Assessing-to-learn; enriching the assessment process

Authors:
Mark Russell, University of Hertfordshire, Hatfield Campus, Hertfordshire, AL10 9AB, United Kingdom, m.b.russell@herts.ac.uk

Abstract — Assessment has a profound effect on learning - it focuses the students’ attention and actively encourages out-of-class engagement with the learning resources. Indeed it is repeatedly quoted as being the single most important activity with respect to encouraging learning. Further, in engineering disciplines the class-room activities (often) only appear to come alive when students are exposed to laboratory or hands-on activities. Coupling these notions together generally brings the need for students to produce formal laboratory reports. For the busy lecturer the marking of such reports, particularly as student numbers continue to grow, brings time demands that often conflicts with recognised good practice in terms of the quality and timing of the feedback. This work presents the findings from an alternative assessment approach which seeks to maintain the laboratory provision but uses the students, as well as desktop technology, to both help the assessment process as well as to enrich the learning opportunities. The paper draws out some of the opportunities and identifies the possible pitfalls of this approach. The findings have already indicated the merits of the approach hence this paper is likely to be of immense value to colleagues interested in i) developing learners and ii) engaging with experiential learning.

Index Terms — Peer assessment, laboratory studies, computer assisted assessment.

BACKGROUND

There can be little doubt that assessment forms a significant role in the learning process [1]. Whilst good assessment should be aligned with the module learning outcomes, (constructive alignment), it should also seek to challenge, stimulate and give opportunities for the students to take away both situated and abstracted cognition. Accepting that a well considered assessment will actively drive (appropriate) learning it is also true that even a poorly constructed assessment will, at the very least, drive student activity. Naturally it is acknowledged here that student learning and activity are not mutually inclusive. Whilst the former, student learning, is the preferred model both experiences are presented because they show the importance of assessment on student behaviours. Such issues, the impact of assessment on the learners, together with the fact that assessment carries some backwash i.e. it may inadvertently indicate to the students what aspects of the curriculum are important and which are not as well as how much time they need to spending on out-of-class activities [2,3]. Whilst the students perceptions of such issues may be very different from those of their teachers’ they are all features of assessment backwash and hence again, need to be recognised by the assessor. Whilst the Seven Principles for good practice in undergraduate education [4] refers to the importance of feedback and time-on-task an emerging evaluative instrument on assessment, the Assessment Experience Questionnaire (AEQ) [5], looks a little closer at a range of components associated with of assessment. Naturally the AEQ also includes prompt feedback and distributing the student time and effort but covers other features such as the focus of the feedback (learning not marks) and the fact that the feedback should be attended to by the students. Since these instruments form an appropriate backdrop for the work presented here, the Seven Principles… and details of the AEQ are presented in table 1 and table 2 below.
Principle | Good practice
---|---
1 | … encourages contacts between students and faculty
2 | … develops reciprocity and cooperation among students
3 | … uses active learning techniques
4 | … gives prompt feedback
5 | … emphasises time-on-task
6 | … communicates high expectations
7 | … respects diverse talents and ways of learning

**TABLE 1**
SEVEN PRINCIPLES OF GOOD PRACTICE IN UNDERGRADUATE EDUCATION.

<table>
<thead>
<tr>
<th>Theme of the AEQ</th>
<th>Conditions of the AEQ.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Time demands and student effort</td>
<td>Assessed tasks capture sufficient study time and effort</td>
</tr>
<tr>
<td></td>
<td>These tasks distribute student effort evenly across topics and weeks</td>
</tr>
<tr>
<td>Assignments and learning</td>
<td>These tasks engage students in productive learning activity</td>
</tr>
<tr>
<td></td>
<td>Assessment communicates clear and high expectations to the students</td>
</tr>
<tr>
<td>Quantity and timing of learning</td>
<td>Sufficient feedback is provided, both often enough and in enough detail</td>
</tr>
<tr>
<td></td>
<td>The feedback is provided quickly enough to be useful to students</td>
</tr>
<tr>
<td>Quality of feedback</td>
<td>Feedback focuses on learning rather than on marks or students themselves</td>
</tr>
<tr>
<td></td>
<td>Feedback is linked to the purpose of the assignment and to criteria</td>
</tr>
<tr>
<td></td>
<td>Feedback is understandable to students, given their sophistication</td>
</tr>
<tr>
<td>Use of feedback</td>
<td>Feedback is received by students and attended to</td>
</tr>
<tr>
<td></td>
<td>Feedback is acted upon by students to improve their work or learning</td>
</tr>
</tbody>
</table>

**TABLE 2**
CONDITIONS OF THE ASSESSMENT EXPERIENCE QUESTIONNAIRE.

Even accepting the ideals noted above, most conventional approaches to assessment are often too closed with the students firmly adopting the role of the learner and the teacher adopting the assessor role. Such classic positions, learner or teacher, may reduce the learning opportunities since
- students may be a little too remote from the assessment criteria
- good and bad submissions are often only seen by the teacher and the student that actually submitted the work.

Reconciling these additional issues may provide additional enhanced learning opportunities. Whilst this introductory preamble is relevant for all disciplines, in many engineering degree programmes laboratory studies also form an integral part of the assessment diet. These are valuable experiential learning opportunities, give students hands-on experiences and often allow a more relaxed opportunity for students to explore what-if studies. The downside to providing such opportunities is the fact laboratory studies traditionally conclude with a formal laboratory report. For the busy lecturer such reports bring additional time demands.
This work does not report on our use of laboratory studies but on a novel approach that uses the students as assessors of the formal laboratory submissions. The motivation for adopting this assessment approach was simple; it sought to enrich the learning process. This was undertaken by -

- Building on the learning opportunities of assessment
- Bringing the learners closer to the assessment process
- Letting the learners see how others tackle the same task
- Reducing staff marking time

For reference this work was applied to three separate modules. The materials and methods used/developed as well as collective findings are presented.

**MATERIALS AND METHODS**

The starting point for this work is the delivery of briefing sheets that allow the students to see the requirements of the assessment. In this instance it included a standard *coursework briefing sheet*. This sets out the rules of engagement (*hand-out, hand-in dates*), information on the submission requirements (*...a formal individualised laboratory report describing...*) as well as indicating the expected learning outcomes. In addition to the coursework briefing sheet the students were also provided with a report writing guide ‘*An introduction to writing good laboratory reports*’. This included information on the expected structure of the report, (*abstract, ... conclusion, tables need numbers and titles*), as well as information on the *purpose* and *role* of each section. Both documents were then used by the staff to construct appropriate marking criteria. Although variations existed for the three modules, typically a ~50 question questionnaire was developed. The questionnaires were focused around the expected content and presentation from each study. Whilst there was much natural overlap in the questionnaires, for the three modules they were only used to assess laboratory reports, there were also unique questions that specifically focused on the task-in-hand. For reference some questions required only binary responses, (*yes/no*), whereas others gave opportunities for graded responses (*a-e*). The use of a formal questionnaire was used to help provide standard marking criteria and reduce some of the marking subjectivity. These questionnaires were, after-all, to be used by the students.

The marking questionnaires were used alongside standard optical marking sheets. This allowed automated reading of the marking-data and ease of transference of data to Microsoft Excel. The use of a spreadsheet allowed the teachers to set up marking rules which allowed automated marking and automated selection of appropriate studied unique feedback. The culmination of which being an automatically generated and delivered student-unique e-mail. The feedback focused on the task and gave either positive text regarding the response to each question as well as text that gave opportunities to improve. i.e. In addition to being prompt, the feedback focused more on learning than marks. Examples of these instruments are given in figures 1-3.
*Introduction to Writing Laboratory Reports* 

### 1.0 Preamble

Laboratory work is an integral feature of your engineering degree. You must therefore ensure that your work is recorded accurately and that reports you submit reflect the work you have actually done. Since these reports are often a source of marks for your course, they should therefore be prepared with care. It is therefore essential to provide these reports carefully and from time to time (in earnest)

It should also be noted that these rules contain general guidance that may help improve your writing. Adherence to these rules is not mandatory, but if you follow them faithfully, you are assured of scoring well. The objective here is to write a report that will enhance your grades. Ideally, you should ensure that the report is clear and concise. It is therefore important that you carefully follow these rules from time to time (in earnest)

### 2.0 Report Format

Although engineering students are expected to write laboratory reports, they can significantly improve their laboratory reports by following the guidelines outlined below. This section provides a checklist that highlights different expectations and ideal laboratory report writing. By following these guidelines, you are assured of scoring well. The checklist in this section provides a framework that will help you in writing effective laboratory reports.

#### Contents of a laboratory report

- **Title:** The title should reflect the contents of the laboratory study.
- **Abstract:** The abstract presents a concise,扼要, brief summary of the laboratory study.
- **Introduction:** The introduction provides background information and identifies the purpose of the experiment.
- **Methodology/Equipment:** This section describes the methods and equipment used in the experiment.
- **Results:** The results section presents the data obtained from the experiment.
- **Discussion:** This section interprets the results, discusses the implications, and explains the conclusions that can be drawn from the data.
- **Conclusion:** The conclusion summarizes the findings and outlines the implications of the study.
- **References:** A list of references is provided to acknowledge sources of data or ideas used in the report.

### Assessment criteria to be used for peer assessment of lab reports

#### Report Structure

1. The report has a title that reflects the contents of the laboratory study. 
2. The first page presents a simple and effective cover sheet. 
3. The report has a section at the start titled abstract/summary (or something similar). 
4. The report has a section named introduction (or something similar). 
5. The report has a section named method/apparatus or something similar. 
6. The report has a section named results (or something similar). 
7. The report has a section named conclusion (or something similar). 
8. The report has a section named conclusions (or something similar).

#### Presentation - Now take a quick flick through the reports.

9. The graphs of results are only present in the results section. 
10. The graphs are given figure numbers. 
11. The graphs are given appropriate titles. 
12. The axes of the graphs are labeled appropriately. 
13. The scaling of the axes on the graphs seems appropriate. 
14. The axes of the graphs carry the units of the variable being denoted. 
15. The units of the variables presented in the tables are given. 
16. The tables are numbered. 
17. The tables are given appropriate titles. 
18. The name of the variable(s) being shown in the tables is denoted. 
19. The units of the tables presented in the tables are given.

#### Summary/abstract

20. The abstract appears to provide a minimized version of the whole lab report - including key findings etc. 
21. The abstract for this report seems to be about the right length. 

#### Introduction

22. The introduction section mentions the problem definition, i.e., what it is that is being studied. 
23. The introduction section mentions the significance of the problem definition, i.e., why study this area. 
24. The introduction explicitly sets out the aims and objectives of the experiment. 
25. The introduction is completely clear of any results or discussions/conclusions about the actual experiment. 
26. The introduction appears to be a clear, comprehensive, and concise summary of the whole report.

#### Methodology/Apparatus

27. There is a clear drawing of the apparatus. 
28. The apparatus drawing is labeled clearly identifying the major individual parts. 
29. The apparatus drawing is given a figure number. 
30. The method section describes a procedure that would allow this experiment to be repeated.

#### Results

31. The results section carries the raw results data. 
32. The results section also carries calculations or the outcome of calculations based on the raw data.

---

FIGURE 1

SAMPLE SCREEN-SHOT OF THE GUIDE: ‘AN INTRODUCTION TO WRITING LABORATORY REPORTS’

---

FIGURE 2

SAMPLE SCREEN-SHOT OF THE MARKING QUESTIONNAIRE
In addition to the previously described marking instruments other, computer based technologies were used to help in the assessment process. Both were used to plugged perceive gaps that arise from this peer assessment process. First, because this approach does not use a control assessor, (i.e. it uses many rather than one set of eyes) it may have been easier for students to pass plagiarised work off as their own. This potential gap was plugged by requiring the students to submit an electronic copy of their report which was subsequently passed through a plagiarism checker. Thus although many assessors were working in parallel a centralised facility was used to check for plagiarism.

Second, in addition to marking the work provided in the laboratory report, the students were also required to submit their raw laboratory data, as well as calculations based on this data. This was submitted to software written specifically written to take this student data. Having submitted the data, additional features were developed to automatically mark and provide a second student-unique feedback e-mail. This aspect of the assessment programme checked the validity of the data and the student numerical results. In doing so it focused on the numerical correctness of the students work and not the presentation in the report. A discussion on this automated approach to assessment can be found in [6].

**Results**

At this stage it is not possible to report back on the long term benefits of this process - detailed analysis of the students’ follow-up laboratory reports is not yet possible. Even without this follow-up analysis, it is still believed that this work has already been useful. Students have asked if all reports should be structured like this as well as asking to keep the marking criteria. Further, discussions with the students have also received encouraging responses. These encouraging responses are reasonably well quantified by the response to the last question in the questionnaire. In response to the question I have learnt a lot from this marking exercise the following results were found.
TABLE 3
STUDENT RESPONSES TO ‘I HAVE LEARNT A LOT FROM THIS EXERCISE’

Legend for table 3
M1 = Fluid Mechanics and Thermodynamics
M2 = Simulation and Analysis Techniques
M3 = Aero-thermodynamics and Design
++ = strongly agree
+ = agree
0 = neither agree nor disagree
- = disagree
-- = strongly disagree

<table>
<thead>
<tr>
<th></th>
<th>++</th>
<th>+</th>
<th>0</th>
<th>-</th>
<th>--</th>
</tr>
</thead>
<tbody>
<tr>
<td>M1</td>
<td>35</td>
<td>60</td>
<td>16</td>
<td>1</td>
<td>4</td>
</tr>
<tr>
<td>M2</td>
<td>15</td>
<td>29</td>
<td>16</td>
<td>7</td>
<td>9</td>
</tr>
<tr>
<td>M3</td>
<td>5</td>
<td>31</td>
<td>21</td>
<td>8</td>
<td>6</td>
</tr>
<tr>
<td>Σ</td>
<td>55</td>
<td>120</td>
<td>53</td>
<td>16</td>
<td>19</td>
</tr>
</tbody>
</table>

A summation of the positive responses, i.e. ++ or + shows that 175 students from the 297 total (~59%) thought this was a worthwhile exercise. This compares with only 69/297 (~23%) that did not. The rest, 53/297 (~18%) indicated a neutral response.

Although follow-up work has not yet been collected what is also immediately useful from the data collection is the ability to interrogate the marking data and look for generalised findings, i.e. which aspect of the report was written well and which was written less well. Which features of the study were well understood and which requires follow-up help. Such evidenced-based diagnoses-of-learning may have been difficult without such a process.

In undertaking this study other opportunities emerge to establish the students’ views of what they read. After the marking was completed a good, bad and ugly discussion forum thread was started in the module specific area of the Universities Managed Learning Environment - StudyNet. This sought to seek the students’ views, not of the process, but of what they saw and read. This additional sharing opportunity which required the students to reflect on what they read, allowed others to see some of the good, as well as less good, features of the laboratory reports.

CONCLUSIONS

Assessment has a profound effect on learning. It can motivate as well as act as a stimulus to bring students together to review their understandings. Good assessment and hence learning does not happen by accident, it is planned and should be guided by appropriate underpinning pedagogic principles. This peer assessment of laboratory report’s, presented here, was steeped in such principles and appears to have been successful. The students indicated the learning benefits of the process (see table 3) and will hopefully translate the generalities from this exercise to other modules - this will be evaluated later. Irrespective of the longer term teaching and learning aspirations, immediate benefits arise with the opportunity to readily see what aspects of report writing or which features of the specific laboratory studies the students were struggling with. There was much evidence of students sharing what they were reading / assessing with their peers. Such learning opportunities - to share and distil good and bad practices from their peers work is not generally possible with a conventional, (closed), teacher-marked assessment.

REFERENCES.