

Citation for published version:

Luisa Christensen, Rob Turner, Sean Weaver, Francesco Caserta, Lisa Long, Mahmoud Ghannoum, and Marc Brown, 'Evaluation of the Ability of a Novel Miconazole Formulation To Penetrate Nail by Using Three *In Vitro* Nail Models', *Antimicrobial Agents and Chemotherapy*, Vol. 61 (7): e02554-16, July 2017.

DOI:

<https://doi.org/10.1128/AAC.02554-16>

Document Version:

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1 Evaluation of the Ability of a Novel Miconazole Formulation to Penetrate Nail Using
2 Three Nail *In Vitro* Models

3

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24 **ABSTRACT**

25

26 **Objective:** The aim of this study was to evaluate the ability of topical miconazole to
27 penetrate the nail. **Methods:** Bovine hoof, a healthy human nail TurChub® zone of
28 inhibition model, and an infected nail model were used in this study. In the hoof model,
29 miconazole was applied to hoof slices subsequently placed on a *Trichophyton*
30 *mentagrophytes* seeded agar plate. In the TurChub® zone of inhibition assay, topical
31 miconazole (10%) was applied to full thickness human nails, using *T. rubrum* as the test
32 organism. The infected nail model, using ChubTur® test systems, was performed using
33 full thickness human nails infected with *T. rubrum* prior to the application of miconazole
34 (10%). Drug penetration was assessed by zones of inhibition (ZOI) and ATP recovery,
35 respectively. **Results:** In the hoof model, miconazole showed significantly larger ZOI
36 after 60 minutes of penetration compared to 8% ciclopirox nail lacquer ($P < 0.05$).
37 Similar results were achieved with the TurChub® assay comparing topical miconazole to
38 8% ciclopirox ($P \leq 0.05$). The observed ZOI for 10% efinaconazole and the base
39 formulations containing either 10% miconazole or 10% fluconazole were equivalent to
40 total kill (ZOI of 3 - 4 cm) of *T. rubrum* in the TurChub® cells, indicating
41 high/therapeutic levels of drug permeation. Base formulations with miconazole,
42 fluconazole, and efinaconazole were all statistically ($P < 0.05$) superior to 8% ciclopirox.
43 In the infected nail model, infected nails treated with topical miconazole demonstrated a
44 significantly ($P \leq 0.05$) lower percentage ATP recovery (i.e. less viable organism) when
45 compared to 8% ciclopirox, indicating greater antifungal efficacy. **Conclusion:**

46 Miconazole is capable of nail penetration when applied topically as either a previously
47 marketed formulation or in the penetration enhancing formula.

48

49 **INTRODUCTION**

50 Onychomycosis, a fungal infection of the nail most commonly caused by the
51 dermatophytes *Trichophyton rubrum*, *T. mentagrophytes*, and *Epidermophyton*
52 *floccosum* [1-3], is a condition that can cause discomfort and lead to permanent
53 disfigurement. [4] In turn, it can lead to diminished self-esteem and negative effects
54 on a patient's quality of life. [5] Onychomycosis is estimated to affect 2% to 13% of
55 the general population. [6-10] Its high incidence and prevalence makes it an
56 important public health problem. [11]

57

58 Onychomycosis leads to nail hyperkeratosis and onycholysis of the nail bed [12],
59 factors that make drug treatment difficult. [13] Nail thickening makes drug
60 penetration particularly difficult and lifting of the nail plate from the nail bed creates
61 an air space in which the fungus can proliferate and in which the drug cannot
62 permeate. [14-15]

63

64 Treatment of onychomycosis is challenging and cure rates are low [16-18], mostly
65 secondary to poor patient compliance from prolonged treatment regimens, poor
66 results, and high re-infection rates. [1, 13-14, 19] Oral agents can result in significant
67 side effects and potential drug interactions [20-21], particularly in patients with
68 diabetes, the immunocompromised, and the elderly. [20, 22-26] To avoid such issues,

69 topical medications were developed; however many of these agents have lacked
70 efficacy. [1, 21] It is thought that the poor therapeutic results of such agents are a
71 consequence of formulation development based on skin drug penetration [27], not
72 taking into account the physical and chemical differences between nail and skin [1,
73 15, 27-30], and the nail changes secondary to infection. [14, 31] The need to improve
74 nail drug delivery has led to the discovery of penetration enhancers that could aid in
75 drug delivery through nail [32-34], thereby improving outcomes in the treatment of
76 onychomycosis.

77

78 In this study, we demonstrate the ability of miconazole nitrate to penetrate the nail
79 employing *in vitro* nail penetration assays. Initially, we employed the bovine hoof
80 model, which uses bovine hooves to simulate the human nail. The hoof model was
81 chosen for initial compound screening for several reasons: 1) human nails have a
82 limited availability, 2) human nails have large variability in thickness, and 3) the
83 bovine hoof has been shown to be similar in structure and permeability to human nail.
84 [35] Once efficacy in this hoof model was established, the TurChub® zone of
85 inhibition assay and infected nail models were employed using healthy and infected
86 human nails, respectively. In the bovine hoof model, the ability of miconazole nitrate
87 to penetrate the nail was compared to 8% ciclopirox nail lacquer. In the TurChub®
88 zone of inhibition and infected nail models, the ability of 10% miconazole nitrate
89 combined with a penetration enhancer formulation, was compared to 10%
90 fluconazole, 8% ciclopirox, and 10% efinaconazole.

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95 **MATERIALS AND METHOD**

96 *Test organisms*

97 In the bovine hoof model, *T. mentagrophytes* ATCC 24953, taken from the culture
98 collection at the Center for Medical Mycology, Cleveland, OH was used. *T.*
99 *mentagrophytes* was selected as it is one of the major causes of onychomycosis.
100 Furthermore, *T. mentagrophytes* produces conidia consistently and reproducibly. To
101 obtain conidia for use in the penetration assay, subcultures were inoculated onto
102 potato dextrose agar plates (PDA) and incubated for 5-7 days at 30 °C. Next, 5 mL
103 of normal sterile saline solution (NS, 0.85% sodium chloride) was added to each
104 plate and the conidia were harvested, washed three times with sterile saline, and then
105 resuspended in 5 mL of saline. A hemacytometer was used to determine the cell
106 concentration in diluted suspension. The working suspension of *T. mentagrophytes*
107 conidia was standardized to a final concentration of 2-5 x 10⁵ conidia/mL.

108

109 In the TurChub® zone of inhibition and infected nail models, a *T. rubrum* strain
110 isolated from a patient suffering from onychomycosis was used to prepare a conidial
111 suspension. The test isolate was sub-cultured onto Sabouraud dextrose agar (SDA),
112 and PDA and incubated at 20-25 °C for 7days. The fungal colonies were then covered
113 with 5 mL of Ringer's solution and suspensions of conidial and hyphal fragments
114 were made. The suspension was then filtered through sterile gauze to remove

115 mycelium. The density of the suspension was assessed spectrophotometrically at 600
116 nm, and the spore suspension adjusted to approximately 1×10^7 spores/mL by
117 diluting with Ringer's solution.

118

119 *Test formulations*

120 Three penetration enhancing formulations were supplied by Humco Pharmaceuticals
121 (Austin, TX, USA) containing a novel base formulation comprised of: acetylcysteine,
122 alcohol, camphor, EDTA, eucalyptus oil, hydroxypropylcellulose, hydroxypropyl
123 starch phosphate, magnesium aluminum silicate, menthol, propylene carbonate,
124 propylene glycol, purified water, sodium hydroxide, sodium thioglycolate, strontium
125 chloride, tea tree oil, thymol, and urea. One of the formulations was a placebo
126 comprised of the base formulation only, while the other two formulations contained
127 either fluconazole at 10% or miconazole at 10%. Miconazole nitrate 2% was also
128 tested in the bovine hoof model, whereas 8% ciclopirox topical solution and
129 efinaconazole at 10% were investigated in the TurChub® zone of inhibition and
130 infected nail models.

131

132 *Treatment groups*

133 In the bovine hoof model, treatment groups included miconazole nitrate 2% (applied
134 for 30 minutes), miconazole nitrate 2% (applied for 60 minutes), 8% ciclopirox nail
135 lacquer, and untreated control.

136 In the TurChub® zone of inhibition and infected nail models, treatment groups
137 included infected control (agar inoculated with organism but not dosed with test

138 sample), non-infected control (control not inoculated with test organism or dosed with
139 test sample), base formulation with no drug, base formulation with 10% miconazole,
140 and base formulation with 10% fluconazole, 10% efinaconazole, or 8% ciclopirox
141 solution.

142

143 ***Bovine hoof model***

144 Due to the limited availability of human nails and the large variability in their
145 thickness, a nail penetration model using a bovine hoof was developed as bovine
146 hooves are comparable in structure and permeability to human nail. Hooves were
147 obtained from Mahan Packing Co. (Bristolville, OH). After the flesh was removed,
148 the hooves were cleaned with antibacterial soap and washed with water to remove the
149 soap. Cleaned hooves were subsequently sliced using a band saw. The thickness of
150 each slice was measured with an electronic digital caliper and slices 0.5-1.0 mm thick
151 (mimicking human nails thickness) were autoclaved. Prior to the application of test
152 formulation, autoclaved slices were soaked in sterile water for 2 hours to prevent
153 cracking when discs were cut. Three hundred microliters of the test formulation was
154 applied to the top of the hoof slice and allowed to penetrate for 30 or 60 minutes.
155 Subsequently, excess formulation was removed and 3 discs cut using an 8 mm biopsy
156 punch. The discs were then placed, surface up, on a 4 mm thick PDA plate seeded
157 with *T. mentagrophytes* ATCC 24953 ($2-5 \times 10^5$ conidia/mL). The plates were
158 incubated at 35 °C for 4 days at which time the diameter of the zone of inhibition
159 (ZOI) was measured and the effective zone calculated. The effective ZOI is defined
160 as the zone diameter minus the diameter of the disk.

161

162 ***TurChub® zone of inhibition***

163 The TurChub® ZOI assay uses a modified Franz cell, in which sections of human
164 nail serve as the barrier through which the drug initially penetrates prior to reaching
165 an agar-filled receptor chamber where the dermatophytes grow.

166 Distal nail clippings were obtained from volunteers' toenails which had been grown
167 to a minimum length of 3 mm. Prior to acceptance of the nails, all nail donors were
168 required to have not used nail varnish or polish on their toenails within 6 months and
169 to have no visible signs of damage or disease to their nails. Using scissors, nail
170 clippings were cut into pieces, which were a minimum of 3 mm x 3 mm. The nail
171 clippings were initially placed in water and heated to 60 °C for 15 min followed by
172 immersing in a 70% ethanol in water solution and vortex mixed for one minute at
173 ambient room temperature to disinfect the nails. This process of washing and mixing
174 by vortex was repeated once. The ethanol solution was then decanted and replaced
175 with sterile Ringer's solution, vortex mixed for 1 min, and decanted. This process of
176 washing with Ringer's solution was carried out a total of three times. Once the
177 washing process was complete, the nail clippings were placed into a sterile Petri dish
178 without a lid and air dried for 30 min at room temperature in a laminar flow cabinet.
179 The thickness of all the nail sections was measured using a caliper.

180

181 Preparation of the TurChub® cells was performed as described in Traynor *et al.* [32]
182 Briefly, the receiver compartment of each TurChub® was filled with agar (PDA)
183 ensuring complete contact with the agar in the receiver compartment and the

184 underside of the nail. The *T. rubrum* organism suspension was pipetted onto the agar
185 surface within individual TurChub® cells and then left to dry. The surface of the nail
186 mounted in the gasket section of a TurChub® cell was dosed with 100 µL of test
187 sample and the TurChub® cell was occluded and incubated at 20-25 °C for a total of
188 14 days. Infected and non-infected controls were also included.

189

190 ***Infected nail model***

191 The onychomycosis nail model uses infected human nail mounted in the gasket
192 section of a modified Franz cell (ChubTur® cells). In the onychomycosis model,
193 distal nail clippings infected with *T. rubrum* were mounted into the validated
194 ChubTur® gasket system. The receiver compartment of each ChubTur® was then
195 partially filled with an inert sterile humidity control medium (Ringer's solution). The
196 cells were then incubated at 20-25 °C for 14 days to allow full growth of the
197 organism on the nail. After establishing the growth of the organism on the nails, the
198 nails were dosed daily for 7 days with 2 µL of the test formulations. Additional
199 samples were also set up as an infected control to monitor the growth of *T. rubrum* on
200 the nail samples over the incubation period, and control nails without any infection
201 were also set up and incubated to ensure the absence of contamination. The
202 effectiveness of each formulation was determined after removing the nail sample
203 from the cell (24 h after the final dose). The presence of viable microorganisms was
204 measured by a validated bioluminescence ATP method, in which the amount of
205 luminescence measured from the infected nails is directly proportional to the amount
206 of ATP concentration. In turn, the level of ATP detected is an indication of the

207 viability of *T. rubrum* in the onychomycosis nail.

208

209 To ensure the test formulations were compatible with the ATP assay; the direct effect
210 of the formulations on the ATP assay itself was investigated independently of the
211 nails and organisms. There was no substantial interference with any of the
212 formulations with the ATP assay, in which the percentage recovery was within $\pm 10\%$
213 of the ATP standard. Therefore, the ATP assay was found to be ‘fit for purpose’ for
214 the quantification of samples in the infected nail investigation.

215

216 *Statistical Analysis*

217 For analyses, the mean effective ZOI \pm the standard deviation was calculated from the
218 obtained data and compared between different treatment groups. The one-way
219 ANOVA with a Bonferroni post-hoc test was employed in determining significance.
220 All statistical analyses were performed using Statistical Package for Social Science
221 (SPSS) for Windows, version 16.0 (Chicago, IL). A *P*-value of ≤ 0.05 was considered
222 statistically significant.

223 The statistical analysis of the infected nail investigation was performed for all
224 test formulations as a complete population using SPSS for Windows, version 19.0
225 (Chicago, IL). Statistical comparison was performed using a one-way ANOVA
226 with a Tukey’s post-hoc test, where a *P*-value of ≤ 0.05 was considered statistically
227 significant (95% confidence level).

228

229 **RESULTS**

230 Table 1 and Figure 1 show the average effective ZOI for each treatment group in the
231 bovine hoof model. As expected, the untreated controls showed no ZOI (average zone
232 size of 0.0 mm). Miconazole nitrate 2%, 30 and 60 minute exposure, demonstrated
233 significant activity when compared to the untreated control ($P < 0.05$) indicating that
234 miconazole nitrate was able to penetrate the hoof material and cause inhibition of *T.*
235 *mentagrophytes*. Importantly, miconazole nitrate 2%, following 60 minutes exposure,
236 showed significantly larger ZOI when compared to 8% ciclopirox nail lacquer ($P <$
237 0.05). Miconazole nitrate 2%, following 30 minutes exposure, showed a trend
238 towards increased ZOI, as compared to 8% ciclopirox nail lacquer ($P = 0.07$) albeit
239 not statistically significant. Miconazole nitrate showed significantly larger zone sizes
240 when left for 60 minutes, as compared to 30 minutes ($P < 0.05$).

241

242 In the TurChub® ZOI model, following application of a single dose (100 μ L) of the
243 base penetration enhancer formulations containing 10% miconazole and 10%
244 fluconazole for 14 days, the mean ZOI of *T. rubrum* observed were 3.57 ± 0.30 and
245 3.48 ± 0.15 cm, respectively (Figure 2). The mean ZOI for the cells dosed with 100
246 μ L of 10% efinaconazole was 3.58 ± 0.20 . There were no ZOI present after dosing of
247 the nails with the 100 μ L of the placebo base penetration enhancer formulation or 8%
248 ciclopirox. The observed ZOI for the 10% efinaconazole and the base penetration
249 enhancer formulations containing either 10% miconazole or 10% fluconazole were
250 equivalent to total kill (ZOI of 3 - 4 cm) of *T. rubrum* in the TurChub® cells, which is
251 indicative of potency and high/therapeutic levels of drug permeating through the nail.
252 The base formulations with 10% miconazole and 10% fluconazole, and the marketed

253 product with 10% efinaconazole, were all statistically ($P < 0.05$) superior to 8%
254 ciclopirox.

255

256 Following the infected nail investigation whereby the nails were treated daily for 7
257 days with 2 μ L of the test formulations (Figure 3), the greatest decrease in percentage
258 ATP recovery compared to the infected control was observed for the 10%
259 efinaconazole solution (3.33%) and the base penetration enhancing formulations with
260 10% w/w miconazole (4.75%) and 10% w/w fluconazole (6.57%). The
261 aforementioned ATP levels, which were all statistically similar ($P > 0.05$), could be
262 considered baseline, and therefore equivalent to total kill of the organism. The ATP
263 following treatment with 8% ciclopirox was 20.02% compared to the infected control,
264 indicating this test formulation was significantly less efficacious than the base
265 penetration enhancing formulation with 10% w/w miconazole and the 10%
266 efinaconazole solution ($P \leq 0.05$). Moderate anti-fungal efficacy was observed from
267 the placebo base penetration enhancing formulation (79.96% ATP recovery compared
268 to the infected control); however, this was significantly less than all of the active test
269 formulations ($P \leq 0.05$).

270

271 **Discussion**

272 The data showed that miconazole with and without penetration enhancer can
273 penetrate the nail as demonstrated using several nail models. In the infected nail
274 model, the data showed the greatest reduction in ATP recovery (indicating potent
275 antifungal activity) compared to the infected control, following treatment with the

276 efinaconazole solution and miconazole or fluconazole in the penetration enhancing
277 formulation (3.33%, 4.75% and 6.57% ATP recovery, respectively). There was no
278 statistical difference between the percentage ATP recovery for the three
279 aforementioned formulations ($P > 0.05$), and the results reflect those observed in the
280 TurChub® investigation where there was complete kill of *T. rubrum* in the test
281 systems following treatment with the same three formulations. The highest
282 percentage ATP recovery (indicating least antifungal activity) compared to the
283 infected control was observed following treatment with 8% ciclopirox (20.02% ATP
284 recovery). A significantly ($P \leq 0.05$) higher percentage ATP recovery was observed
285 after the application of 8% ciclopirox compared to the base formulation with
286 miconazole and the base formulation with efinaconazole; however, there was no
287 statistical difference between the 8% ciclopirox and the base formulation with
288 fluconazole ($P > 0.05$). These results indicate that miconazole and efinaconazole were
289 statistically superior than 8% ciclopirox in the treatment of *T. rubrum* infected full
290 thickness nails, whereas no statistical differentiation was seen between fluconazole
291 and 8% ciclopirox. Moderate antifungal efficacy was observed from the vehicle base
292 formulation (79.96% ATP recovery), which was significantly less than that of each of
293 the active formulations [$P \leq 0.05$].

294

295 Previously published data supports our findings. In a Franz cell diffusion ZOI assay
296 which was similar to our TurChub® zone of inhibition assay, 5% efinaconazole
297 demonstrated average zone sizes \pm SD of 2.52 ± 0.42 . [36], while ciclopirox
298 demonstrated no zones of inhibition. These findings support our data in which 10%

299 efinaconazole showed mean zone sizes \pm SD of 10% was 3.58 ± 0.20 , and ciclopirox
300 8% showed no zones of inhibition.

301

302 **CONCLUSION**

303 In the hoof model assay, it was shown that miconazole can penetrate hoof material
304 and exhibit antifungal activity. The findings of the hoof model were then further
305 explored using human nail models, which are more clinically relevant. Data from the
306 TurChub® ZOI and infected nail models showed the ability of miconazole nitrate to
307 penetrate human nail and inhibit fungal growth as measured by ZOI and ATP
308 recovery, respectively. The data indicates that 10% miconazole nitrate in the
309 penetration enhancing formulation is equivalent to 10% efinaconazole and superior to
310 8% ciclopirox, suggesting that miconazole nitrate may be effective in the topical
311 treatment of onychomycosis.

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Table 1. Bovine hoof model effective zones of inhibition

	Average Effective Zone of Inhibition \pm SD (mm)	P-values against Untreated Control
Miconazole Nitrate 2% (60 minutes)	26.5 \pm 9.7	< 0.0001
Miconazole Nitrate 2% (30 minutes)	12.7 \pm 8.1	0.0010
8% Ciclopirox Nail Lacquer	2.8 \pm 3.4	1.0000
Untreated Control	0.0 \pm 0.0	-----

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489 **Figure 1.** The effective zone in the bovine hoof model was assessed by subtracting the 8
490 mm diameter of the bovine hoof disc from the zone of inhibition diameter (arrow).

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492 **Figure 2.** Mean ZOI of *T. rubrum* on PDA in TurChub® cells that were mounted with
493 distal nail clippings and treated with a single 100 μ L dose of test formulation. The cells
494 were incubated at 20-25 °C for 7 days after inoculation with *T. rubrum* (mean \pm SD, n=6

495 active, n=3 placebo).

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498 **Figure 3.** The amount of ATP recovered from the nail 24 h after the final treatment,

499 presented as a percentage of the *T. rubrum* infected control, following daily treatment (2

500 μ L) for 7 days using the base penetration enhancing formulations (with 10% w/w

501 miconazole, 10% fluconazole and the placebo), the 10% efinaconazole solution and the

502 8% ciclopirox topical nail lacquer (mean \pm SD, n=6 active, n=3 placebo/control).