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# Aircraft Ice Accretion Prediction Using Neural Network and Wavelet Packet Decomposition

S. Chang<sup>a,\*</sup>, M. Leng<sup>a</sup>, H. Wu<sup>b,\*\*</sup>, M. Wu<sup>a</sup>, J.M. Thompson<sup>b</sup>

<sup>a</sup>School of Aeronautic Science and Engineering, Beijing University of Aeronautics and Astronautics,  
Beijing 100191, China

<sup>b</sup>Institute of Engineering and Energy Technologies, School of Engineering, University of the West of Scotland,  
Paisley PA1 2BE, United Kingdom

\*Corresponding author. Email: [sn\\_chang@buaa.edu.cn](mailto:sn_chang@buaa.edu.cn) Tel. (86)1082338008 Fax. (86)1082338008

\*\*Corresponding author. Email: [hongwei.wu@uws.ac.uk](mailto:hongwei.wu@uws.ac.uk) Tel. +44(0)1418483684 Fax. +44(0)1418483663

## Abstract

A combined wavelet packet transform (WPT) and artificial neural networks (ANNs) modeling is developed for predicting the ice accretion on the surface of an airfoil. Wavelet packet decomposition is used to reduce the number of the input vectors to ANN and improves the training convergence. Artificial neural network is developed with five variables (velocity, temperature, liquid water content, median volumetric diameter and exposure time) taken as input data and one dependent variable (decomposed ice shape) as the output. For the purpose of comparison, three different artificial neural networks, back-propagation network (BP), radial basis function network (RBF), and generalized regression neural network (GRNN) are trained to simulate the wavelet packet coefficients as a function of the in-flight icing conditions. The predicted ice accretion shapes are compared with the corresponding results of NASA experiment, LEWICE and the Fourier-expansion-based method. Results show that the GRNN network has an advantage in predicting both the rime ice and glaze ice when the specimens are prepared using a separate method. Whereas the RBF network demonstrates a better performance in predicting the ice shape for the case of using the whole set of specimens. It is also found that WPT shows an advantage in performing the analysis of ice accretion information with high accuracy. The proposed model could be an efficient and a robust tool to predict aircraft ice accretion.

**Keywords:** Aircraft icing; Wavelet packet transform; Conformal mapping; Neural network

## Nomenclature

$c$	chord length [inch]	$ler$	airfoil leading-edge radius [inch]
$f$	single-valued ice thickness functions [-]	$LWC$	liquid water content [ $\text{g}/\text{m}^3$ ]
$\bar{g}$	wavelet decomposition low-pass filter [-]	$MVD$	median volumetric diameter [ $\mu\text{m}$ ]
$g^*$	wavelet reconstruction low-pass filter [-]	$Time$	time for the airfoil exposed to the icing condition [min]
$\bar{h}$	wavelet decomposition high-pass filter [-]	$T_\infty$	free-stream static temperature [K]
$h^*$	wavelet reconstruction high-pass filter [-]	$V_\infty$	free-stream velocity [m/s]

## 28 1. Introduction

29 Aircraft icing has long been recognized for over sixty years and continues to be an  
30 important flight safety issue in the aerospace community. Ice accretion on an aircraft wing occurs  
31 when supercooled water droplets in the atmosphere impact on the surface of aircraft's wings. The  
32 formation of ice on an aircraft wing results in a sharp increase in drag and a reduction in  
33 maximum lift. Furthermore, ice accretion on aircraft wings also leads to a reduction in stall angle  
34 and increment in moment coefficient of the wing. This causes a deterioration in the aerodynamic  
35 performance of the aircraft [1,2]. In terms of this, it is imperative to predict the ice accretion  
36 prior to designing reliable anti-icing/de-icing system. It is well recognized that several  
37 parameters, such as exposure time, liquid water content (LWC), median volumetric diameter  
38 (MVD), temperature, flight speed, angle of attack (AOA) and the chord length, play an dominate  
39 role in ice accretion. Droplets may freeze directly, building up rime ice or form a thin water film  
40 before freezing, and it may lead to glaze ice under certain conditions of high temperature and  
41 large LWC [3,4]. The former ice shape presents a smooth outline and can be simulated easily.  
42 Whereas the latter one usually exhibits uneven behaviour and may form two ice horns, which is  
43 more threatening to the flight safety [5].

44 Many efforts have been devoted to in-flight icing certification from both experimental and  
45 numerical aspects [6,7]. Icing wind tunnel testing usually provides most reliable data in

46 fundamental study of aircraft ice accretion. Nevertheless, icing wind tunnel tests are very  
47 expensive and time consuming. It is thus not difficult to imagine that a CFD-based approach is  
48 desirable to save resources and to obtain relatively accurate results [8]. However, most of the  
49 models that are available rely on assumptions and simplifications that disagree at the real  
50 conditions of operation. In order to overcome these limitations, some researchers proposed a fast  
51 prediction method for aircraft icing through statistical strategy [9-11]. These efforts have  
52 achieved success in improving the icing prediction efficiency, but owing to the insufficiency  
53 and non-grid of the experimental data, a considerable computing time is still needed to obtain  
54 numerical simulation samples for the interpolation procedure.

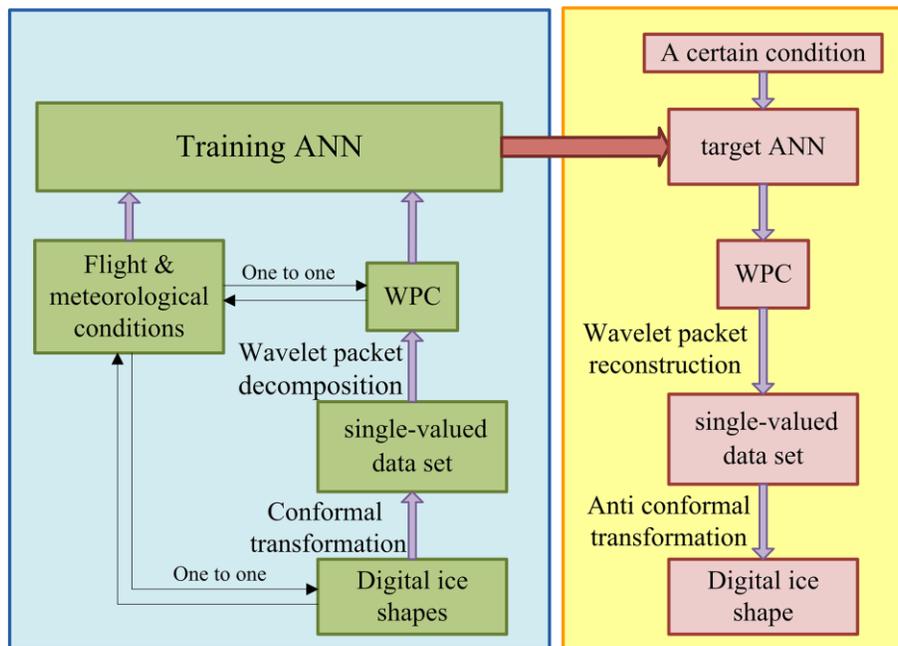
55 The artificial neural networks (ANNs) technique offers an alternative approach for predicting  
56 the performance and generalizations of complex non-linear systems shortly. It is a method that is  
57 often used for predicting the response of a physical system that cannot be easily modeled. Neural  
58 networks have demonstrated the strong capability of learning non-linear and complex  
59 relationships between process variables without any prior knowledge of system behaviors.  
60 Ogretim et al. [12] achieved attractive performance with the neural network for predicting rime  
61 ice. ANN has been applied in modeling complicated relations or to find patterns in detection for  
62 in-flight icing characteristics [13], calibration of the multi-hole aerodynamic pressure probe [14],  
63 identification of the icing intensity [15], and predicting the effects of ice geometry on airfoil  
64 performance [16]. As data sets increase in size, their analysis become more complicated and time  
65 consuming. Thus, it is essential to reduce the size of data sets. The discrete wavelet transform  
66 (WT) is normally to analyze the irregular signals in view of its flexible time–frequency  
67 resolution [17]. However, WT can determine analysis only for low band frequency. As an  
68 extension of the WT, the wavelet packet transform (WPT) is capable of dividing the whole

69 time-frequency plane while the classical [18-21]. For this reason, WPT will be considered in the  
70 current study.

71 The present study proposes a new methodology by the application of WPT and ANN to  
72 predict a 2D aircraft ice accretion. The paper is organized as follows: Section 2 recalls the  
73 conformal transform (CT), WPT and neural network techniques. Section 3 summarizes the  
74 results and observations. Finally Section 4 concludes the findings of this paper.

## 75 2. Algorithm and methodology

76 In this paper, the input data are converted to a single-valued signal using conformal transform  
77 (CT). Afterwards, the signal will be further analyzed through wavelet packet transform (WPT).  
78 Finally, the optimum artificial neural networks (ANNs) is selected as the target network. The  
79 schematic diagram of a combined WPT and ANN modeling is illustrated in Fig. 1.



80  
81 **Fig. 1.** The structure of intelligent modeling.  
82

### 83 2.1. Conformal transform (CT)

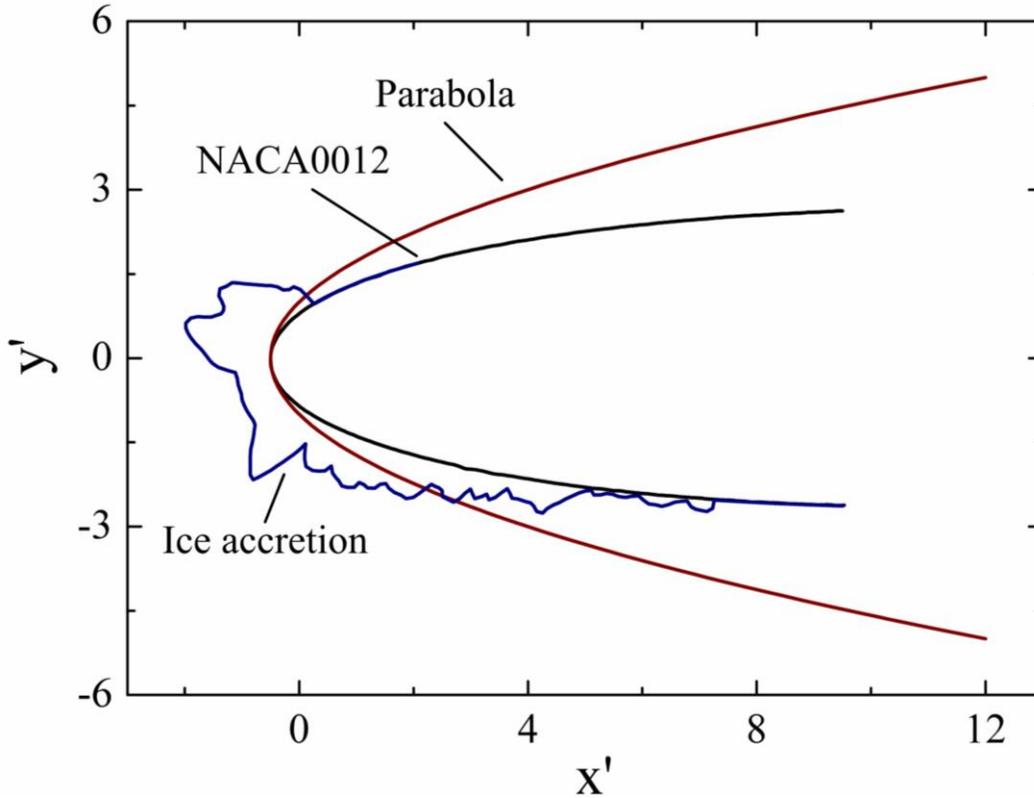
84 Since the input data of the WPT must be single-valued, the coordinate of the original ice  
85 shapes are converted based on the conformal mapping method [22]. In the current study, the  
86 Cartesian coordinate system where the ice shape and airfoil originally exist is converted to the  
87 parabolic coordinate system where the ice shape will become a single-value function of abscissa.  
88 The leading-edge geometry of the airfoil with ice accretion is non-dimensionalized by the chord  
89 length, and then scaled by the non-dimensional airfoil leading-edge radius to coincide with the  
90 parabola:

$$91 \quad x' = (x/c)/(ler/c) - 0.5, \quad y' = (y/c)/(ler/c) \quad (1)$$

92 where  $ler$  represents the airfoil leading-edge radius, and  $c$  is the chord length,  $x-y$  is the  
93 original coordinate system and  $x'-y'$  is the scaled coordinate system.

94 The parabolic shape and the ice accretion shape are illustrated in the same coordinate, as  
95 shown in Fig. 2. A conformal mapping is applied to transform the scaled physical  $x'-y'$  plane  
96 to the  $\xi'-\eta'$  plane by using Eq. (2):

$$97 \quad x' = \frac{\xi'^2 - \eta'^2}{2}, \quad y' = \xi'\eta' \quad (2)$$



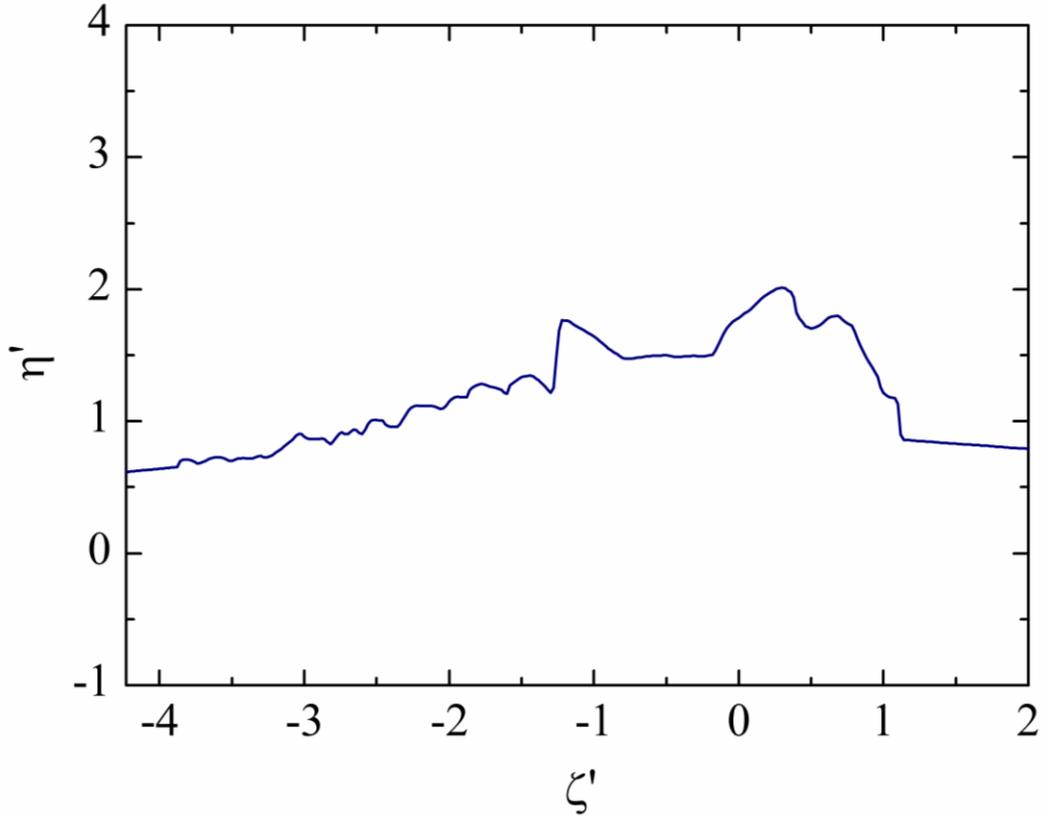
**Fig. 2.** Base parabola and scaled experimental ice shape in the  $x' - y'$  plane.

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101 As a consequence, the parabola surface in the physical plane becomes a straight line and the  
102 airfoil with ice accretion can be seen as perturbations to the baseline parabola. The ice shape  
103 after conformal mapping is illustrated in Fig. 3. Following the conformal mapping, the Prandtl  
104 transposition is applied to separate the variables from the baseline:

$$105 \quad \xi' = \xi, \quad \eta' = \eta + f(\xi) \quad (3)$$

106 where  $f(\xi)$  is an analytic expression representing all the perturbations at  $\eta' = 1$ . In order to  
107 normalize the specimens, the new coordinates of the ice shape in  $\xi - \eta$  plane are obtained  
108 through linear interpolation. In this paper, the value of the abscissa is in the range from -4.38 to  
109 2.0, and the space step is 0.02.

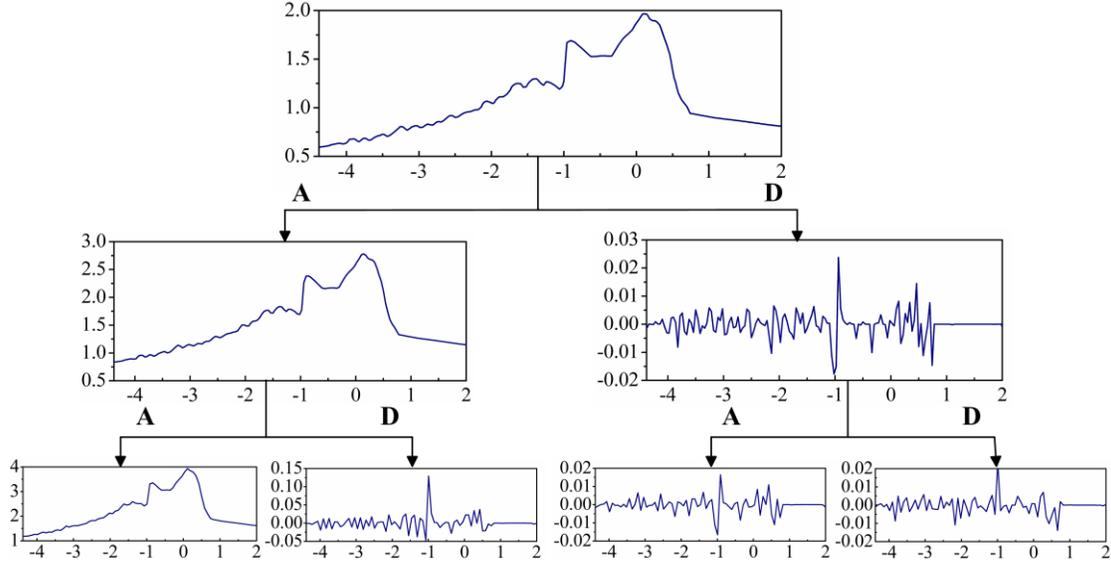


110 **Fig. 3.** Ice shape after conformal transform and its prolongation with airfoil.  
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 112

113 *2.2. Wavelet packet transformation (WPT)*

114 Unlike the wavelet transform (WT), which is obtained by iterating the low pass branch, the  
 115 wavelet packet transform (WPT) is obtained by iterating both low pass (approximation  
 116 coefficients) and high pass branches (detail coefficients) at each level  $j$ . During wavelet packet  
 117 decomposition procedure, both lower and higher frequency bands are decomposed into two  
 118 sub-bands. Thereby wavelet packet gives a balanced binary tree structure. Fig. 4 shows a two  
 119 level wavelet packet decomposition tree of an ice shape. For the  $j$ -level decomposition, the ice  
 120 shape geometry after conformal transformation can be expressed as:

$$f(t) = \sum_{p=1}^{2^j} f_j^p(t) \quad (4)$$



**Fig. 4.** Total decomposition tree of wavelet packet transform analysis.

Let  $\bar{h}$  and  $\bar{g}$  denote the high-pass filter and the low-pass filter, the remaining wavelet packet functions for  $p = 2, 3 \dots$  can be defined by the following recursive relationships:

$$\begin{cases} f_{j+1,k}^{2p-1}(t) = \sqrt{2} \cdot \sum_k \bar{h}(k-2t) \cdot f_{j,k}^p(t) \\ f_{j+1,k}^{2p}(t) = \sqrt{2} \cdot \sum_k \bar{g}(k-2t) \cdot f_{j,k}^p(t) \end{cases} \quad (5)$$

where the integers  $j$  and  $k$  are the index scale and translation operations, respectively. The index  $p$  is an operation modulation parameter or oscillation parameter. By iterating Eqs. (4) and (5) along the branches of the wavelet packet tree will compute the full wavelet packet decomposition. Then the wavelet packet component signal can be obtained with quadrature mirror filters. Different quadrature mirror filters can lead to different wavelet packet decompositions.

In the present study, both conformal mapping and WPT are applied to all experimental ice shapes to yield the corresponding wavelet packets coefficients. Since the order of the magnitude of the input data is large, normalization is implemented to make sure the input data within an

138 appropriate range. After that, both the wavelet packet coefficients and the normalized icing  
139 conditions are used as the input to train the neural network. Once the target network is obtained,  
140 a group of data can be acquired as a function of the predicted icing condition, which will  
141 reconstruct an ice shape through the following reconstruction algorithm:

$$142 \quad f_{j,k}^p(t) = \sum_k h^*(t-2k)f_{j+1,k}^{2p}(t) + \sum_k g^*(t-2k)f_{j+1,k}^{2p+1}(t) \quad (6)$$

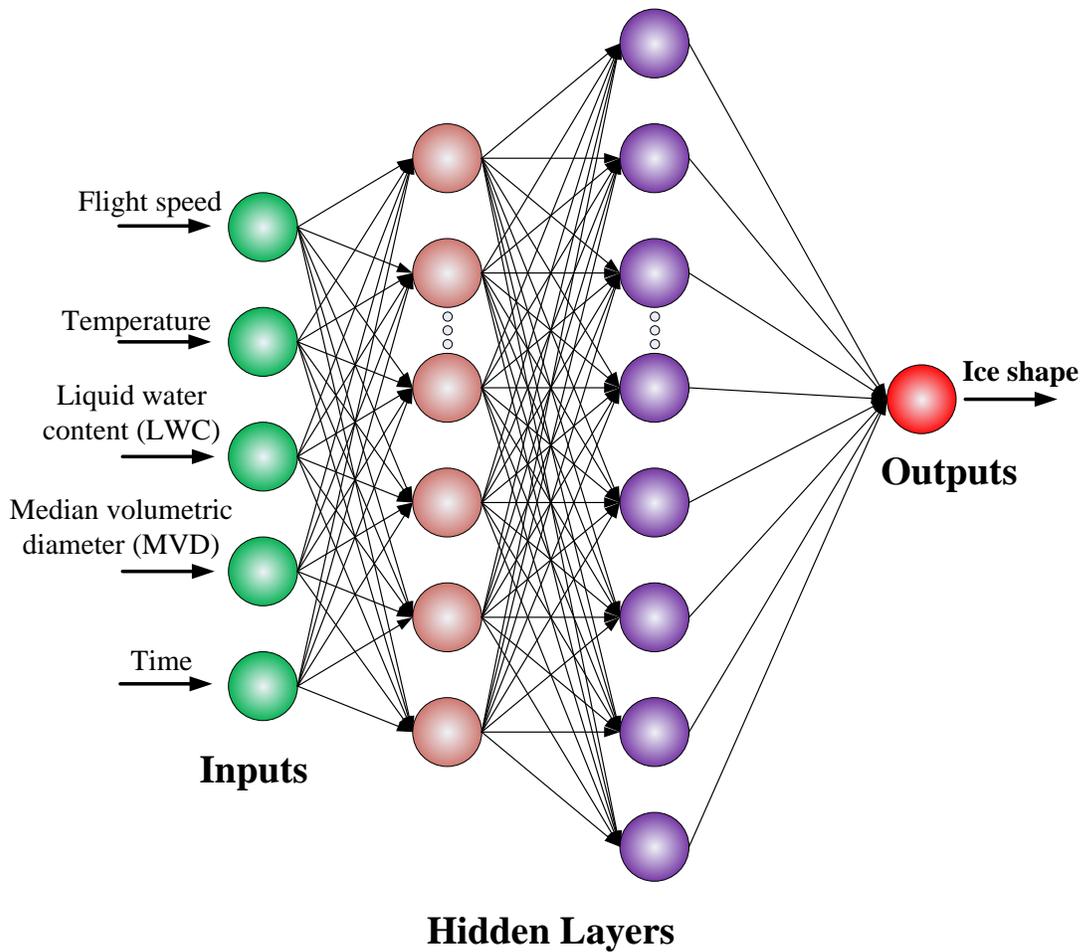
143 where  $h^*$  and  $g^*$  are the reconstruction filters associated with the decomposition filters.

### 144 2.3. Artificial neural networks (ANN)

145 Artificial neural network (ANN) is a mathematical algorithm that highly interconnected the  
146 input and output parameters, learning from examples through iteration, without requiring a prior  
147 knowledge of the relationship of the process parameters. ANN is not new in concept, but  
148 research interest in this research area has increased significantly in the last two decades. The  
149 major reason for this interest is the short computing time and a high potential of robustness and  
150 adaptive performance. An artificial neural network is a computing system made up of simple  
151 interconnected processing elements called neurons. The neurons are interconnected by weighted  
152 links over which signals can pass and operate only on their local data and on the input they  
153 receive via the connections. The restrictions to local operations can often be relaxed during the  
154 learning process. ANNs should have specific training rules whereby the weights of connections  
155 are adjusted based on learning data. In other words, an ANN learns from examples (of known  
156 input/output sequences) and exhibits some capability for generalization beyond the training data.  
157 A network normally has great potential for parallelism, since the computations of the  
158 components are largely independent of each other. The function of each element is determined  
159 by its structure, connection strengths, and the processing performed at computing elements or  
160 nodes. The trained network is utilized in output prediction corresponding to a set of new inputs.

161 A sufficiently trained network is expected to produce outputs that are satisfactorily close to  
162 actual outputs.

163 In the current study, the available published experimental ice shapes from NASA icing wind  
164 tunnel will be used to train the neural network. During the training process, the network learns  
165 the wavelet packet coefficients of an ice shape as a function of the corresponding atmospheric  
166 and flight conditions. Fig. 5 shows an illustration of a typical multilayer feed-forward neural  
167 network. A total of five normalized icing condition variables (velocity, temperature, LWC, MVD  
168 and exposure time) are used as the input and decomposed ice shape as the output. If the wavelet  
169 packet decomposition process is taken for  $j$  times, there would be  $2^j$  independent arrays, the  
170 length of which will be the  $2^j$ th of the original length. In order to increase the training  
171 efficiency of the network, these  $2^j$  groups of the wavelet packet coefficients are separated into  
172  $2^j$  different training sets. Once all the trainings are converged, the target network can be used to  
173 predict the ice shape through Eq. (6). For the purpose of balancing the efficiency and the  
174 accuracy, a parametric study to determine an optimum number of  $j$  is implemented. Four-level  
175 wavelet packet decomposition is recommended for the current study.



**Fig. 5.**

Schematic diagram of the artificial neural network structure.

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178

179 In the current study, three different structures of ANN are selected to implement the  
180 prediction. They are back-propagation (BP) network, radial basis function (RBF) network and  
181 generalized regression neural network (GRNN). A comparative study is carried out in order to  
182 select an adequate neural network. The ANN is trained with the data from the experiments of the  
183 NASA Icing Research Tunnel (IRT) at NASA Glenn and the LEWICE validation report [23].  
184 Four typical icing conditions that selected from the work of Ogretim et al. are listed in Table 1.

185

186 Table 1

187 Ice accretion input test data for ANN application.

Ice type	IRT run number	Velocity (m/s)	Static Temperature(K)	LWC (g/m <sup>3</sup> )	MVD (microns)	Icing Time (min)
Rime1	July 1996 20735	102.8	256.49	0.34	20	11.5
Rime2	July 1991 27-6-36	58.1	256.19	1.30	20	8
Glaze1	July 1996 21236	102.8	262.04	0.44	30	8.75
Glaze2	July 1996 21336	102.8	262.04	0.48	40	8

188

189

190 *2.4. Error analysis*

191 To evaluate the accuracy of the proposed algorithm, the quantitative comparison between the  
 192 predicted ice shapes and the experimental results are conducted. The predicted results are also  
 193 compared with that of LEWICE and the work of Ogretm et al. The relative cross-section area  
 194 error is selected as the main criteria. The data points in the  $\xi-\eta$  plane are utilized to represent  
 195 the ice thickness since the perturbation  $f(\xi)$  is a single-value function. The relative  
 196 cross-section area error can be calculated by:

$$197 \quad \%error = \frac{\sum_{i=1}^N |f_{e_i} - f_{p_i}| \Delta \xi_i}{\sum_{i=1}^N |f_{e_i}| \Delta \xi_i} \times 100\% = \frac{\text{total area of error region}}{\text{total experimental area}} \times 100\% \quad (7)$$

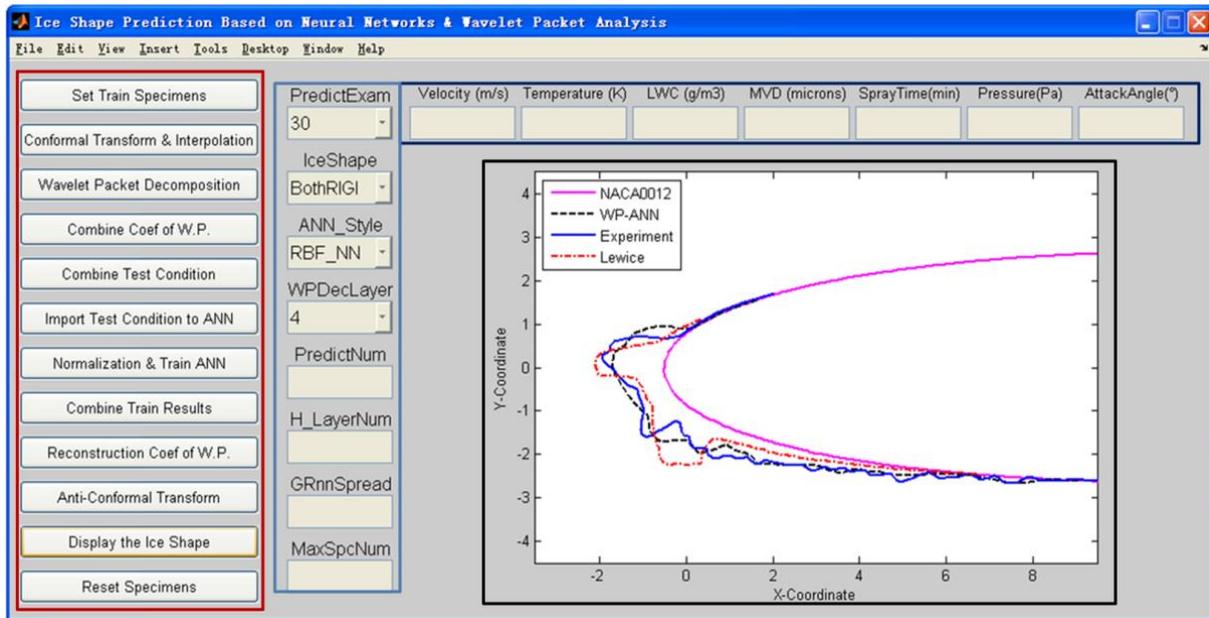
198 where the subscript  $e$  denotes the experimental ice thickness and the subscript  $p$  denotes  
 199 the predicted ice thickness,  $N$  is the total number of data points,  $|f_{e_i} - f_{p_i}| \Delta \xi_i$  is the area of the  
 200  $i$ -th rectangular element between the experimental and the predicted ice shape,  $|f_{e_i}| \Delta \xi_i$  is the  
 201 absolute cross-section area of the  $i$ -th rectangular element for the experimental ice shape. The

202 selected method of the error calculation can successfully reflect the general performance of the  
203 prediction methods.

### 204 **3. Results and discussion**

#### 205 *3.1. Software interface for ice shape prediction*

206 MATLAB Neural Network and Wavelet Toolboxes are used to build the network as well as  
207 an in-house ice prediction program is developed. Fig. 6 shows the screen shot of the developed  
208 software for predicting the ice shape based on WPT and ANN. Fig. 6 mainly consists four parts:  
209 flight conditions on the upper right, WPT and ANN option in the middle, data setting on the left,  
210 and results display window on the lower right. In the current study, five flight conditions, i.e.  $V_\infty$ ,  
211  $T_\infty$ ,  $LWC$ ,  $MVD$  and  $Time$ , need to be given before running the software. Then selecting the type  
212 of the database (“IceShape”) and the number of the wavelet packet decomposition layers  
213 (“WPDecLayer”), followed by activating the ice accretion prediction button. When clicking the  
214 “PredictExam”, the number of samples need to be selected as the target output. After running the  
215 simulation, the predicted ice shape will be plotted together with the experimental results and  
216 LEWICE for comparison, as illustrated in Fig. 6.



217  
218 **Fig. 6.** The interface developed for the prediction of the ice shape.  
219

220 To comprehensively evaluate the performance of the proposed methodology, the simulation  
221 will be conducted using both the separated-specimen method and the whole-set method [12]. The  
222 separated-specimen method is used to divide the ice shape database into the rime ice and the  
223 glaze ice, and the prediction will be carried out for each set. It is generally considered that the ice  
224 shape can be predicted using separated-specimen method with higher accuracy and efficiency.  
225 While the whole-set method will incorporate the whole set of specimens into the developed  
226 software and determine whether it is rime ice or glaze ice by the predicted results, which is  
227 thought more practical. For the purpose of comparison, in the current work, both the  
228 separated-specimen method and whole-set method will be tested.

### 229 3.2. Analysis with separated specimens

230 It is recognized that either rime ice or glaze ice has its unique characteristics. As is always the  
231 case, horns and excessive ice roughness normally show the behavior of glaze ice, whereas  
232 smooth geometry denotes rime ice. Since the current work only focuses on predicting the outer

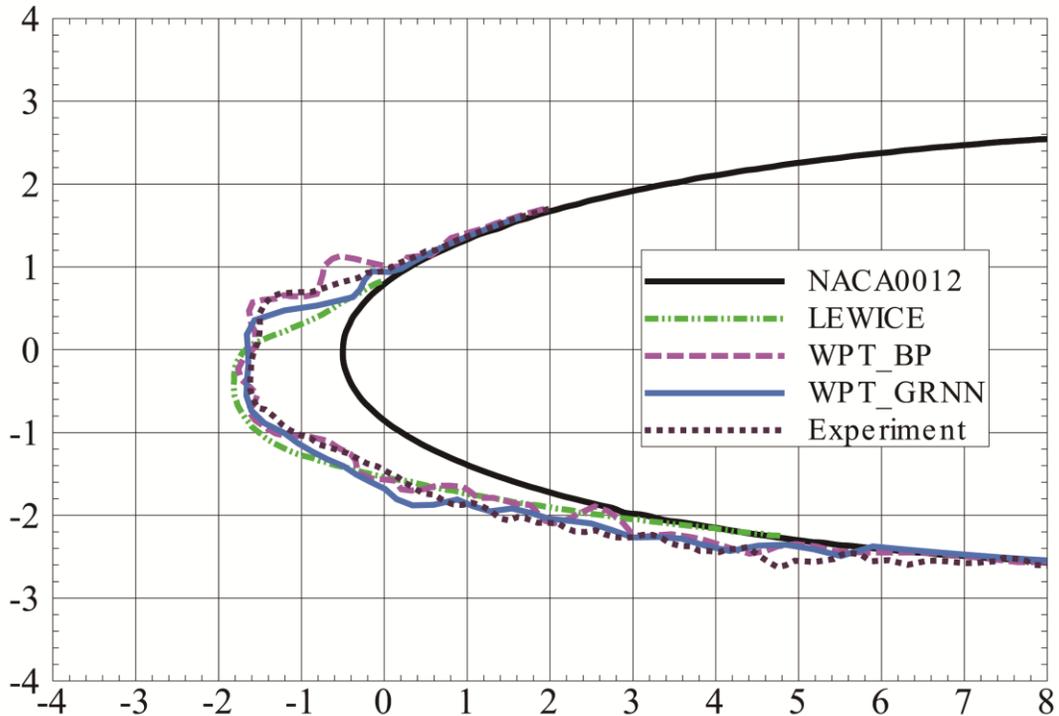
233 ice shape profile, the classification is taken in terms of the outer ice shape which is similar to  
 234 the work of Ogretim et al [12].

235 Figs. 7-10 show the comparison of the ice shapes (rime and glaze) of the experimental,  
 236 LEWICE and present BP, RBF and GRNN result. It can be seen clearly from both Figs. 7 and 8  
 237 that the ice extension and ice shape of the leading edge is predicted better by both the BP and  
 238 GRNN than that predicted by LEWICE. Although the maximum thickness of GRNN result for  
 239 the second rime case is under-predicted, the location of the maximum thickness is fairly well  
 240 predicted. A quantitative comparison of the neural network and LEWICE prediction results to  
 241 the experimental results in terms of the relative cross-section area error is given in Table 2.  
 242 Herein N.N. stands for the work of Ogretim et al. (combination of the Fourier expansion and  
 243 ANN). From Table 2, it is observed that small fluctuation appeared in the BP network results.  
 244 This may be attributed to the over-fitting, which could lead to a serious distortion when the  
 245 whole set of the specimens are applied in training BP neural network. In contrast, both the RBF  
 246 and GRNN network predicted ice shapes with smooth geometry.

247 Table 2  
 248 Summary of errors when using the separated specimens.

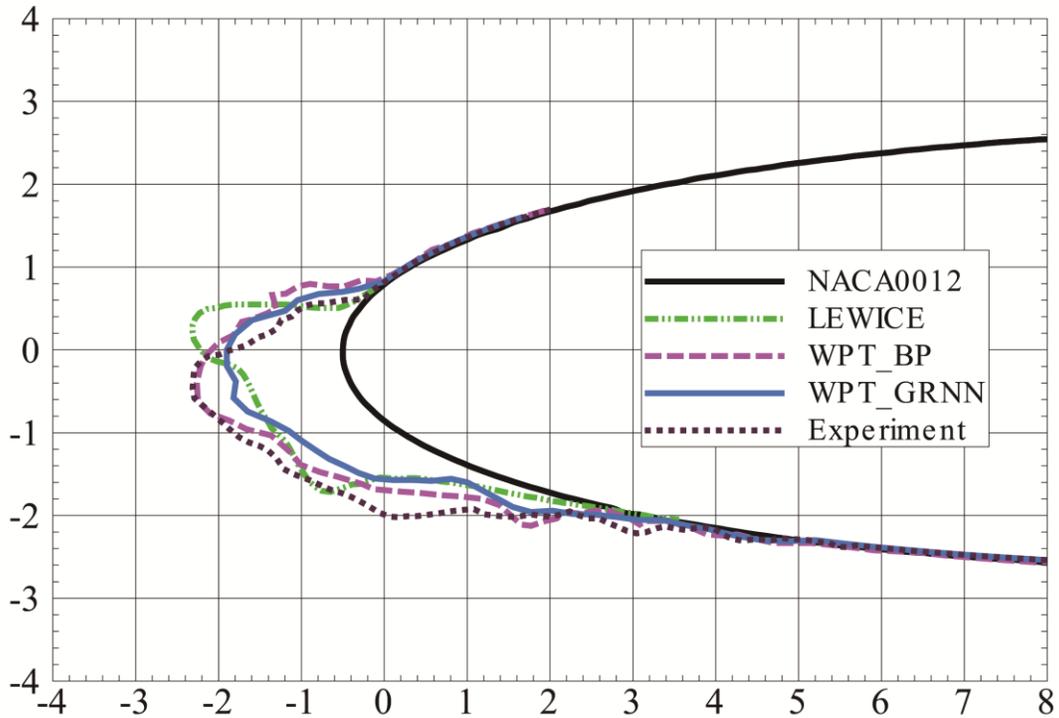
Ice type	Data file number	LEWICE Area error(%)	N.N. Area error(%)	BP Area error(%)	RBF Area error(%)	GRNN Area error(%)
Rime ice1	JULY 1996 20736	35.40	12.43	18.10	24.00	18.51
Rime ice2	JULY 1991 27-6-36	27.70	22.95	21.42	27.03	24.31
Glaze ice1	JULY 1996 21236	28.43	32.32	33.20	24.17	24.57
Glaze ice2	JULY 1996 21336	28.23	32.43	34.58	29.06	29.76

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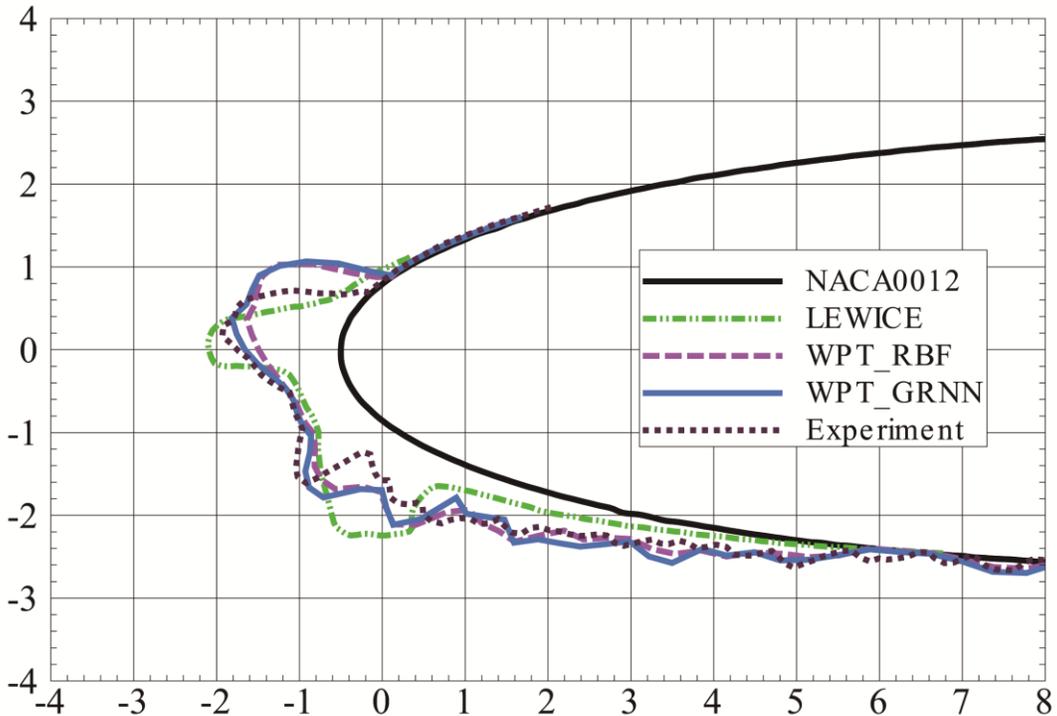
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**Fig. 7.** Comparison of the rime ice1 shapes of the experimental, LEWICE and present BP and GRNN result.



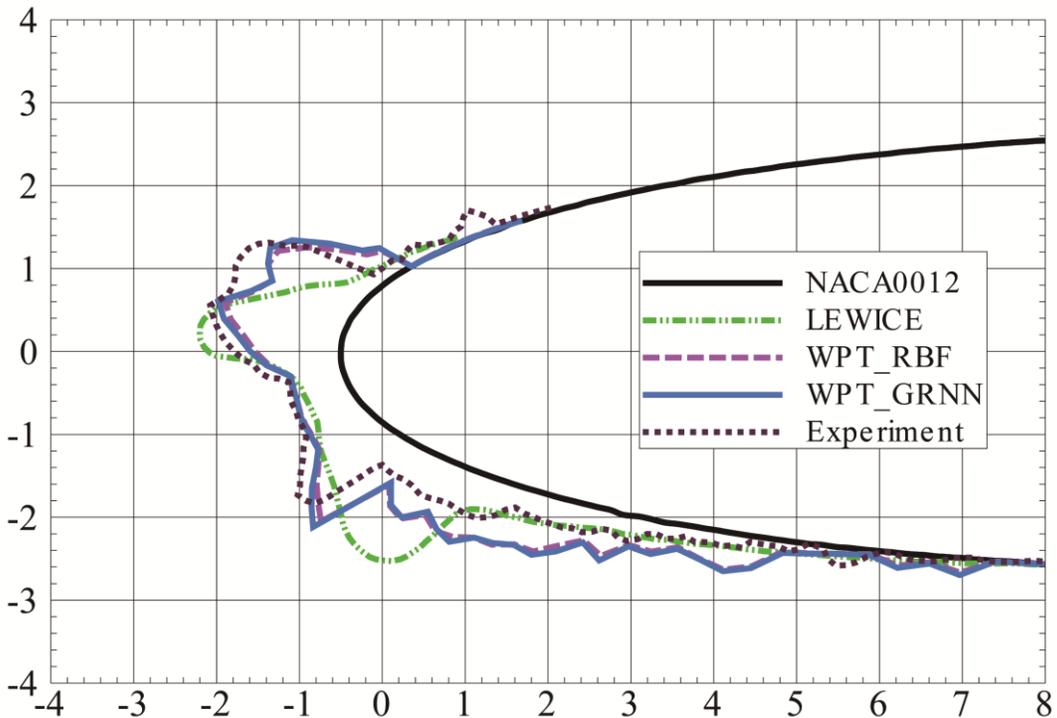
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**Fig. 8.** Comparison of the rime ice2 shapes of the experimental, LEWICE and present BP and GRNN result.



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**Fig. 9.** Comparison of the glaze ice1 shapes of the experimental, LEWICE and present RBF and GRNN result.



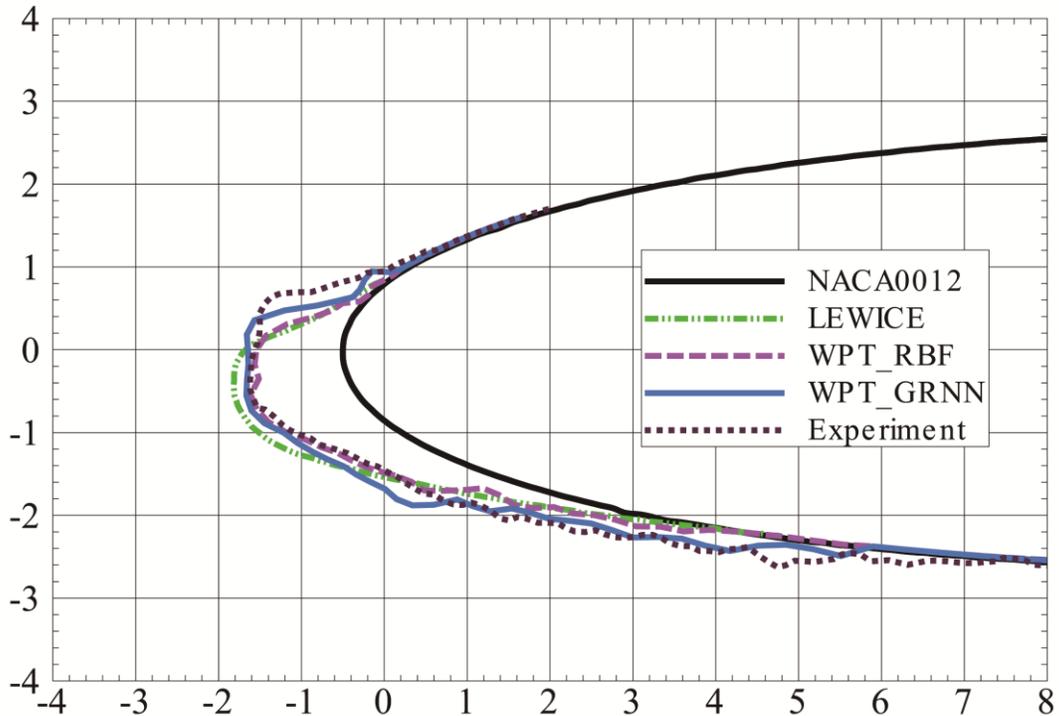
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**Fig. 10.** Comparison of the glaze ice2 shapes of the experimental, LEWICE and present RBF and GRNN result.

264 Figs. 9 and 10 show a similar comparison for the case of glaze ice conditions. It is clearly  
265 observed that both the RBF and GRNN are able to satisfactorily predict the ice shape in terms of  
266 the location and height of the ice horns as well as the surface roughness. The extent of the ice  
267 shape on the lower side is also fairly well predicted. For the glaze ice cases, the ice mass  
268 predicted by GRNN and RBF are similar and both over predicted the experimental result. As an  
269 overall evaluation, the GRNN network demonstrates a better performance in predicting the ice  
270 shape for both the rime ice and glaze ice is clearly classified.

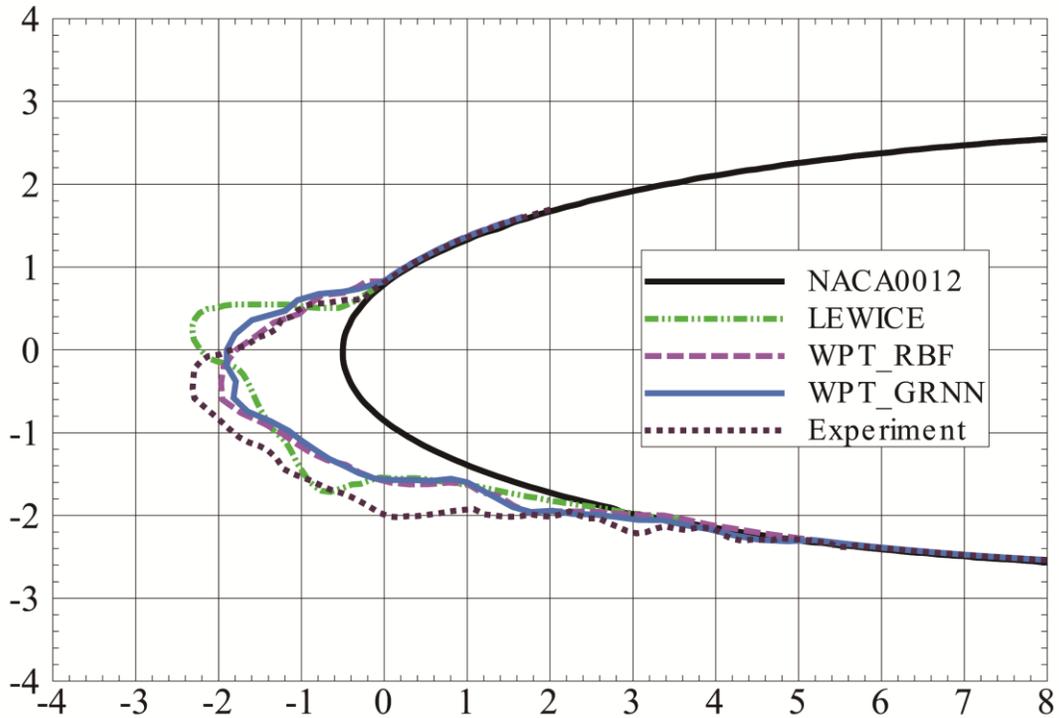
### 271 *3.3. Analysis with the whole set of specimens*

272 In this section, the whole set of the ice shape samples are implemented into the neural  
273 network as the input data. It is always not possible to know the type of the prediction ice  
274 conditions before ice accretion simulation since there is a considerable conditions in nature that  
275 cannot be simply determined. As a matter of fact, given the whole set of the specimens, the  
276 GRNN can keep the same accuracy compared to the predicted results using the  
277 separated-specimen method, whereas the RBF network even achieves better performance, as  
278 shown in Figs. 11-14. In general, the predicted ice horns are accurately captured and the surfaces  
279 of the predicted glaze ices are obviously rougher than that of the rime ices.



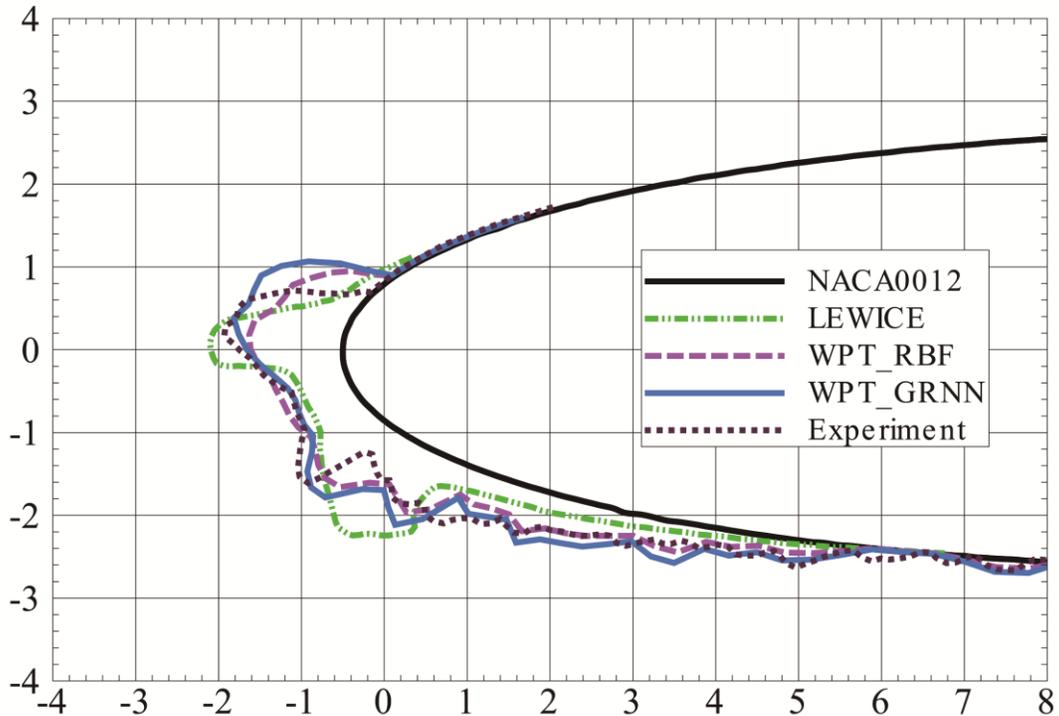
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**Fig. 11.** Comparison of the rime ice1 shapes of the experimental, LEWICE and present RBF and GRNN result.



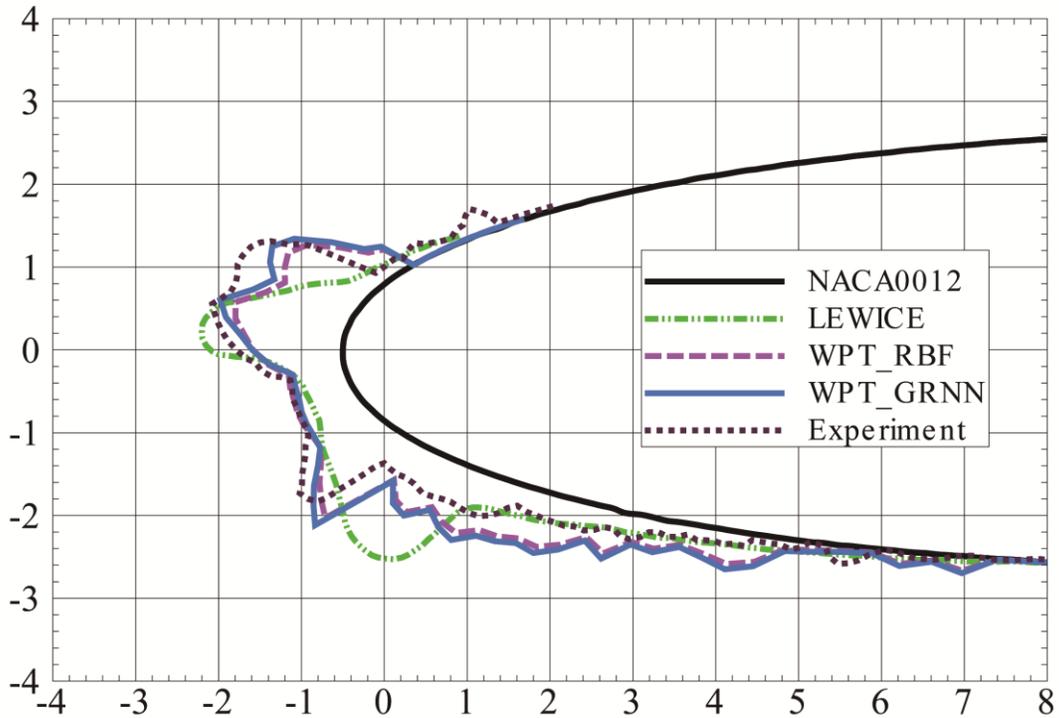
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**Fig. 12.** Comparison of the rime ice2 shapes of the experimental, LEWICE and present RBF and GRNN result.



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**Fig. 13.** Comparison of the glaze ice1 shapes of the experimental, LEWICE and present RBF and GRNN result.



289  
290  
291  
292

**Fig. 14.** Comparison of the glaze ice2 shapes of the experimental, LEWICE and present RBF and GRNN result.

293 For rime ice cases, as shown in Figs. 11 and 12, the overall shape and the extent of the ice  
 294 accretion are both well predicted except for the ice mass which is slightly small. A close look at  
 295 the glaze ice shape in Figs. 13 and 14, the angles of the upper and lower ice horns are fairly well  
 296 captured. The distribution of the ice thickness over the surface is also reasonably predicted and  
 297 the roughness of the experimental ice is well agreed. From the quantitative comparison shown in  
 298 Table 3, it can be seen that the RBF should be considered as a first attempt at applying this  
 299 technique to ice shape prediction when using the whole set of specimens.

300 Table 3  
 301 Summary of area-weighted errors when using the whole specimens.

Ice type	Data file number	LEWICE Area error(%)	RBF Area error(%)	GRNN Area error(%)
Rime ice1	JULY 1996 20736	35.40	24.83	18.51
Rime ice2	JULY 1991 27-6-36	27.70	21.74	24.31
Glaze ice1	JULY 1996 21236	28.43	21.56	24.57
Glaze ice2	JULY 1996 21336	28.23	27.34	29.76

302

303

304 **4. Conclusions**

305 In the present study, a combined wavelet packet transform (WPT) and artificial neural  
 306 network (ANN) method is proposed for predicting the ice accretion on the surface of NACA0012  
 307 airfoil. Three different neural networks are proposed to predict the ice shape, and they are the  
 308 commonly used back-propagation network (BP), radial basis function network (RBF), and  
 309 generalized regression neural network (GRNN). Compared with the other two networks (BP and  
 310 RBF), the GRNN can achieve overall better performance when the separated-specimen method  
 311 is considered. Whereas the RBF network achieves better performance for the case of using the  
 312 whole set of specimens. Results also show that the WPT-based method is in better qualitative

313 agreement with the experiments than the LEWICE and Fourier-expansion-based method  
314 regarding the ice horns and the surface details of the glaze ice. It needs to be stressed that the  
315 database does not need to be separated in advance, since the neural network shows the same or  
316 even better performance when given the whole set of specimens for prediction. The proposed  
317 approach/software can be easily performed once the experimental data are available. Future work  
318 will extend the input parameter set to account for different variables, such as the chord length  
319 and angle of attack.

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