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1           **Experimental and numerical investigation on integrated thermal management for**  
2           **lithium-ion battery pack with composite phase change materials**

3   **Yongqi Xie<sup>a,\*</sup>, Jincheng Tang<sup>a</sup>, Shang Shi<sup>b</sup>, Yuming Xing<sup>a</sup>, Hongwei Wu<sup>c,\*\*</sup>, Zhongliang Hu<sup>d</sup>, Dongsheng Wen<sup>a, d</sup>**

4           <sup>a</sup>School of Aeronautic Science and Engineering, Beihang University, Beijing, 100191, China

5           <sup>b</sup>The 55th Research Institute, China Electronics Technology Group Corporation, Nanjing, 210016, China

6           <sup>c</sup>School of Engineering and Technology, University of Hertfordshire, Hatfield, AL10 9AB, United Kingdom

7           <sup>d</sup>School of Chemical and Engineering, University of Leeds, Leeds, LS1 9JT, United Kingdom

8  
9                           \*Corresponding author. Email: [xyq@buaa.edu.cn](mailto:xyq@buaa.edu.cn) Tel. (86)10-82338081

10                          \*\*Corresponding author. Email: [h.wu6@herts.ac.uk](mailto:h.wu6@herts.ac.uk) Tel. +44(0)1707284265

11  
12   **Abstract:**

13   In this article, a novel composite phase change materials based thermal management system  
14   coupled with air cooling was proposed in order to sustain the temperature rise and distribution  
15   within desirable ranges of the lithium-ion battery utilized in a hybrid power train. A combined  
16   experimental and numerical study was conducted to investigate the effects of air flow rate and  
17   phase change material liquid fraction on the thermal behavior of the integrated thermal  
18   management system. Comparisons between the integrated system and an air cooling system were  
19   implemented under different air flow rates and ambient temperatures. Furthermore, thermal  
20   characteristics of both systems during charge-discharge cycles were numerically simulated. The  
21   results showed that the cooling effect of the integrated system was obviously better than that of  
22   the air cooling system. The variation of the air flow rate and ambient temperature had negligible  
23   impact on the heat dissipation of the phase change cooling. After the fully melt of phase change  
24   material, the battery temperature did not rise rapidly due to the auxiliary cooling of the cooling air.  
25   During 4C charge-discharge cycles, the temperature rise of the battery pack could be effectively  
26   restrained by the air cooling at a flow rate exceeding 300 m<sup>3</sup>/h. While for the integrated system,  
27   good thermal management could be achieved with only 100 m<sup>3</sup>/h of air flow rate. Especially for  
28   the operation mode, i.e., phase change material cooling during the discharge and coupled phase  
29   change material and air cooling during the charge, the integrated system could control the  
30   maximum temperature of the battery pack below 49.2 °C and reach up to six charge-discharge  
31   cycles under no additional battery power consumption.

32   **Keywords:** Lithium-ion power battery; integrated thermal management system; phase change  
33   material; air cooling; cycle characteristics.

34 **Nomenclature**

35	$A$	Heat exchange area, $\text{m}^2$
36	$c$	Specific heat, $\text{J}/(\text{kg}\cdot\text{K})$
37	$h$	Convective heat transfer coefficient, $\text{W}/(\text{m}^2\cdot\text{K})$
38	$H$	Enthalpy, $\text{J}/\text{kg}$
39	$k$	Thermal conductivity, $\text{W}/(\text{m}\cdot\text{K})$
40	$L$	Latent heat, $\text{J}/(\text{kg}\cdot\text{K})$
41	$p$	Static pressure, Pa
42	$q$	Heat generation rate of battery, W
43	$Q$	Volume flow rate, $\text{m}^3/\text{s}$
44	$t$	Time, s
45	$T$	Temperature, K
46	$u$	Velocity, m/s
47	$V$	Volume, $\text{m}^3$
48	$\Delta P$	Pressure difference, Pa
49	$\Delta T$	Temperature difference, K
50	$\beta$	Liquid fraction
51	$\rho$	Density, $\text{kg}/\text{m}^3$
52	$\mu$	Dynamic viscosity, $\text{kg}/(\text{m}\cdot\text{s})$

53 ***Subscripts***

54	amb	Ambient
55	b	Battery
56	dot	Per unit volume
57	f	Fluid
58	l	Liquid
59	max	Maximum
60	min	Minimum
61	p	Phase change material
62	ref	Reference
63	s	Solid

64 ***Acronyms***

65	ACS	Air cooling system
66	CAD	Computer aided design
67	ITMS	Integrated thermal management system
68	PCM	Phase change material
69	PCSEU	Phase change storage energy unit
70	SOC	State of charge
71	TMS	Thermal management system

72 **1. Introduction**

73 In recent years, as the most suitable candidate for the hybrid electric vehicles and electric  
74 vehicles, the lithium-ion power batteries have attracted wide increased attentions due to their high  
75 specific energy density and long cycle life [1]. However, the performance of the lithium-ion  
76 batteries is significantly affected by the operating temperature. High operation temperature more  
77 than 55 °C can accelerate the battery ageing and shorten the lifespan [2]. It is recognized that the  
78 heat accumulation inside the battery will lead to a rapid temperature rise and even thermal  
79 runaway. The heat dissipation technology is limiting the commercial development of the  
80 large-scale battery pack [3]. It is therefore imperative to seek an effective thermal management  
81 system (TMS) in order to guarantee the battery can operate in the desired temperature range and  
82 keep as little temperature difference from cell to cell as possible [4].

83 Over the past two decades, many thermal management approaches have been studied, which  
84 mainly consist of air cooling system (ACS) [5], liquid cooling system [6], phase change material  
85 (PCM) cooling system [7] and heat pipe cooling system [8]. Due to the simple structure and low  
86 cost, ACS could be the earliest cooling technique that used for the battery thermal management.  
87 The experimental and numerical results investigated by Wu et al. [9] revealed that natural  
88 convection cooling could not effectively remove the heat from the battery pack whereas the  
89 forced convection cooling attained satisfactory the temperature rise of the battery. Park and Jung  
90 [10] numerically studied the effect of the battery cell arrangement on the thermal performance of  
91 the ACS and the parasitic power consumption. It was found that a wide battery module with a  
92 small cell to cell gap was desirable for the ACS. Under large heat load conditions, the consumed

93 power of the ACS was much more than that of the liquid based TMS. Although a better cooling  
94 performance of the ACS could be achieved by means of the structure optimized design, the  
95 temperature difference in the battery pack was inevitable. Especially for large capacity and high  
96 discharge rate, the ACS could not effectively control the temperature rise and suppress the  
97 temperature difference of the battery [11].

98 It is well known that the liquid cooling can provide higher cooling efficiency and better  
99 thermal uniformity than the air cooling. The liquid cooling based TMS could maintain the battery  
100 temperature within a desirable range and the temperature difference from cell to cell is within 2  
101 °C [12]. Transient thermal performance of a lithium-ion battery pack was analyzed by De Vita et  
102 al. [13] through comparing air cooling with liquid cooling strategy. By employing a liquid  
103 cooling based TMS on the basis of mini-channel cold plate, Rao et al. [14] numerically  
104 investigated the effect of various control factors, such as the number of channel, flow direction,  
105 coolant mass flow rate and ambient temperature on the temperature rise and distribution of the  
106 rectangular lithium-ion battery. For a cylindrical lithium-ion battery, they further studied the  
107 thermal performance of the mini-channel liquid cooling based TMS and found that the maximum  
108 temperature could be controlled under 40 °C as the number of mini-channel was no less than four  
109 and the inlet mass flow rate was 0.001 kg/s [15]. Afterwards, a series of research from the same  
110 research group revealed that the similar TMS with five mini-channels cold plate could achieve  
111 high cooling efficiency for the battery at 5C discharge [16]. Still liquid cooling based TMS has  
112 several disadvantages such as complex design, likelihood of leakage, high cost and difficult  
113 sustainment.

114 More recently, due to the extensive application in solar energy storage fields [17], the PCM  
115 based TMS that used to cool the battery are receiving increased attentions. It has simple structure,  
116 high latent capacity and no power consumption [18]. Al-Hallaj and Selmán [19] took the lead in  
117 conducting the research on a battery module with a PCM based TMS. It was found that the  
118 temperature profile of the cells was substantially more uniform at different rates discharge than  
119 those without PCM. In the next study on a scaled-up battery pack, they [20] also presented that  
120 the PCM placed between the cells was able to be effectively used as a passive battery TMS  
121 without introducing moving components. However, the pure PCMs, such as paraffin, are not  
122 capable of meeting the demands of rapid heat storage owing to the low thermal conductivity.

123 Therefore, many studies have been carried out to enhance the thermal conductivity through  
124 adding metal foam, metal fins, or expanded graphite into paraffin [21]. The numerical  
125 investigations on the lithium-ion battery TMS made from pure octadecane, gallium and  
126 octadecane-Aluminum foam composite materials were carried out by Alipanah and Li [22]. It was  
127 stated that in comparison with the pure octadecane, adding Aluminum foam of 0.88 porosity to  
128 the octadecane led to 7.3 times longer discharge time and remarkably improved the uniformity of  
129 the battery surface temperature. Wilke et al. [23] conducted the nail penetration on a lithium-ion  
130 pack and studied the effectiveness of the TMS with and without phase change composite material.  
131 Their results showed that as a single cell entered thermal runaway, the TMS with PCM could  
132 prevent the propagation while the TMS without PCM could not. Compared to the TMS without a  
133 composite of PCMs and aluminum wire mesh plates, the thermal behavior of the LiFePO<sub>4</sub> pack  
134 with the TMS was experimentally studied by Azizi and Sadrameli [24]. It was recognized that the  
135 maximum cell surface temperatures under ambient temperature condition were reduced by 19%,  
136 21% and 26% at the rate of 1C, 2C and 3C, respectively.

137 With the increasing power and heat generation of the battery pack, single thermal management  
138 approach is not competent to meet the demand of the heat dissipation of the battery. As a  
139 consequence, the integrated thermal management system (ITMS) has become an important way  
140 to solve the problem of the battery thermal safety. So far, there are mainly several types of the  
141 ITMS, for instance, air cooling/PCM TMS, liquid cooling/heat pipe TMS and PCM/heat pipe  
142 TMS. Wu et al. [25] designed a heat pipe-assisted PCM based TMS and experimentally studied  
143 the thermal performance. Experimental results showed that the highest temperature of the battery  
144 could be kept below 50 °C even at 5C discharge and a more stable and lower temperature  
145 fluctuation was achieved at different cycling conditions. For a tube-shell lithium-ion battery pack  
146 with expanded graphite/paraffin composite, the thermal characteristics of the TMS coupled with  
147 forced air cooling were investigated experimentally and numerically by Jiang et al. [26]. It was  
148 found that the ITMS obviously reduced the cell temperature rise and kept the maximum  
149 temperature difference within a low value of 1~2 °C. Zou et al. [27] proposed an ITMS with  
150 heat pipe and studied its thermal performance under different working conditions. It was  
151 indicated that the system could meet the basic cooling demand. Lazrak et al. [28] conducted a  
152 combined experimental and numerical study to investigate the thermal performance of the ITMS

153 based on PCMs and found that the ITMS could reduce temperature rise more than 5 °C and  
154 improve its distribution around the cell. Rao et al. [29] numerically investigated the thermal  
155 behavior of the PCM/mini-channel coupled TMS by analyzing the effect of the mass flow rate of  
156 water, phase change temperature, and thermal conductivity of PCM. The maximum temperature  
157 for the ITMS was 14.8 °C, which is smaller than that for PCM-based TMS.

158 To the best knowledge of the authors, there is still much room to study on extension for the  
159 TMS coupled with multi cooling approaches. In the current study, for a lithium-ion power battery  
160 pack used in the hybrid power train, an ITMS with PCMs and air conditioning exhaust was  
161 proposed. The thermal behaviors of the ITMS were investigated experimentally at different air  
162 flow rates, PCM melted rates and ambient temperatures. Comparisons between the ITMS and the  
163 pure ACS were also analyzed. Moreover, the thermal characteristics of both thermal management  
164 methods during charge-discharge cycle process were numerically simulated.

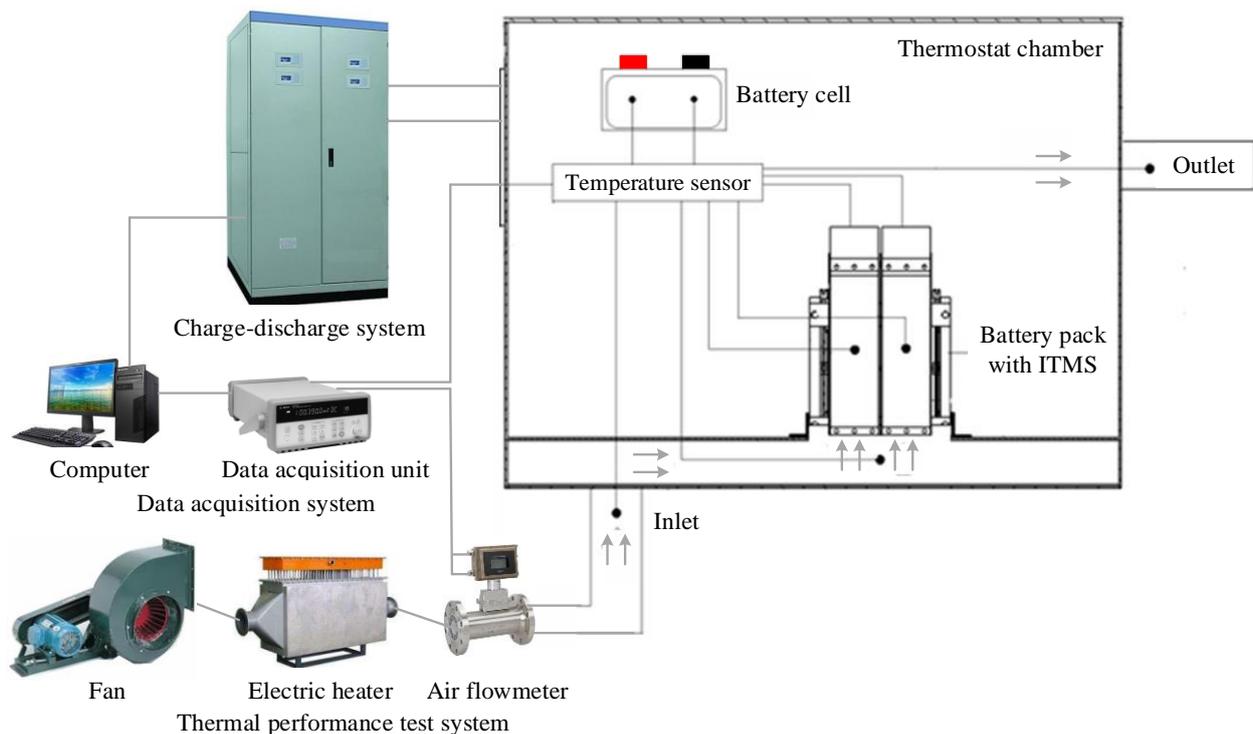
## 165 **2. Experimental system and test section**

166 An experimental test apparatus was built at Reliability and Environmental Engineering  
167 Laboratory at Beihang University, China. The experimental investigations on the thermal  
168 management performance of the lithium-ion battery pack with the ITMS were carried out.

### 169 *2.1. Experimental system*

170 Fig. 1 presents the diagrammatic sketch of the experimental system, which is mainly composed  
171 of the charge-discharge system, data acquisition system, thermal performance test system and the  
172 test section. The charge-discharge system was used to simulate the operation state of the battery  
173 under different charge and discharge rates conditions. It mainly included several programmable  
174 DC power supplies, programmable DC electric loads and relevant test and control software.  
175 During the charge period, the battery pack discharged to 18 V with constant currents of 2C/20A,  
176 3C/30A and 4C/40A. During the discharge process, it firstly charged to the termination voltage of  
177 33.6 V with constant currents of 2C, 3C and 4C and then charged at 33.6 V until the termination  
178 current of 0.05 A. In the experiments, the coulomb counting method [30] was selected to estimate  
179 state of charge (SOC) and the initial battery capacity during charge was assumed to be 0 Ah.  
180 During the middle stage of the charge and discharge, the linear relationship between the SOC and  
181 the charge-discharge time was supposed. In addition, the effect of the battery aging and the  
182 charge-discharge cycle was ignored.

183 The thermal performance test system provided the required cooling air velocity and ambient  
 184 temperature, which mainly consisted of a variable frequency centrifugal fan (DF-4), electric  
 185 heater, DC power supply (HSPY-600), air flowmeter (NRHLF0175), thermostat chamber and  
 186 pipe. The centrifugal fan and electric heater were used to regulate the air flow and temperature,  
 187 respectively. The air flow rate from  $0.5 \text{ N m}^3/\text{h}$  to  $50 \text{ N m}^3/\text{h}$  was measured by the air flowmeter  
 188 with the accuracy of  $50 \pm 0.25 \text{ N m}^3/\text{h}$ . In order to reduce the heat leakage to the surroundings, the  
 189 pipe was wrapped by the thermal insulation materials (Rubber Foam Thermal Insulation Sheet,  
 190  $0.034 \text{ W/m}\cdot\text{K}$ ) with the thickness of 10 mm. The test section, namely, the battery pack, was  
 191 installed inside the thermostat chamber. In the current study, three different temperatures ( $28 \text{ }^\circ\text{C}$ ,  
 192  $35 \text{ }^\circ\text{C}$  and  $42 \text{ }^\circ\text{C}$ ) were selected to simulate the exhaust air of the air conditioner in the hybrid  
 193 power train, the mixed air of exhaust air and outside fresh air, as well as the outside fresh air in  
 194 summer.



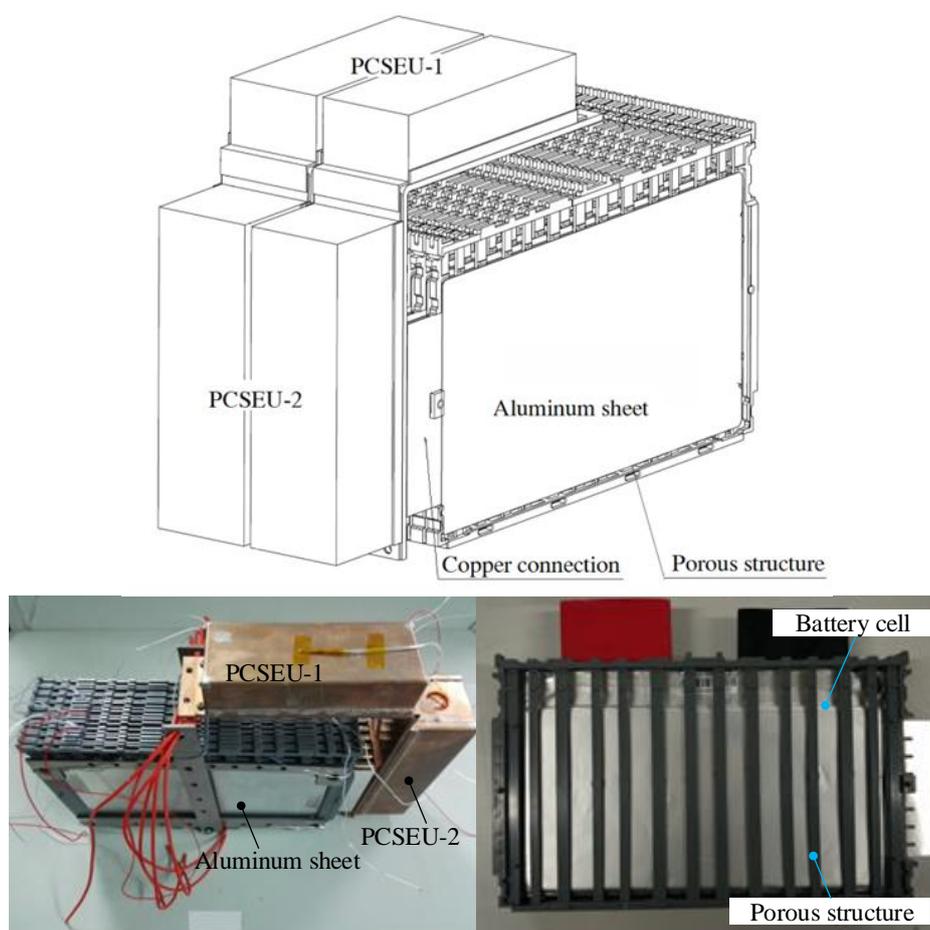
195  
 196 Fig. 1. The diagrammatic sketch of the experimental system.

197 The main components of the data acquisition system included six platinum temperature sensors  
 198 (PT100,  $\pm 0.06 \text{ }^\circ\text{C}$  at  $0 \text{ }^\circ\text{C}$ ), a data acquisition unit (Agilent 34970A) and a computer. These  
 199 temperature sensors were evenly arranged on the surface of the battery cell at three different  
 200 positions inside the battery pack. There were two PT100s on the surface of each battery cell. The  
 201 temperatures at different locations, air flow rate, charge-discharge current and voltage were

202 recorded every second by using Agilent 34970A and saved in the computer.

## 203 2.2. Test section

204 Fig. 2 shows the schematic diagram and photo of the battery pack with the ITMS. The battery  
205 pack consisted of twelve pouch cells covered with an aluminum sheet of 0.35 mm thickness and  
206 thirteen porous structures (engineering plastic-ABS), which was 1/49 of the real battery pack in  
207 the hybrid power train. The cell was embedded in the porous structure which allowed the cooling  
208 air flow through. The specifications for the commercial lithium-ion battery cell provided by the  
209 manufacturer (Microvast Power Systems Co., Ltd.) are illustrated in Table 1.



210

211 Fig. 2. Schematic diagram and photo of the battery pack with the ITMS.

212 Basically the ITMS includes four phase change storage energy units (PCSEUs), two L-shape  
213 copper collector plates, and twelve copper connecting fins. The outline dimensions of PCSEU-1  
214 and PCSEU-2 were 120 mm × 45 mm × 30 mm and 145 mm × 45 mm × 45 mm, respectively.  
215 Both PCSEUs assembled on the collector plate with dimension of 160 mm × 45 mm × 2 mm  
216 were arranged on the side and top of the battery pack. The connecting fin with 1.5 mm thickness

217 and 15 mm height was utilized to link the collector plate with aluminum sheet. The PCSEUs  
 218 absorbed the heat generated by the battery through heat conduction. It is worth noting that the  
 219 PCSEUs configuration had little impact on the cooling air flowing through the porous structure to  
 220 cool the cells.

221 Table 1 Specifications for commercial lithium-ion battery cell.

Specifications	Value (unit)
Type	Lithium titanate battery
Dimensions	6.1 mm×203 mm×127 mm
Nominal voltage	2.3 V
Nominal capacity	10 Ah
Recommended temperature	-10 ~ +45 °C (charge)
	-25 ~ +55 °C (discharge)
Thermal conductivity of battery	5.22 W/(m·K)

222 On the basis of the application demands, the n-eicosane paraffin with purity of 99% was  
 223 employed as the organic PCM. Its phase change temperature was from 36 °C to 38 °C and the  
 224 latent heat was 241 kJ/kg. In order to enhance the thermal conductivity of the PCM, the copper  
 225 foam with porosity of 95% was added to form the composite PCM with paraffin. The paraffin  
 226 was heated to liquid and then was poured into the copper foam core. The thermal conductivity of  
 227 composite PCM was 5.27 W/m·K, which was measured by Hot Disk Analyzer (TPS 1500) based  
 228 on transient plane source method [31]. The composite PCM was encapsulated by welding with  
 229 six copper plates with 1.0 mm thickness to form the PCSEU. In the current study, the PCSEUs  
 230 were wrapped by the thermal insulation material (Rubber Foam Thermal Insulation Sheet, 0.034  
 231 W/m·K) in order to reduce the effect of the external air convection.

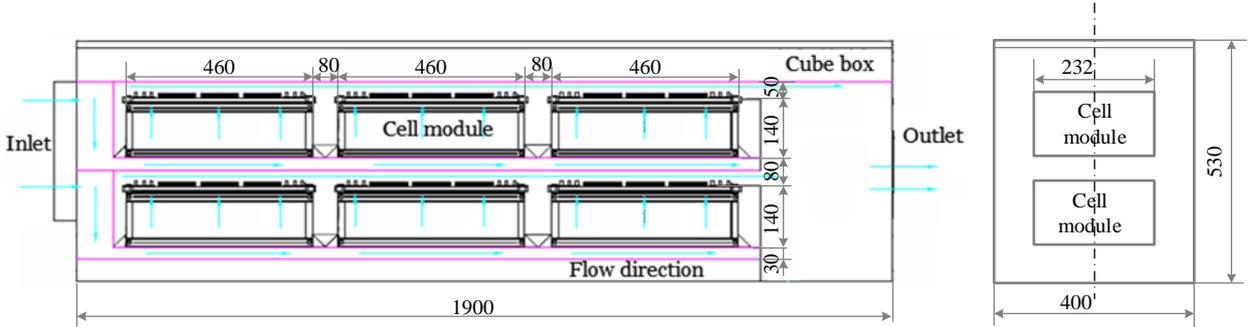
### 232 3. Mathematical model and model validation

233 This section primarily focuses on the development of the mathematical model of the entire  
 234 battery pack, followed by governing equations and model validation.

#### 235 3.1. Numerical description

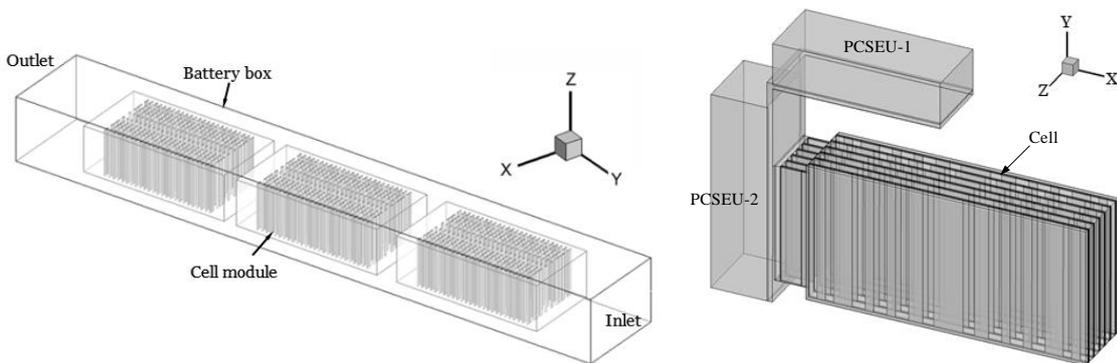
236 In the hybrid power train, there were total 12 battery modules, which were evenly arranged in  
 237 upper and lower layers in a cube box, as shown in Fig. 3. Some basic dimensions of the battery

238 pack were also presented in Fig. 3. Each module consisted of 49 pouch cells and the total number  
 239 of the cell was 588. Parallel ventilation was designed and the cells were cooled by the air flowing  
 240 through the porous structure from the pack at the bottom.



241  
 242 Fig. 3. Schematic of the entire battery pack (cube box).

243 The simplified physical model of the entire battery pack was created by the CAD software  
 244 SolidWorks 2010. The interfacial thermal contact resistance between the collector plate and  
 245 PCSEUs was considered as a thermal layer with 0.1 mm in thickness and 4.8 W/m·K in thermal  
 246 conductivity. For other contact interfaces, perfect contacts were assumed. The computational  
 247 domain of the entire battery pack and the local solid domain for cells and PCSEUs were  
 248 presented in Fig. 4. In order to simplify the calculation, assuming that the cooling air was  
 249 incompressible, the air flow field inside the battery pack was firstly resolved without considering  
 250 the energy equation. Thus the velocity and pressure distributions around each cell were obtained.  
 251 Afterwards, the energy equation was solved using the known velocity distribution as boundary  
 252 conditions. Therefore, the temperature fields of all the battery cells could be achieved.



253  
 254 (a) The entire battery pack

(b) Local domain for cells and PCSEUs

255 Fig. 4. The computational domain of the entire battery pack. (a) The entire battery pack. (b)  
 256 Local domain for cells and PCSEUs.

257 The hexahedral meshes were created to discrete the computational domain using the software  
 258 ICM CFD 14.0. Local five boundary layers were generated at the cells and wall surfaces. Grid

259 independent analyses were conducted to ensure the calculation results being independent of the  
260 grid size. The total grid number for the entire fluid domain and local solid domain, as shown in  
261 Fig. 4, was 4,201,988 and 954,463, respectively. Additionally, the model of the whole battery  
262 pack without the ITMS was also created for simulating the thermal behavior of the ACS.

263 In the current simulations, the radiation heat transfer was not taken into account [32]. The heat  
264 transfer between the battery box casing and surroundings was also negligible. For the composite  
265 PCM with copper foam and paraffin, the properties were assumed to be constant and identical for  
266 both liquid and solid phase [29, 32]. The motion of solid paraffin, the volume variation and the  
267 convective heat transfer between paraffin and copper foam were all neglected during the period  
268 of phase change [33]. Consequently, the melting of the composite PCM could be considered as  
269 pure thermal conductivity process. Focused on the thermal characteristics of the battery pack  
270 under harsh conditions, a high charge and discharge rate of 4C was used to for the simulation.  
271 Both charge and discharge times were approximate 900 s. In order to get the real results, the  
272 actual heat generation rate of the battery under 4C charge-discharge rate conditions was utilized,  
273 which was assessed to be uniform in each cell. Velocity inlet, pressure outlet and no-slip wall  
274 were set as the boundary conditions. The gauge pressure at the outlet was considered as zero  
275 gauge pressure. The air temperature at the inlet was set to 35 °C and 42 °C, respectively. The  
276 ambient conditions at 1 atmospheric pressure were used to initialize the computational domain.  
277 The initial temperatures of the cells and the PCSEUs were set to the ambient temperature. The  
278 time step was set as 1 s and the iteration number per time step was 60 so as to decrease the  
279 calculation time. The convergence criteria were set to  $1 \times 10^{-4}$  of the residuals for the continuity,  
280 momentum and energy equations. The walls on the top, side and bottom of the battery box casing  
281 were specified as adiabatic wall boundary conditions.

### 282 3.2. Governing equations

283 The heat generated by the battery originates from the combined effects of the internal  
284 electrochemical reactions and the electrical-heat transformation. During the charge and discharge  
285 process, the heat generation rate mainly includes irreversible Joule heat, reversible heat from the  
286 electrochemical reactions, heat from side reactions and heat of mixing [34]. According to the  
287 analysis of the heat transfer mode of the battery [35], the heat generation rate ( $q$ ) can be

288 calculated as follows.

$$289 \quad q = hA \left( \frac{T - T_0}{1 - \exp\left(-\frac{hA}{\rho_b c_b V_b} t\right)} + T_0 - T_{\text{amb}} \right) \quad (1)$$

290 where  $h$  is the convective heat transfer coefficient,  $A$  is the heat exchange area,  $t$  is the time,  $T$  and  
 291  $T_0$  are the battery temperature at the time of 0 and  $t$ ,  $T_{\text{amb}}$  is the ambient temperature,  $\rho_b$  is the  
 292 density of the battery,  $c_b$  is the specific heat of the battery,  $V_b$  is the volume of the battery.

293 Under different charge-discharge rates and ambient temperatures conditions, the heat  
 294 generation rate at a given time could be determined according to Eq. (1) and the related battery  
 295 temperature drop data show in the literature [35]. In order to conveniently using the heat  
 296 generation rate in simulations, the following polynomial expressions for the cases of 4C rate of  
 297 charge and discharge, as well as 35 °C and 42 °C were fitted by utilizing the least square method.

$$298 \quad q = a_0 + a_1 t + a_2 t^2 + \dots + a_n t^n, n = 1, 2, \dots, t \leq t_{\text{total}} \quad (2)$$

299 where  $a_0, a_1, \dots, a_n$  are constant for a given charge-discharge rate and ambient temperature.

300 When  $n$  was equal to 7, the polynomial fitting R-square was more than 0.988. This indicated  
 301 that the curve was matching well with the calculation value of the heat generation. Table 2 shows  
 302 the coefficient of the polynomial fitting for the cases of 4C charge and discharge at 35 °C and 4C  
 303 discharge at 42 °C.

304 Table 2. Coefficient of polynomial fitting at 4C charge and discharge at 35 °C and 42 °C

Condition	$a_0$	$a_1$	$a_2$	$a_3$	$a_4$	$a_5$	$a_6$	$a_7$
4C dis-35	-3.7375	0.6863	-0.0133	1.1742E-4	-5.6878E-7	1.6347E-9	-2.8544E-12	2.9673E-15
4C charg-35	0.1119	0.3846	-0.0071	5.1582E-5	-2.8953E-10	7.8784E-10	-1.2799E-12	1.2271E-15
4C dis-42	-1.6505	0.5777	-0.0123	1.1533E-4	-5.8328E-7	1.7314E-9	-3.0989E-12	3.2834E-15

305 The polynomial expressions under different conditions were implemented with coupling the  
 306 solutions of the governing equations via a user defined function in ANSYSYS Fluent 14.0. The  
 307 calculation of the heat generation rate provided the heat source for each battery cell.

308 The standard governing equations of continuity, momentum and energy equation were used for  
 309 the fluid domain. While only the energy conservation equation was used for both battery cell  
 310 domain and PCM domain.

311 Based on energy conservation and the assumptions in section 3.2, for the domain of the cells,  
 312 the energy equation can be defined by Eq. (3):

$$313 \quad \rho_b c_b \frac{\partial T}{\partial t} = \nabla \cdot (k_b \nabla T) + q_{\text{dot}} \quad (3)$$

314 where  $k_b$  is the thermal conductivity of the battery,  $q_{\text{dot}}$  is the battery cell heat generation rate per  
 315 unit volume.

316 For the domain of the PCM, since a pure heat conduction process was considered as the PCM  
 317 was melting or solidifying, the energy equation can be calculated as follows:

$$318 \quad \rho_p \frac{\partial H}{\partial t} = \nabla \cdot (k_p \nabla T) \quad (4)$$

$$319 \quad H = \int_{T_{\text{ref}}}^T c_p dT + \beta L \quad (5)$$

320 where  $\rho_p$  is the density of the PCM,  $H$  is the enthalpy of the PCM,  $k_b$  is the effective thermal  
 321 conductivity of the PCM,  $L$  is the latent heat of the PCM,  $\beta$  is the liquid fraction of the PCM,  
 322 which can be expressed as [25]:

$$323 \quad \beta = \begin{cases} 0 & T < T_s \\ (T - T_s)/(T_1 - T_s) & T_s < T < T_1 \\ 1 & T > T_1 \end{cases} \quad (6)$$

324 where  $T_s$  and  $T_1$  are the solidification and liquefaction temperature of the PCM, respectively.

325 For the domain of the fluid (air), the continuity, momentum and energy equations were given  
 326 by Eqs. (7), (8) and (9), respectively.

$$327 \quad \frac{\partial \rho_f}{\partial t} + \nabla \cdot (\rho_f \vec{u}) = 0 \quad (7)$$

$$328 \quad \frac{\partial (\rho_f \vec{u})}{\partial t} + \nabla \cdot (\rho_f \vec{u} \vec{u}) = -\nabla p_f + \nabla \cdot \left( \mu_f \nabla \vec{u} + \mu_f \nabla \vec{u}^T \right) \quad (8)$$

$$329 \quad \frac{\partial (\rho_f c_f T)}{\partial t} + \nabla \cdot (\rho_f c_f \vec{u} T) = \nabla \cdot (k_f \nabla T) \quad (9)$$

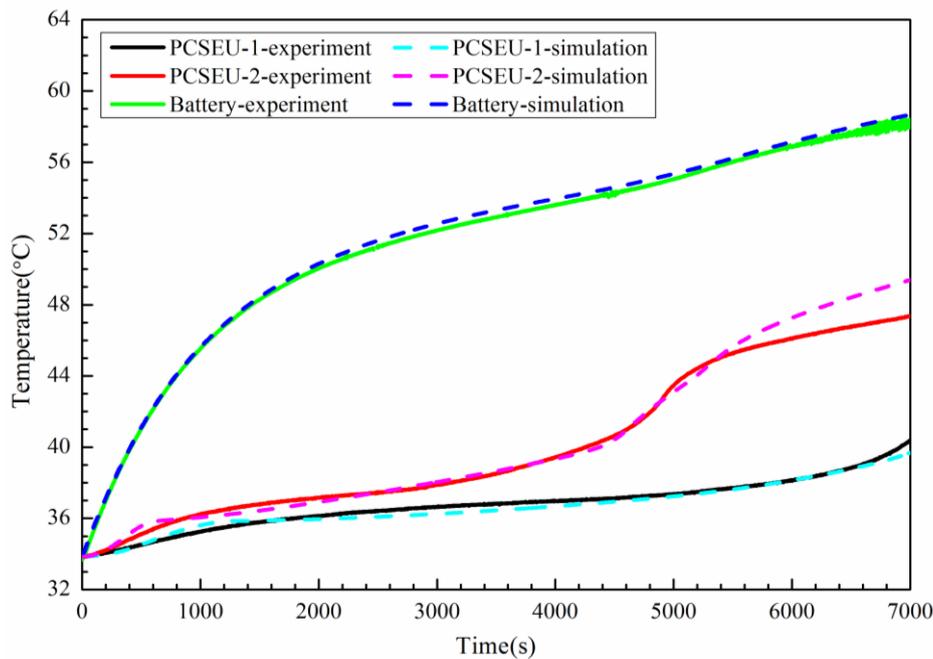
330 where  $\rho_f$  and  $c_f$  are the density and specific heat of the cooling air,  $\vec{u}$  is the velocity vector of the  
 331 cooling air,  $\mu_f$  is the dynamic viscosity of the cooling air and  $p_f$  is the static pressure. Besides, the  
 332 turbulent model,  $k$ - $\varepsilon$  model, was employed to predict the flow behavior [36].

333 *3.3. Model validation*

334 In the simulation, the numerical model for describing the PCM melting and the heat generation  
335 of the battery are crucial for the simulation results. The following section shows the comparison  
336 of the simulation and experimental results to validate the numerical model.

337 *3.3.1. Validation of PCM melting model*

338 In order to validate the melting model of the PCM, the experimental test using an electric  
339 heater instead of the actual cell was carried out at 35 °C (ambient temperature). The heat power  
340 was constant and set to 5 W. The test section, as shown in Fig. 2, was placed in the thermostat  
341 chamber and the natural convection heat transfer coefficient was estimated to 5 W/m<sup>2</sup>·K. The  
342 temperature of the same location on the surface of the battery and PCSEUs under experimental  
343 and simulating conditions was selected for comparison. Fig. 5 depicts the comparison of the  
344 simulated and experimental surface temperatures for the battery, PCSEU-1 and PCSEU-2.



345  
346 Fig. 5. The experimental and simulation results of the battery and PCSEUs.

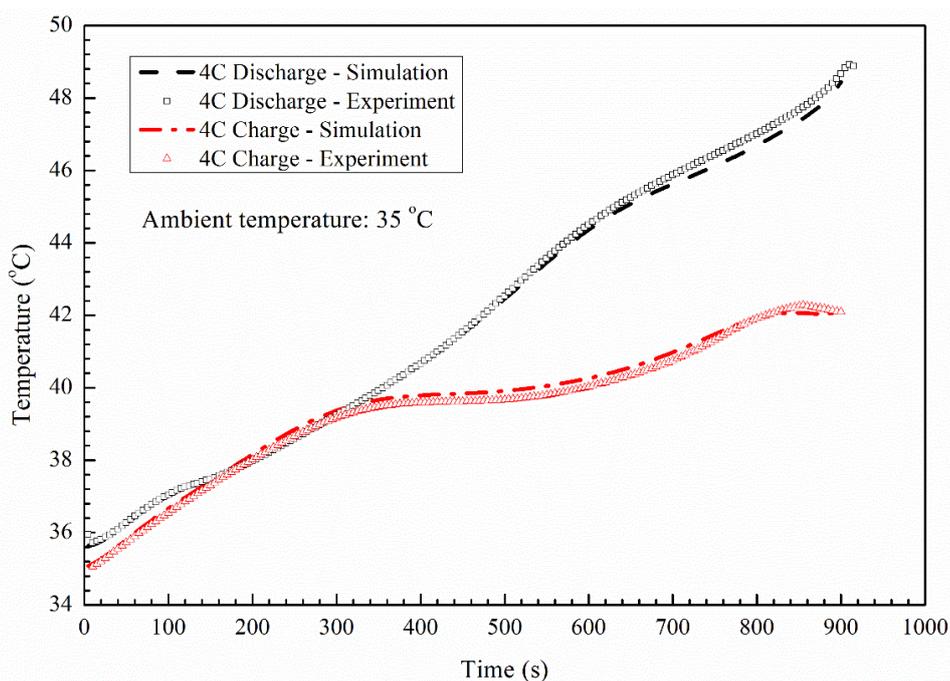
347 It can be clearly seen from Fig. 5 that there were the similar change trends of the battery and  
348 PCSEUs temperatures under both simulation and experiment conditions. Good agreements were  
349 achieved between the experimental data and the computed results. Overall, the maximum error  
350 was not more than 5%.

351 *3.3.2. Validation of battery heat generation rate*

352 For the purpose of verifying the heat generation rate of the battery, the experiment and

353 simulation on the thermal behavior of the ITMS were carried out at 4C charge and discharge rates  
354 under natural convection conditions. The ambient temperature was 35 °C. The comparison of  
355 battery temperature between experimental data and simulated results is presented in Fig. 6.

356 As shown in Fig. 6, it could be found that the simulated temperature was in good agreement  
357 with experimental one. The maximum error was 2.1% during 4C charge process whereas 1.3%  
358 during 4C discharge process. The result demonstrated that the heat generation rate model was  
359 robust and accuracy.



360  
361 Fig. 6. The simulation and experimental battery temperatures at 4C charge-discharge rates.

## 362 4. Results and discussion

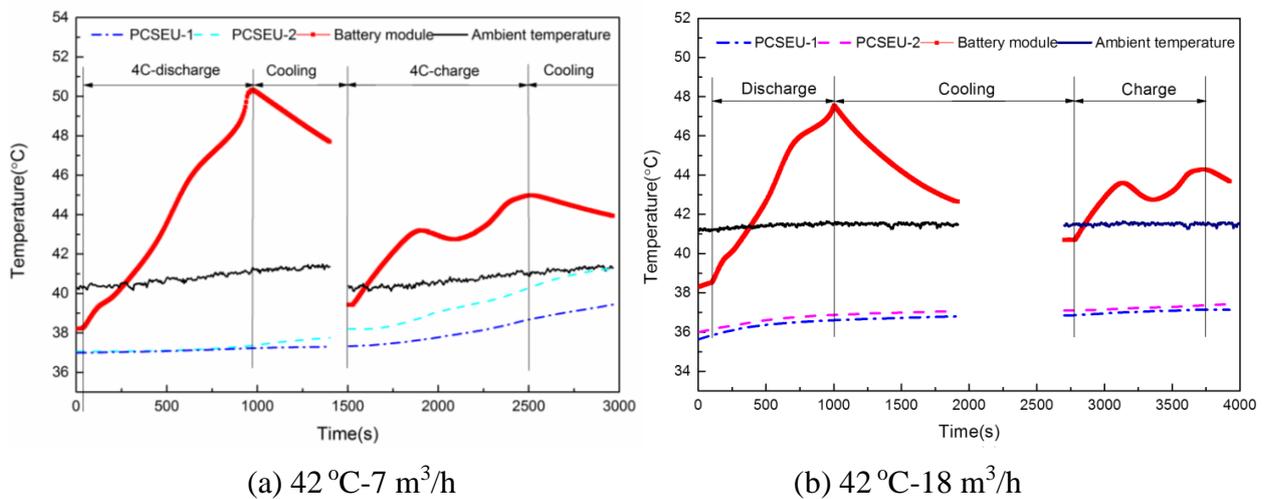
363 The results are presented in the following four sections. The first section analyzes the effect of  
364 the air flow rate and PCM liquid fraction on the thermal behavior of the ITMS. The second  
365 describes the performance difference between the ITMS and the ACS. The third and fourth  
366 sections will look at the thermal characteristics of the ITMS and ACS during charge-discharge  
367 cycles.

### 368 4.1. Thermal behavior of the ITMS

369 It needs to be emphasized that the battery temperature can be affected by both the cooling air  
370 flow rate and the liquid fraction of the PCM.

371 4.1.1. Effect of air flow rate

372 Fig. 7 shows the maximum temperature variation of the battery pack with the ITMS under 4C  
 373 charge-discharge rate at ambient temperature of 42 °C. The air flow rate is 7 m<sup>3</sup>/h and 18 m<sup>3</sup>/h,  
 374 respectively. The temperature profiles during the period of air cooling are interrupted since the  
 375 charge and discharge are not carried out continuously. It can be clearly seen from Fig. 7 that  
 376 increasing air flow rate leads to the decrease of the battery temperature. Due to insufficient  
 377 cooling after discharge, the initial temperature of the battery at the beginning of charge becomes  
 378 higher than that before discharge. Furthermore, higher initial temperature of the battery plays a  
 379 negative role in reducing the battery temperature.



382 Fig. 7 Temperature change of battery during 4C discharge and charge process under  
 383 different conditions: (a) 42 °C-7 m<sup>3</sup>/h; (b) 42 °C-18 m<sup>3</sup>/h.

384 In Fig. 7(a), the battery temperature increases continuously during the 4C discharge process  
 385 and reaches the highest value of 50.3 °C at the end of 4C discharge when the air flow rate is 7  
 386 m<sup>3</sup>/h. The maximum temperature rise is 12 °C. The surface temperatures of the PCSEU-1 and  
 387 PCSEU-2 are approximately 37.0 °C during the whole discharge. This indicates that the heat  
 388 generated by the battery is stored in latent heat. After the 4C discharge process, air cooling with  
 389 the flow rate of 40 m<sup>3</sup>/h is used and the battery temperature drops rapidly. The temperatures of  
 390 the PCSEU-1 and PCSEU-2 slightly increase since the battery temperature is higher than the  
 391 phase change temperature. In the 4C charge process, the initial temperature of the battery is 39.5  
 392 °C and the temperature curve has two peaks. Both peak values are 43.2 °C and 44.8 °C,  
 393 respectively. The temperature drop during the intermediate period is the consequence of the  
 394 domination of the heat dissipation against the battery heat generation. The PCM in the PCSEU-1

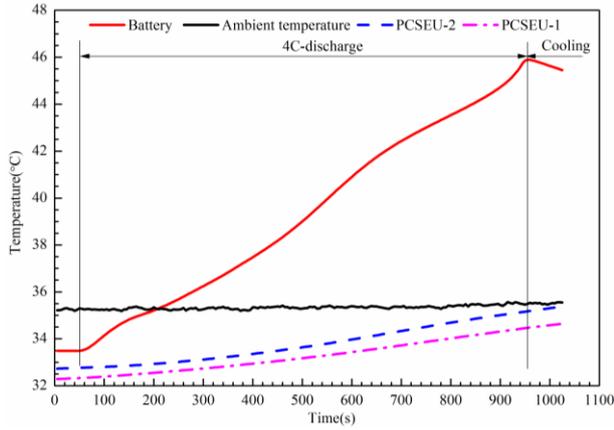
395 fully melts firstly and then that in the PCSEU-2 does in terms of their temperature. The highest  
396 temperature of the battery is very close to the upper limit temperature of 45 °C.

397 As illustrated in Fig. 7(b), when the air flow rate is 18 m<sup>3</sup>/h, the highest temperature of the  
398 battery reaches 47.7 °C with the maximum temperature rise of 9.3 °C at the end of the 4C  
399 discharge process. This implies that increasing air flow rate can decline the battery highest  
400 temperature. The temperatures of the PCSEU-1 and PCSEU-2 are close to 37.0 °C, which means  
401 that part of PCM melts. For the case of 4C charge, the initial temperature is 40.6 °C. The highest  
402 temperature of the battery gets to 44.2 °C under the coupled action of the cooling air and the  
403 PCMs. There are also two temperature peaks with very small temperature difference. Compared  
404 with the temperature curves of the 4C charge process under 7 m<sup>3</sup>/h and 18 m<sup>3</sup>/h conditions, the  
405 temperature difference between both peaks is 1.8 °C as the air flow rate is 7 m<sup>3</sup>/h. While at 18  
406 m<sup>3</sup>/h, the temperature difference is only 0.6 °C. Therefore, the increase of air flow rate could  
407 reduce the temperature fluctuation of the battery during the charge period and improve the battery  
408 temperature stability level.

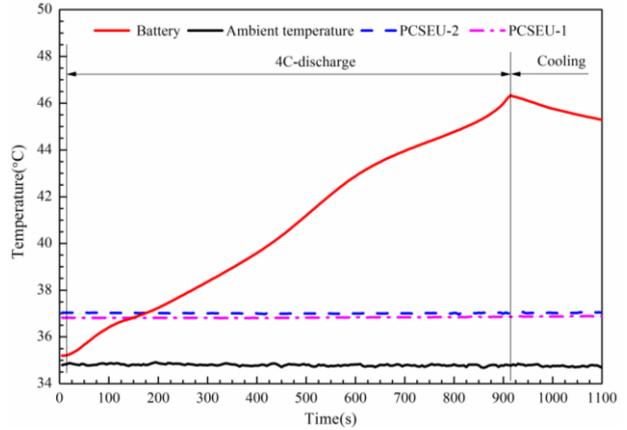
#### 409 4.1.2. Effect of PCM liquid fraction

410 Because the phase change cooling of the PCM depends essentially on the storage of the heat  
411 generated by the battery, the liquid fraction of the PCM is a significant index denoting the phase  
412 change progress and effectiveness of the PCM itself. It can be estimated in accordance with the  
413 surface temperature of the PCSEUs. When the surface temperature is below 36 °C, the PCMs  
414 inside the PCSEUs do not melt and the liquid fraction is equal to 0 ( $\beta=0$ ). When the surface  
415 temperature is above 36.0 °C and below 38.0 °C, the solid and liquid PCMs coexist, namely,  
416  $0<\beta<1$ . When the temperature exceeds 38.0 °C, the PCM entirely melts and  $\beta=1$ .

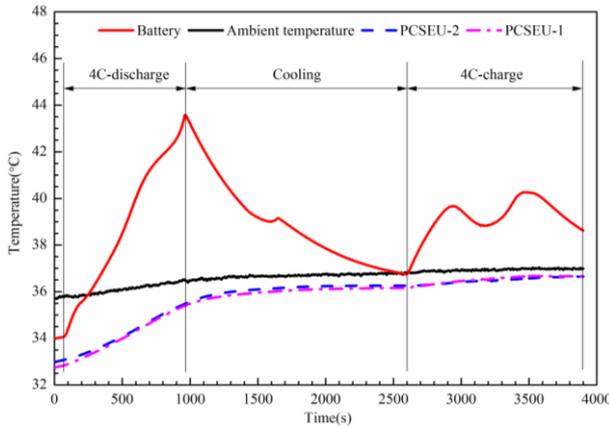
417 Fig. 8 presents the temperature profiles of the battery and PCSEUs at 35 °C and 42 °C at  
418 different liquid fractions. The air flow rate is 7 m<sup>3</sup>/h and 24 m<sup>3</sup>/h, respectively. The charge and  
419 discharge rate is 4C. From Fig. 8(d) to Fig. 8(f), since the charge-discharge cycles are not  
420 conducted continuously, the temperature curves are interrupted during the cooling process. It can  
421 be found in Fig. 8 that for a fixed ambient temperature and air flow rate, different liquid fractions  
422 would not result in a significant change of the battery temperature. The battery temperature does  
423 not appear sharp rise even after the PCM fully melts.



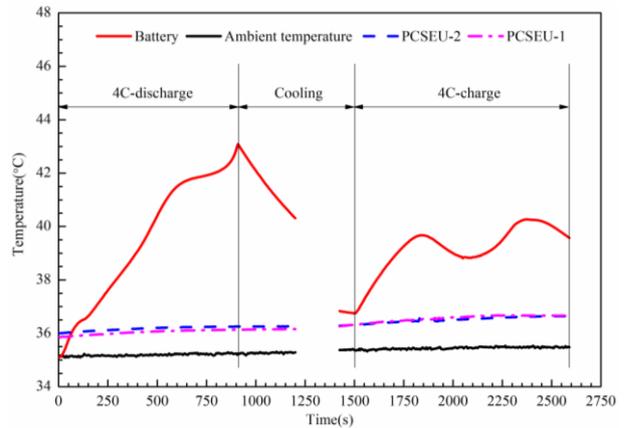
(a)  $7 \text{ m}^3/\text{h}-35 \text{ }^\circ\text{C}, \beta=0$



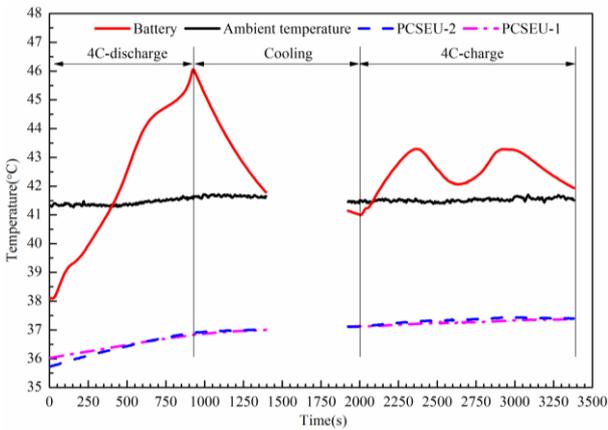
(b)  $7 \text{ m}^3/\text{h}-35 \text{ }^\circ\text{C}, 0<\beta<1$



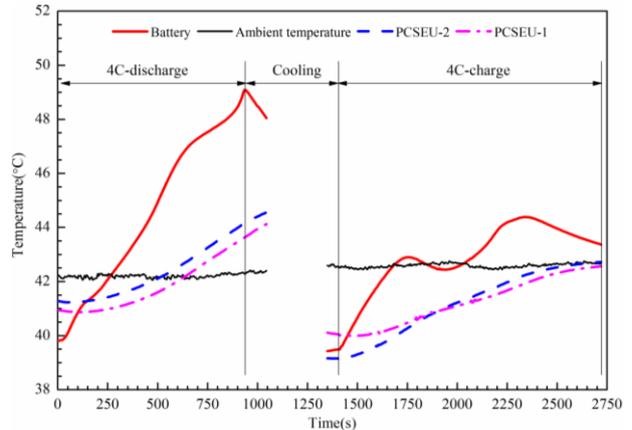
(c)  $24 \text{ m}^3/\text{h}-35 \text{ }^\circ\text{C}, \beta=0$



(d)  $24 \text{ m}^3/\text{h}-35 \text{ }^\circ\text{C}, 0<\beta<1$



(e)  $24 \text{ m}^3/\text{h}-42 \text{ }^\circ\text{C}, 0<\beta<1$



(f)  $24 \text{ m}^3/\text{h}-42 \text{ }^\circ\text{C}, \beta=1$

Fig. 8. The battery temperature of ITMS under different liquid fractions conditions. (a)  $7 \text{ m}^3/\text{h}-35 \text{ }^\circ\text{C}, \beta=0$ ; (b)  $7 \text{ m}^3/\text{h}-35 \text{ }^\circ\text{C}, 0<\beta<1$ ; (c)  $24 \text{ m}^3/\text{h}-35 \text{ }^\circ\text{C}, \beta=0$ ; (d)  $24 \text{ m}^3/\text{h}-35 \text{ }^\circ\text{C}, 0<\beta<1$ ; (e)  $24 \text{ m}^3/\text{h}-42 \text{ }^\circ\text{C}, 0<\beta<1$ ; (f)  $24 \text{ m}^3/\text{h}-42 \text{ }^\circ\text{C}, \beta=1$

In Fig. 8(a), during the entire 4C discharge process, the temperatures of the PCSEUs increase slowly and are always below the melting point. This means that the PCMs inside the PCSEUs do not melt. Some of the heat generated by the battery is absorbed by the heat capacity of the cell

436 and the other part is mainly dissipated by the cooling air. The highest temperature of the battery is  
437 46.2 °C and the temperature rise is 12.7 °C at the end of the discharge. As shown in Fig. 8(b), the  
438 temperatures of the PCSEUs are nearly close to 37 °C, which indicates that the PCMs partially  
439 melt. The highest temperature of the battery is 46.3 °C with the temperature rise of 11.1 °C as the  
440 discharge ends. In comparison, it is obvious that the heat absorption of the PCMs in Fig. 8(a) is  
441 less than that in Fig. 8(b). Additionally, the higher initial temperature of the battery causes the  
442 larger battery temperature.

443 During the entire charge and discharge process illustrated in Fig. 8(c), the PCMs inside the  
444 PCSEUs are always in solid state. The cooling air dissipated most of the heat from the battery. A  
445 small amount of the heat was stored in the PCMs in the form of sensible heat. Consequently, the  
446 highest temperature of the battery was 43.5 °C and 40.4 °C at the end of the 4C discharge and  
447 charge, respectively. The temperature rise during discharge and charge was 9.5 °C and 3.7 °C,  
448 respectively. For the case shown in Fig. 8(d), part of the PCMs melts in terms of the temperatures  
449 of the PCSEUs. The highest temperature of the battery is 43.1 °C and 40.1 °C, respectively. The  
450 temperature rise in discharge and charge process is 8.1 °C and 3.3 °C, respectively.

451 Furthermore, it can be clearly seen from Fig. 8(a) to Fig. 8(d) that, increasing air flow rate  
452 from 7 m<sup>3</sup>/h to 24 m<sup>3</sup>/h, the heat dissipation ratio of the air cooling increases and the battery  
453 temperature descends. For the case of airflow rate of 24 m<sup>3</sup>/h at 35 °C, the highest temperature of  
454 the battery is nearly close under  $\beta=0$  and  $0<\beta<1$  conditions. This may be resulted from the lower  
455 initial temperature of the battery as  $\beta=0$ .

456 In Fig. 8(e), the highest temperature of the battery is 46.1 °C and the temperature rise is 8.0 °C  
457 during the 4C discharge period. Compared with the case of 4C discharge shown in Fig. 8(c), there  
458 is almost the same temperature rise. In the next 4C charge process, the highest temperature of the  
459 battery is 43.3 °C and the temperature rise is 2.3 °C.

460 As demonstrated in Fig. 8(f), the highest temperature of the battery reaches 49.3 °C and the  
461 temperature rise is 9.5 °C during the 4C discharge period. The temperatures of the PCSEU-1 and  
462 PCSEU-2 exceed 41 °C and rose rapidly, which infers PCMs full melting. The PCMs store the  
463 heat in sensible heat. During the 4C charge process, the highest temperature of the battery is 44.3  
464 °C with the temperature rise of 5 °C. For the two peaks on the temperature curve, the second peak  
465 value is significantly higher than the first peak. This indicates that PCM entire melting could

466 enlarge the battery temperature fluctuation during the charge period and worsen the temperature  
467 stability. In contrast to the result shown in Fig. 8(e), the highest temperature of the battery under  
468 4C discharge conditions magnifies 3.2 °C and there is a higher temperature rise for 4C charge  
469 process. However, It can be recognized that the battery temperature does not ascend rapidly even  
470 the PCMs fully melts. The main reason can be explained as follows. Due to the short time of  
471 single charge or discharge, the effect of the PCM complete melting has not yet been fully acted.  
472 On the other hand, the parallel arrangement of the PCSEU-1 and PCSEU-2 in the ITMS does not  
473 cause a very notable change of thermal resistance between the battery cell and PCMs. Besides,  
474 the PCSEUs do not have a negative influence on the air cooling and the majority of the heat is  
475 removed by the cooling air.

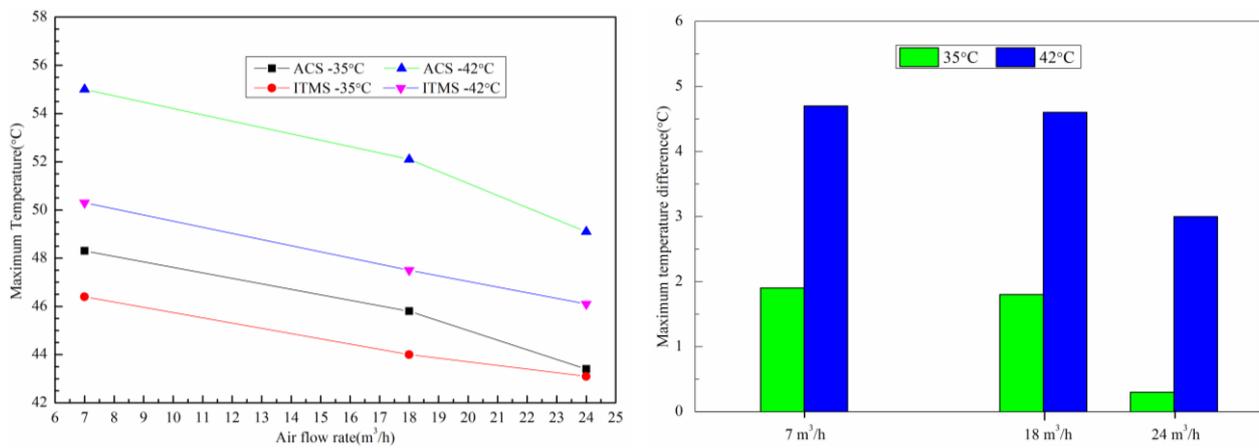
#### 476 *4.2. Comparison of thermal behavior of ITMS and ACS*

477 In order to further study the effects of ambient temperature and air flow rate on the thermal  
478 performance of the ITMS, the maximum temperature and temperature difference of the battery  
479 pack with the ITMS and ACS are depicted in Fig. 9. The ambient temperature is 35 °C and 42 °C  
480 and the air flow rate is 7 m<sup>3</sup>/h, 18 m<sup>3</sup>/h and 24 m<sup>3</sup>/h, respectively. The discharge rate is 4C. For  
481 the ACS, since the battery temperature exceeds the safety temperature of 55 °C under 42 °C and 7  
482 m<sup>3</sup>/h conditions, the corresponding temperature in Fig. 9 is set to 55 °C under this condition.

483 As illustrated in Fig. 9(a), the maximum temperature of the battery pack for the ITMS at 35 °C  
484 and 42 °C is 46 °C and 50.3 °C, respectively. They are less than those for the ACS under the same  
485 conditions. When the air flow rate reduces from 24 m<sup>3</sup>/h to 7 m<sup>3</sup>/h, the battery temperature at 35  
486 °C and 42 °C increases 3.1 °C and 4.3 °C, respectively. The temperature rise is smaller than that  
487 for the ACS under the fixed ambient temperature. This indicates that the effect of the air flow rate  
488 change on the battery temperature for the ITMS is not significant relative to that for the ACS.  
489 This is due to the heat dissipation only by air cooling in the ACS. Thus, the change of the air flow  
490 rate obviously alerts the battery temperature. But in the ITMS, both air cooling and phase change  
491 cooling are contributed to the heat dissipation. Changing the air flow rate mainly affects the heat  
492 dissipation of air cooling rather than the phase change cooling.

493 For the ACS, increasing ambient temperature does not lead to an apparent decrease of the  
494 battery temperature rise, but significantly increases the battery temperature. For the ITMS,

495 however, as the ambient temperature is 35 °C, the battery temperature rise is 11.4 °C, 9.0 °C and  
 496 8.2 °C at the flow rate of 7 m<sup>3</sup>/h, 18 m<sup>3</sup>/h and 24 m<sup>3</sup>/h, respectively. While the ambient  
 497 temperature is 42 °C, the temperature rise is 8.2 °C, 5.5 °C and 4.2 °C, respectively. The increase  
 498 of the ambient temperature from 35 °C to 42 °C obviously reduces the battery temperature rise.  
 499 Simultaneously, the battery temperature increases 3.7 °C, 3.5 °C and 3.0 °C, respectively.  
 500 Consequently, the influence of the ambient temperature change on the battery temperature rise for  
 501 the ITMS is obviously less than that of the ACS. The main reason could be that the variation of  
 502 the ambient temperature significantly changes the heat dissipation of the air cooling, but almost  
 503 not change the heat absorption of the PCMs.



504 (a) The maximum temperature (b) The maximum temperature difference

505 Fig. 9. The temperature of the battery pack for the ITMS and ACS. (a) The maximum temperature  
 506 of the battery (b) The maximum temperature difference.

507 From the bar graph of the maximum temperature difference between the ITMS and ACS  
 508 shown in Fig. 9(b), it can be clearly seen that the temperature difference decreases with the air  
 509 flow rate increasing at a fixed ambient temperature. The lower the ambient temperature, the  
 510 smaller the temperature difference. For the case of 7 m<sup>3</sup>/h, the temperature difference is 1.9 °C at  
 511 35 °C but is 4.6 °C at 42 °C. When the air flow rate is 24 m<sup>3</sup>/h, the temperature difference is only  
 512 0.3 °C at 35 °C and 3 °C at 42 °C. The great temperature difference shows that the cooling effect  
 513 of the ITMS is better than that of the ACS. For a fixed ambient temperature, the cooling effect  
 514 distinction between the ITMS and ACS is small at a large air flow rate. For a fixed flow rate, the  
 515 cooling effect of the ITMS is better than that of the ACS at a larger ambient temperature. The  
 516 main reason for the result is that the heat dissipation ratio of the PCMs and the total heat  
 517 generation is different at different ambient temperatures and air flow rates. When the ratio is  
 518

519 higher, the battery temperature is lower.

#### 520 4.3. Thermal behavior of ACS during charge-discharge cycle

521 This section presents the thermal behavior of the ACS for the entire battery pack during single  
 522 4C discharge and charge-discharge cycle. In the current simulations, the ambient temperature is  
 523 set to 35 °C and 42 °C, respectively. The air flow rate in the range from 50 m<sup>3</sup>/h to 500 m<sup>3</sup>/h is  
 524 used. The initial temperature of the battery pack is equal to ambient temperature.

##### 525 4.3.1. Thermal behavior of ACS during single 4C discharge

526 Under different air flow rates and ambient temperatures conditions, the maximum and  
 527 minimum values of the air flow velocity and the battery temperature are illustrated in Table 3. It  
 528 can be clearly seen from Table 3 that the larger air flow rate causes the larger air velocity flowing  
 529 through the battery surface and the lower battery temperature. When the air flow rate is 50 m<sup>3</sup>/h,  
 530 the maximum temperature of the battery is 49.3 °C at 35 °C, which is in the safe temperature  
 531 range. While the maximum temperature reaches 55.9 °C at 42 °C, which exceeds the upper limit  
 532 of the safe range. For the case of 100 m<sup>3</sup>/h and 42 °C, the battery is at more risk of overheating  
 533 owing to the maximum temperature of 54.8 °C. At a small air flow rate, the difference between  
 534 the maximum and minimum velocity is also small. However, the velocity difference enlarges  
 535 with the increase of the air flow rate.

536 Table 3. The velocity and temperature at different flow rates and ambient temperatures.

$Q$ /m <sup>3</sup> /h	$u_{\min}$ /m/s	$u_{\max}$ /m/s	$\Delta P$ /Pa	$T_a=35\text{ }^\circ\text{C}$			$T_a=42\text{ }^\circ\text{C}$		
				$T_{\max}/^\circ\text{C}$	$T_{\min}/^\circ\text{C}$	$\Delta T/^\circ\text{C}$	$T_{\max}/^\circ\text{C}$	$T_{\min}/^\circ\text{C}$	$\Delta T/^\circ\text{C}$
50	1.3	1.5	54	49.3	49.1	0.2	55.9	55.7	0.2
100	2.4	3.3	127	48.1	47.2	0.9	54.8	53.9	0.9
150	3.4	5.1	243	47.1	45.7	1.4	53.8	52.4	1.4
200	4.5	6.9	380	46.2	44.4	1.7	52.9	51.2	1.7
300	6.6	10.4	781	44.6	42.5	2.1	51.4	49.3	2.1
400	8.7	14.0	1326	43.3	41.0	2.4	50.1	47.8	2.3
500	10.8	17.5	2009	42.3	39.4	2.9	49.1	46.3	2.8

537 The non-uniform distribution of the flow field leads to the temperature difference of the battery.  
 538 Moreover, the higher the air flow rate, the higher the temperature difference. Due to the

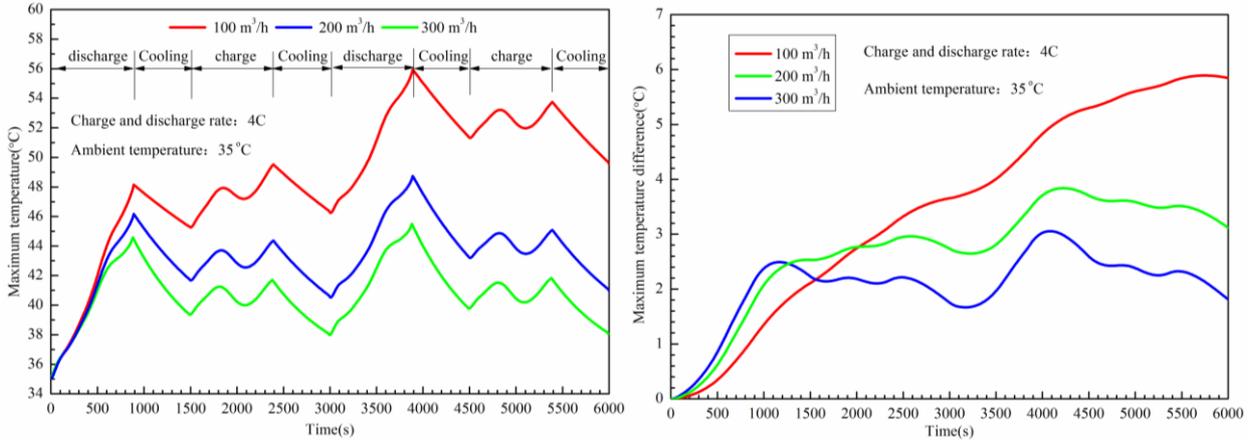
539 arrangement in parallel of the battery modules inside the battery box, the great velocity difference  
540 under large flow rate conditions does not cause a great temperature difference during single 4C  
541 discharge mode. For example, the difference between the maximum and minimum velocity at 500  
542  $\text{m}^3/\text{h}$  is 6.7 m/s. The relative temperature difference at 35 °C and 42 °C is 2.9 °C and 2.8 °C,  
543 respectively. In addition, the pressure difference increases rapidly with the increase of the air flow  
544 rate. The high pressure difference requires the large power fan to drive the air flowing.

#### 545 4.3.2. Thermal behavior of ACS during charge-discharge cycle

546 In the actual application, the battery operates with many charge-discharge cycles. In order to  
547 investigate the thermal behavior of the ACS during charge-discharge cycle, numerical simulations  
548 are carried out for two 4C charge-discharge cycles. Fig. 10 depicts the maximum temperature and  
549 temperature difference profiles of the entire battery pack at the ambient temperature of 35 °C. The  
550 battery pack is cooled by the forced convection between the charge and discharge process and the  
551 cooling time is set to 10 minutes.

552 In Fig. 10(a), for the case of 100  $\text{m}^3/\text{h}$  and 35 °C, the maximum temperature of the battery pack  
553 is 49.5 °C during the first charge-discharge cycle. However, during the second 4C discharge  
554 process, the maximum temperature of the battery reaches 56 °C, which exceeds the upper limit of  
555 the safe range. As the flow rate increases to 200  $\text{m}^3/\text{h}$ , although the battery temperature is in the  
556 safe range during two cycles, the battery temperature reaches 49.0 °C at the end of the second 4C  
557 discharge and the temperature rise is 14.0 °C. It can also be found that the battery is not able to be  
558 cooled enough before the beginning of the second cycle under the case of 100  $\text{m}^3/\text{h}$  and 200  $\text{m}^3/\text{h}$ .

559 For the case of 42 °C and 200  $\text{m}^3/\text{h}$ , the battery temperature also exceeds the safety temperature,  
560 which is not shown in Fig. 10(a). When the air flow rate increases to 300  $\text{m}^3/\text{h}$ , the battery  
561 temperatures are nearly the same under both charge-discharge cycles conditions. The maximum  
562 temperature of the battery pack is 45.1 °C. The reason is that the large air flow rate can provide  
563 enough cooling for the battery pack and induce a low initial temperature of the battery at the start  
564 of the next charge or discharge. This also indicates that the improvement of the thermal behavior  
565 of the ACS relies on the initial temperature during the charge-discharge cycle period since the  
566 battery temperature is in the safe range in a single cycle.



(a) Battery maximum temperature (b) Maximum temperature difference

Fig. 10. Temperature profiles of the entire battery pack during two 4C charge-discharge cycles. (a) Battery maximum temperature. (b) Maximum temperature difference.

According to Eq. (1), assuming that the internal thermal resistance of the battery is neglected, when  $q=0$  the relationship between the battery temperature drop and the cooling time and air flow rate is as follows.

$$T = (T_0 - T_{amb}) \exp\left(-\frac{hA}{\rho_b c_b V_b} t\right) + T_{amb} \quad (10)$$

It can be obtained from Eq. (10) that increasing the cooling time or the air flow rate in the same proportion could enlarge the temperature drop of the battery. Moreover, the temperature drops are nearly the same.

As can be seen from Fig. 10(b), the maximum temperature difference of the battery pack shows a general rise trend with the increase in the number of cycles under different air flow rates conditions. During the discharge, the temperature difference ascends rapidly. When the air flow rate is  $100 \text{ m}^3/\text{h}$ , the temperature difference ascends continuously during the whole cycles and the maximum value gets to  $5.9 \text{ }^\circ\text{C}$ . While at  $200 \text{ m}^3/\text{h}$ , the temperature difference ascends during the first charge but descends during the second charge. It also descends in the second cooling stage and second discharge initial stage. The maximum temperature difference is  $3.8 \text{ }^\circ\text{C}$  after the second discharge ends. When the air flow rate is  $300 \text{ m}^3/\text{h}$ , the temperature difference change is similar with that at  $200 \text{ m}^3/\text{h}$  during the entire cycles except for the first charge. The maximum temperature difference is  $3.1 \text{ }^\circ\text{C}$ . Unlike the temperature difference increasing with the increase of the air flow rate in a single discharge mode, as shown in Table 3, the maximum temperature difference during the charge-discharge cycle is larger when the air flow rate is smaller.

590 Based on the above analyses, the air flow rate cannot be below 300 m<sup>3</sup>/h in order to meet the  
591 demand of the temperature control for the ACS. Furthermore, the greater the air flow rate, the  
592 better the cooling performance. However, increasing the air flow rate not only results in a poor  
593 temperature uniformity of the battery pack but also enlarges the pressure difference. Moreover,  
594 the large pressure difference significantly increases the power of the cooling fan. Thereby, a large  
595 amount of the battery power available is consumed. Obviously, the air cooling way will reduce  
596 the battery energy efficiency.

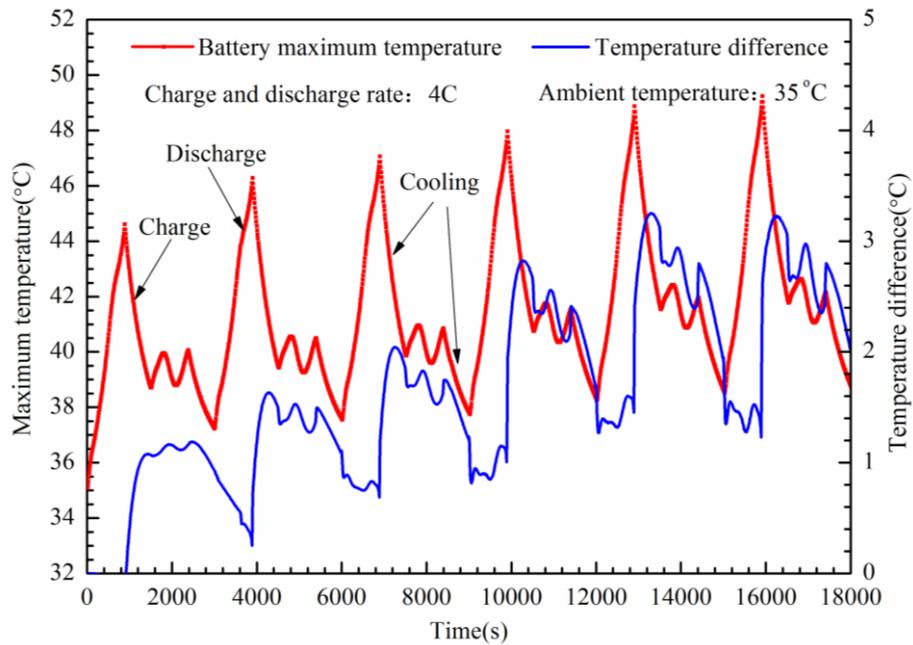
#### 597 *4.4. Thermal behavior of ITMS during charge-discharge cycle*

598 For the battery pack with the ITMS, two different operation modes are presented. For the first  
599 operation mode, the PCMs are used as the only heat sink during the discharge period. Since there  
600 is external power, it can be used to drive the cooling fan without consuming the battery power.  
601 Consequently, both PCMs and cooling air are utilized to manage the battery temperature during  
602 the charge period. The cooling air flow rate is 300 m<sup>3</sup>/h. Furthermore, the battery pack is cooled  
603 by the forced convection after the charge and discharge finish. In the second mode, the ITMS  
604 works during the charge and discharge cycles. The air flow rate is 100 m<sup>3</sup>/h. The cooling time  
605 after charge and discharge is set to 10 minutes for the above two modes.

606 The temperature profiles of the whole battery pack during 4C charge-discharge cycles are  
607 demonstrated in Fig. 11. It should be noted that at the end of the final cycle, the PCMs fully melts.  
608 It can be seen from Fig. 11 that the maximum temperature and the maximum temperature  
609 difference of the battery pack generally enlarge with the increase in the number of cycles. During  
610 each cycle, the battery temperature rises to the maximum value at the end of the discharge  
611 process and there are two temperature peaks in the charge process.

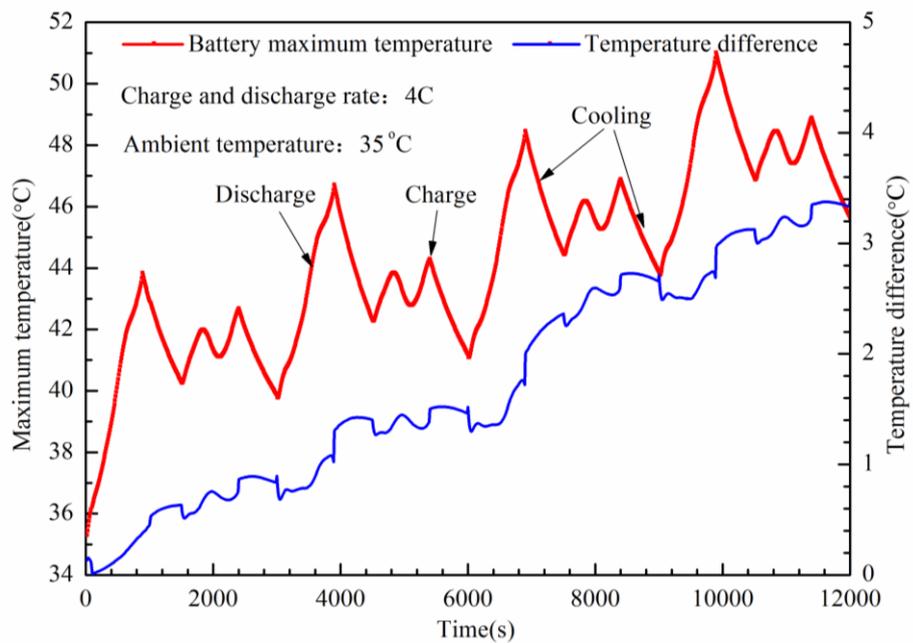
612 For the first operation mode, as shown in Fig. 11(a), the maximum temperature of the battery  
613 pack gets to 49.2 °C. Due to the large cooling air flow rate, the battery temperature in the cooling  
614 process drops rapidly under forced convection cooling. The initial temperature of the battery in  
615 the next cycle is reduced effectively. Moreover, the temperature difference between both peaks  
616 during the charge process becomes bigger with the charge-discharge cycle increasing. This  
617 operation mode reaches up to 6 charge-discharge cycles. During each cycle, the maximum  
618 temperature of the battery pack is 44.6 °C, 46.4 °C, 47.2 °C, 48.0 °C, 48.8 °C and 49.2 °C,

619 respectively. In addition, the maximum temperature difference is 3.2 °C during all the cycles,  
 620 which is less than the limited value. As the velocity distribution is not uniform, it is during the  
 621 charge and cooling period that the temperature difference is large. In the 4C discharge process,  
 622 the maximum temperature difference is only 1.5 °C, which is caused by the uneven initial  
 623 temperature distribution.



624  
 625

(a) The first operation mode



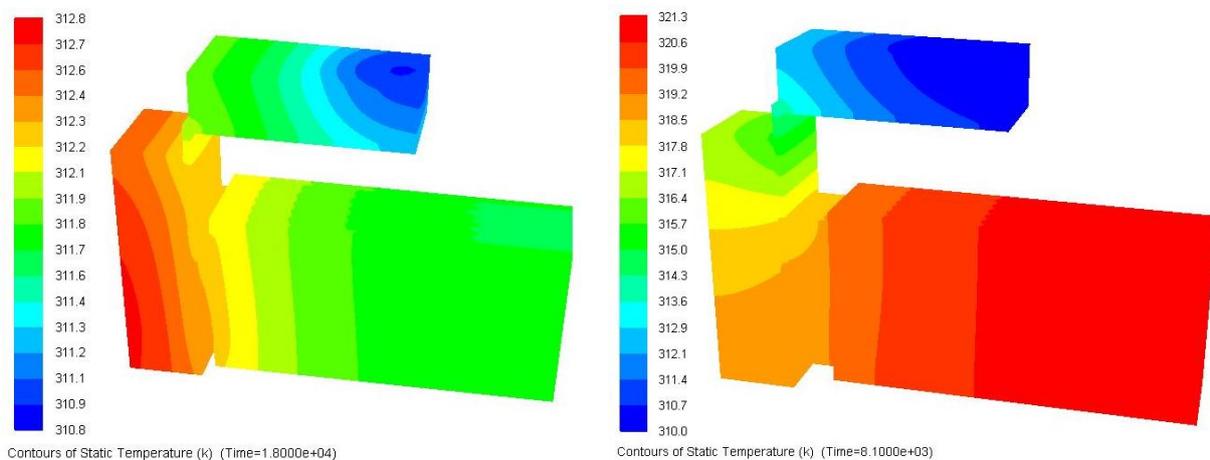
626  
 627

(b) The second operation mode

628 Fig. 11 Temperature profiles of battery pack during 4C charge-discharge cycle. (a) The first  
 629 operation mode. (b) The second operation mode.

630 As illustrated in Fig. 11(b), up to 4 charge-discharge cycles are achieved and the maximum  
 631 temperature of the battery pack reaches 51.0 °C. Because of the small air flow rate, the battery  
 632 cannot be completely cooled after the completion of the charge and discharge and the initial  
 633 temperature is large. As a consequence, the initial temperature in the next cycle increases. For the  
 634 4C charge process, the second peak temperature is higher than that of the first peak. During each  
 635 cycle, the maximum temperature of the battery pack is 43.9 °C, 46.7 °C, 48.5 °C, and 51.0 °C,  
 636 respectively. Furthermore, the maximum temperature difference is 3.3 °C. During the discharge  
 637 process, the temperature difference increases generally, whereas during the cooling stage and  
 638 charge process, the change of the temperature difference is small.

639 In order to better understand the temperature distribution of the battery pack, the temperature  
 640 contours of local battery pack at a fixed time are depicted in Fig. 12. It is seen from Fig. 12 that  
 641 the PCSEU-2 temperature is higher than the PCSEU-1 temperature and the temperature  
 642 distributions of the battery cells are uniform for both operation modes. For the case shown in Fig.  
 643 12(a), the temperature of the battery cells at 18 000 s is lower than the PCSEU-2 temperature due  
 644 to forced convection cooling. The temperature of the battery cells near the PCSEU-2 is higher  
 645 than that at the other side. For the case shown in Fig. 12(b), the battery is charging at 8 100 s and  
 646 the battery temperature is the greater than the PCSEUs temperature. The maximum temperature  
 647 difference of the battery cells is less than 2.1 °C. The PCMs in the PCSEU-2 have melted  
 648 completely and in the PCSEU-1 is melting.



649 (a) The first operation mode

(b) The second operation mode

651 Fig. 12 Temperature contours of local battery pack at fixed time. (a) The first operation mode. (b)  
 652 The second operation mode.

653 As a result, for the first operation mode, the TMS is capable of effective controlling the battery  
654 temperature and does not need to consume the battery power. For the second operation mode, the  
655 TMS can also effectively control the battery temperature with a small air flow rate. But it needs  
656 to consume a certain amount of battery energy. Moreover, the maximum temperature of the  
657 battery pack is more than that of the first mode and the number of cycle is less than that of the  
658 first mode. In addition, it should be noted that both two operation modes can achieve infinite  
659 cycle if the enough cooling is provided after the charge and discharge finish.

## 660 **5. Conclusions**

661 A novel integrated thermal management system by integrating air cooling and PCM was  
662 proposed for the lithium-ion power battery pack. The thermal behavior of the ITMS was studied  
663 both experimentally and numerically to verify the effectiveness of the thermal management and  
664 the accuracy of the simulation model. The impact factors including the air flow rate, ambient  
665 temperature and PCM liquid fraction were taken into account. Moreover, the charge-discharge  
666 cycle characteristics were simulated for the entire battery pack with both ITMS and ACS. The  
667 main conclusions are given as follows:

668 (1) The overheating of the battery with the ACS occurred at 42 °C and 4C discharge as the air  
669 flow rate was less than 7 m<sup>3</sup>/h. However, the temperature of the battery with the ITMS could be  
670 sustained within 55 °C even under 7 m<sup>3</sup>/h and 42 °C conditions.

671 (2) The variations of the air flow rate and ambient temperature mainly affected the heat  
672 removal of the air cooling instead of the phase change cooling. For the cases where the PCM did  
673 not melt and partially melted, the battery maximum temperatures showed a small difference.  
674 Even as the PCMs fully melted, the battery temperature did not significantly rise due to the effect  
675 of air cooling.

676 (3) Decreasing the battery initial temperature during charge-discharge cycles was crucial to  
677 improve the cycle thermal characteristics of the ITMS. The ACS with air flow rate exceeding 300  
678 m<sup>3</sup>/h could meet the demands of the battery thermal management. But it significantly consumed  
679 more battery power and led to much higher temperature difference.

680 (4) For both operation modes of the ITMS, the first mode without consumption of the battery  
681 power could effectively control the battery temperature below 49.2 °C and the temperature

682 difference within 3.2 °C during up to six 4C charge-discharge cycles. While the second mode  
683 with air flow rate of 100 m<sup>3</sup>/h just reached up to four cycles although it could manage the  
684 battery temperature below 51.0 °C and the temperature difference within 3.3 °C during 4C  
685 cycles.

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