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Application of Risk Management Metrics for ochratoxin-A control in the coffee chain

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Coffee is one of the most consumed beverages worldwide. Like other agricultural products, coffee is susceptible of colonization by mycotoxin-producing fungi and therefore, the presence of mycotoxins. These chemical hazards can pose a risk for consumers, as some of them are potentially carcinogenic, neurotoxic, or immunosuppressive. Several countries worldwide have established maximum legal limits on the final product regarding ochratoxin A (OTA), but this leads to inefficiencies in the coffee value chain, as there is uncertainty if a batch may be under the legal limits at the time of reaching the consumers. The application of Risk Management Metrics can be a useful tool for managers to forecast if a particular batch of coffee could be suitable for a determined target market. In this study, a cross-case analysis of the coffee production chain was performed, and quantitative thresholds were established along the different steps. This information can provide managers with up-to-date information regarding the potential use of each batch, minimizing food waste, assuring food safety, and improving chain efficiency.

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Introduction

Mycotoxins are natural secondary metabolites produced by filamentous fungi that can be presented in raw materials, food, and feeds. These natural toxins can cause autoimmune illnesses, have allergenic properties, and some of them are teratogenic, carcinogenic, or mutagenic [1]. Consequently, regulatory efforts internationally have focused on the use of risk assessment tools to drive food safety policy and standards away from prescriptive to outcomes based on concepts such as the Food Safety Objective (FSO) [2,3]. An FSO specifies the maximum level of a hazard that can be tolerated in the final food product at the time of consumption. This concept has become a key parameter for the assessment of the risk associated with a particular hazard and for the development and implementation of effective and appropriate mitigations [4]. FSO concept has generally been applied to issues regarding safety from pathogenic and toxigenic bacteria in which presence can vary along the food process. As opposed to microbiological hazards, chemical hazards usually only enter foods in the raw food or ingredients, or through certain processing steps, and the level of hazard present in a food after the point of introduction often does not significantly change. In the particular case of mycotoxins, as chemical hazards from microbiological origin, they may increase in concentration through the processing steps if conditions are conducive for fungal growth.

The FSO concept recognizes that the ultimate objective of a food safety system is to prevent illnesses by focusing food-manufacturing attention and activities on preventing or minimizing exposure of the consumer to pathogens. This concept specifies a goal that can be incorporated into the design of control measurements in the food chain corresponding to the maximum permissible level of a hazard in a food at the moment of consumption [5]. The agro-food industry would use FSOs as means to coordinate risk management in the production process throughout the farm-to-fork production chain [6] (Figure 1). Specific targets that need to be met in the different stage (Performance Objective, PO) can be proposed by each government as guidance to help meet the FSO, but also can be decided by operation food safety managers as an integral part of the design of the production of a food in a supply chain [7]. Predictive models assessing the impact/effect of each stage in the





Application of food safety metrics in the food industry.

mycotoxin contamination (prevention/reduction/increase) can be developed and therefore the achievement of FSO of each batch can be estimated/predicted considering the initial mycotoxin contamination level (H_o) by using the following equation:

$$Ho - \Sigma I + \Sigma R \le FSO$$
(1)

Ho, initial mycotoxin level.

 Σ I, increase in mycotoxin levels.

 ΣR , reduction in mycotoxin levels.

The application of FSOs for mycotoxin management allows an accurate understanding of the fate of mycotoxin contamination at each processing stage. By following a Risk Management approach, FSOs can help to ensure food safety but also to reduce food waste by anticipating potential rejections and improving chain efficiency. An example would be the early repurpose for a particular batch based on the initial mycotoxin contamination levels. FSOs have become a valuable decision support tool that can help managers to understand in advance the market potential of the batch and the specific technological procedures required to achieve customer requirements. However, the achievement of FSO not only requires updated regulations and industrial/technological optimization, but also consumer's best practice. Therefore, it is crucial to provide clear instructions regarding how to store and handle food products to avoid increase of the contamination levels and increase food safety awareness among the population.

The application of risk assessment approach and novel graphical methods for visualizing the application of metrics for mycotoxins is currently at the forefront of research [7–11]. However, there is still a lack of quantitative models that can be applied in the food chain, as none of the already-published predictive models covers the whole global value chain. In the current paper, the application of food safety approaches to the ochratoxin-A (OTA) hazard in coffee using quantitative data is discussed.

Ochratoxin A in coffee - a case study

Coffee is one of the most consumed nonalcoholic beverages worldwide. According to the International Coffee Organization (ICO) in 2022, the total world coffee consumption was 178.574 million bags (60 kg each), which is equivalent to 10.06 million metric tons [12]. The global coffee industry is worth over \$100 billion. Coffee is grown in more than 80 countries situated along the Equatorial zone called 'The Bean Belt,' located between latitudes 25° north and 30° south, but mostly consumed elsewhere in the world. Nevertheless, coffee is susceptible to fungal contamination and consequently mycotoxin accumulation during the growing steps but also along the food chain [13]. Undoubtedly, OTA is the most important chemical

Table 1				
OTA maximum intake recommended wor	ldwide.			
	Tolerable daily intake ng/kg-day	TWI ng/kg-weekly	Comments	Reference
EFSA		_	Previous TWI is no longer valid	EFSA (2020) [17]
Health Canada	4			Kuiper-Goodman et al. (2010)
JEFCA (The Joint Food and Agriculture Organization/World Health Organization Expert Committee on Food Additives)		100	Revaluated and keep it by JEFCA 2008	JEFCA (1995, 2008)

hazard in terms of food safety in this commodity, as exposure can cause teratogenesis, multiorgan toxicity, promotes neurodegeneration, and there is unambiguous evidence of its carcinogenic effects [14,15]. To protect consumers, maximum tolerable OTA intake has been proposed for international agents (Table 1). Nevertheless, these values are constantly reviewed based on new data available. For example, in 2020, the European Food Safety Authority (EFSA) considered that it was not appropriate to establish a health-based guidance value because although the mechanisms of genotoxicity are inconclusive, OTA causes DNA damage. Therefore, the tolerable weekly intake (TWI) of 120 ng/kg body weight established by the authority in 2006 was consequently no longer valid [16].

Currently, there is no global approach; thus, each country establishes its own appropriate level of protection (ALOP). As part of the risk assessment, toxicological information in the case of nongenotoxic compounds and consumption patterns is considered, and the specific maximum legal limit of contamination in a particular food commodity is established [15–18]. Therefore, these values can be used as FSO that contributes to achieve the specific national ALOP [8]. Legislation of mycotoxins of 64 countries and the EU was assessed [19–22]. However, only a few countries including the EU have legal limits from OTA among different food categories such as cereals, snacks, nonalcoholic malt beverages, dried fruits, date syrup, wine, licorice, species, gingers, several seeds, pistachios, cocoa, and coffee. Values range from a minimum of $0.2 \,\mu\text{g/kg}$ (beer, Indonesia) to $80 \,\mu\text{g/}$ kg (licorice, EU and Morrocco). Only a small number have a maximum legal limit for coffee (Table 2). Interestingly, most of the countries have set the same legal limit apart from Uruguay (50 µg/kg) and the EU, which have recently halved the maximum OTA limits for coffee. So, there is a big harmonization compared with other food commodities. Nevertheless, these values are focused on the final roasted product, as, according to our knowledge, there are no regulations applied to green coffee. Therefore, the industrial process needs to assure that OTA reduction can be achieved and assure the final project compliance with each country's law.

Та	ble	2
	DIC	_

Maximum I	egal limits of O	TA in coffe	ee (EC 2022	/1370; <mark>[20,21]</mark>).			
Country OT	A legislation	Number ^a	Range (µg/kg)	Green coffee (µg/kg)	Roasted coffee beans and ground roasted coffee (µg/kg)	Soluble coffee (µg/kg)	Instant or decaffeinated coffee (µg/kg)
Asia	Philippines	3	5.0–10	NR	5	10	
	Vietnam	9	0.5–10	NR	5	10	
	China	3	2.0–10	NR	5	10	
	Indonesia	8	0.2–20	NR	5	10	
	Malaysia	3	0.5–10	NR	5		10
	Singapore	1	0.5–20	NR	5	10	
	South Korea	5	0.5–20	NR	5	10	
Africa	Egypt	5	3.0–20	NR	5		
	Morocco	14	0.5–80	NR	5	10	
South	Cuba	1	5	NR	5	5	
America	Uruguay	1	50	NR	50	50	
	Brazil	11	2.0-30	NR	10	10	
EU	EU/UK	20	0.5-80	NR	3	5	
	Turkey	10	0.5–80	NR	5	10	

NR - no specific regulation.

^a Number of food commodities/food combinations legislated for OTA contamination.

The presence of OTA in green and roasted coffee has been confirmed in several countries [23,24]. However, OTA concentration in roasted coffee usually is lower than in green coffee, showing that despite the reported chemical thermostability, reduction of OTA during the processing can be achieved. Figure 2 depicts information extracted from the scientific literature regarding OTA presence both in green and roasted coffee. Still, in some cases, values are over the legislation limits, such as ground coffee withdrawn from the market in Switzerland in 2021 (7.6 µg/kg) and spray-dried instant coffee from Vietnam in Rumania in 2022 (17.36 µg/kg) [25]. However, other authors have demonstrated that the final concentration can also be controlled by following good agricultural and manufacturing practices such as avoiding collection of berries from the ground or those damaged by insects, proper drying, and good storage conditions. Therefore, it is critical to establish thresholds throughout the entire process, helping to forecast the

Figure 2

final OTA concentrations. Thus, a quantitative analysis of each step along the value chain of coffee is required.

Risk Management Metrics to estimate ochratoxin-A contamination in coffee

The last step of the application of Risk Management Metrics focuses on the risk characterization, thus, the assessment of the appropriate level of risk at each of the steps along the coffee value chain. To adequately establish a PO, it is critical to establish relevant performance criteria (PC, change in hazard level required at a specific step in order to reduce the hazard level at the start of the step), process criterion (PcC, effect in the frequency and/or concentration of a hazard in a food that must be achieved by the application of one or more control measures to provide or contribute to a PO or an FSO), and product criterion (PdC, effect on the product properties required to assure that the hazard level never overtakes safety levels before cooked or consumed) for



Presence of OTA in green and roasted coffee among different consuming countries.





Flow diagram of the coffee value chain, including estimated food safety metrics required for the coffee value chain. H0: initial concentration required to achieve the final FSO.

each of them. These metrics can be derived by food industry from FSOs by chain-reversal, in effect articulating appropriate food safety standards for individual links in the chain. The correct definition of these metrics can give managers adequate measures and parameters of control to achieve the required reduction/control. Considering the equation presented at the beginning of this case study, ΣI and ΣR have been assigned to each of the steps described in the flow diagram (Figure 3) and fully assessed based on the literature available.

Increase of ochratoxin A during storage and transport (ΣI)

As in the case of other commodities susceptible to fungal colonization, water availability is the most critical parameter to consider for the assurance of food safety. Since harvested cherries contain enough water to support mold growth and OTA formation, the early reduction of the outer layers of the cherries is critical. Storage of freshly harvested cherries is not recommended, and immediate processing is encouraged to minimize this effect [26]. After harvesting, ripe coffee cherries can be processed using a variety of alternatives, which can be divided into two forms: dry processing and wet processing. During the wet treatment, beans are processed to remove the pulp, mucilage, parchment, and silver skin at once. which reduces water availability for fungal development and thus OTA production. It starts with a sorting stage using water tanks that also allow for the removal of unripe/damaged beans. One of the three subprocesses can follow: the fermentation of the outer layers for easier removal, the mechanical removal of the mucilage to permit immediate drying, or drying of pulped parchment without mucilage removal. These alternatives mostly depend on the location and operational size of the farms. In all cases, the early reduction of the outer layers of the cherries helps to reduce the water availability required for fungal growth, as harvested cherries contain enough water to support mold growth and OTA formation. This factor is key, especially during the initial 3-5 days of latter drying [27].

On the other hand, dry processing consists of spreading the harvested coffee beans in thin layers to dry in the sun or by using artificial drying, despite the latter becoming more expensive due to the cost of fuel or electricity (when available). One variation on the usual dry method is to allow most of the fruit to dry on the tree. The results indicate that this method can produce safe and goodquality coffee, but only in regions where the harvest season is reliably arid. As in the case of other dry products (sultanas, figs, and pistachios), the main risk following this method is the rewetting of beans due to inadequate weather conditions (in the case of sun drying), unsatisfactory drying rate, and cross-contamination caused by debris or residues from previous batches. Thus, this method requires the application of good practices and management, to assure reaching adequate moisture levels promptly and avoiding wet pockets of beans that can lead to further mold development and mycotoxin production. The varietal effect has also been studied, with authors reporting higher incidence of OTA in the case of robusta compared with arabica, but it also depends on how the drying process is carried out.

Therefore, water content becomes critical for mold control and therefore OTA management. Previous studies reported increases up to 54% of OTA content when storage is not performed adequately, thus, it is crucial to maintain operating limits of 12.5% m.c. for cherry coffee, and 11.5% m.c. (wb) for parchment and green coffee. In this sense, changes in temperature that commonly occurred during transport can also generate transfer to the beans, what is called rewetting, leading to increases up to 13.13 µg/kg if bags were wet due to condensation or 7.91 µg/kg if the container was in the ship deck [28]. Table 3 depicts the different steps along the value chain of coffee as well as the establishment of the application of Risk Management Metrics: PC's, PcC's, PdC's, PO's, as well as the references used.

Table 3						
Application hazard level	of food safety met I never overtakes	trics on the coffee safety levels (PdC	s value chain. Definition of PC for each of th C), and the PO based on the initial OTA co	he steps, the process parameters required incentration (H0) and the final FSO.	(PcC), the	parameters required to assure that the
Stage	Step	Application of F	Risk Management Metrics on the coffee valu	e chain		
		РС	PcC	PdC	PO (µg/kg)	Reference
Coffee cherries	Harvest				Ho < 25.32	
	Sorting	Reduction up to 63%	Removal of unriped, defective, or damaged cherries	Follow established good hygiene practice and to avoid delays before processing. In the case of dry processing, this means immediate, efficient, drying. In the case of wet processing, this means expediting pulping and well-managed fermentation	< 9.37	CFC/ICO/06-GCP/INT/743/CFC/. EFSA panel on contaminants in the food chain (CONTAM), 2020, VArgas [17]
	Drying	No increase	Size of drying yard, drying technology, loading rates, stirring, or turning rates	Objective to reduce moisture level to +/-13% m.c. (whole batch). Decrease of m.c below 40–30% to reach unfavorable conditions for fungal infection	< 9.37	CFC/ICO/06-GCP/INT/743/CFC
	Storage	No increase	Operating limits of 12.5% m.c. for cherry coffee, and 11.5% m.c. (whole batch) for parchment and green coffee	Maintain m.c below favorable conditions for fungal development and toxin production	< 9.37	Project CFC/ICO/06-GCP/INT/743/ CFC/ Taniwaki et al. (2003) [27]
Green coffe	e Transport	No increase	Operating limits of 11.5% m.c.	Maintain m.c below favorable conditions for fungal development and toxin production	< 9.37	Final Technical Report — Project CFC/ ICO/06-GCP/INT/743/CFC
	International transport	No increase	Operating limits of 11.5% m.c.	Maintain m.c below favorable conditions for fungal development and toxin production. Avoid transport on areas directly exposed to sunlight due to potential condensation within the container or impervious weather that may lead to rewetting	< 9.37	Palacios-Cabrera et al. (2007) [28]
Roasted coffee	Roasting	Reduction 68%		5	ი >	Leitao (2019) [24]
	Transport	No increase	Operating limits of 11.5% m.c.	Maintain m.c below favorable conditions for fungal development and toxin production	~ 3	Final Technical Report – Project CFC/ ICO/06-GCP/INT/743/CFC
Consumer					FSO < 3	

Thus, drying, storage, and transport remain as the critical steps to prevent fungal development and the consequent mycotoxin production, especially if beans have achieved safe moisture content levels.

Decrease of ochratoxin A during sorting and roasting (ΣR)

Several authors have reported low levels of OTA contamination during the growing phase of coffee. At this stage, the main source of fungal and OTA contamination may be related to ripe cherries picked up from the ground, thus, susceptible to contamination with soilborne OTA producers or insect-damaged/-defective cherries that may led to previous fungal colonization [29]. Successive steps carried out before or after the beans are dried to safety moisture content levels (10–12% m.c.) such as sorting are critical for preventing contamination and further mycotoxin production. Removal of unripened, defective, or damaged cherries can lead to reductions of up to 63% on the OTA content [26] (Table 3).

Thermal treatments have been proved as an effective means of reducing mycotoxins in different matrix types [29–33]. The percentage of reduction achieved will depend on the duration, temperature, and batch size used during the treatment. Several authors have assessed the effect of roasting, for example, reporting reductions from 15.17%, 46.78%, and 57.43, depending on the temperature and duration of the treatment [32]. However, when following current industry procedures, a 68% reduction was reported as a mean value when pooling data from different authors [27].

Conclusion

Despite extensive literature has reported coffee as a safe commodity in terms of OTA presence, the current legislation on OTA content of the final product entails inefficiencies on coffee's global value chain. Early detection of contaminated batches that may lead to values over the legal limits on the final product becomes critical when aiming to reduce food waste, assure food safety, and improve chain efficiency. Therefore, the definition of quantitative thresholds along the different steps, such the ones estimated in this study, are valuable tools for the achievement of these objectives. However, it is important to stress the impact of the uncertainty related to the broad use of different technological approaches and conditions in which coffee can be produced, stored, processed, and transported. The application of holistic approaches, by merging quantitative data, prediction models, and toxicology-based approaches, is key for an accurate assessment of health exposure assessment and potential final contamination, thus facilitating food safety and increasing efficiency across the whole global value chain. The present case study can provide valuable information for managers, who must consider current contamination at each of the steps in order to understand better which alternatives are required to achieve the final FSO established by the legislation.

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Data Availability

Data will be made available on request.

Declaration of Competing Interest

We wish to confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

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