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39 **De-escalation or Abbreviation of Dual Antiplatelet Therapy in Acute Coronary**
40 **Syndromes and PCI – Consensus Statements from an International Expert Panel on**
41 **Coronary Thrombosis**

42
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90 Text word count: 5291. Tables: 4; Figures: 2

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101

102 **Abstract**

103 Conventional dual antiplatelet therapy (DAPT) for patients with acute coronary
104 syndromes (ACS) undergoing percutaneous cardiovascular intervention comprises of
105 aspirin with a potent P2Y₁₂ inhibitor (ticagrelor or prasugrel) for 12 months. Whilst
106 reducing ischaemic risk, this exposes patients to a significant risk of bleeding. Strategies
107 to reduce bleeding include de-escalation of DAPT intensity (downgrading from potent
108 P2Y₁₂ inhibitors prasugrel or ticagrelor at conventional doses to either clopidogrel or
109 reduced-dose prasugrel) or abbreviation of DAPT duration, and the two approaches
110 have not been compared in a head-to-head trial.

111 Nevertheless, use of either strategy requires an assessment of the individual's ischaemic
112 and bleeding risks. De-escalation of DAPT intensity can reduce bleeding without
113 increasing ischaemic events and may be guided by platelet function testing or
114 genotyping. Abbreviation of DAPT after 1-6 months, followed by monotherapy with
115 aspirin or a P2Y₁₂ inhibitor, reduces bleeding without an increase in ischaemic events in
116 patients at high bleeding risk, particularly those without high ischaemic risk.

117 Herein, we summarise the evidence base for these treatment approaches, provide
118 guidance on the assessment of ischaemic and bleeding risk, and provide consensus
119 statements, from an international panel, to help guide clinicians to optimise these DAPT
120 approaches for individual patients to improve outcomes.

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122 Word count: 200

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125 **Keywords:** DAPT, ACS, de-escalation, abbreviation, bleeding

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1 **Abbreviations**

2 ACS = acute coronary syndrome

3 ARC = Academic Research Consortium

4 BARC = Bleeding Academic Research Consortium

5 CCS = chronic coronary syndrome

6 CKD = chronic kidney disease

7 DAPT = dual antiplatelet therapy

8 GFR = glomerular filtration rate

9 HBR = high bleeding risk

10 MI = myocardial infarction

11 NSTEMI-ACS = non-ST-segment elevation

12 PCI = percutaneous coronary intervention

13 PFT = platelet function test

14 STEMI = ST-segment elevation myocardial infarction

15

16

17 **Introduction**

18 Antiplatelet therapy is central to the management of acute coronary syndromes (ACS)
19 and in patients undergoing percutaneous cardiovascular intervention (PCI).

20 Current “standard-of-care” dual antiplatelet therapy (DAPT) for patients with ACS
21 undergoing PCI, as recommended by international guidelines, comprises of aspirin
22 combined with a potent P2Y₁₂ inhibitor, namely ticagrelor or prasugrel¹⁻³.

23 Whilst DAPT reduces the risk of ischaemic events following ACS, it significantly
24 increases the risk of bleeding^{4,5}. Increased awareness of the prognostic importance of
25 bleeding has prompted investigation of strategies to de-escalate DAPT, to identify a
26 strategy balancing thrombotic and bleeding risks.

27 Whilst there are guidelines on the management of ST-segment elevation myocardial
28 infarction (STEMI), non-ST-segment elevation ACS (NSTEMI-ACS) and PCI, these only
29 loosely cover options for antithrombotic therapy and do not make clear all the possible
30 options for de-escalation, nor the evidence base supporting the different possible
31 strategies.

32 Currently, there is no position document or guideline available for clinicians that
33 summarizes the available options for de-escalation of DAPT or the evidence base
34 supporting the various de-escalation strategies.

35 Therefore, we convened an expert panel to produce consensus statements to help guide
36 clinicians to identify suitable patients for de-escalation, **to improve clinical outcomes,**
37 **by maintaining efficacy whilst reducing bleeding.** We excluded patients who require
38 oral anticoagulation following ACS, since they represent a very specific cohort, for
39 which the evidence base for de-escalation is less robust, and includes different
40 medications when de-escalation is done.

41 For the purposes of this document, we will refer to:

- 42 (i) Shortening or abbreviating DAPT duration (also known as de-escalation of
43 DAPT duration), meaning abbreviation of standard 12-months’ DAPT duration
44 and continuing with single antiplatelet therapy, either aspirin or a P2Y₁₂
45 inhibitor.
- 46 (ii) De-escalation of DAPT intensity, meaning switching or downgrading from the
47 more potent P2Y₁₂ inhibitors prasugrel or ticagrelor at conventional doses to
48 either clopidogrel or reduced-dose prasugrel.

50 **Methods for consensus recommendations**

51 We conducted a literature search to identify studies that assessed de-escalation of
52 DAPT intensity or abbreviation of DAPT duration in patients with ACS treated with
53 PCI, from PubMed, Embase and Cochrane library databases, with no restriction on
54 language. Authors worked on allocated sections in pairs, with literature review to
55 November 2022. All the authors reviewed all sections of the manuscript, and
56 participated in a series of ‘rounds’, where the manuscript was shared with all authors at
57 each round and comments at each round used to inform and evolve the manuscript in

58 response to earlier comments in the next round, as well as by video discussion. All
59 authors judged the available evidence leading to the consensus recommendations.

60

61 **Risk of bleeding with DAPT in ACS patients undergoing PCI in contemporary** 62 **clinical trials**

63 In clinical trials of DAPT, the incidence of major bleeding in the 12 months post-PCI is
64 1-10%, depending on the bleeding definition, as well as the type and dose of P2Y₁₂
65 inhibitor used⁶⁻⁸, bleeding risk category and patient ethnicity^{9,10}, with observational
66 studies reporting incidences of 2.8-11%^{8,11}. Major bleeding in ACS patients increases
67 the risk of mortality by nearly threefold in the first 12 months after hospital discharge¹¹,
68 as well as increasing the adjusted hazard of 30-day death or MI up to 5-fold, with risk
69 increasing in proportion to the severity of the bleeding¹².

70 The risk of bleeding with DAPT relates not only to the combined effects of aspirin and
71 P2Y₁₂ inhibitor on haemostasis, but also to the potency of the P2Y₁₂ inhibitor used (i.e.
72 ticagrelor or prasugrel > clopidogrel).

73 In a systematic review including 53 studies (36 observational studies and 17
74 randomized clinical trials; 714,458 ACS participants) focusing on the post-discharge
75 period, the 12-month incidence of bleeding ranged from 0.2% to 37.5% in observational
76 studies and 0.96% to 39.4% in randomised trials, varying with the classification of
77 bleeding used¹¹. In contrast to the thrombotic risk, which is higher early after an ACS
78 (see below), the risk of bleeding appears more constant (despite being most common in
79 the first month) and substantial over time (after the first month)^{11,13,14}.

80 Bruising is the most common bleeding event, followed by gastrointestinal bleeding and
81 epistaxis, whereas intracranial bleeding is relatively rare¹³. Nuisance bleeding (Bleeding
82 Academic Research Consortium [BARC] type 1) is very common in the first year after
83 ACS (up to 37.5%)¹⁵ and can lead to DAPT discontinuation, worse reported quality-of-
84 life, repeat hospitalization and increased risk of subsequent serious bleeding¹⁵. In
85 addition, the degree of platelet inhibition achieved by the P2Y₁₂ inhibitor, as measured
86 by platelet function testing (PFT), is directly related to the risk of mild bleeding (BARC
87 type 1 or 2)^{16,17} and likelihood of DAPT discontinuation.

88

89 **Clinical risk factors for bleeding in patients with ACS undergoing PCI**

90 Older age, prior bleeding and chronic kidney disease (CKD) are well known risk factors
91 for bleeding, but other clinical factors also contribute (Table 1). Bleeding risk is usually
92 the interaction of non-modifiable and modifiable bleeding risk factors. Multiple clinical
93 scores have been developed to predict the risk of bleeding in patients on antiplatelet
94 therapy^{4,18,19}. The PRECISE-DAPT Risk Calculator was developed to predict the risk of
95 bleeding in patients treated with coronary stenting receiving subsequent DAPT. The
96 score included five-items (age, creatinine clearance, haemoglobin, white-blood-cell

count and previous spontaneous bleeding) and predicted the risk of out-of-hospital bleeding during DAPT.

In 2019, the Academic Research Consortium (ARC) for High Bleeding Risk (HBR) developed a consensus definition of patients at HBR, focusing on patients undergoing PCI²⁰. Twenty clinical criteria were identified as major or minor by consensus, supported by published evidence. Patients were considered at HBR (annual BARC type 3-5 bleeding rate of $\geq 4\%$) if at least 1 major or 2 minor criteria were present. Although the ARC-HBR criteria can be adequately applied to real-world cohorts, several important clinical risk factors for bleeding were not covered by this score (e.g. low body weight, frailty, heart failure and peripheral artery disease) and bleeding risk may be under-estimated in such patients²¹.

Differences between antiplatelet agents with respect to the incidence of bleeding

The bleeding risk relating to the different oral P2Y₁₂ inhibitors largely reflects the extent of platelet P2Y₁₂ inhibition achieved. Currently approved regimens of prasugrel and ticagrelor achieve a higher mean level of platelet inhibition than clopidogrel²²⁻²⁴ and are associated with higher rates of minor and major bleeding^{6,7,17} (Table 2)^{6,7,25-27}.

Consistently high levels of P2Y₁₂ inhibition with standard prasugrel (10 mg daily) and ticagrelor (90 mg twice daily) translate to similar rates of bleeding with each^{26,28}.

However, the wide interindividual pharmacodynamic response to clopidogrel is associated with variation in individual bleeding risk such that those with greater P2Y₁₂ inhibition have higher rates of bleeding^{28,29}. The risk of bleeding related to cardiac surgery and major non-cardiac surgery depends on the timing of P2Y₁₂ inhibitor cessation prior to surgery, the mean level of platelet P2Y₁₂ inhibition during treatment, and whether the inhibitory effect is reversible (ticagrelor) or irreversible (prasugrel and clopidogrel)³⁰.

Aspirin, even at low daily maintenance doses of ≤ 100 mg, achieves consistently high levels of platelet cyclooxygenase-1 inhibition, resulting in a predictable compromise between haemostasis and increased bleeding risk with standard regimens³¹, both as monotherapy and as part of DAPT²⁷ (Table 2). Furthermore, aspirin treatment is associated with dose-dependent increase in the risk of gastroduodenal erosion or ulceration, which then further increases the risk of gastrointestinal haemorrhage beyond the risk attributable to platelet inhibition³². Indeed, aspirin *per se* is not benign from the bleeding perspective, with major bleeding and intracranial bleeding risks with aspirin being broadly similar to warfarin, when stratified by the HAS-BLED score, in patients with atrial fibrillation (AF)³³.

The assessment and mitigation of bleeding risk in AF and venous thromboembolism, and the ethnic differences in bleeding risk with antithrombotic drugs, have been the topics of recent consensus documents^{34,35}.

137 **Clinical risk factors for recurrent ischaemic events in patients with ACS**
138 **undergoing PCI**

139 Patients with ACS are at risk of subsequent ischaemic events with a rate of nearly 5% in
140 the first year after the index event, increasing to 15% by the fourth year³⁶. Clinical risk
141 factors associated with increased ischaemic events include age, frailty, diabetes
142 mellitus, polyvascular disease, complex coronary artery disease and CKD (Table 1)^{37,38}.
143 Technical aspects of PCI that increase ischaemic risk include: (i) at least 3 stents
144 implanted; (ii) at least 3 lesions treated; (iii) total stent length >60mm; (iv) bifurcation
145 with 2 stent implanted; (v) history of complex revascularization (left main stem, chronic
146 total occlusion, etc.); and (vi) history of stent thrombosis on antiplatelet treatment.

147 In patients with ACS undergoing PCI, definite or probable stent thrombosis occurs in
148 0.4-1.8% of patients in the first year^{39,40} and is more frequent than in patients with
149 chronic coronary syndromes (CCS), especially within the first 6 months⁴¹. The major
150 risk with premature DAPT discontinuation is stent thrombosis, which has a 20-45%
151 mortality rate⁴², **being highest with acute (<24 h) and subacute (1-30 days) stent**
152 **thrombosis**. In a real-world registry of patients receiving DES, including NSE-ACS, but
153 excluding STEMI patients, the incidence of stent thrombosis at 9-months was 1.3%,
154 substantially higher than rates reported in major clinical trials (0.4-0.6%), and stent
155 thrombosis occurred in 29% of patients who prematurely discontinued DAPT, with a
156 case-fatality rate of 45%⁴³. In another large registry, among MI patients receiving DES,
157 those who stopped thienopyridine therapy by 30 days were at 9-fold increased risk of
158 death over the next 11 months (7.5% versus 0.7%, $P<0.0001$)⁴⁴. Two other registries
159 have shown that amongst ACS patients, stent thrombosis is increased 3-fold following
160 premature clopidogrel or DAPT cessation, and three times higher when clopidogrel was
161 discontinued within the first month, compared to 1-6 months^{45,46}. There is a suggestion
162 that the increased risk of stent thrombosis with abbreviated DAPT may be significantly
163 attenuated with the use of second-generation, compared to first generation DES⁴⁷.

164 ~~Ischaemic events predominate over bleeding events in the first year after ACS when~~
165 ~~patients are not fully revascularized.~~ **In two large registries of 19,826 unselected ACS**
166 **patients undergoing PCI, the ischemic and bleeding risks were overall similar in the first**
167 **1 year, with ischemic risk exceeding bleeding risk within the first 2 weeks, especially in**
168 **STEMI patients and those with incomplete revascularization**⁴⁸. Use of risk scores to
169 assess bleeding risk is gaining popularity, but ischaemic and bleeding risk scores often
170 have overlapping clinical features. However, it has been shown that HBR patients do
171 not clearly derive ischaemic benefit from prolonged DAPT, therefore, ischaemic risk
172 should guide a more prolonged DAPT regimen mainly in non-HBR patients^{9,49}.

173
174 **Importance of balancing ischaemic and bleeding risks, considering specific factors**
175 **that may tip the balance towards either**

176 The principle of balancing ischaemic and bleeding risks is important when reducing the
177 intensity or duration of DAPT. Bleeding risk can be assessed using the ARC-HBR

178 criteria³ or the PRECISE-DAPT, CRUSADE or ACUITY risk scores⁵⁰, although
179 PRECISE-DAPT is the only one validated for selecting DAPT duration. The definition
180 of high ischaemic risk has undergone several changes over time (Table 1), with the
181 current definition based on the 2020 European Society of Cardiology (ESC) Guidelines
182 for the Management of ACS in Patients Presenting without Persistent ST-segment
183 Elevation¹.

184 A systematic review and meta-analysis of studies validating the DAPT score in 88,563
185 patients undergoing PCI electively or for ACS, the DAPT score was able to separate the
186 risks of ischemia and bleeding⁵¹. Patients with a DAPT score ≥ 2 were at higher
187 ischemic risk and lower bleeding risk, compared to patients with a DAPT score < 2 , who
188 were at higher bleeding and lower ischemic risk. Thus, application of the DAPT score
189 could help identify those patients who may benefit from standard or prolonged DAPT.

190 A recent paper reporting on the long-term outcomes of patients enrolled in the
191 PEGASUS-TIMI 54 trial indicates that a single factor defining an increased ischaemic
192 risk is insufficient to recommend prolonged DAPT⁵² and that 2 or more risk factors
193 define a patient who is truly high ischaemic risk. Especially in the elderly, bleeding and
194 ischaemic risks are often combined and depend frequently on the same variables.

195 196 **Timelines of ischaemic vs. bleeding risk**

197 The incidence of ischaemic events is highest during the first month after PCI and tends
198 to decrease thereafter⁵³. Registry data on 19,826 patients with ACS treated with PCI
199 suggest that ischaemic risk is highest in the first 30 days, especially the first 2 weeks
200 post-ACS⁵⁴, and may be stent-related (e.g. stent thrombosis), due to progression or
201 destabilisation of non-culprit lesions (e.g. new myocardial infarction [MI]) or vascular
202 events in other areas affected by atherosclerotic disease (e.g. stroke).

203 On the other hand, the risk of bleeding with DAPT, despite being relatively high in the
204 first days after PCI (due to the use of an arterial access site and periprocedural
205 antithrombotic therapy), does not diminish over time as long as antiplatelet therapy is
206 continued^{53,55}.

207 In ACS patients undergoing PCI, the ischaemic risk may surpass the bleeding risk
208 especially in the first month⁴⁸ but then declines over the subsequent 1-3 months. On the
209 other hand, risk of bleeding with maintenance DAPT persists, and so the net benefit of
210 DAPT may diminish, depending on the clinical circumstances of the patient⁵³.

211 Therefore, the rationale for de-escalation of DAPT in the setting of ACS lies in the
212 concept that ischaemic risk clusters within the first months, while bleeding risk remains
213 stable and may exceed ischaemic risk beyond the first few months after the ACS.

217 **Identifying suitable patients for de-escalation of DAPT**

218 Multiple strategies that vary the intensity and/or the duration of DAPT have been
219 investigated in an effort to mitigate bleeding hazard without a trade-off in ischaemic
220 risk (Figure 1). The basic construct of DAPT de-escalation is the switching from
221 ticagrelor or prasugrel to a reduced dosing regimen or to clopidogrel. The decision to
222 de-escalate depends on individual clinical judgement, driven by the perceived balance
223 between the patient's ischaemic and bleeding risks, the occurrence of adverse events,
224 comorbidities, co-medications, and the availability of the respective drugs. DAPT de-
225 escalation might be tailored to the patient's risk profile (which may be dynamic,
226 requiring reassessment as circumstances change), PFT or genetics. Overall, many
227 patients with ACS undergoing PCI, especially those at HBR, may be suitable for de-
228 escalation.

229 Consensus-based criteria and statistical tools can assist in guiding clinical judgment and
230 decision making to implement this strategy. The ARC-HBR classification can help
231 identify HBR patients²⁰ and the PRECISE-DAPT score can aid bleeding risk prediction,
232 with a score ≥ 25 identifying subjects at HBR^{4,56}, although an additional risk factor
233 should be considered if age is the only underlying factor for this score.

234 De-escalation may be unguided, based purely on clinical judgment, or based on clinical
235 judgement and additionally guided, either by PFT or *CYP2C19* genotyping, depending
236 on the patient's risk profile and availability of respective assays (ESC Class of
237 Recommendation IIB, LOE A)¹. Use of PFT allows the direct determination of the
238 degree of platelet inhibition, which in turn can identify patients at increased thrombotic
239 (high on-treatment platelet reactivity) or bleeding (low on-treatment platelet reactivity)
240 risk, and further allows the modulation of P2Y₁₂ therapy to achieve the desired platelet
241 response. The benefit of genetic testing over PFT is that the results of the former remain
242 unchanged while the results of the latter are subject to intra- and inter-individual
243 variability, but genetic factors should be integrated with knowledge of clinical
244 phenotype such as obesity, BMI, diabetes and kidney dysfunction that impair
245 antithrombotic efficacy. Both guided and unguided de-escalation were associated with a
246 reduction in bleeding without an increase in ischaemic events in a recent meta-
247 analysis^{57,58}.

248 Future tools integrating clinical and laboratory knowledge to optimize patient selection
249 for DAPT de-escalation are warranted.

250

251 **Clinical trial evidence base for abbreviation of DAPT duration**

252 Several studies have investigated the risks and benefits of 6-month or shorter regimens
253 of DAPT followed by aspirin monotherapy, in comparison to standard 12 months of
254 DAPT, in patients undergoing PCI with DES implantation; however, few have focused
255 on ACS patients (Table 3)^{9,59-70}. Amongst those focusing on ACS, there was significant
256 heterogeneity amongst the trials in terms of the type of DES used, with some studies
257 mandating only biodegradable polymer and others durable polymer DES. Drugs eluted

258 included sirolimus, everolimus, zotarolimus, tacrolimus and biolimus. Whilst in some
259 studies patients only received one type of stent, others enrolled patients with 3 or more
260 types of DES. We therefore think, on the whole, the data can be extrapolated to daily
261 clinical practice with most modern types of stent.

262 In the SMART DATE trial, 1,357 ACS patients were assigned to the 6-month DAPT
263 group and 1,355 to the 12-month or longer DAPT group⁶². The trial showed non-
264 inferiority of the 6-month DAPT regimen for the composite of all cause death, MI and
265 stroke, however MI occurred more frequently with 6 months of DAPT than with DAPT
266 of 12-month or longer, whilst there was no significant difference in BARC type 2-5
267 bleeding⁶².

268 A subsequent individual patient-level analysis of 14,963 patients from 8 randomized
269 trials comparing 3-6 months of DAPT followed by aspirin with at least 12 months of
270 DAPT, showed that patients with ACS benefitted from prolonged DAPT with reduction
271 in ischaemic events if HBR features (PRECISE DAPT score ≥ 25) were not present,
272 whereas HBR patients did not benefit, irrespective of the ischaemic risk⁴⁹.

273 Seven studies have assessed the comparative effectiveness and safety of abbreviated
274 DAPT regimens followed by P2Y₁₂ inhibitor monotherapy (rather than aspirin
275 continuation), compared with standard DAPT. Prior aggregate data from direct or
276 network meta-analyses have not conclusively quantified the risks and benefits of aspirin
277 withdrawal in comparison with DAPT after PCI, because they included events
278 occurring during the initial DAPT phase, which was identical in both experimental and
279 control regimens and might have biased treatment estimates towards the null, hence
280 underestimating the potential benefit of aspirin withdrawal.

281 The Single Versus Dual Antiplatelet Therapy (Sidney) Collaboration first gathered
282 individual patient data from two ticagrelor monotherapy studies⁷¹, and, in a second
283 iteration, from 6 studies assessing either ticagrelor or clopidogrel after 1-3 months of
284 DAPT compared with DAPT continuation⁷². The rate of the primary outcome of all-
285 cause death, MI and stroke was similar in patients with P2Y₁₂ inhibitor monotherapy
286 (mainly ticagrelor) and in patients on DAPT, with P2Y₁₂ inhibitor monotherapy meeting
287 the criteria for non-inferiority to DAPT. The treatment effect was consistent across use
288 of clopidogrel or ticagrelor, and in patients with or without HBR or ACS; whereas
289 P2Y₁₂ inhibitor monotherapy strategy was associated with reduced major bleeding⁷².

290 More recently, the STOPDAPT-2 ACS extension study recruited ACS patients
291 undergoing PCI, who were randomized to 1-2 months of DAPT followed by clopidogrel
292 monotherapy versus standard DAPT for 12 months comprising of aspirin and
293 clopidogrel⁷⁰. Analysed in combination with the previous 1,161 ACS patients included
294 in a prior trial, clopidogrel monotherapy after 1-2 months of DAPT failed to attest non-
295 inferiority to conventional DAPT for net clinical benefit and was associated with a
296 substantial increase in the rate of MI. Hence, the use of clopidogrel monotherapy might
297 be reserved for ACS patients in whom bleeding risk outweighs ischaemic risk.

298 The MASTER DAPT trial recruited patients exclusively at HBR undergoing PCI (both
299 CCS and ACS) and, among those without need for oral anticoagulation (64% of the
300 patients enrolled), compared a 1-month DAPT regimen followed by single antiplatelet
301 therapy (either aspirin or in two-thirds of patients, a P2Y₁₂ inhibitor) with standard
302 DAPT for at least 6 months⁹. The trial demonstrated the non-inferiority of 1-month
303 DAPT regimen, both for net adverse events and major adverse cardiac and cerebral
304 events, together with a lower rate of bleeding, with consistent results in patients with
305 ACS, including those undergoing complex interventions^{73,74}.

306 **Clinical trial evidence base for unguided de-escalation of DAPT intensity**

307 There have been three randomized trials testing an unguided de-escalation approach
308 after ACS (Table 4)⁷⁵⁻⁸⁰. In the TOPIC trial, ACS patients were randomized to
309 clopidogrel-based DAPT versus standard DAPT⁷⁵. All patients were pre-treated with
310 either ticagrelor or prasugrel for one month before randomization. The primary
311 composite endpoint of cardiovascular death, urgent revascularization, stroke and BARC
312 bleeding grade ≥ 2 at 1-year post-ACS was significantly lower in the de-escalation arm
313 compared to the standard DAPT arm, driven by a reduction in BARC ≥ 2 bleeding,
314 while ischaemic events were similar in the two arms⁷⁵.

315 The non-inferiority of a dose reduction (from 10mg to 5mg) of prasugrel one month
316 after ACS was tested in East Asian patients in the HOST-REDUCE-POLYTECH-ACS
317 randomized trial. The primary endpoint, the rate of net adverse clinical events (all-cause
318 death, non-fatal MI, stent thrombosis, repeat revascularization, stroke and BARC ≥ 2
319 bleeding) was lower with the prasugrel-based dose de-escalation strategy, driven by a
320 reduction in minor bleeding without an increase in ischaemia. Ischaemic events were
321 similar and bleeding events were significantly lower in the de-escalation arm⁷⁶,
322 irrespective of PCI complexity⁸¹.

323 Finally, the TALOS-AMI, an open-label, non-inferiority trial randomized 2,697 East-
324 Asian patients one month after ACS to clopidogrel-based DAPT or continuation of
325 ticagrelor-based DAPT. The de-escalation strategy met the criteria of non-inferiority for
326 the primary composite endpoint of cardiovascular death, MI, stroke or BARC ≥ 2
327 bleeding, with reduced BARC ≥ 2 bleeding in the de-escalation group⁷⁷.

328

329 **Clinical trial evidence base for guided de-escalation of DAPT intensity**

330 While both genetic and platelet function tests have been used to guide DAPT de-
331 escalation, access to these tests is not uniform across all practice settings. Many
332 clinicians may have access to neither test, and even when available, results may not be
333 available in a suitable timeframe to guide clinical decision making during the acute
334 ACS admission.

335 Genetics

336 The response to drugs can be variable, which is to some extent due to genetic variations,
337 as well as differing patient characteristics, including body weight, CKD and diabetes

338 mellitus⁸². Of the antiplatelet drugs, only clopidogrel is subject to large inter-individual
339 variability in antiplatelet effect, partly due to genetic polymorphism (i.e., *CYP2C19*
340 gene), resulting in some 30% of patients not adequately responding to treatment⁸³. To
341 reduce the risk of bleeding when treating ACS patients with ticagrelor or prasugrel as
342 part of DAPT, it may be useful to de-escalate to clopidogrel based on genetic testing.

343 The ABCD-GENE risk score, comprising 4 clinical (Age, Body Mass Index, Chronic
344 Kidney Disease, Diabetes Mellitus) and 1 genetic (*CYP2C19* loss-of-function alleles)
345 variables, which when assessed to give a risk-weighted score, can aid clinicians identify
346 those patients who are most likely to have high-on-treatment platelet reactivity on
347 clopidogrel⁸².

348 The Popular Genetics trial tested this genotype-guided de-escalation strategy in 2,488
349 STEMI patients undergoing primary PCI⁸⁰. All patients received aspirin and were
350 randomised within 48 hours to a genotype-guided or to standard-of-care P2Y₁₂ inhibitor
351 strategy (ticagrelor or prasugrel). In the genotype-guided group, carriers of loss-of-
352 function *CYP2C19* alleles (39%) were treated with ticagrelor, while noncarriers (61%)
353 received clopidogrel. Genotype-guided P2Y₁₂ inhibitor treatment resulted in a lower
354 rate of bleeding compared to the standard-treatment group (9.8% vs. 12.5%, HR 0.78,
355 95% CI 0.61-0.98, p=0.04), without an increase in ischaemic events.

356 Platelet function tests

357 The antiplatelet effect of oral P2Y₁₂ inhibitors can be assessed *in vitro* by PFT⁸⁴. Studies
358 have consistently shown that PCI-treated patients with high on-treatment platelet
359 reactivity are at increased risk of ischaemic events including stent thrombosis, while
360 bleeding risk is significantly higher in patients with an enhanced antiplatelet drug
361 response (low on-treatment platelet reactivity)⁸⁴. These observations led to the concept
362 of a therapeutic window or “sweet-spot” of platelet inhibition²⁹, which could enable
363 tailoring of antiplatelet treatment, including guiding DAPT de-escalation in ACS
364 patients post-PCI. The TROPICAL-ACS trial⁷⁹ showed that PFT-guided de-escalation
365 met the criteria for noninferiority, compared to standard prasugrel treatment, for a net
366 clinical benefit endpoint, with a similar rate of ischaemic events in the two arms, with a
367 trend toward less bleeding with guided treatment. Specific sub-groups (e.g. younger
368 patients) derived a net clinical benefit from a guided treatment approach⁸⁵.

369 A recent meta-analysis (19,855 patients, 11 randomized and 3 observational studies
370 including ACS and CCS patients)⁸⁶ showed that patients receiving guided (genotyping
371 or PFT) de-escalation strategy experienced fewer bleeding events (RR 0.81, 95% CI
372 0.68-0.96) than those receiving standard DAPT. Reflecting the available evidence,
373 recent practice guidelines^{1,3} have updated their recommendations by including a Class
374 IIb (Level of Evidence: A) recommendation on a DAPT de-escalation strategy
375 (including but not restricted to a PFT-guided approach), which may be considered as an
376 alternative DAPT strategy, especially for ACS patients deemed unsuitable for 12
377 months of potent platelet inhibition.

378

379 **Comparison of abbreviated DAPT versus de-escalation of DAPT intensity**

380 The number of patients enrolled in trials assessing abbreviated DAPT (n=41,093) is
381 three times more than the patients enrolled in trials assessing de-escalation of DAPT
382 intensity (n=12,707). **Although there have been no head-to-head comparisons of the two**
383 **strategies, a recent network meta-analysis of 29 trials in patients with ACS undergoing**
384 **PCI, showed that there was no** difference in all-cause death between abbreviated DAPT
385 and de-escalation of DAPT intensity⁸⁷. Abbreviated DAPT reduced the occurrence of
386 major bleeding, whilst de-escalation of DAPT intensity reduced the rate of net adverse
387 cardiovascular events⁸⁷. Furthermore, whilst several studies of DAPT abbreviation
388 specifically enrolled patients at high bleeding risk, the same cannot be said about trials
389 assessing de-escalation of **DAPT intensity, so that the latter approach has less**
390 **supporting evidence in HBR patients.**

392 **Optimal timing of de-escalation**

393 De-escalation strategies may be instituted at different timepoints. De-escalation of
394 intensity may be instituted within one week post-PCI if guided by PFT or genotyping⁵⁵
395 and at 1 month if unguided⁷⁵⁻⁷⁷.

396 Most studies of DAPT abbreviation switched to aspirin monotherapy at 6 months, but
397 the RESET and the REDUCE trials abbreviated DAPT after 3 months, and showed non-
398 inferiority of 3 months of DAPT compared with 12 months DAPT with regards to the
399 primary composite endpoint of ischemic and bleeding events^{60,65}. On the other hand,
400 most trials abbreviating DAPT and switching to P2Y12 inhibitor monotherapy, de-
401 escalated earlier at 1-3 months. Based on the available evidence, abbreviation of DAPT
402 duration may be considered after 1–3 months of DAPT if switching to monotherapy
403 with ticagrelor or clopidogrel, or after 3–6 months of DAPT if switching to aspirin
404 monotherapy.

405 For example, the 2020 ESC guidelines on NSTEMI recommend the use of ticagrelor
406 monotherapy after 3 months of standard DAPT as an alternative to a standard 12-month
407 DAPT¹.

408 Procedural characteristics (e.g. double stenting of coronary bifurcations, stenting of
409 chronic total occlusion or long lesions requiring multiple stents) are associated with an
410 increased risk of ischaemic events^{1,55,88}. In such patients, standard 12-months of DAPT
411 with prasugrel or ticagrelor or even prolongation of antiplatelet therapy beyond 12
412 months should be considered among low bleeding risk patients, in whom lower dose
413 ticagrelor would be the agent of choice⁸⁹. Overall, the duration and intensity of DAPT
414 should be tailored to the individual's ischaemic and bleeding risks (Figure 2).

416 **Specific evidence for de-escalation in special populations**

417 *The elderly*

418 Elderly patients are conventionally regarded as those aged 75 years or older and
419 represent over one third of the population with ACS^{90,91}. They are at higher ischaemic
420 as well as bleeding risk, owing to increased frailty and most frequently associated
421 comorbidities⁹¹. There are few randomized trials testing de-escalation strategies or
422 shortening of DAPT in elderly patients with ACS. Acute, periprocedural and long-term
423 antithrombotic therapy in the elderly has been recently addressed in a consensus paper
424 from the ESC Working Group on Thrombosis⁹².

425 426 *Shortening of DAPT duration*

427 In a prespecified analysis of elderly patients enrolled in the GLOBAL LEADERS trial
428 (>75 years; n=2,565), comparing 23-months ticagrelor monotherapy (after one month of
429 DAPT) with 12-months DAPT followed by 12 months of aspirin, there were no
430 significant differences between the two strategies with respect to the primary endpoint
431 of all-cause death or new Q-wave MI⁹³. Among the over 7,000 ACS-patients
432 randomized into the TWILIGHT trial, ticagrelor monotherapy (after 3 months of
433 DAPT) was associated with a lower incidence of clinically relevant bleeding than
434 ticagrelor plus aspirin, without increased risk of death, MI or stroke²⁷. These results
435 were confirmed when restricted to older patients (≥ 65 years of age). In contrast, in the
436 recent STOPDAPT-2 ACS study including >4,000 patients (29%: ≥ 75 years of age),
437 clopidogrel monotherapy after 1-2 months of DAPT failed to achieve noninferiority to
438 standard 12 months of DAPT for the net clinical benefit, with a numerical increase in
439 cardiovascular events⁷⁰. No treatment interaction by age was observed.

440 *De-escalation of DAPT intensity*

441 In a pre-specified analysis of the TROPICAL-ACS study, no significant differences in
442 net clinical outcome were found between guided de-escalation and the control group in
443 patients >70 years of age (15.5% vs. 13.6%; HR 1.17, 95% CI 0.69–2.01; p=0.56)⁸⁵. In
444 the TALOS-AMI trial investigating an unguided de-escalation strategy in ACS patients,
445 only 12% of patients were ≥ 75 years of age, but the HRs for the primary endpoint were
446 consistent across the prespecified age-subgroups (<75 or ≥ 75 years of age) showing a
447 significant reduction in net clinical events⁷⁷. Other studies assessing switching from
448 potent P2Y₁₂ inhibitors to clopidogrel included very few elderly patients. An alternative
449 strategy was assessed in the ANTARCTIC trial, randomly assigning elderly ACS
450 patients to prasugrel 5 mg daily with dose or drug adjustment in case of inadequate
451 response (including up-titration to 10 mg or down-grading to clopidogrel according to
452 PFT results) or oral prasugrel 5 mg daily with no monitoring. The study showed
453 comparable results with the two strategies⁷⁸.

454 *Patients with renal impairment*

455 Renal impairment is an important risk factor for the development of complex coronary
456 artery disease. Although historically less likely to undergo coronary angiography and
457 PCI, recent advancements have led to an uptrend in coronary intervention among CKD
458 patients⁹⁴. Patients with CKD represent a challenging group of patients for PCI, with

459 greater coronary calcification burden, and a higher prevalence of cardiovascular risk
460 factors such as hypertension, hyperlipidaemia and diabetes mellitus. They are also at
461 higher risk of in-hospital complications including death and bleeding following PCI,
462 especially if transfemoral access is used^{95,96}. Importantly, CKD is a risk factor for both
463 long-term ischaemic and bleeding events in patients after PCI.

464 The ESC guidelines list baseline CKD (glomerular filtration rate [GFR] 15-59
465 mL/min/1.73 m²) as a factor for DAPT extension beyond one year to reduce the risk of
466 ischaemic events¹. On the other hand, CKD represents a major (eGFR <30 mL/min) or
467 minor criterion (eGFR 30-59 mL/min) to shorten the DAPT duration or de-escalate the
468 potency of P2Y₁₂ inhibitor according to the ARC-HBR score¹. Trials investigating
469 shortening of DAPT duration or de-escalation⁹⁷ and providing a subgroup analysis for
470 baseline CKD have shown the benefit of short DAPT in patients with CKD^{9,27,80}.
471 Although patients with CKD tend to have high coronary calcium burden and often
472 undergo more complex PCI, sub-analyses of trials assessing shortened DAPT duration
473 in patients undergoing complex PCI at HBR confirm the safety and efficacy of this
474 approach in this population^{74,98}.

475

476 East Asian patients

477 East Asian patients are considered to be at lower ischaemic risk and at higher bleeding
478 (including intracranial haemorrhage) with DAPT, referred to as the “East Asian
479 paradox”, including enhanced pharmacokinetic and pharmacodynamic profiles with
480 ticagrelor and prasugrel in East Asian versus Caucasian subjects, and despite *CYP2C19*
481 loss-of-function alleles being more frequent in those with East Asian ancestry³⁵. Hence,
482 when prasugrel is used, lower than conventional doses are prescribed in some East
483 Asian countries such as Japan and Taiwan.

484 A recent systematic review and meta-analysis specifically assessed the safety and
485 effectiveness of DAPT “de-escalation strategies” in East Asian versus non-East Asian
486 patients with ACS undergoing PCI⁹⁹. The net benefit and safety of reduction in either
487 DAPT intensity or duration appears to be greater in East Asian than in non-East Asian
488 patients.

489 The 2021 Asia Pacific Society of Cardiology Consensus Recommendations on the Use
490 of P2Y₁₂ Receptor Antagonists in the Asia-Pacific Region: Special Populations¹⁰⁰
491 indicates that following a period of DAPT, use of ticagrelor monotherapy appears
492 reasonable in patients at high ischaemic and low bleeding risk. On the other hand,
493 clopidogrel monotherapy may be used for patients with low ischaemic risk or patients at
494 high ischaemic and HBR. The recommendations also support the use of abbreviated
495 DAPT in elderly patients at HBR or in patients with CKD on dialysis. For patients with
496 diabetes undergoing complex PCI who are at HBR, ticagrelor monotherapy can be
497 considered after 3 months of DAPT¹⁰¹.

498 *Short-Term DAPT with Early Discontinuation of Aspirin*

499 The TICO trial showed that ticagrelor monotherapy following 3 months of DAPT,
500 compared with 12 months of DAPT, had clinical benefit in ACS patients, mostly driven
501 by a reduction in major bleeding⁶⁹. Compared with 12 months of DAPT, use of P2Y₁₂
502 inhibitor monotherapy following an initial 1-3 months of DAPT has been shown to
503 reduce the risk of clinically serious bleeding in East Asians undergoing PCI^{67,102}.

504 *De-escalation of Potent P2Y₁₂ Inhibitors*

505 The HOST-REDUCE-POLYTECH ACS trial found that in ACS patients treated with
506 DAPT including 10-mg prasugrel for 1 month, the subsequent reduction to 5-mg
507 prasugrel significantly reduced the risk of bleeding (HR 0.48; 95% CI 0.32–0.73,
508 p=0.0007) without increasing ischaemic risk (HR 0.76; 95% CI 0.40–1.45; p=0.40)
509 compared with continuation of the conventional dose of 10 mg.

510 *Use of Risk Scoring to guide DAPT*

511 The Korea Acute Myocardial Infarction Registry-National Institutes of Health
512 combined ischaemic and bleeding models to establish a simple clinical prediction score
513 for the use of DAPT. Patients with a high score (≥ 3 points) showed an overall benefit
514 from potent P2Y₁₂ inhibitor versus clopidogrel in reducing 1-year ischaemic events
515 without significant increase in bleeding, whereas in patients with a low score, the
516 bleeding risk due to potent P2Y₁₂ inhibitors exceeded ischaemic benefit¹⁰³.

517

518 **Current gaps in evidence**

- 519 • Clarity regarding specific subsets of ACS patients that may derive the greatest
520 net clinical benefit from DAPT de-escalation or abbreviation.
- 521 • The comparative safety and benefit of de-escalation of DAPT intensity or
522 abbreviation of DAPT, have not been compared in head-to-head trials.
- 523 • The clinical trial evidence base for de-escalation of DAPT intensity or
524 abbreviated DAPT in non-East Asians is not as robust as in East Asian patients.
- 525 • The optimal timepoint post-ACS (e.g. 1-3 months) for abbreviation or de-
526 escalation of DAPT intensity, remains to be determined.
- 527 • Whether monotherapy, following abbreviated DAPT, should consist of aspirin
528 or a P2Y₁₂ inhibitor, is not clear.
- 529 • Guided or unguided de-escalation of DAPT intensity have not been compared in
530 head-to-head trials.
- 531 • DAPT de-escalation guided by genotyping or PFT have not been compared in
532 head-to-head trials.
- 533 • Whether potent P2Y₁₂ inhibitor alone, from the onset of ACS, without aspirin,
534 may be non-inferior to DAPT, is unknown. Pilot data using ticagrelor or
535 prasugrel monotherapy in 70 ACS patients suggests this may be a feasible
536 strategy¹⁰⁴, and is the subject of ongoing trials.
- 537 • Whether sex-related differences in the clinical benefit of de-escalation of DAPT
538 intensity or abbreviation of DAPT may exist, remains to be determined.

539

540 **Conclusions**

541 The duration and intensity of DAPT should be tailored to the individual's ischaemic and
542 bleeding risks. Both risks are highest in the early period post-ACS, then bleeding risk
543 falls but stays constant over time while DAPT is continued. Strategies available to
544 reduce the risk of bleeding include de-escalation of DAPT intensity or abbreviation of
545 DAPT duration, and the two approaches have not been compared in head-to-head
546 randomised trials. Trials have shown that de-escalation of DAPT intensity can reduce
547 bleeding without an increase in ischaemic events in patients without high long-term
548 ischaemic risk and may be guided by PFT or genotyping. Abbreviation of DAPT after
549 1-6 months reduces bleeding without an increase in ischaemic events in patients with
550 HBR features, particularly in those without high long-term ischaemic risk. Our
551 consensus statements should serve to guide clinicians to optimise these DAPT
552 approaches for individual patients to improve outcomes.

553

554

555

556 **Consensus statements regarding de-escalation or abbreviation of DAPT in patients**
557 **with ACS undergoing PCI**

- 558 1. Patients should be stratified for high ischaemic and high bleeding risk
- 559 2. Ischaemic risk is highest early after an ACS, especially within the first 30 days
- 560 3. Bleeding risk is highest during the first days (in particularly, peri-PCI),
561 then falls and subsequently stays constant over time while DAPT is continued
- 562 4. Common risk factors for bleeding include age, CKD, anaemia,
563 thrombocytopenia, prior spontaneous bleeding, recent surgery and active
564 malignancy.
- 565 5. The PRECISE-DAPT and ARC-HBR scores can help risk stratify patients for
566 bleeding whereas the DAPT score may help risk stratify patients for recurrent
567 ischaemic events.
- 568 6. Risk factors for ischaemic risk include age, diabetes mellitus, suboptimal
569 cardiovascular risk factor control, polyvascular disease, complex coronary artery
570 disease, incomplete revascularization and CKD. In addition, technical aspects of
571 PCI including longer lesion length, greater number stents, 2 stents bifurcation or
572 treatment of a chronic total occlusion increase subsequent thrombosis risk
- 573 7. Strategies available to reduce the risk of bleeding include:
- 574 • De-escalation of DAPT intensity (unguided, or guided by PFT or
575 genotyping)
- 576 • Abbreviation of DAPT duration
- 577 8. De-escalation of DAPT intensity (whether guided or unguided) appears to reduce
578 bleeding without an increase in ischaemic events. These studies were mostly
579 conducted in East Asian patients. In Westerners, de-escalation of DAPT intensity,
580 from ticagrelor or prasugrel to clopidogrel, was only evaluated in two relatively
581 small studies, one of which used PFT to guide de-escalation
- 582 9. Both genotype- and PFT-guided de-escalation of DAPT intensity, which can be
583 started within a week of PCI, can reduce bleeding without an increase in
584 thrombotic events, particularly in those without high long-term ischaemic risk
- 585 10. Overall, shortening of DAPT duration reduces bleeding without an increase in
586 ischaemic events in patients with HBR features, particularly in those without high
587 long-term ischaemic risk
- 588 11. DAPT duration may be abbreviated after 1-3 months continuing with P2Y₁₂
589 inhibitor monotherapy, in patients with HBR or in those without HBR features
590 and without high long-term ischaemic risk
- 591 12. DAPT duration may be abbreviated after 3-6 months post-ACS, continuing with
592 aspirin monotherapy, ideally only if HBR features are present
- 593 13. In East Asian patients, reduction in the duration or the intensity of DAPT after
594 the acute phase appear safe strategies to reduce bleeding without an ischaemic
595 penalty, particularly in those at high bleeding risk or low long-term ischaemic
596 risk.

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947 (2023).

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953 **Disclosures**

954 J Ako reports speaking honoraria from Astra Zeneca, Daiichi-Sankyo, and Bayer

955 JL Ferreiro reports: a) speaker fees from Eli Lilly Co, Daiichi Sankyo, Inc.,
956 AstraZeneca, Roche Diagnostics, Pfizer, Abbott, Ferrer, Rovi, Boehringer Ingelheim,
957 Bristol-Myers Squibb and Terumo; b) consulting fees from AstraZeneca, Eli Lilly Co.,
958 Ferrer, Boston Scientific, Pfizer, Boehringer Ingelheim, Daiichi Sankyo, Bristol-Myers
959 Squibb and Biotronik; c) research grant from AstraZeneca.

960 T Geisler reports speaker, consultant fees or research grants from Astra Zeneca, Bayer,
961 BMS/Pfizer, Boehringer Ingelheim, Daiichi Sankyo, Edwards Lifescience,
962 Ferrer/Chiesi, Medtronic not related to the submitted work.

963 DA Gorog reports institutional research grants from AstraZeneca, Werfen, Medtronic,
964 Bayer and Alpha MD, and speaker fees from AstraZeneca.

965 S Halvorsen has received speaker fees from Astra Zeneca, BMS/Pfizer, Sanofi and
966 Novartis. No fees are received personally.

967 GYH Lip reports consultant and speaker activities for BMS/Pfizer, Boehringer
968 Ingelheim, Daiichi-Sankyo and Anthos. No fees are received personally.

969 EP Navarese reports research grants from Abbott, Amgen, and lecture fees/honoraria
970 from Amgen, AstraZeneca, Bayer, Pfizer, and Sanofi-Regeneron, outside the submitted
971 work.

972 RF Storey reports institutional research grants/support from AstraZeneca, Cytosorbents,
973 GlyCardial Diagnostics and Thromboserin; and personal fees from Alnylam,
974 AstraZeneca, Bayer, Bristol Myers Squibb/Pfizer, Chiesi, CSL Behring, Cytosorbents,
975 Daiichi Sankyo, GlyCardial Diagnostics, Hengrui, Idorsia, Intas Pharmaceuticals,
976 Medscape, Novartis, PhaseBio, Sanofi Aventis and Thromboserin.

977 JM Siller-Matula has received speaker or consultant fees from Chiesi, Biosensors,
978 Boston Scientific, P&F, Boehringer Ingelheim and Daiichi Sankyo not related to the
979 submitted work.

980 YH Jeong reports: a) speaker fees from Daiichi Sankyo, Sanofi-Aventis, Han-mi
981 Pharmaceutical, and JW Pharmaceutical; b) research grants from Yuhan
982 Pharmaceuticals, Han-mi Pharmaceuticals, Sam-jin Pharmaceuticals, Biotronik, and
983 U&I Corporation.

984 D Sibbing reports speaker fees and fees for advisory board activities from Sanofi
985 Aventis and Bayer

986 JWC Tan reports honoraria from AstraZeneca, Bayer, Amgen, Medtronic, Abbott
987 Vascular, Biosensors, Alvimedica, Boehringer Ingelheim and Pfizer; research and
988 educational grants from Medtronic, Biosensors, Biotronik, Philips, Amgen,
989 AstraZeneca, Roche, Otsuka, Terumo and Abbott Vascular; and consulting fees from
990 Elixir and CSL Behring.

991 JM ten Berg received advisory/consulting/speakers fees from AstraZeneca, Eli Lilly,
992 Daiichi Sankyo, Boehringer Ingelheim, BMS, Pfizer, Bayer, Ferrer, CeleCOR and
993 Institutional Research grants: ZonMw (Dutch Government), AstraZeneca, Daiichi-
994 Sankyo

995 M Valgimigli reports grants and personal fees from Terumo, and personal fees from
996 Astra Zeneca, Alvimedica/CID, Abbott Vascular, Daiichi Sankyo, Bayer, CoreFLOW,

997 IDORSIA Pharmaceuticals Ltd., Universität Basel, Dept. Klinische Forschung, Vifor,
998 Bristol Myers Squibb SA, Biotronik, Boston scientific, Medscape, Medtronic, Vesalio,
999 Novartis, Chiesi, ECRI, and PhaseBio.

1000 C Vandembriele reports speaker fees from Bayer, Boehringer Ingelheim and Daiichi-
1001 Sankyo not related to the submitted work.

1002 The other authors have no disclosures to declare.

1003

1004

Figure legends

Figure 1. Standard and alternative antithrombotic strategies to reduce bleeding risk in acute coronary syndrome patients.

Abbreviations: ASA: aspirin; DAPT: dual antiplatelet therapy; HBR: high bleeding risk; SAPT: single antiplatelet therapy

Figure 2. Algorithm to select dual antithrombotic therapy strategies in ACS patients undergoing PCI.

Abbreviations: ASA: aspirin; DAPT: dual antiplatelet therapy; DAT: dual antithrombotic therapy; HBR: high bleeding risk; HIR: high ischaemic risk; SAPT: single antiplatelet therapy

*Clopidogrel is the most studied P2Y₁₂ inhibitor in this setting.

**Ticagrelor is the most studied P2Y₁₂ inhibitor in this setting.

Tables

Table 1. Factors that increase the risk of bleeding and/or ischaemic events

Table 2. Bleeding hazard associated with oral antiplatelet drugs

Table 3. Randomized clinical trials evaluating abbreviated DAPT in patients with ACS undergoing PCI

Table 4. Randomized clinical trials evaluating de-escalation of DAPT intensity in patients with ACS undergoing PCI