



Depolarization ratios retrieved by AERONET sun–sky radiometer data and comparison to depolarization ratios measured with lidar

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Abstract. The linear particle depolarization ratios at 440, 675, 870, and 1020 nm were derived using data taken with the AERONET sun–sky radiometer at Seoul (37.45° N, 126.95° E), Kongju (36.47° N, 127.14° E), Gosan (33.29° N, 126.16° E), and Osaka (34.65° N, 135.59° E). The results are compared to the linear particle depolarization ratio measured by lidar at 532 nm. The correlation coefficient R^2 between the linear particle depolarization ratio derived by AERONET data at 1020 nm and the linear particle depolarization ratio measured with lidar at 532 nm is 0.90, 0.92, 0.79, and 0.89 at Seoul, Kongju, Gosan, and Osaka, respectively. The correlation coefficients between the lidar-measured depolarization ratio at 532 nm and that retrieved by AERONET at 870 nm are 0.89, 0.92, 0.76, and 0.88 at Seoul, Kongju, Gosan, and Osaka, respectively. The correlation coefficients for the data taken at 675 nm are lower than the correlation coefficients at 870 and 1020 nm, respectively. Values are 0.81, 0.90, 0.64, and 0.81 at Seoul, Kongju, Gosan, and Osaka, respectively. The lowest correlation values are found for the AERONET-derived linear particle depolarization ratio at 440 nm, i.e., 0.38, 0.62, 0.26, and 0.28 at Seoul, Kongju, Gosan, and Osaka, respectively. We should expect a higher correlation between lidar-measured linear particle depolarization ratios at 532 nm and the ones derived from AERONET at 675 and 440 nm as the lidar wavelength is be-

tween the two AERONET wavelengths. We cannot currently explain why we find better correlation between lidar and AERONET linear particle depolarization ratios for the case that the AERONET wavelengths (675, 870, and 1020 nm) are significantly larger than the lidar measurement wavelength (532 nm).

The linear particle depolarization ratio can be used as a parameter to obtain insight into the variation of optical and microphysical properties of dust when it is mixed with anthropogenic pollution particles. The single-scattering albedo increases with increasing measurement wavelength for low linear particle depolarization ratios, which indicates a high share of fine-mode anthropogenic pollution. In contrast, single-scattering albedo increases with increasing wavelength for high linear particle depolarization ratios, which indicated a high share of coarse-mode mineral dust particles. The retrieved volume particle size distributions are dominated by the fine-mode fraction if linear particle depolarization ratios are less than 0.15 at 532 nm. The fine-mode fraction of the size distributions decreases and the coarse-mode fraction of the size distribution increases for increasing linear particle depolarization ratio at 1020 nm. The dust ratio based on using the linear particle depolarization ratio derived from AERONET data is 0.12 to 0.17. These values are lower than the coarse-mode fraction derived from the volume con-

centrations of particle size distributions, in which case we can compute the coarse-mode fraction of dust.

1 Introduction

There are various aerosol types of natural (primarily desert dust and sea salt) and anthropogenic (primarily combustion of biomass and fossil fuels) origin. A precise understanding of the radiative forcing of these aerosol types is the key to quantifying the aerosol impact on regional and global climate change (IPCC, 2013). In order to better estimate the aerosol effect (direct and indirect radiative forcing) on global climate change many studies have been performed with the goal of classifying aerosol types (Burton et al., 2013; Eck et al., 1999, 2010; Lee et al., 2010a; Dubovik et al., 2002). However, those studies do not separate aerosol types according to their contribution of mixed aerosols (respectively mixtures of different aerosol types) in a plume; they merely classify dominant aerosol types based on the optical properties of aerosols.

Dust is one of the major aerosol components in the atmosphere. Dust affects Earth's climate by interacting with solar as well as thermal infrared radiation. Dust also affects atmospheric dynamics, atmospheric chemistry, air quality, and ocean biogeochemistry over a wide range of spatial and temporal scales (e.g., Haywood et al., 2005; Jickells et al., 2005; Husar et al., 2001). On the global average, dust contributes to about one quarter of aerosol optical depth (τ) in the mid-visible wavelength range (Kinne et al., 2006). Dust is also light absorbing (Lafon et al., 2004, 2006; Alfaro et al., 2004; Sokolik and Toon, 1999). It is estimated that more than half of aerosol absorption optical depth at 550 nm may come from dust (Chin et al., 2009).

The size distribution and absorption properties of desert dust and anthropogenic aerosols show properties that can be clearly distinguished (Russel et al., 2010; Dubovik et al., 2002). Desert dust predominately consists of coarse-mode particles (typical radius $> \sim 1 \mu\text{m}$). In contrast, combustion-produced particles are predominately found in the fine-mode fraction of particle size distributions (typically radius $< \sim 1 \mu\text{m}$). Aerosols in which fractions of fine-mode and coarse-mode particles are mixed are among the most challenging aerosol types to characterize. If we can separate desert dust from other aerosols in mixed dust plumes, we will improve our understanding of the effect those mixed aerosol plumes have on climate change.

The linear particle depolarization ratio (δ_p) strongly depends on particle shape. Since dust particles have a non-spherical shape, the δ_p can be used to identify the presence of dust particles in the atmosphere. In that regard lidar is a particularly powerful measurement technique (Shimizu et al., 2016; Tesche et al., 2009; Noh et al., 2007, 2008; Iwasaka et al., 2003; Cairo et al., 1999). The δ_p has also been used to

identify biogenic aerosols. Noh et al. (2013a, b) and Sassen et al. (2008) identified the vertical distribution of pollen in the atmosphere using the δ_p measured by lidar. The possibility that dust particles are mixed with other, man-made pollution and/or biomass burning particles is very high, except in source regions of dust emissions where population density, and thus emissions caused by human activities are comparably low (Sun et al., 2005, 2010; Yu et al., 2006). Mixtures of dust particles and anthropogenic particles cause changes in the δ_p of dust plumes. Shin et al. (2015) reported on lidar measurements and show that the δ_p decreases when dust is mixed with pollution particles. The δ_p is also a very useful parameter that allows us to separate dust from non-dust particles (anthropogenic, smoke, and sulfate particles) in mixed dust plumes by retrieving the dust ratio (R_D). Shimizu et al. (2004) estimated the contributions of dust and pollution particles in a mixed dust plume by the assumption that both aerosol types are externally mixed. The optical data of these mixed dust plumes were separated by the R_D into pure dust content and the contribution by anthropogenic particles (Noh et al., 2012b, 2016a; Bravo-Aranda et al., 2015; Noh, 2014). Tesche et al. (2011) separated the optical properties of desert dust and biomass burning particles in mixed dust and smoke plumes observed over the tropical North Atlantic, west of the African continent. The authors used multi-wavelength aerosol Raman lidar in combination with polarization lidar. Burton et al. (2014) provided a generalized version of the separation methodology between two aerosol types, i.e., urban pollution plus dust, marine plus dust, and smoke plus marine, by modifying the methodology suggested by Shimizu et al. (2004) and Tesche et al. (2011). Noh (2014) and Tesche et al. (2009, 2011) used the δ_p to retrieve vertically resolved single-scattering albedo (SSA) of mixed dust plumes by separating the contribution of dust and non-dust particles. Ansmann et al. (2011) and Navas-Guzmán et al. (2013) separated the contribution of volcanic ash and sulfate particles to total backscatter and extinction coefficient by using the δ_p .

However, the number of lidar measurement sites is limited and provides rather sparse spatially resolved and temporally resolved information on the global scale. Thus, we need another methods that could allow us to measure δ_p .

AERONET (Aerosol Robotic NETwork) is an automated robotic sun-and-sky-scanning measurement network that took and still collects data at more than 797 measurement sites worldwide (<http://aeronet.gsfc.nasa.gov/>). These sites span everything from temporally limited observations at sites at which field campaigns were carried out to sites that carry out long-term observations since AERONET started with its observations. AERONET sun–sky radiometers provide globally distributed observations of spectrally resolved aerosol optical depth (τ) and data inversion products such as particle size distributions and complex refractive indices of different aerosol types (Holben et al., 1998).

Dubovik et al. (2006) suggested using AERONET sun–sky radiometer data to retrieve δ_p . Müller et al. (2010, 2012)

calculated the δ_p of Saharan dust using AERONET sun–sky radiometer data. Noh et al. (2016b) and Lee et al. (2010b) used AERONET sun–sky radiometer data to retrieve the δ_p of Asian dust. However, only cases of nearly pure desert dust particles were analyzed in these studies. There exist no studies in which the δ_p for various mixtures (mixing ratio) between desert dust and anthropogenic pollution particles using AERONET data has been determined.

In this contribution we attempt to verify the reliability of AERONET-derived values of δ_p by comparing these values to values of δ_p measured by lidar.

Section 2 presents the methods used in this study. Section 3 presents our results. We discuss our results and summarize our findings in Sect. 4.

2 Methodology

2.1 Study sites

The AERONET sites considered in this study are all located along the pathway of the regime of prevailing westerly winds that serve as major transport routes of Asian dust, which is advected from the arid regions of China and Mongolia. Figure 1 shows the locations of the AERONET sun–sky radiometers and lidars used in this study.

Seoul (37.45° N, 126.95° E) and Kongju (36.47° N, 127.14° E) are located inland (continental influenced), whereas Gosan (33.29° N, 126.16° E) and Osaka (34.65° N, 135.59° E) are coastal sites. The Gosan site faces the Yellow Sea and is considered an ideal location for monitoring regional background aerosols in East Asia because there are few local industrial sources in that region. The other three sites are located inside large cities. We also use data from the AERONET site at Dunhuang (40.49° N, 94.95° E) in our study, and we analyzed the depolarization ratios and optical properties of pure Asian dust at this source region.

Lidar data are obtained from the lidar network of the National Institute of Environmental Research (NIES), Japan. The lidars operated in this network are two-wavelength (1064 and 532 nm) Mie-scattering lidars that also measure the linear particle depolarization ratio at 532 nm. The details of these lidar systems and data processing procedures are explained by Shimizu et al. (2016) and Sugimoto et al. (2014).

The locations of the lidar systems used for our research work are the same as the locations of the AERONET systems for the sites in Seoul, Gosan, and Osaka. The lidar used for the Kongju site is located approximately 32 km to the northwest of the AERONET site.

2.2 Depolarization ratios derived from data taken with AERONET sun–sky radiometer

Dubovik et al. (2006) introduced kernel lookup tables that describe mixtures of spheroid particles. These kernel lookup tables were used to infer the δ_p of mineral dust observed with

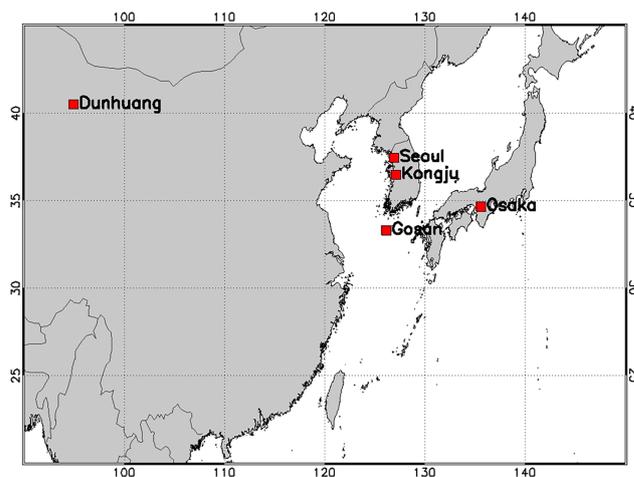


Figure 1. Map of the observation sites. Measurements with AERONET sun–sky radiometer and lidar were performed at Seoul, Kongju, Gosan, and Osaka. AERONET sun–sky radiometer measurements were made at Dunhuang.

sun–sky radiometer. The details of the AERONET inversion algorithm that processes data of mineral dust are given by Dubovik et al. (2006).

Briefly, the retrieval of the depolarization ratios works as follows. The elements $F_{11}(\lambda)$ and $F_{22}(\lambda)$ of the Müller scattering matrices (Bohren and Huffman, 1983) are computed from the retrieved complex refractive indices and particle size distributions. The term $F_{11}(\lambda)$ is proportional to the flux of the scattered light (Volten et al., 2001) in the case of unpolarized incident light. The $F_{22}(\lambda)$ term in turn follows from the angular and spectral distribution of the radiative intensity which is measured with the AERONET instrument (Dubovik et al., 2006). Another input parameter that is needed for the retrieval of δ_p is the aspect ratio distribution. The aspect ratio indicates the ratio of a particle’s longest axis to its shortest axis. In the case of prolate particles its polar diameter is greater than the equatorial diameter, in contrast to oblate particles where this ratio is vice versa. The aspect ratio distribution is kept to a fixed distribution in the AERONET model since scattering elements are nearly equivalent for all mixtures of spheroid particles (Dubovik et al., 2006).

From the ratio of the elements $F_{11}(\lambda)$ and $F_{22}(\lambda)$ at the scattering angle 180° the $\delta_p(\lambda)$ can be computed as

$$\delta_p(\lambda) = \frac{1 - F_{22}(\lambda, 180^\circ)/F_{11}(\lambda, 180^\circ)}{1 + F_{22}(\lambda, 180^\circ)/F_{11}(\lambda, 180^\circ)}. \quad (1)$$

The δ_p derived from the sun–sky radiometer data is written as δ_p^S so that we can distinguish it from the lidar-derived δ_p , i.e., δ_p^L .

The contributions of dust and anthropogenic pollution particles to the total backscatter coefficients of mixed aerosol plumes were estimated from the δ_p under the assumption that both types of aerosol particles are externally mixed. The dust

ratio (R_D) of the dust-related backscatter coefficient to the total backscatter coefficient was calculated using Eq. (1), based on the method suggested by Shimizu et al. (2004):

$$R_D = \frac{(\delta_P^S - \delta_2)(1 + \delta_1)}{(\delta_1 - \delta_2)(1 + \delta_P^S)}, \quad (2)$$

where δ_1 and δ_2 denote the δ_P^S of pure dust and non-dust particles (i.e., the total aerosol plume without the contribution by dust), respectively, in the external mixture of aerosol particles. The values δ_1 and δ_2 can be empirically determined. In the present study, we used the value 0.34 for δ_1 . This value was derived from adding 0.01 to the maximum value observed at the Dunhuang site (Asian dust source region). The value of 0.02 was used for δ_2 , which is the minimum value used in this study. When δ_P^S was higher than δ_1 or lower than δ_2 , R_D was set to 1 or 0, respectively.

Two kinds of coarse-mode fractions (CMFs) were calculated. The CMF of the aerosol optical depth (τ) (CMF τ) is calculated from the ratio of the coarse-mode τ to the total (coarse + fine mode) τ at the same wavelength at which the δ_P^S is available. The CMF is also calculated on the basis of the volume concentration (CMF $_{vc}$).

2.3 Column-integrated depolarization ratio measured by lidar

The lidar systems used in our study measure the linear volume depolarization ratio (aerosols + molecules; δ^L) from the linearly and perpendicularly polarized components of the Mie–Rayleigh backscatter signals at 532 nm wavelength (Shimizu et al., 2004). The value of δ^L is defined as

$$\delta^L(z) = \frac{P_{\perp}(z)}{P_{\parallel}(z)}, \quad (3)$$

where $P(z)$ is the backscatter signal with respect to height z . The symbols \parallel and \perp denote the linearly and perpendicularly polarized components with respect to the plane of polarization of the emitted laser light, respectively.

In order to obtain reliable depolarization ratios, the data of the lidar measurements must be calibrated before physical quantities such as the linear volume depolarization ratio can be retrieved. It is important to calibrate the signal intensities of the P_{\parallel} and P_{\perp} first, before the linear volume depolarization ratio is calculated. The calibration method of the lidar system is explained in detail by Shimizu et al. (2016) and Nishizawa et al. (2017).

The difference of the sensitivity between two photomultiplier tubes (PMTs) that are used by the lidar system to detect these components is checked regularly by the following method. A sheet polarizer whose polarizing direction is set at 45° to the polarizing plane of the emitted light is inserted in front of the beam splitter cube, and the backscatter signal from the sky is recorded as a reference signal. In this reference record, the light intensities of the two channels are equal

after the sheet polarizer, so the calibration constant can be obtained by comparing the recorded values of P_{\parallel} and P_{\perp} . In the next step, the sheet polarizer is rotated by 90°, which sets the polarizing angle at −45°, and another reference signal is recorded. Then relative calibration of P_{\parallel} and P_{\perp} channels is performed using signals measured for the polarizing angles at $\pm 45^\circ$. This pair of reference signals reduces any error caused by a poor positioning of the sheet polarizer (Freudenthaler et al., 2009, 2016). The reference signals are usually recorded once per year for each lidar (Shimizu et al., 2016).

A different definition of the δ^L ($\delta^{LT} = P_{\perp}/(P_{\parallel} + P_{\perp})$) is used in many other studies, e.g., Noh et al. (2016a, 2013b) and Sakai et al. (2000). The term δ^{LT} is defined differently from the definition we use for δ^L in our work. It is expressed by the following equation, derived from Eq. (3) (see Cairo et al., 1999).

$$\delta^{LT} = \frac{\delta^L}{\delta^L + 1} \quad (4)$$

The variable δ_P^L differs from δ^L as it depends on the concentration of particles and it does not take account of the contribution (concentration) of air molecules. In this contribution, δ_P^L can be calculated on the basis of the definition provided by Sakai et al. (2003):

$$\delta_P^L(z) = \frac{\delta^L(z)(R(z) + R(z) \times \delta_M - \delta_M) - \delta_M}{R(z) - 1 + R(z) \times \delta_M - \delta^L(z)} (\%). \quad (5)$$

The backscatter ratio R is the ratio of the sum of the aerosol backscatter coefficient ($\beta_P + \beta_M$) to the pure molecular backscatter coefficient (β_M), which, according to Whiteman et al. (1992) can be expressed by

$$R(z) = \frac{\beta_P(z) + \beta_M(z)}{\beta_M(z)}. \quad (6)$$

β_P and β_M are the volume backscatter coefficients of aerosol particles and air molecules, respectively. The molecular depolarization ratio (δ_M) is assumed to be 0.014 (Shimizu et al., 2004).

The parameter δ_P^L can be derived by lidar measurements in terms of vertical profiles. In contrast, δ_P^S describes a column-integrated value. For that reason, δ_P^L had to be changed to column-integrated values in our study so that we could carry out a direct comparison with δ_P^S .

The column-integrated and weighted values of δ_P^L (δ_P^{CL}) can be calculated by

$$\delta_P^{CL} = \int_0^z \delta_P^L(z) W(z) dz, \quad (7)$$

where the term $W(z)$ is a weight factor that is calculated by using the measured aerosol backscatter coefficient (β_P) according to

$$W(z) = \frac{\beta_P(z)}{\int_0^z \beta_P(z) dz}. \quad (8)$$

The aerosol backscatter coefficient is derived by the backward version of Fernald’s method (Fernald, 1984). The data observed at 9 km height are used as reference height for the analysis of data taken under cloud-free conditions.

The reference height is lowered if the signal-to-noise ratio at 9 km is not sufficient, which may be the case of high aerosol concentration. Molecular density profiles are taken from the COSPAR international reference atmosphere (CIRA-86) for computing the Rayleigh scattering component. A constant lidar ratio of 50 sr is applied in the calculation of the aerosol backscatter coefficients (Shimizu et al., 2016). Since the lidar ratio differs for different aerosol types, the selection of the lidar ratio is important to obtain exact values of extinction and backscatter coefficients. However, we only use the ratio of the backscatter coefficient in the calculation of $W(z)$ in our study. For that reason, the value of the lidar ratio does not affect the calculation of $W(z)$.

Figure 2 shows a retrieval example of δ_P^{CL} . Three cases, corresponding to lidar measurements carried out at 23:00 UTC (start time of measurement) on 13 March 2010 (Fig. 2a), at 06:00 UTC on 22 March 2010 (Fig. 2b), and at 23:15 UTC on 3 May 2010 (Fig. 2c), are shown. The measurement on 13 March 2010 describes an aerosol plume that has a high value of δ_P^L and a high value of β_P (case 1, Fig. 2a). The measurement on 22 March 2010 describes an aerosol plume with high β_P below and above the planetary boundary layer (PBL), respectively. However, a high value of δ_P^L is detected above the PBL (case 2, Fig. 2b) only. The measurement on 2 May 2010 describes an aerosol plume with low backscatter coefficients and a high value of δ_P^L (case 3, Fig. 2c). The averaged values of δ_P^L (δ_{ave}^L) which do not consider the backscatter intensity but just the averaged δ_P^L from the bottom to the top height of the profiles of δ_P^L are listed in Fig. 2, too.

The values of δ_P^S at 1020 nm are 0.250, 0.140, and 0.164 for the cases 1–3, respectively. The values of δ_P^{CL} are similar to the values of δ_P^S . We find 0.243, 0.129, and 0.157 for the cases 1–3, respectively. However, the values of δ_{ave}^L for case 1 and case 3 are different compared to the values of δ_P^S . Since δ_P^L is not directly related to aerosol concentration but only to the non-sphericity of (an ensemble of) aerosol particles (inside a given volume of air) large values of δ_P^L can occur for high as well as for low aerosol backscatter coefficients. Lower values of δ_{ave}^L compared to δ_P^S are linked to high values of δ_P^L for the case of high aerosol backscatter coefficients of a thin aerosol layer (see Fig. 2a). We find that the value of δ_{ave}^L is higher than the value of δ_P^S for the situation in which a high value of δ_P^L is combined with a low aerosol backscatter coefficient (see Fig. 2c). The examples in Fig. 2 show that δ_P^{CL} has to be compared with δ_P^S .

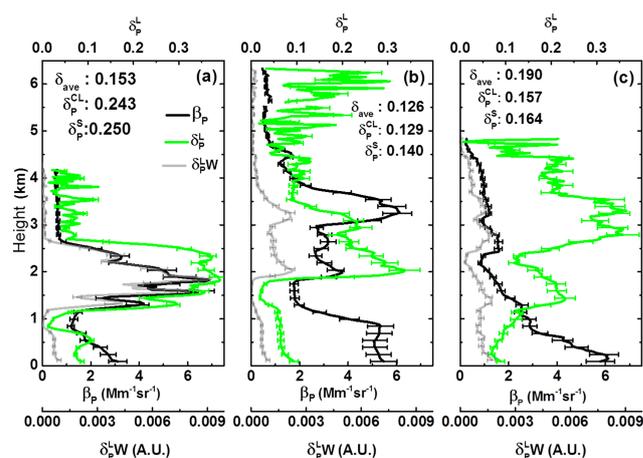


Figure 2. Lidar-derived aerosol backscatter coefficient (β_P ; black line), the linear particle depolarization ratio (δ_P^L ; green), and the weighted linear particle depolarization ratio (δ_P^{LW} ; gray) at 532 nm observed (a) from 23:00 to 23:15 UTC on 13 March 2010, (b) from 06:00 to 06:15 UTC on 22 March 2010, and (c) from 23:15 to 23:30 UTC on 3 May 2010. The wavelength of δ_P^S is 1020 nm. The height is expressed as altitude above ground level (a.g.l.).

3 Results and discussion

3.1 AERONET-derived depolarization ratios and lidar-measured values: comparison

Figure 3 shows the temporal variation of τ at 500 nm and the values of δ_P^S at 1020 nm at the four AERONET sites. The combined data of the four sites are shown in the same figure. The number of measurement cases for the four sites is listed in Table 1. The total number of retrieved values of δ_P^S is 163, 44, 139, and 234 at Seoul, Kongju, Gosan, and Osaka, respectively. Since the measurement cases are limited it is difficult to analyze seasonal trends. However, we find high values of τ and δ_P^S in spring (March to May). We assume that these high values are caused by transport of dust from East Asia to the Pacific Ocean.

Figure 4 presents scatterplots of δ_P^{CL} and δ_P^S at the four AERONET sites. We compare the values of the δ_P^S at these four wavelengths (440, 675, 870, and 1020 nm) to the values of δ_P^{CL} at 532 nm. The correlation coefficients R^2 at 1020 nm are high. We find 0.90, 0.92, 0.79, and 0.89 at Seoul, Kongju, Gosan, and Osaka, respectively.

We find similarly high correlation between δ_P^{CL} and δ_P^S at 870 nm, i.e., numbers are 0.89, 0.92, 0.76, and 0.88 at Seoul, Kongju, Gosan, and Osaka, respectively. The correlation at 675 nm is lower than the values we find at 870 and 1020 nm. Values are 0.81, 0.90, 0.64, and 0.81 at Seoul, Kongju, Gosan, and Osaka, respectively. The correlation is significantly low at 440 nm. Values are 0.38, 0.62, 0.26, and 0.28 at Seoul, Kongju, Gosan, and Osaka, respectively.

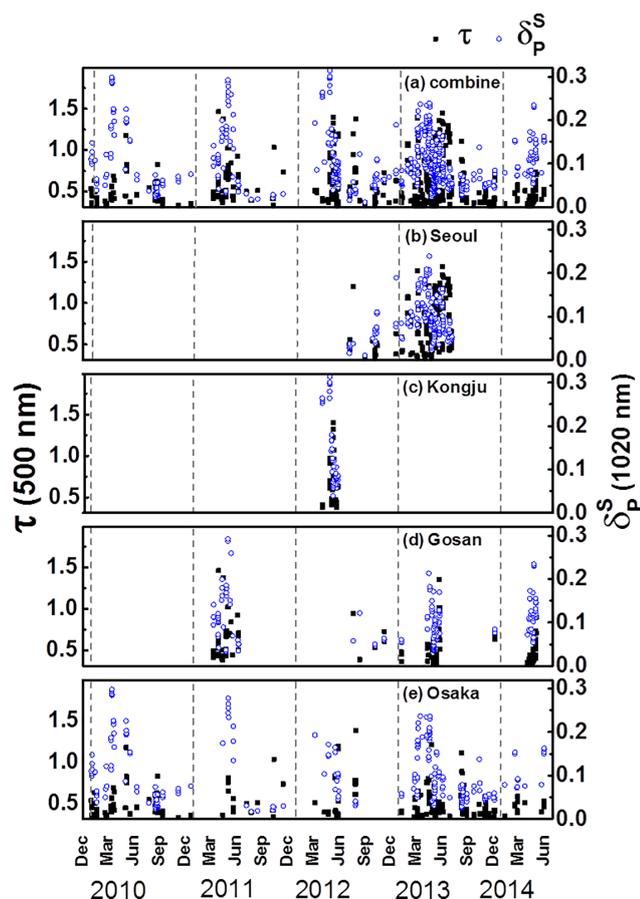


Figure 3. Aerosol optical depth (τ) at 500 nm retrieved from AERONET sun–sky radiometer measurements (black squares) and linear particle depolarization ratio derived at 1020 nm from sun–sky radiometer data (δ_P^S ; blue open circles). (a) Data of the four sites taken from 2010 to 2014; (b) data taken at Seoul for two years (2012 and 2013); (c) data taken at Kongju in spring 2012; (d) data taken at Gosan during four years (2011–2014), mostly during spring; (e) data taken from 2010 to 2014 at Osaka.

The correlation coefficient at 440 nm at Kongju is much higher than at the other sites. This higher correlation may be caused by the limited number of observational data and/or observation times. Only 44 cases were taken during a short period of 2 months, from April to May 2012 at Kongju.

Figure 4 shows that the differences between δ_P^{CL} and δ_P^S are high when the value of δ_P^{CL} is less than 0.10 at Seoul, Gosan and Osaka. However, the number of cases of low δ_P^{CL} (< 0.10) is comparably low at Kongju compared to the other sites. The number of cases with high δ_P^{CL} (> 0.25) is comparably high (with respect to all cases), i.e., 23% (10 cases out of 44 in total), compared to what we find for the other sites: 0/163, 3/139, and 10/234 at Seoul, Gosan, and Osaka, respectively (see Table 1).

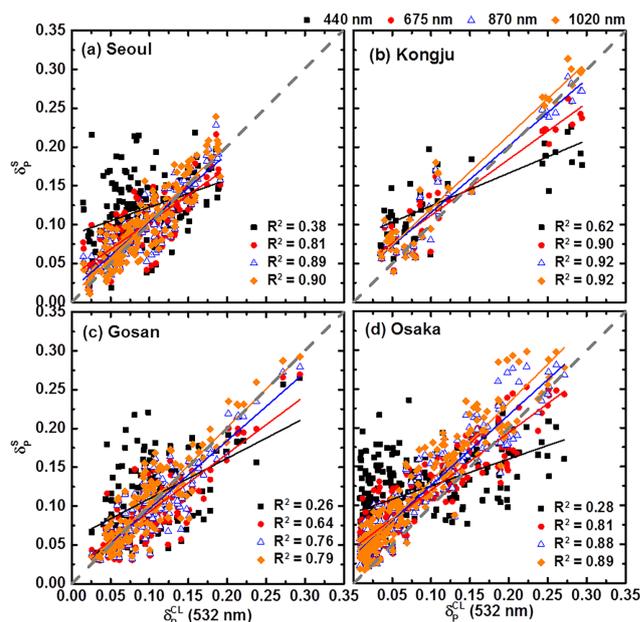


Figure 4. The correlation coefficients R^2 (the coefficient of determination) between δ_P^{CL} at 532 and δ_P^S at 440 (black squares), 675 (red circles), 870 (blue open triangles), and 1020 nm (orange diamonds) at (a) Seoul, (b) Kongju, (c) Gosan, and (d) Osaka.

We tried to find the reason for the comparably low correlation at 440 nm. For that reason, we retrieved the δ_P^S at 532 nm by interpolating the value of δ_P^S at 532 nm on the basis of the four AERONET wavelengths. In the next step the differences between δ_P^S and δ_P^{CL} at 532 nm ($\delta_{P,532}^{CL} - \delta_{P,532}^S$) were calculated by deducting δ_P^{CL} (at 532 nm) from δ_P^S (at 532 nm) for all 580 cases for which we have δ_P^S at the four AERONET sites.

The values $\delta_{P,532}^{CL} - \delta_{P,532}^S$ of were varied from 0.14 to -0.09 . In the following step the data were sorted according to the differences of $\delta_{P,532}^{CL} - \delta_{P,532}^S$. In the final step we divided these differences into intervals of 0.02, i.e., 1 > 0.12 , 2 0.10–0.12, 3 0.08–0.10, ..., 11 -0.06 – (-0.08) , 12 $< (-0.08)$.

Figure 5 shows the variation of the averaged δ_P^S at the five wavelengths and the values of δ_P^{CL} at 532 nm divided by the differences of $\delta_{P,532}^{CL} - \delta_{P,532}^S$. The differences of δ_P^S between the wavelengths at 440 and 1020 nm are high.

We find that δ_P^S decreases with increasing wavelength if the value of $\delta_{P,532}^{CL} - \delta_{P,532}^S$ is low. The differences between δ_P^S at 440 nm and δ_P^S at 1020 nm become less for increasing interval number, i.e., $\delta_{P,532}^{CL} - \delta_{P,532}^S$ for the interval number 7 (0–0.02) (i.e., the yellow triangle pointing to the right in Fig. 5). The value of δ_P^{CL} at 532 nm shows lower values than δ_P^S at 1020 nm in those intervals. The differences between δ_P^{CL} at 532 nm and δ_P^S at 1020 nm are reduced as $\delta_{P,532}^{CL} - \delta_{P,532}^S$ is decreased up to the interval number 7 (0–0.02). We find an increase of δ_P^S with increasing wavelength from the interval

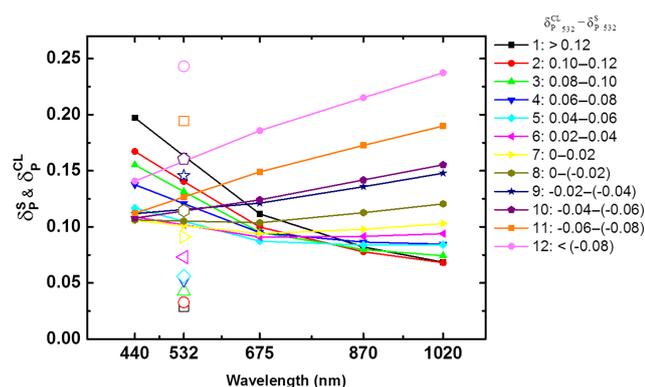


Figure 5. The average values of δ_p^S at five wavelengths (440, 532, 670, 875, and 1020 nm) and δ_p^{CL} at 532 nm divided by the range of the differences between δ_p^S and δ_p^{CL} at 532 nm ($\delta_{P,532}^{CL} - \delta_{P,532}^S$). The symbol of δ_p^{CL} at 532 nm is shown as open figure and in larger size.

number 8 ($0 - (-0.02)$). The value of δ_p^{CL} at 532 nm is larger than the value of δ_p^S at 1020 nm in the interval number 8 ($0 - (-0.02)$). Also, the differences between δ_p^{CL} at 532 nm and δ_p^S at 1020 nm are increased as the interval number increased.

If we assume that the value of δ_p^{CL} at 532 nm is close to real value of δ_p , the results in Fig. 5 indicate that the δ_p^S at 440 nm has been retrieved to be higher value than the δ_p^{CL} at 532 nm in the interval number from 1 (> 0.12) to 7 ($0 - 0.02$) when the δ_p^{CL} at 532 nm showed low values (less than 0.08). Conversely, when the high δ_p^{CL} at 532 nm was measured, the δ_p^S at 440 nm showed a lower value than the δ_p^{CL} at 532 nm.

Figure 6 shows the average of volume particle size distributions of each interval data as separated in Fig. 5. We see that the volume size distributions change from fine-mode-dominated size distributions to coarse-mode-dominated size distributions when the interval number moves from 1 (> 0.12) to 12 (< -0.08).

The important point of Fig. 6 is the variation of the volume median radius (R_v). The volume median radius of the coarse (R_{v_c}) and the fine (R_{v_f}) mode shows a maximum value at the interval number 1 (> 0.12). R_{v_f} clearly and progressively decreases as the interval number moves from 1 (> 0.12) to 12 (< -0.08). The R_{v_f} of the interval number 1 (> 0.12) is 2 times larger than the interval number of 12 (< -0.08) as 0.28 ± 0.03 and $0.13 \pm 0.01 \mu\text{m}$, respectively. R_{v_c} also shows a pattern of decreasing values with decreasing values of $\delta_{P,532}^{CL} - \delta_{P,532}^S$, but it does not show as progressively as R_{v_f} .

Figures 5 and 6 show that the value of δ_p^S at 440 nm tends to be retrieved high for conditions where there is no dust at all or the dust concentration is low. Such conditions are usually dominated by a significant fine mode of the particle size distribution.

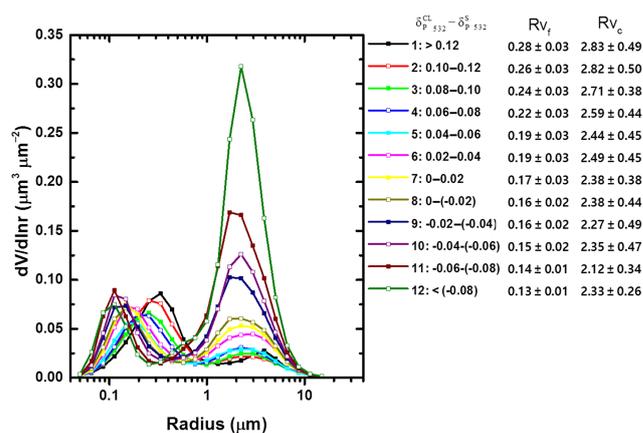


Figure 6. The average value of the volume size distributions derived by AERONET sun–sky radiometer measurements divided by the range of the differences between δ_p^S and δ_p^{CL} at 532 nm ($\delta_{P,532}^{CL} - \delta_{P,532}^S$).

When dust particles contribute the main share to the particle concentration, i.e., high values of δ_p^{CL} at 532 nm, the contribution of fine-mode particles is small. When particles in the fine mode are the main contribution of the particle size distribution, i.e., low values of δ_p^{CL} at 532 nm, the size of the particles in the fine-mode fraction are considered to have a large influence on the retrieval of the values of δ_p^S . This effect is considered to be more significant at 440 nm, i.e., at short wavelengths.

Mamouri and Ansmann (2017) found that the value of δ_p^L is maximum at 532 nm and lower at 355 and 1064 nm because of the competing influence the fine-mode and coarse-mode dust fraction have on the overall values (fine + coarse) of δ_p^L at the three wavelengths.

Haarig et al. (2017) found that on average the values of δ_p^L for aged Saharan dust were 0.25 at 355 nm, 0.31 at 532 nm, and 0.225 at 1064 nm. Müller et al. (2010, 2012) and Freudenthaler et al. (2009) also found spectral slope of the depolarization ratio with the maximum at 532 nm and lower values at 355 and 1064 nm during the 2006 Saharan Mineral Dust Experiment (SAMUM). The results clearly show a different pattern of the spectral variations of δ_p measured by lidar and retrieved from sun–sky radiometer observations of dust.

It is a striking result that δ_p^S at 1020 nm, unlike δ_p^S at 440 nm, is very similar to the values of δ_p^{CL} at 532 nm. Though we cannot identify the reason for this similarity and – even if the wavelengths (lidar at 532 nm and AERONET sun–sky radiometer at 1020 nm) are different – we may use the values of δ_p^S at 1020 nm as a qualitative indicator of the presence of mineral dust particles in the atmosphere. It remains to be seen whether we can use this parameter also as a qualitative measure of the mixing ratio of mineral dust and

Table 1. Average value of aerosol optical depth (τ) at 500 nm, optical-depth-related Ångström exponent (Å , 440–870 nm), absorption-related Ångström exponent (Å_A), dust ratio (R_D) derived by δ_P^S at 1020 nm, coarse-mode fraction of the τ at 1020 nm (CMF_τ), coarse-mode fraction of the volume concentration (CMF_{vc}), and difference between CMF_{vc} and R_D ($\text{CMF}_{vc} - R_D$).

	δ_P^S (1020 nm)	Group 1 0–0.05	Group 2 0.05–0.1	Group 3 0.1–0.15	Group 4 0.15–0.2	Group 5 0.2–0.25	Group 6 0.25 >	Total
Seoul	No.	25	74	38	21	5		163
	τ (500 nm)	0.62 ± 0.26	0.74 ± 0.31	0.77 ± 0.29	0.62 ± 0.25	0.89 ± 0.29		0.72 ± 0.29
	Å	1.42 ± 0.21	1.38 ± 0.16	1.22 ± 0.16	1.05 ± 0.12	0.8 ± 0.15		1.29 ± 0.22
	Å_A	1.32 ± 0.37	1.56 ± 0.43	1.78 ± 0.40	1.8 ± 0.29	1.88 ± 0.36		1.62 ± 0.43
	R_D	0.08 ± 0.04	0.22 ± 0.05	0.37 ± 0.05	0.51 ± 0.05	0.63 ± 0.05		0.29 ± 0.15
	CMF_τ^*	0.13 ± 0.06	0.29 ± 0.14	0.46 ± 0.12	0.69 ± 0.06	0.78 ± 0.02		0.37 ± 0.21
	CMF_{vc}	0.22 ± 0.06	0.38 ± 0.11	0.56 ± 0.09	0.70 ± 0.05	0.79 ± 0.06		0.45 ± 0.18
	$\text{CMF}_{vc} - R_D$	0.14	0.16	0.19	0.19	0.16		0.16
Kongju	No.	1	22	8	3		10	44
	τ (500 nm)	0.67	0.68 ± 0.29	0.69 ± 0.24	0.41 ± 0.01		0.61 ± 0.21	0.65 ± 0.25
	Å	1.74	1.55 ± 0.12	1.27 ± 0.16	1.09 ± 0.07		0.4 ± 0.10	1.21 ± 0.47
	Å_A	1.25	1.32 ± 0.21	1.54 ± 0.47	1.43 ± 0.72		2.28 ± 0.29	1.58 ± 0.50
	R_D	0.08	0.21 ± 0.04	0.40 ± 0.06	0.54 ± 0.03		0.85 ± 0.06	0.41 ± 0.26
	CMF_τ^*	0.23	0.29 ± 0.09	0.47 ± 0.16	0.66 ± 0.03		0.90 ± 0.02	0.49 ± 0.26
	CMF_{vc}	0.24	0.36 ± 0.07	0.54 ± 0.11	0.65 ± 0.01		0.88 ± 0.02	0.53 ± 0.22
	$\text{CMF}_{vc} - R_D$	0.16	0.15	0.14	0.11		0.04	0.12
Gosan	No.	18	47	47	19	5	3	139
	τ (500 nm)	0.6 ± 0.24	0.54 ± 0.23	0.54 ± 0.17	0.53 ± 0.14	0.41 ± 0.02	0.85 ± 0.17	0.55 ± 0.20
	Å	1.55 ± 0.14	1.34 ± 0.20	1.27 ± 0.18	0.92 ± 0.18	0.77 ± 0.11	0.24 ± 0.24	0.124 ± 0.30
	Å_A	0.75 ± 0.36	0.91 ± 0.48	0.94 ± 0.43	0.95 ± 0.59	1.01 ± 0.11	2.39 ± 0.70	0.94 ± 0.51
	R_D	0.08 ± 0.02	0.20 ± 0.05	0.37 ± 0.04	0.53 ± 0.04	0.71 ± 0.02	0.85 ± 0.05	0.32 ± 0.18
	CMF_τ^*	0.24 ± 0.07	0.33 ± 0.15	0.53 ± 0.11	0.69 ± 0.10	0.81 ± 0.02	0.90 ± 0.02	0.47 ± 0.21
	CMF_{vc}	0.26 ± 0.06	0.37 ± 0.10	0.56 ± 0.09	0.68 ± 0.08	0.75 ± 0.05	0.89 ± 0.08	0.49 ± 0.18
	$\text{CMF}_{vc} - R_D$	0.18	0.17	0.19	0.15	0.04	0.04	0.17
Osaka	No.	54	80	32	38	20	10	234
	τ (500 nm)	0.54 ± 0.21	0.47 ± 0.18	0.47 ± 0.12	0.54 ± 0.15	0.61 ± 0.17	0.58 ± 0.15	0.51 ± 0.18
	Å	1.64 ± 0.13	1.52 ± 0.16	0.13 ± 0.17	1.01 ± 0.14	0.70 ± 0.18	0.26 ± 0.08	1.31 ± 0.39
	Å_A	1.18 ± 0.25	1.23 ± 0.23	1.37 ± 0.43	1.69 ± 0.39	1.57 ± 0.43	1.98 ± 0.41	1.37 ± 0.39
	R_D	0.07 ± 0.04	0.20 ± 0.06	0.37 ± 0.06	0.55 ± 0.05	0.68 ± 0.04	0.86 ± 0.04	0.32 ± 0.23
	CMF_τ^*	0.17 ± 0.09	0.30 ± 0.12	0.47 ± 0.14	0.69 ± 0.07	0.81 ± 0.04	0.91 ± 0.01	0.43 ± 0.26
	CMF_{vc}	0.21 ± 0.06	0.35 ± 0.07	0.52 ± 0.08	0.66 ± 0.05	0.79 ± 0.06	0.89 ± 0.03	0.45 ± 0.22
	$\text{CMF}_{vc} - R_D$	0.14	0.15	0.15	0.11	0.11	0.03	0.13

* 1020 nm.

anthropogenic pollution particles compared to the more robust parameter δ_P^{CL} (at 532 nm).

3.2 Classification of AERONET-derived depolarization ratios based on values of δ_P^S at 1020 nm

The previous section showed that the depolarization ratio from AERONET does not agree with the lidar values at 532 nm. A quantitative interpretation of the AERONET values is not possible. However, even if the quantitative numbers are wrong there may still be a possibility to use the AERONET numbers as qualitative indicators, i.e., trend. We must keep in mind, however, that the spectral slope of the de-

polarization ratios from AERONET are not correct for cases of (high) dust load.

We classified the observational data into six groups based on the values of δ_P^S at 1020 nm. Group 1 contains cases for which the values of δ_P^S are 0.05 at 1020 nm. Groups 2–5 include values in the range of 0.05–0.1, 0.1–0.15, 0.15–0.2, and 0.2–0.25 at 1020 nm, respectively. Values are above 0.25 in group 6.

Table 1 shows the number of data sets (observation cases) for each of the six data groups at the four observation sites. Table 1 also lists the averaged values of τ at 500 nm, the optical-depth-related Ångström exponent (Å) between 440 and 870 nm, and the light-absorption-related Ångström exponent (Å_A) between 440 and 870 nm of each group. The

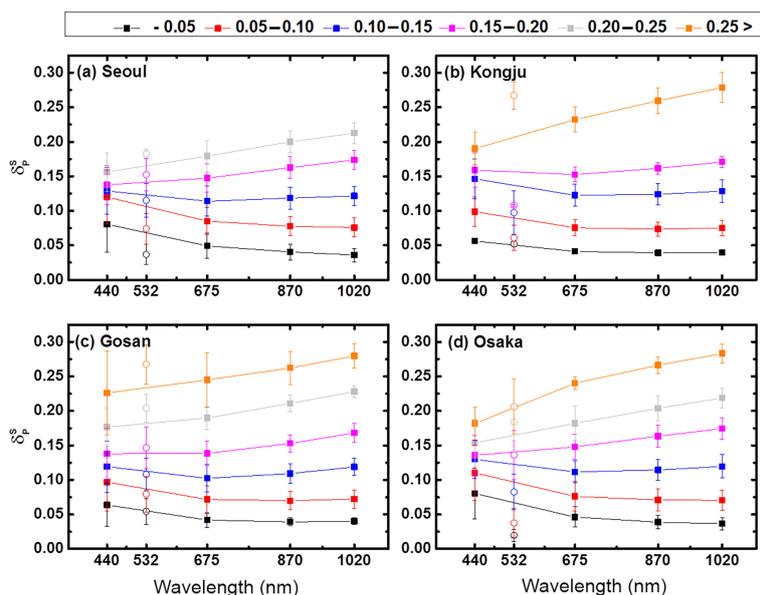


Figure 7. The average value of the δ_p^S at 440, 675, 870, and 1020 nm for each group. Each group is distinguished by color: black (group 1), red (group 2), blue (group 3), pink (group 4), gray (group 5), and orange (group 6). The average values of the δ_p^{CL} at 532 nm are shown as open circles and the same color as the values of δ_p^S .

values of τ are similar in all six groups. The reason for that similarity is because τ is insensitive to the shape and size of the particles. The values of \tilde{A}_A increase. The values of \tilde{A} decrease with increasing value of δ_p^S .

Figure 7 shows the values δ_p^S of the six groups at the four measurement wavelengths of the AERONET sun–sky radiometers. There is a rather clear increase of δ_p^S with respect to increasing measurement wavelength in group 6. We see a similar pattern in groups 4 and 5, respectively. In contrast, groups 1–3 show the highest values of δ_p^S at 440 nm whereas the values of δ_p^S are similar at the other three measurement wavelengths.

Values of δ_p^L of pure mineral dust plumes were measured at three wavelengths (355, 532, and 1064 nm) with lidar (Freudenthaler et al., 2009) during SAMUM in 2006. Freudenthaler et al. (2009) found values of 0.31 for δ_p^L at 532 nm. Müller et al. (2010, 2012) compared those data with data derived from collocated AERONET sun–sky radiometer observations. Values of δ_p from both instruments agree at 1064 nm wavelength (Müller et al., 2010, 2012). If the sun–sky radiometer results are extrapolated to the lidar wavelength of 355 nm, the value of δ_p obtained from the sun–sky radiometer is 20 % lower than the value obtained from the lidar observations (see Fig. 3 in Müller et al., 2010, and Fig. 7 in Müller et al., 2012).

Müller et al. (2010) find that the values of δ_p inferred from the sun–sky radiometer observations tend to be lower than the values measured with lidar for the case of pure mineral dust. Only group 6 (Kongju and Gosan) shows 20–30 % lower values of δ_p^S compared to δ_p^{CL} in the visible wave-

length range, which is a similar trend reported by Müller et al. (2010). This feature, i.e., that δ_p^{CL} is higher than δ_p^S , is also found in group 5. However, the differences of the numbers are less compared to the differences we find for group 6. The opposite situation, i.e., δ_p^{CL} is lower than δ_p^S , is found in groups 1–3. Figure 4 shows that the values of δ_p^S at 440 nm are significantly different from the values found at the other three wavelengths.

Figure 7 shows the values of δ_p^{CL} at 532 nm of each group. We find 0.27 ± 0.02 and 0.27 ± 0.03 at Kongju and Gosan, respectively, for group 6. The values at the Osaka site are lower; we find 0.21 ± 0.04 . The highest values of δ_p^{CL} are 0.29 at the Kongju and Gosan sites, respectively; see Fig. 4.

The differences of the δ_p at the observation sites are likely caused by the appearance of dust. The transport distance to the four observation sites may have influence on the values of δ_p . Kongju and Gosan have similar transport distances from the source regions of East Asian dust. Osaka is located at a distance of 1 to 2 days of transport time from Kongju and Gosan. It means that more dust particles can be removed by gravity sedimentation during transport (Maring et al., 2003; Gong et al., 2003). Another reason may be that more anthropogenic pollution particles are mixed into these Asian dust plume because of the longer transport times (Kanayama et al., 2002; Noh et al., 2012a; Noh, 2014; Shin et al., 2015).

Figure 8 shows the averaged values of the vertically resolved β_p , the values of δ_p^L , and the weighted values of δ_p^L (δ_p^{LW}) measured by lidar for each group. The backscatter coefficients generally decrease with height. However, high

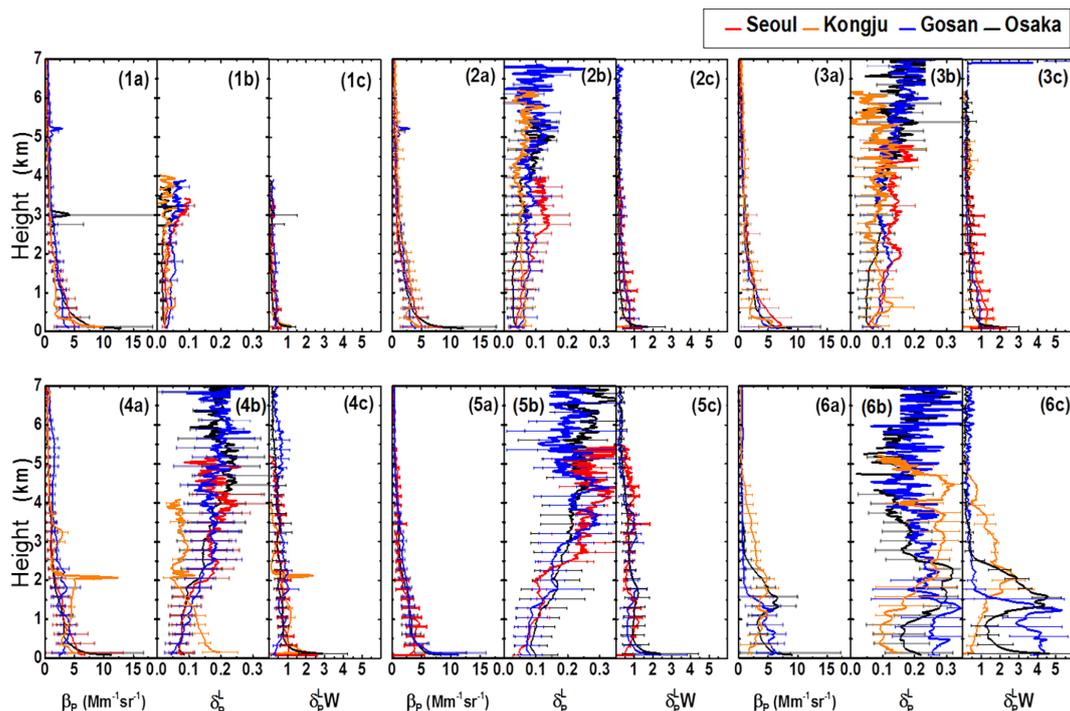


Figure 8. Average values of the vertical profiles of (a) the particle backscatter coefficient, (b) the linear particle depolarization ratios (δ_p^L), and (c) the weighted linear particle depolarization ratios (δ_p^{LW}) for group 1 (1), group 2 (2), group 3 (3), group 4 (4), group 5 (5), and group 6 (6). The sites are Seoul (red), Kongju (orange), Gosan (blue), and Osaka (black).

values are found in the upper atmosphere in those cases in which δ_p^L is high. Values of δ_p^L are as high as 0.1 in group 1 and increase with increasing group number (from group 1 to group 6). The increase of the values of δ_p^L is more obvious above 2 km height than below 2 km height above ground. The higher values above 2 km above ground may be caused by the fact that Asian dust has a relatively lower chance of being mixed with other pollutants if it is transported in the upper parts of the atmosphere (Shin et al., 2015). In particular, groups 5 and 6 show high values of δ_p^L , i.e., larger than 0.3 above 2 km height.

We see that β_p shows different trends in these two groups. Group 5 has low values of β_p and high values of δ_p^L . In contrast, group 6 shows high values of β_p and high values of δ_p^L . This different behavior in these two groups is clearly visible in the values of δ_p^{LW} . Values of δ_p^{LW} in group 5 are less than 2 throughout the whole altitude range. Values of δ_p^{LW} are larger than 2 in group 6.

Based on our discussion in the previous section we concluded that δ_p^S at 1020 nm may serve a qualitative indicator of the presence of mineral dust and that values of δ_p^S at 1020 nm are large for high dust concentrations and small for low dust concentrations (see Fig. 4, 7, and 8). This means that δ_p^S can be used to retrieve the dust ratio in mixed dust plumes even if vertically resolved information on the linear particle depolarization ratio is not available.

3.3 Correlation between δ_p^S and SSA

One main purpose of our study is to estimate the mixing ratio of dust particles with other pollutants in the atmosphere by analyzing the parameter δ_p^S . Another purpose of our study is to use δ_p^S as the basis parameters for estimating the variation of the optical and microphysical properties of dust when it mixes with anthropogenic pollution particles. Correlations between δ_p^S and other optical parameters allow us to gain insight into these variations.

Variations of aerosol absorption properties can be described by the SSA. The variability of light absorption of aerosol mixtures discussed in this contribution allows us to assess the direct forcing of mixed dust plumes. We can also investigate the semi-direct forcing that may occur from atmospheric heating by absorbing aerosol layers (Noh et al., 2012b, 2016b; Noh, 2014). We also investigate how SSA varies with the volume particle size distribution. For these investigations we use the values of δ_p^S .

Figure 9 depicts the AERONET-derived SSA and the volume particle size distributions for each of the six groups. The SSA spectra vary with changing δ_p^S in clearly distinguishable patterns. The SSA spectra of group 1 (low δ_p^S) show that SSA decreases with increasing wavelength. We find that SSA decreases with increasing measurement wavelength for particle plumes that are dominated by urban-industrial and biomass burning particles (Dubovik et al., 2002; Giles et al., 2012).

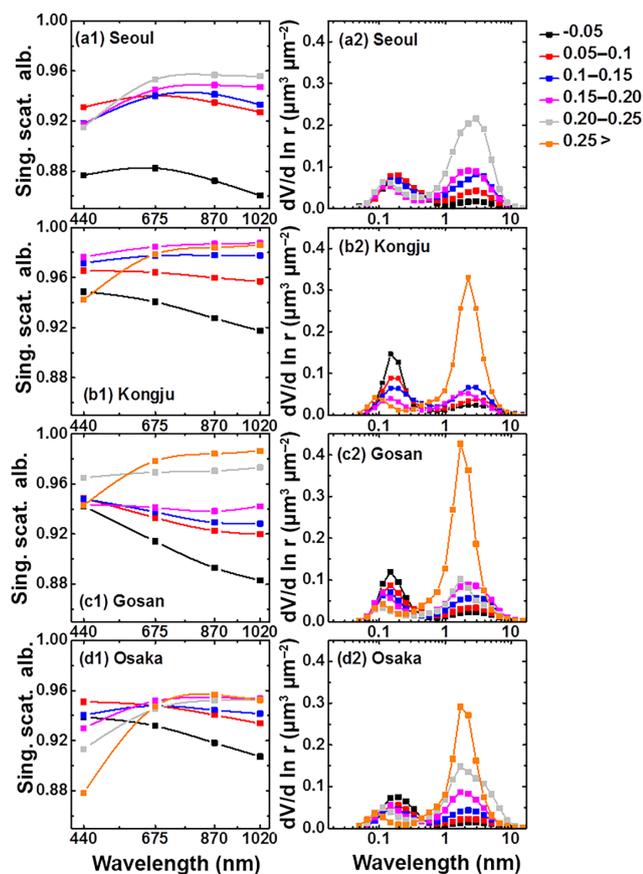


Figure 9. Average value of the SSA and the volume particle size distributions derived from the AERONET sun–sky radiometer measurements for each of the six groups considered in this study: group 1 (black), group 2 (red), group 3 (blue), group 4 (pink), group 5 (gray), and group 6 (orange).

Black carbon particles have the strongest light-absorption capacity in the near-infrared wavelength region.

In contrast, the SSA spectra of group 6 (high δ_p^S) show an increase of SSA with increasing wavelength. The wavelength dependence (i.e., increasing, decreasing, or constant with wavelength) of SSA is an important property that is used in aerosol type classification because the spectral absorption characteristics depend on aerosol type (Giles et al., 2012; Russell et al., 2010; Eck et al., 2005, 2010; Dubovik et al., 2002).

The increase of SSA with increasing wavelength is a characteristic optical feature of desert dust particles (Giles et al., 2012). Dust exhibits strong light absorption in the ultraviolet (UV) and at short visible wavelengths (e.g., 440 nm) and lower light absorption from mid–visible to near-infrared wavelengths (Sokolik and Toon, 1999).

Kim et al. (2011) define particles with $\text{\AA} < 0.2$ as “pure dust” based on observations of dust particles over North Africa and the Arabian Peninsula. The average value of SSA of the “pure dust” part of the aerosol plumes observed at the

four observation sites is 0.91, 0.97, 0.97, and 0.97 at 440, 675, 870, and 1020 nm wavelength, respectively.

The SSA spectra of group 6 at Kongju, Gosan, and Osaka resemble the SSA spectra of “pure dust” described by Kim et al. (2011). The SSAs of group 6 at Kongju and Gosan show similar values reported by Kim et al. (2011), i.e., 0.94, 0.98, 0.98, and 0.99 at 440, 675, 870, and 1020 nm wavelength, respectively. Lower values of SSA are observed at Osaka; we find 0.88, 0.95, 0.96, and 0.95 at 440, 675, 870, and 1020 nm wavelength, respectively. The differences of SSA at Osaka may be caused by the mixing of pollution particles with dust.

Except for the SSA at 440 nm, the SSAs at 675, 870, and 1020 nm show higher values for high δ_p^S . This increase of SSA with increasing δ_p^S results from the mixing of fine-mode pollution particles with coarse-mode Asian dust.

Mixtures of desert dust and pollution aerosols contain two primary particulate light-absorbing species: black carbon in fine-mode particles (Bond and Bergstrom, 2006) and iron oxides in coarse-mode dust particles (Sokolik and Toon, 1999). Iron oxides cause strong light absorption in the UV and in the short-wavelength range of the visible spectral range of light (Derimian et al., 2008). In pollution particles the principal absorber is soot or black carbon, which exhibits light absorption throughout the entire solar spectrum, because the imaginary part of the complex refractive index shows comparably little wavelength dependence (Bergstrom et al., 2002).

The SSA as a function of δ_p^S at the Seoul and Gosan sites shows very little variation at 440 nm compared to the other wavelengths we considered in our study (675, 870, and 1020 nm). Eck et al. (2010) suggest that this low variation of SSA at 440 nm is induced when both coarse-mode-dominated aerosol mixtures (desert dust) and fine-mode-dominated aerosol mixtures (pollution) have relatively similar magnitudes of light absorption compared to their light-scattering capacity at that wavelength.

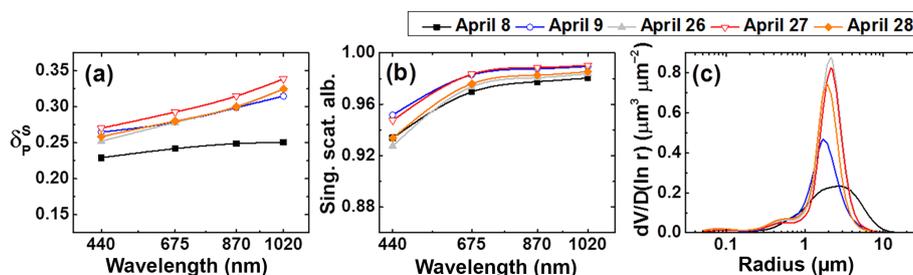
The variations of the fine-mode and the coarse-mode parts of the size distributions are clearly shown in Fig. 9. Group 1 contains fine-mode-dominated particle size distributions. The fine-mode part of the particle size distributions decreases and the coarse-mode part of the size distributions increases for increasing δ_p^S . The variations of C_{V_c} / C_{V_f} for each group listed in Table 2 show these tendencies more clearly.

The values of C_{V_c} / C_{V_f} increase as we move from group 1 to 6. The values of C_{V_c} / C_{V_f} in group 1 are similar at Seoul, Kongju, Gosan, and Osaka; we find 0.29, 0.32, 0.35, and 0.28, respectively. The values of C_{V_c} / C_{V_f} are similar for all three observation sites for each of the groups 1–5.

However, in the case of group 6, C_{V_c} / C_{V_f} is 7.6, 11.3, and 8.6 at Kongju, Gosan, and Osaka, respectively. The values of C_{V_c} / C_{V_f} in group 6 are smaller than the average value at Dunhuang. The average value of C_{V_c} / C_{V_f} at Dunhuang is 15.0 ± 2.6 (see Table 4). C_{V_c} / C_{V_f} decreases if fine-mode pollution particles are mixed into a dust plume and/or

Table 2. Averaged volume concentration of the fine (C_{V_f}) and the coarse (C_{V_c}) modes and the ratio (C_{V_c}/C_{V_f}) for the six groups.

		Group 1 0–0.05	Group 2 0.05–0.1	Group 3 0.1–0.15	Group 4 0.15–0.2	Group 5 0.2–0.25	Group 6 0.25 >	Total
Seoul	C_{V_f}	0.112 ± 0.051	0.117 ± 0.052	0.103 ± 0.042	0.067 ± 0.032	0.082 ± 0.021		0.11 ± 0.05
	C_{V_c}	0.031 ± 0.013	0.069 ± 0.032	0.132 ± 0.052	0.162 ± 0.071	0.352 ± 0.172		0.098 ± 0.081
	C_{V_c}/C_{V_f}	0.29 ± 0.10	0.66 ± 0.29	1.39 ± 0.54	2.45 ± 0.65	4.26 ± 1.60		1.11 ± 0.99
Kongju	C_{V_f}	0.136	0.109 ± 0.044	0.092 ± 0.038	0.046 ± 0.004		0.048 ± 0.018	0.088 ± 0.044
	C_{V_c}	0.044	0.062 ± 0.027	0.105 ± 0.029	0.085 ± 0.012		0.361 ± 0.148	0.138 ± 0.140
	C_{V_c}/C_{V_f}	0.32	0.59 ± 0.19	1.29 ± 0.63	1.84 ± 0.12		7.61 ± 1.44	2.37 ± 2.95
Gosan	C_{V_f}	0.127 ± 0.043	0.104 ± 0.044	0.081 ± 0.036	0.074 ± 0.038	0.042 ± 0.002	0.054 ± 0.039	0.091 ± 0.043
	C_{V_c}	0.043 ± 0.016	0.059 ± 0.027	0.105 ± 0.042	0.159 ± 0.068	0.136 ± 0.059	0.444 ± 0.125	0.098 ± 0.077
	C_{V_c}/C_{V_f}	0.35 ± 0.12	0.63 ± 0.31	1.40 ± 0.57	2.36 ± 0.89	3.18 ± 1.21	11.26 ± 7.07	1.41 ± 1.92
Osaka	C_{V_f}	0.103 ± 0.037	0.077 ± 0.030	0.065 ± 0.019	0.066 ± 0.018	0.059 ± 0.016	0.039 ± 0.008	0.076 ± 0.031
	C_{V_c}	0.027 ± 0.011	0.040 ± 0.015	0.072 ± 0.028	0.135 ± 0.047	0.253 ± 0.147	0.326 ± 0.094	0.087 ± 0.097
	C_{V_c}/C_{V_f}	0.28 ± 0.11	0.56 ± 0.18	1.13 ± 0.35	2.04 ± 0.43	4.49 ± 2.58	8.49 ± 2.55	1.49 ± 2.10

**Figure 10.** (a) Linear particle depolarization ratios, (b) single-scattering albedos, and (c) volume particle size distributions derived from sun–sky radiometer observations at Dunhuang.

if coarse-mode dust particles are removed from the plume during long-range transport.

3.4 δ_p^S , SSA, and particle size distribution at the dust source region

We analyzed the AERONET sun–sky radiometer data taken in the source region of Asian dust and evaluated the optical properties and δ_p^S of “pure Asian dust”. Figure 10 shows δ_p^S , SSA, and volume particle size distributions observed on 5 days in one of the source regions of Asian dust, i.e., Dunhuang in 2012. Values of δ_p^S at 440 nm are characteristic of pure dust particles; i.e., we find values larger than 0.25. SSA increases with increasing measurement wavelength, which is also characteristic of pure dust. We find this behavior on all days except for the data representing 8 April 2012. We find that the SSA at each wavelength (at the Dunhuang site) is higher than the SSA retrieved at the corresponding wavelengths for sites in North Africa and the Arabian Peninsula (Kim et al., 2011; Müller et al., 2010).

Table 3 lists aerosol optical depth (τ) at 500 nm, the optical-depth-related \AA for the wavelength range from 440 to 870 nm, the absorption-related \AA_A for that same wavelength range, the coarse-mode fraction of the volume con-

centration (CMF_{V_c}), and the dust ratio (R_D) derived on the basis of δ_p^S at 1020 nm. The values of \AA observed at Dunhuang on all measurement dates used in this study indicate the presence of pure dust, as suggested by Kim et al. (2011). The exception is the measurement on 8 April 2012. On that day, we find a value of 0.25 for δ_p^S at 1020 nm and a value of 1.76 for \AA_A . These values are comparably lower than the values retrieved for the other observation days. In addition, volume particle size distributions retrieved for 8 April (see Fig. 10) show a higher value of the modal volume radius for the coarse-mode size distribution compared to what is typically found for size distributions of desert dust (Dubovik et al., 2002; Müller et al., 2010, 2012). We conclude that particles observed on 8 April 2012 describe a mixed dust plume rather than a pure dust plume.

We compare the average values of δ_p^S , SSA, and the volume particle size distributions observed at Dunhuang with the respective values of group 6 at Kongju, Gosan, and Osaka. We exclude the data taken on 8 April 2012 at Dunhuang in the calculation of the average values because those data likely do not represent pure Asian dust.

Figure 11 shows our comparison results. The highest values of δ_p^S at Dunhuang are 0.26, 0.28, 0.30, and 0.33 at 440, 675, 870, and 1020 nm wavelength, respectively. The spectral

Table 3. Aerosol optical depth (τ) at 500 nm, linear particle depolarization ratio (δ_P^S) derived from the sun–sky radiometer data, optical-depth-related Ångström exponent (Å , 440–870 nm), absorption-related Ångström exponent (Å_A), coarse-mode fraction in terms of the volume concentration (CMF_{vc}), and dust ratio (R_D) at 1020 nm. The observation site is Dunhuang.

Date	τ (500 nm)	δ_P^S (1020 nm)	Å (440–870 nm)	Å_A	CMF_{vc}	R_D
8 Apr	0.71	0.25	0.13	1.76	0.94	0.77
9 Apr	0.92	0.31	0.12	2.17	0.93	0.94
26 Apr	1.17	0.32	0.14	2.14	0.94	0.96
27 Apr	1.00	0.34	0.15	2.46	0.95	0.99
28 Apr.	1.16	0.32	0.17	2.19	0.93	0.96
Ave. ¹	0.97 ± 0.17	0.31 ± 0.03	0.14 ± 0.02	2.14 ± 0.25	0.94 ± 0.01	0.93 ± 0.09
Ave. ²	1.04 ± 0.11	0.33 ± 0.01	0.14 ± 0.02	2.24 ± 0.15	0.94 ± 0.01	0.97 ± 0.02

¹ Averaged for all data; ² averaged except 8 April data.

Table 4. Volume concentration of the fine (C_{vf}) and the coarse (C_{vc}) modes and the ratio (C_{vc}/C_{vf}) at Dunhuang.

Date	8 Apr	9 Apr	26 Apr	27 Apr	28 Apr	Ave. ¹	Ave. ²
C_{vf}	0.03	0.037	0.048	0.038	0.051	0.041 ± 0.01	0.044 ± 0.01
C_{vc}	0.44	0.49	0.77	0.7	0.64	0.61 ± 0.14	0.65 ± 0.12
C_{vc}/C_{vf}	14.8	13.2	16	18.3	12.6	14.9 ± 2.3	15.0 ± 2.6

¹ Averaged for all data; ² averaged except 8 April data.

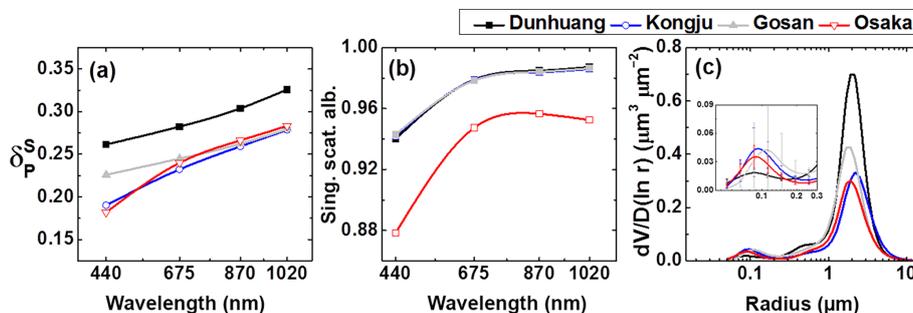


Figure 11. Comparison between (a) δ_P^S , (b) SSA, and volume particle size distributions representing the dust source region (Dunhuang, black) and group 6 data (Kongju, blue; Gosan, gray; Osaka, red).

behavior of δ_P^S (at the four wavelengths) at Kongju, Gosan, and Osaka is similar to the spectral behavior of δ_P^S retrieved for the Dunhuang site. However, the values of δ_P^S at the four measurement wavelengths at Kongju, Gosan, and Osaka are 0.04–0.05 lower than the respective values at the Dunhuang site. This difference between Dunhuang and the other three sites may be caused by gravitational settling of coarse-mode dust particles during transport and/or by a higher share of anthropogenic pollution particles that may enter the dust plume during long-range transport from the source region to the other three sites.

The volume particle size distributions shown in Fig. 11c corroborate our assumption. The volume concentration of the coarse-mode particles is as low as 0.36, 0.44, and 0.33

($\mu\text{m}^3 \mu\text{m}^{-2}$) in the far-field sites of Kongju, Gosan, and Osaka, respectively. We find that among all days during which we observed pure Asian dust at Dunhuang, the minimum value of 0.49 ($\mu\text{m}^3 \mu\text{m}^{-2}$) was found on 9 April 2012 (see Fig. 11c and Table 4).

Figure 11b shows a comparison of the spectral SSA between Dunhuang and the other three sites. The average values of SSA at Dunhuang are 0.94, 0.98, 0.98, and 0.98 at 440, 675, 870, and 1020 nm, respectively. The value of 0.94 for SSA at 440 nm at the Dunhuang site is higher than the SSA of “pure dust” observed over North Africa and the Arabian Peninsula (Kim et al., 2011; Müller et al., 2010, 2011).

As noticed previously, iron oxides cause the strongest light absorption in the ultraviolet and at visible wavelengths (De-

rimian et al., 2008). We assume that the differences of SSA at 440 nm between the Dunhuang site and observation sites in North Africa and the Arabian Peninsula are caused by differences of the chemical composition of dust particles, as for example by the concentration of iron oxides in dust particles at these sites.

Our results show that nearly pure dust may be transported to Kongju and Gosan from long distances and that the CMF of the particle size distribution may not necessarily increase in that case. The spectral behavior of SSA and its values at each wavelength at the Kongju and Gosan sites, which are long-range transport sites, match the values of SSA at Dunhuang. This match suggests that the dust at these three sites may have a similar chemical composition and perhaps also a similar concentration of iron oxide.

SSA values at Osaka are lower than those at Kongju and Gosan. We find values of 0.88, 0.95, 0.96, and 0.95 at 440, 675, 870, and 1020 nm, respectively. These low SSAs can be caused by the presence of pollution particles. We first investigate the vertical distribution of the dust plumes of group 6 at Osaka. The vertical distribution of particles in the dust plumes of group 6 at Osaka can be clearly distinguished according to their observation date.

Figure 12 shows the separated values of β_p , δ_p^L , and δ_p^{LW} (dust and non-dust contribution) in terms of cases 1 and 2 for group 6 at Osaka on the basis of the observation date. The data taken on 14 and 15 March 2010 (case 1) show that the dust plumes are distributed up to 3.5 km above the ground. The main part of the dust plumes is located between 1 and 2 km height on both days. The values of δ_p^L are above 0.25 in that height range. The β_p values of the dust plume are lower below 1 km height compared to what we find for β above 1 km above ground. The value of δ_p^L varies between 0.1 and 0.12 and thus is lower than what we find between 1 and 2 km height.

The dust plumes extend to 2 km height above ground on 2 May 2011 (measurement case 2). We find high values of β_p near the surface. Values of 0.3–0.4 for δ_p^L are higher than depolarization ratios found for case 1.

Case 1 is quite different from case 2 if we look at δ_p^{LW} . The values of δ_p^{LW} of case 1 are mainly affected by Asian dust that is present above 1 km height above ground. Values for case 2 are mainly influenced by Asian dust near the surface.

The differences of main aerosol height may be one reason why the light-absorption capacity of the aerosols described by these two cases differs. With regard to case 2, there is a higher possibility that pollutants can be mixed during long-range transport.

Figure 13 corroborates our assumption. Figure 13 shows values of δ_p^S , SSA, and volume particle size distributions for two cases. The values of δ_p^S and SSA in case 1 show similar values compared to the case of pure dust observed at Dunhuang. Values of these parameters are different from those at Dunhuang for case 2. Particularly, the values of SSA of

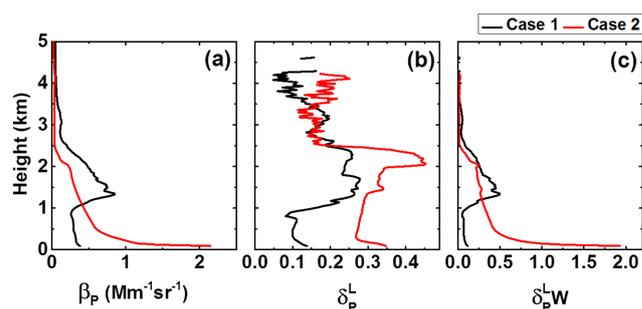


Figure 12. Separation of data of group 6 at Osaka on the basis of case 1 (black) and case 2 (red). Shown are (a) backscatter coefficients, (b) linear particle depolarization ratios (δ_p^L), and (c) weighted linear particle depolarization ratios (δ_p^{LW}).

case 2 are significantly lower than SSA values at Dunhuang. Moreover, SSAs at 1020 nm are lower than those at 870 nm for case 2. This differences of the absolute values and the differences of the spectral behavior may be caused by the mixing of dust with light-absorbing pollutants, such as black carbon, when we take account of the vertical distribution of the dust plume (see Fig. 12).

The differences of \dot{A}_A between case 1 and case 2 (Table 5) corroborate this observation. The value of \dot{A}_A in case 1 is 2.12, which points to pure dust. In contrast, the value of \dot{A}_A of case 2 is 1.58, which is more likely representative of a mixture of dust with pollutants (Russell et al., 2010).

Figure 14 shows backward trajectories. The transport pattern is different for case 1 and case 2. The main portions of the dust plumes of case 1 passed at heights above 1.5–2 km over source regions of major pollution emissions in China and the Korean Peninsula, i.e., above the PBL, before the plumes arrived over Osaka. Since most of the pollution resides in the PBL the possibility is low that the main dust layer mixed with pollutants.

In contrast to case 1, case 2 indicates that pollutants were mixed into the Asian dust layers while they were transported near the surface and within the PBL over industrialized areas and before they arrived over Osaka. Shin et al. (2015) reported that more pollution particles can be mixed into dust plumes if these plumes are transported at low altitude above ground.

3.5 Retrieval of dust ratio using depolarization ratio derived by sun–sky radiometer

The concept of separating lidar backscatter signals resulting from the contribution of dust particles in mixed dust plumes to the total backscatter signals using δ_p has already been applied to lidar measurements (Shimizu et al., 2004; Noh, 2014; Tesche et al., 2009). In the case of AERONET sun–sky radiometer data R_D is calculated from Eq. (2) (see Sect. 2) and the use of δ_p^S at 1020 nm. The retrieved R_D is compared with CMF_{vc} . The comparison between R_D retrieved from

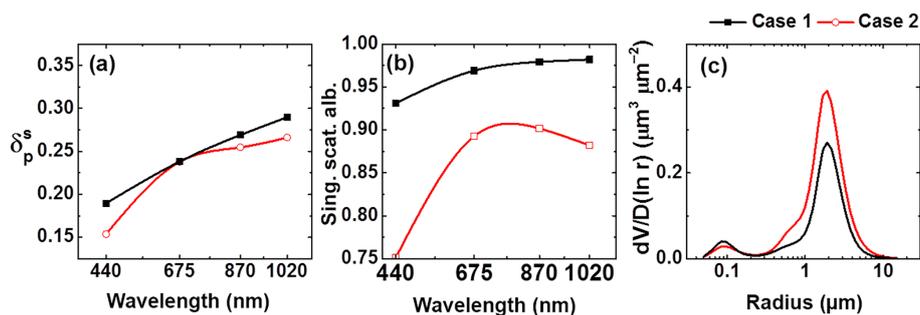


Figure 13. (a) δ_p^s at 440, 675, 870, and 1020 nm; (b) SSA at 440, 675, 870, and 1020 nm; and (c) volume particle size distributions. Shown are the results for case 1 (black) and case 2 (red). C_{Vc}/C_{Vf} is inserted in (c). The observation site is Osaka.

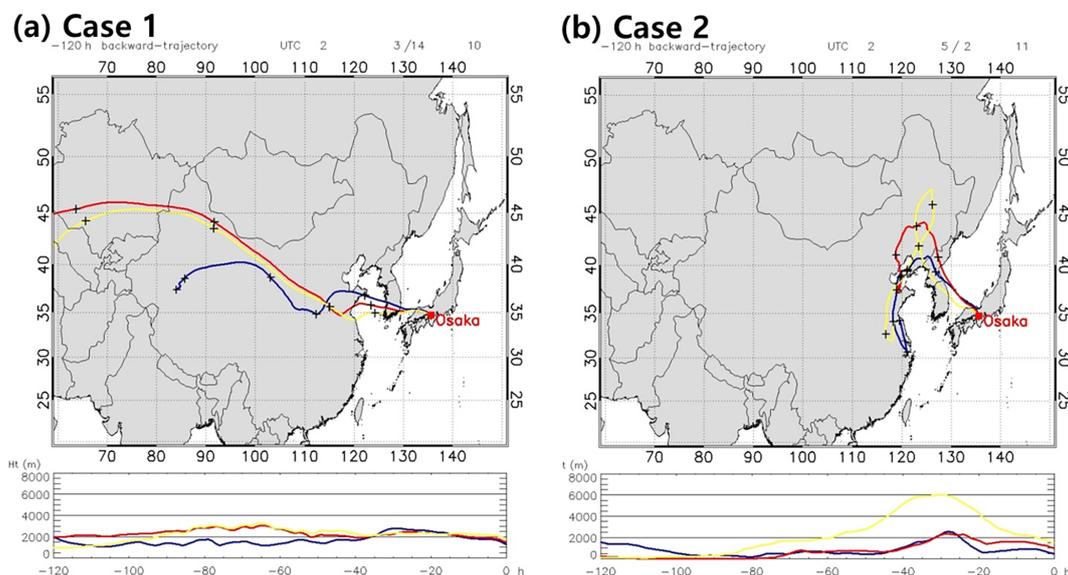


Figure 14. HYSPLIT 5-day backward trajectories of dust plumes for case 1 (a) and case 2 (b). The start heights for case 1 are 1200 m (blue), 1500 m (red), and 1800 m (yellow). For case 2 they are 500 m (blue), 1000 m (red), and 1500 m (yellow). The start time is 00:00 UTC in each case.

AERONET data and CMF_{vc} allows us to distinguish between non-dust coarse-mode particles and dust.

Figure 15 shows the correlation between R_D and CMF_{vc} in terms of R^2 . We find a comparably high correlation between R_D and CMF_{vc} . Values are 0.72, 0.95, 0.77, and 0.93 at Seoul, Kongju, Gosan, and Osaka, respectively.

R_D describes the ratio of dust particles to other types of non-spherical aerosols in the atmosphere. Unlike R_D , CMF_{vc} considers the size of particles and is not correlated to the shape of the particles. Since most of the dust particles belong to the CMF, the value of CMF_{vc} increases with the dust ratio. However, the coarse mode of a particle size distribution includes not only dust but also large particles that are generated by physical and chemical reactions, e.g., coagulation, condensation processes, and hygroscopic growth.

Figure 15 shows that CMF_{vc} is on average 0.12–0.17 higher than R_D at the four observation sites, which implies that non-dust particles are present; i.e., even though dust par-

ticles for the most part belong to the CMF of particle size distributions, not all coarse-mode particles are dust particles. The average values of CMF_{vc} and the differences between R_D and CMF_{vc} for each of the six groups are listed in Table 1.

R_D of groups 1–5 is 0.11–0.19 lower than CMF_{vc} at the four sites. The differences between R_D and CMF_{vc} are lower for group 6. We find 0.4, 0.4, and 0.3 at Kongju, Gosan, and Osaka, respectively.

We find a similar difference for the Dunhuang site (see Table 3). The average values of R_D and CMF_{vc} are 0.97 ± 0.02 and 0.94 ± 0.01 , respectively, except for the case of 8 April. This means that most of the coarse-mode particles are composed of dust particles. However, pollution and/or biomass burning particles can be injected into the dust plume during transport from the source region. These particles may contribute to the coarse mode of the volume particle size distribution. If the dust plume is transported at low altitude above

Table 5. Parameters for cases 1 and 2 for group 6 at Osaka, linear particle depolarization ratio (δ_p^S) at 440, 675, 870, and 1020 nm derived from the sun–sky radiometer data, absorption-related Ångström exponent (Å_A), coarse-mode fraction on the basis of the volume concentration (CMF_{vc}), and dust ratio (R_D) at 1020 nm.

	δ_p^S				Å_A	CMF_{vc}	R_D
	440 nm	675 nm	870 nm	1020 nm			
Case 1	0.19 ± 0.02	0.24 ± 0.01	0.27 ± 0.01	0.29 ± 0.01	2.12 ± 0.38	0.87 ± 0.01	0.88 ± 0.02
Case 2	0.15 ± 0.02	0.24 ± 0.01	0.26 ± 0.01	0.27 ± 0.01	1.58 ± 0.09	0.92 ± 0.01	0.81 ± 0.03

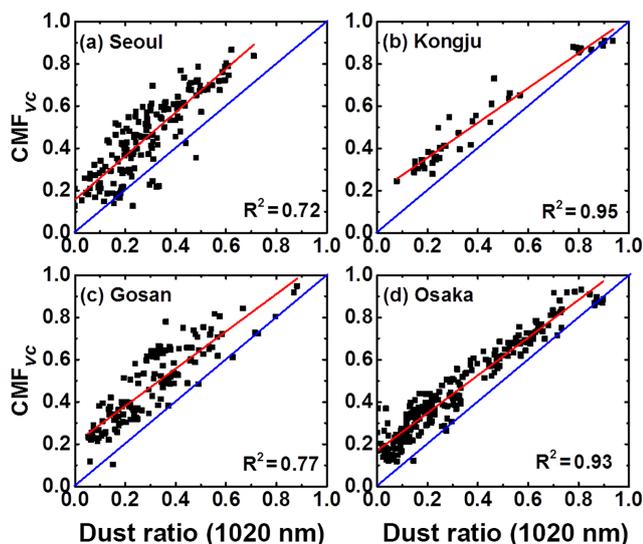


Figure 15. Correlation plots of the dust ratio at 1020 nm vs. the volume concentration in the coarse-mode fraction of the particle size distribution.

ground, there is an increased possibility that dust mixes with other aerosols (Shin et al., 2015).

This increased possibility is corroborated by the results for the case of Osaka (see group 6). Table 5 shows for this case that δ_p^S , SSA, and the particle size distribution clearly depend on the altitude of the dust plume. R_D and CMF_{vc} show very similar values with respect to case 1. The average value of the difference between R_D and CMF_{vc} is 0.004 ± 0.008 for the case that the main part of the dust plume is transported above the PBL (case 1). This difference between R_D and CMF_{vc} increases to 0.11 ± 0.04 when the dust plume is transported near the surface, as can be seen from δ_p^{LW} of case 2. From these results we can infer that the coarse mode is mostly composed of pure dust particles without that mixing with other types of particle occurred during transport above the PBL. However, the ratio of dust particles to non-dust coarse-mode particles decreases as the result of mixing processes during transport. These phenomena can be explained by the variation of the volume median radius (R_v).

The volume median radius of the coarse mode (R_{vc}) of $3.21\text{--}3.27\ \mu\text{m}$ for urban-industrial aerosols generated by fos-

sil fuel combustion and biomass burning aerosols produced by forest and grassland fires is higher than the R_{vc} of $2.62\text{--}3.05\ \mu\text{m}$ of desert dust particles (Dubovik et al., 2002; Eck et al., 2010). In this study, R_{vc} shows low values when the observed particles are nearly pure dust. The average R_{vc} at Dunhuang is $1.88\ \mu\text{m}$ (except 8 April 2012). This value is quite similar to $2\ \mu\text{m}$ of R_{vc} for dust that originated from China and was measured over Japan (Tanaka et al., 1989). The average R_{vc} at Osaka decreases from 2.85 to $1.85\ \mu\text{m}$ between group 1 and group 6, respectively. The other sites show the same pattern of decreasing values between groups 1 to 6. The average R_{vc} of 2.15 at Kongju and $1.77\ \mu\text{m}$ at Gosan (group 6) also shows values that are similar to the values found in the dust source region. The average R_{vc} of the other groups is higher than R_{vc} of dust in the source region. We find the highest values in group 1, i.e., 3.05 , 2.72 , 2.58 , and $2.85\ \mu\text{m}$ at Seoul, Kongju, Gosan, and Osaka, respectively.

4 Summary and conclusion

In this study we investigated the reliability of the linear particle depolarization ratio (δ_p^S) that is derived by AERONET sun–sky radiometers. This parameter can be used for detecting dust particles. We compared data obtained from AERONET to the linear particle depolarization ratios measured by lidar (δ_p^L). We considered low (cases dominated by pollution particles) to high linear particle depolarization ratios (cases dominated by Asian dust) at four downwind regions (Seoul, Kongju, Gosan, and Osaka) of Asian dust. We calculated the column-integrated weighted δ_p^L (δ_p^{CL}) and compared these values with δ_p^S . The strongest correlation exists between δ_p^S at 1020 nm and δ_p^{CL} . However, that latter value was measured at 532 nm. Values are 0.90, 0.92, 0.79, and 0.89 for the sites at Seoul, Kongju, Gosan, and Osaka, respectively. The correlation decreased with decreasing wavelength that was used by the AERONET instrument. The correlation coefficient was 0.89, 0.92, 0.76, and 0.88 at 870 nm at Seoul, Kongju, Gosan, and Osaka, respectively. The correlation at 675 nm is even weaker. We find values of 0.81, 0.90, 0.64, and 0.81 at Seoul, Kongju, Gosan, and Osaka, respectively. The correlation coefficient at 440 nm is significantly lower. We find values of 0.38, 0.62, 0.26, and 0.28 at Seoul, Kongju, Gosan, and Osaka, respectively. We assume that the

low correlation is related to the size of fine-mode particles. However, more data are needed in order to better understand the reasons for the low correlation at 440 nm.

Despite the discrepancy of the lidar ratios measured with lidar and inferred from AERONET sun photometer we are of the opinion that δ_p^S at 1020 nm can be used as a parameter to estimate the variation of optical and microphysical properties of dust when it is mixed with anthropogenic pollution particles. There is a clear pattern of variation of the fine and coarse modes of the volume particle size distributions in the context of changes of the value of δ_p^S at 1020 nm. Fine-mode dominant volume particle size distributions are present for low values of δ_p^S at 1020 nm. The fine-mode fraction of the volume particle size distribution decreases and the CMF of the particle size distribution increases when the δ_p^S at 1020 nm increases.

The SSA spectra show clearly distinguishable patterns when compared to the variation of δ_p^S at 1020 nm. The SSA decreases with increasing measurement wavelength for low values of δ_p^S at 1020 nm. In contrast, the SSA increases with increasing wavelength for high values of δ_p^S at 1020 nm.

The dust ratio (R_D) can be derived from the δ_p^S at 1020 nm. The R_D is approximately 0.12 to 0.17 lower than what we find from the CMF of the volume concentration (CMF_{vc}). However, if δ_p^S at 1020 nm is larger than 0.25, then R_D is similar to the value of CMF_{vc} , and the difference between these two parameters is less than 0.04. We conclude that the values of δ_p^S at 1020 nm can be used to estimate the dust ratio. The dust ratio estimated in that way can then be used as basic information that could allow us to calculate the mass concentration of Asian dust and air pollutants in terms of column-integrated values.

We conclude that on the basis of this first study values of δ_p^S at 1020 nm provide comparably reliable information with regard to identifying the presence of Asian dust particles in mixed aerosol plumes. The values of δ_p^S at 1020 nm are high for high dust concentrations and small for low dust concentrations. This means that δ_p^S at 1020 nm can be used to retrieve the dust ratio in mixed dust plumes.

Regardless of these findings we will carry out more studies in order to confirm these preliminary results. We also need to keep in mind that we cannot identify the vertical distribution of dust particles on the basis of δ_p^S because δ_p^S is a column-integrated value.

Data availability. The AERONET sun–sky radiometer inversion data are available at <https://aeronet.gsfc.nasa.gov/>. The lidar data are available at <http://www-lidar.nies.go.jp/>.

Competing interests. The authors declare that they have no conflict of interest.

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