are the
 308 commonly used back-propagation network (BP), radial basis function network (RBF), and
 309 generalized regression neural network (GRNN). Compared with the other two networks (BP and
 310 RBF), the GRNN can achieve overall better performance when the separated-specimen method
 311 is considered. Whereas the RBF network achieves better performance for the case of using the
 312 whole set of specimens. Results also show that the WPT-based method is in better qualitative

313 agreement with the experiments than the LEWICE and Fourier-expansion-based method
314 regarding the ice horns and the surface details of the glaze ice. It needs to be stressed that the
315 database does not need to be separated in advance, since the neural network shows the same or
316 even better performance when given the whole set of specimens for prediction. The proposed
317 approach/software can be easily performed once the experimental data are available. Future work
318 will extend the input parameter set to account for different variables, such as the chord length
319 and angle of attack.

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