

1 **Thermoresponsive systems composed of poloxamer 407 and HPMC or NaCMC:**  
2 **mechanical, rheological and sol-gel transition analysis**

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22 **ABSTRACT**

23 Poloxamer 407 (polox407) is widely studied as thermogelling polymer, transitioning to  
24 a gel state when warmed. Polox407 has the limitation that it forms weak hydrogels, with  
25 rapid dissolution in excess solvent. This study reports the development of new binary  
26 polymeric systems composed of polox407 and hydroxypropyl methylcellulose (HPMC)  
27 or sodium carboxymethylcellulose (NaCMC) with an aim to improve the rheological  
28 and mechanical properties of the hydrogel. The interaction between polox407 and  
29 cellulose derivatives was studied, and their interaction with biological surfaces  
30 predicted. The carbohydrates affected the mechanical and rheological behavior of  
31 polox407 in different ways, dependent on polymer type, concentration, and temperature.  
32  $T_{sol/gel}$  and rheological interaction parameters were useful to select the most suitable  
33 formulations for topical or local application, ensuring *in situ* gelation and the formation  
34 of a strong gel. Most of the binary systems exhibited plastic behavior, with thixotropic  
35 and viscoelastic properties. Appropriate formulations were identified for local  
36 application, such as 17.5/3; 17.5/4; 20/3 and 20/4 (% w/w) for polox407/HPMC; and  
37 17.5/1; 17.5/1.5; 20/1 and 20/1.5 (% w/w) for polox407/NaCMC.

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40 *Keywords:* hydrogel; cellulose derivatives; poloxamer 407; rheology; mechanical  
41 characterization.

## 42 **1. Introduction**

43 Polymer blends provide a simple approach to combine the advantages of  
44 different polymers, for example adhesiveness and environmental responsiveness  
45 (Barbosa et al., 2017; Borghi-Pangoni et al., 2015; Bruschi et al., 2007; Jones et al.,  
46 2009; Liu, Lin, Li, & Liu, 2005). Thermoresponsive gelling systems have been widely  
47 studied over the years for drug delivery, mainly due to their ease of application,  
48 spreadability and retention at the application site (de Francisco et al., 2019; Ramírez  
49 Barragán et al., 2018). Moreover, where these thermoresponsive materials are  
50 composed of nanostructured micelles derived from amphiphilic block copolymers they  
51 may improve solubilization, stabilization and control of drug delivery (Jindal & Mehta,  
52 2015).

53 Poloxamer 407 (polox407), a non-ionic triblock copolymers composed of  
54 poly(ethylene oxide)<sub>101</sub>-b-poly(propylene oxide)<sub>56</sub>-b-poly(ethylene oxide)<sub>101</sub> (PEO-  
55 PPO-PEO), is the most commonly used thermogelling material. It is able to form a  
56 nanostructured gel system when the temperature is increased (Nie, Hsiao, Pan, & Yang,  
57 2011). Polox407 aqueous dispersions above 15 % (w/v) behave as low viscosity fluids  
58 at room or fridge temperature, while at body temperature they increase in viscosity due  
59 to the formation of a gel mesophase. Raising the environmental temperature triggers a  
60 hierarchical process whereby the polymer macromolecules aggregate into micelles with  
61 a PPO-hydrophobic core and PEO-hydrophilic shell (Almeida, Amaral, Lobão, & Lobo,  
62 2014; Dumortier, Grossiord, Agnely, & Chaumeil, 2006). When the volume fraction of  
63 the micelles is sufficiently high, the micelles pack into a face-centered cubic gel (Abou-  
64 Shamat, Calvo-Castro, Stair, & Cook, 2019). The presence of micelles also allows the  
65 solubilization of a wide range of pharmaceutic actives, since hydrophilic molecules can

66 be kept in the shell or aqueous phase, while hydrophobics can remain into the core (De  
67 Souza Ferreira, Bassi da Silva, Borghi-Pangoni, Junqueira, & Bruschi, 2015).

68 In spite of its positive characteristics, polox407 forms weak hydrogels, usually  
69 with rapid dissolution (Wu et al., 2011). To improve its properties as a hydrogel former,  
70 many polymers have been included in binary mixtures containing polox407, including  
71 poly(acrylic acid) derivatives (Andrews, Lavery, & Jones, 2009; Bassi da Silva,  
72 Khutoryanskiy, Bruschi, & Cook, 2017; De Souza Ferreira, Bassi da Silva, et al., 2015;  
73 De Souza Ferreira, Moço, Borghi-Pangoni, Junqueira, & Bruschi, 2015), polycarbophil  
74 (Barbosa et al., 2017), polyvinylpyrrolidone and chitosan (Gratieri et al., 2010; Pagano,  
75 Giovagnoli, Perioli, Tiralti, & Ricci, 2020). This approach of mixing polymeric  
76 additives with polox407 is attractive as the resultant materials would not require the  
77 regulatory burden that a chemical modification would impart (Abou-Shamat et al.,  
78 2019).

79 Cellulose is the most abundant polymeric polysaccharide in nature, and one of  
80 the most widely used excipients in pharmaceutical industry. It is composed of  $\beta(1 \rightarrow 4)$   
81 linear chains linked to D-glucose units. Common cellulose derivatives include  
82 methylcellulose, ethylcellulose, hydroxyethylcellulose, hydroxypropyl methylcellulose  
83 and carboxymethylcellulose, which are good gel formers (Sosnik, Das Neves, &  
84 Sarmiento, 2014). They do not present toxicity and are generally preferred for topical or  
85 mucosal application as they are also non-irritating polymers (Sosnik et al., 2014).  
86 Hydrogels containing polox407 and cellulose derivatives have already been described in  
87 the literature, for example combining polox407 with hydroxyethylcellulose (Jones,  
88 Woolfson, & Djokic, 1996) or carboxymethylcellulose (Hägerström & Edsman, 2001;  
89 Pagano et al., 2020; Wang et al., 2016). The addition of cellulose derivatives to  
90 hydrogels with polox407-content aims not only to contribute to the optimization of

91 physical structures, but also to modulate the gelation temperature and rheological and  
92 mechanical properties of poloxamer hydrogels (Wang et al., 2016).

93 Polymeric blends containing polox407 and hydroxypropyl methylcellulose  
94 (HPMC) or sodium carboxymethylcellulose (NaCMC) have been poorly investigated  
95 (Barse, Kokare, & Tagalpallewar, 2016; Pagano et al., 2020; Pandey, Cabot, Wallwork,  
96 Panizza, & Parekh, 2017; Wang et al., 2016). Pandey and collaborators, for example,  
97 studied polox407 and HPMC in ternary blends with chitosan (Pandey et al., 2017),  
98 while Pagano and collaborators explored binary systems containing polox407 and  
99 NaCMC, exploring gelation temperature and time, with a single measure of overall  
100 viscosity (Pagano et al., 2020). Although there are some studies describing systems  
101 composed of these polymers for specific applications in the literature, none of them  
102 have conducted a systematic investigation into their rheological and mechanical  
103 characteristics (e.g. flow, viscoelasticity, texture and softness profile). There is also no  
104 study on synergisms and interactions between these polymers, or on the correlation  
105 between these properties over a range of polymer concentration and temperature.

106 Therefore, the aim of this work was to support the use of these systems as  
107 thermoresponsive materials with improved retention properties for biomedical  
108 applications, mainly as topical pharmaceutical systems. This work describes the  
109 rheological and mechanical characterization of polymeric systems composed of  
110 polox407 and HPMC or NaCMC and their correlation, in order to understand them  
111 fundamentally, including their interaction with biological surfaces, and select the best  
112 formulations for biomedical and pharmaceutical applications.

113

## 114 **2. Materials and methods**

### 115 *2.1. Materials*

116 Hydroxypropyl methylcellulose (HPMC; type K100, Methocel<sup>®</sup>, with  
117 hydroxypropoxyl content 8.1% and methoxyl content 22%) was donated from Colorcon  
118 Dow Chemical Company<sup>TM</sup> (United Kingdom), with a molecular weight of 29.905 KDa  
119 with a polydispersity index (PD) of 1.558 (information described in detail in the  
120 supplementary material). Sodium carboxymethylcellulose with a degree of substitution  
121 between 0.8 and 0.95, molecular weight of 29.567 KDa and PD=2.094 (supplementary  
122 material) was purchased from Synth (Brazil). The cellulose derivatives were also  
123 evaluated by <sup>1</sup>H NMR as described in the supplementary information. Poloxamer 407  
124 (polox407) was purchased from Sigma-Aldrich (Brazil). Dimethyl formamide HPLC  
125 grade was purchased by Fisher Scientific (United Kingdom). Unless specified, all  
126 reagents were used without further purification. Purified water was obtained in-house  
127 using a Millipore Milli-Q water purification system (Germany).

128

## 129 *2.2. Preparation of samples*

130 The thermogelling systems were prepared by dispersion of HPMC (2, 3 or 4 %, w/w) or NaCMC (0.5, 1.0 or 1.5%, w/w) in purified water with stirring at room  
131 temperature. After complete dispersion of the cellulose derivatives, an appropriate  
132 amount of polox407 (15.0, 17.5 or 20 %, w/w) was added to the preparations, and the  
133 mixtures were stored at 4 °C for 48 h to ensure complete polymer wetting. Afterwards,  
134 the polymeric systems were stirred again to complete dissolution, and kept at 4 °C for at  
135 least 24 h before analysis (Bassi da Silva et al., 2017; De Souza Ferreira, Bassi da Silva,  
136 et al., 2015; Schmolka, 1972).

138

## 139 *2.3. Rheology*

140 The rheometry of formulations was performed using a controlled stress  
141 rheometer (MARS II, Haake Thermo Fisher Scientific Inc., Germany) with parallel steel  
142 cone-plate geometry (35 mm, separated by a fixed distance: 0.052 mm). All the analysis  
143 was performed at three temperatures: 5, 25 and 37 °C. The samples were carefully  
144 placed to the inferior plate, and allowed to resume their equilibrium for at least one  
145 minute before starting the analysis. At least three replicates of each sample were  
146 analyzed.

147

### 148 2.3.1. Continuous shear (flow) analysis

149 Two curves were obtained in flow mode over shear rates ranging from 0 to 2000  
150 s<sup>-1</sup> and 2000 to 0 s<sup>-1</sup>. The up curve was measured over 150 s, kept at the high limit for  
151 10 s and, then decreased over 150 s (Barbosa et al., 2017; Bassi da Silva et al., 2017; de  
152 Francisco et al., 2019). The up curve was fitted by Oswald-de-Waele equation as shown  
153 below Eq. (1):

154

$$155 \quad \sigma = k\dot{\gamma}^n \quad (1)$$

156

157 where  $\sigma$  is the shear stress (Pa),  $k$  is the consistency index (Pa.s)<sup>n</sup>,  $\dot{\gamma}$  is the rate of shear  
158 (s<sup>-1</sup>), and  $n$  is the flow behavior index (dimensionless). The yield stress values were  
159 investigated by the Casson model Eq. (2) (Hemphill, Campos, & Pilehvari, 1993):

160

$$161 \quad \tau = \sqrt[n]{\left(\tau_0^n + (\dot{\gamma}n_p)\right)^n} \quad (2)$$

162

163 where  $\tau$  represents the shear stress (Pa),  $n$  is the flow behavior index (dimensionless),  $\tau_0$   
164 is yield stress (Pa),  $\dot{\gamma}$  is the rate of shear (s<sup>-1</sup>) and  $n_p$  is Casson plastic viscosity.

165 Additionally, the hysteresis area of each formulation was calculated using RheoWin  
166 4.10.0000 (Haakes<sup>®</sup>) software.

167

### 168 *2.3.2. Oscillatory measurements*

169 The viscoelastic properties were assessed in oscillatory mode. Firstly, the linear  
170 viscoelastic region (LVR) of each sample was determined at each temperature studied.  
171 The frequency sweep analysis was then conducted from 0.1 to 10.0 Hz (Barbosa et al.,  
172 2017; Bassi da Silva et al., 2017; de Francisco et al., 2019). The storage modulus ( $G'$ ),  
173 the loss modulus ( $G''$ ), dynamic viscosity ( $\eta'$ ) and loss tangent ( $\tan \delta$ ) were obtained  
174 using the RheoWin 4.10.0000 (Haakes<sup>®</sup>) software.

175

### 176 *2.3.3. Interaction parameter*

177 Interaction between polox407 and HPMC or NaCMC was investigated by  
178 determining the difference between the absolute value of the dynamic moduli ( $G'$ ) of  
179 the polymeric blends and the theoretical value of the  $G'$  obtained by summation of the  
180 individual parts (Hemphill et al., 1993; Jones et al., 2009). This interaction parameter  
181 was determined using the  $G'$  values at 10.0 Hz of oscillatory frequency, as shown in Eq.  
182 (3).

183

$$184 \quad \Delta G' = G'_{\text{mixture}} - (G'_{\text{poloxamer 407}} + G'_{\text{HPMC or NaCMC}}) \quad (3)$$

185

### 186 *2.3.4. Measurement of solution-gel transition temperature ( $T_{\text{sol/gel}}$ )*

187 Determination of  $T_{\text{sol/gel}}$  was performed in oscillatory mode using a temperature  
188 ramp with the geometry described above. Once the LVR each formulation had been  
189 determined at 5 and 60 °C, a temperature sweep analysis was performed over the  
190 temperature range of 5-60 °C, at 1.0 Hz frequency, and a heating rate of 10 °C/min  
191 using a controlled stress within the LVR.  $G'$ ,  $G''$ ,  $\eta'$  and  $\tan \delta$  were calculated using the  
192 RheoWin 4.10.0000 (Haakes®) software. The temperature at which the elastic modulus  
193 ( $G'$ ) was halfway between the values for the solution and for the gel was defined as  
194  $T_{\text{sol/gel}}$ , based on the significant increase of dynamic viscosity ( $\eta'$ ) with increasing  
195 temperature (Barbosa et al., 2017; Bassi da Silva et al., 2017; Bruschi et al., 2007; de  
196 Francisco et al., 2019).

197

#### 198 *2.4. Texture profile analysis (TPA)*

199 The evaluation of compressional flow was achieved using a TA-XTplus texture  
200 analyzer (Stable Micro Systems, Surrey, England) in TPA mode, at 5, 25 and 37 °C.  
201 Firstly, ca 16 g of sample was added to glass vials whilst avoiding the introduction of  
202 air. Then, the formulations were compressed twice by an analytical probe (10 mm  
203 diameter). The compressions were performed at 2 mm/s, to a depth of 15 mm. A delay  
204 period of 15 s was allowed between the end of the first compression, and the beginning  
205 of the second step. Hardness (maximum force during the compression), compressibility  
206 (the work required to deform the formulation during the first pass of the probe),  
207 adhesiveness (work required to overcome the attractive forces between the surfaces of  
208 the probe/sample), elasticity (ability to stretch and return to its original size and shape),  
209 and cohesiveness (work necessary to become united the surface of the sample and the  
210 surface of the probe) were calculated from the force-time and force-distance graphs, as

211 demonstrated in Fig. S3 (Barbosa et al., 2017; Bassi da Silva et al., 2017; Bruschi et al.,  
212 2007; de Francisco et al., 2019).

213

#### 214 *2.5. Determination of softness*

215 The softness of formulations was assessed by the texture analyzer in  
216 compression mode at 37 °C using a perspex conic probe (P/45C). Beakers (50 mL) were  
217 filled with the samples avoiding the formation of bubbles. The analytical probe was  
218 then compressed into each formulation at 1 mm/s, and to a depth of 10 mm. The  
219 maximum required force for the probe to penetrate each sample was calculated as the  
220 maximum value in a force-distance graph (Fig. S4), which was designated the softness  
221 index (Barbosa et al., 2017; Bruschi et al., 2007; de Francisco et al., 2019).

222

#### 223 *2.6. Statistical analysis*

224 The effects of cellulose derivative type, polymer content and temperature on  
225 textural (hardness, compressibility, adhesiveness, elasticity and cohesiveness) and  
226 rheological properties (consistency index, flow index, yield stress and hysteresis area)  
227 were statistically compared using three-way analysis of variance (ANOVA). Similarly,  
228 the effects of polymer concentration and polymer type on softness index were  
229 statistically evaluated using two-way ANOVA. In all cases, individual differences  
230 between means were identified using Tukey's honestly significant difference test.  
231 Additionally, the linear correlation between consistency index and hardness, yield value  
232 and hardness, flow behavior index and adhesiveness, as well as, softness at 37°C and  
233 hardness or consistency index at 37 °C were determined by linear regression analysis.  
234 Statistical comparison of the moduli of polymeric blends and the theoretical modulus  
235 following addition of the individual moduli was performed using an unpaired *t*-test.

236 Finally, the paired Student's *t*-test was used to determine if the dynamic viscosity ( $\eta'$ )  
237 of the formulations increased with rising temperature ( $T_{\text{sol/gel}}$ ). Significant differences  
238 were accepted when  $p < 0.05$  and Statistica 8.0 software (StatSoft Company, Tulsa,  
239 Oklahoma) was used throughout.

240

### 241 **3. Results and discussion**

#### 242 *3.1. Continuous shear (flow) rheology*

243 The study of rheological properties in polymeric systems can allow  
244 understanding of possible interactions among polymers which constitute the systems.  
245 This in turn may guide the selection of formulations considered most suitable for a  
246 given clinical application. Including the effect of stress variation to which formulations  
247 are exposed during the manufacturing process, bottling, storage, unpacking of the  
248 product until their behavior at the application site. All this knowledge allows us to  
249 predict and avoid the disruption of polymer system structure, improving product  
250 stability and therapeutic efficacy (Baloglu, Karavana, Senyigit, & Guneri, 2011;  
251 Barbosa et al., 2017).

252 Appropriate flow properties of thermoresponsive bioadhesive polymeric systems  
253 ensure ease of administration. In the relaxed mode, the polymer chains are tangled,  
254 which gives to the system greater viscosity. During administration, the stress increases  
255 in the system, and polymer chains become aligned following the direction of shear,  
256 which reduces their apparent viscosity. After application, polymeric systems regain  
257 their initial rheological profile. In this sense, formulations exhibiting pseudoplastic flow  
258 have better distribution on the applied surface (De Souza Ferreira, Moço, et al., 2015;  
259 Jones et al., 2009). Mono-polymeric formulations of polox407 exhibited Newtonian  
260 flow behavior at 5 and 25 °C. At 37 °C, and at all concentrations, non-Newtonian

261 pseudoplastic behavior was demonstrated due to the formulations' thermoresponsive  
262 properties (Table 1) (Barbosa et al., 2017; Dumortier et al., 2006). Mono-polymeric  
263 formulations of HPMC showed pseudo-plastic flow behavior at all the evaluated  
264 temperatures and concentrations, i.e. the samples exhibited shear-thinning without yield  
265 observed on the flow curve. However, for all binary polymeric systems containing  
266 polox407 and HPMC, plastic behavior was observed, i.e. the samples exhibited shear-  
267 thinning with a yield observed on the flow curve. The addition of polox407 in HPMC  
268 mono-polymeric formulations led to a reduction in the flow behavior index ( $n$ ), but this  
269 reduction did not show statistical significance ( $p>0.05$ ). Starting from polox407 mono-  
270 polymeric formulations, the addition of HPMC also led to reduced flow behavior index,  
271 which were not statistically different as observed for polox407. Overall, an increase in  
272 temperature (5, 25 and 37 °C) reduced the value of  $n$  significantly, which is attributed to  
273 the gel mesophase exhibiting shear-induced structural rearrangements. A statistical  
274 difference was observed between 5 °C and 25 or 37 °C ( $p<0.05$ ), but not between 25  
275 and 37 °C ( $p>0.05$ ). The contraction of  $n$  is directly related to the structure of the  
276 polymeric system. The smaller amount of free water in the system leads to a reduced  
277 Newtonian behavior, moving the value further from unity.

278 **Table 1**

279 The effects of concentration of poloxamer 407 (polox407), hydroxypropyl methycellulose (HPMC) and sodium carboxymethylcellulose  
 280 (NaCMC) on the consistency index ( $k$ ) and rheological exponent ( $n$ ) of binary polymeric systems at different temperatures.

Polymer (% , w/w)			$k$ (Pa.s) <sup>n</sup>						$n$ (dimensionless)					
P407	HPMC	NaCMC	5 °C		25 °C		37 °C		5 °C		25 °C		37 °C	
15	-	-	0.018 ± 0.001	0.026 ± 0.001	0.026 ± 0.001	0.2698 ± 0.019	1.031 ± 0.009	1.049 ± 0.006	0.859 ± 0.006					
17.5	-	-	0.024 ± 0.001	0.088 ± 0.003	97.793 ± 2.699	1.014 ± 0.002	1.038 ± 0.014	0.173 ± 0.002						
20	-	-	0.039 ± 0.000	1.667 ± 0.188	163.900 ± 4.574	1.014 ± 0.002	0.762 ± 0.016	0.157 ± 0.007						
-	2	-	0.876 ± 0.001	0.239 ± 0.001	0.111 ± 0.001	0.735 ± 0.001	0.615 ± 0.001	0.471 ± 0.005						
-	3	-	4.898 ± 0.012	1.438 ± 0.001	0.598 ± 0.021	0.842 ± 0.003	0.724 ± 0.002	0.619 ± 0.004						
-	4	-	25.653 ± 1.087	6.538 ± 0.046	3.178 ± 0.012	0.907 ± 0.002	0.811 ± 0.002	0.686 ± 0.001						
15	2	-	0.341 ± 0.001	0.603 ± 0.048	130.232 ± 3.610	0.861 ± 0.001	0.603 ± 0.048	0.179 ± 0.002						
15	3	-	1.087 ± 0.042	0.903 ± 0.071	66.360 ± 0.384	0.759 ± 0.047	0.829 ± 0.017	0.376 ± 0.012						
15	4	-	19.431 ± 1.018	24.661 ± 3.801	115.731 ± 12.689	0.277 ± 0.026	0.425 ± 0.010	0.322 ± 0.031						
17.5	2	-	0.205 ± 0.010	16.663 ± 0.402	130.302 ± 7.349	0.895 ± 0.001	0.505 ± 0.013	0.246 ± 0.013						
17.5	3	-	0.705 ± 0.002	64.357 ± 4.022	138.570 ± 8.345	0.995 ± 0.001	0.299 ± 0.002	0.283 ± 0.012						
17.5	4	-	0.314 ± 0.009	43.601 ± 2.867	257.800 ± 6.920	0.893 ± 0.000	0.461 ± 0.001	0.194 ± 0.001						
20	2	-	0.092 ± 0.001	255.902 ± 8.787	380.481 ± 11.931	0.990 ± 0.001	0.128 ± 0.001	0.082 ± 0.001						
20	3	-	0.093 ± 0.001	298.372 ± 6.012	318.601 ± 11.312	0.999 ± 0.002	0.122 ± 0.000	0.118 ± 0.002						
20	4	-	0.121 ± 0.000	346.771 ± 8.670	441.771 ± 13.208	0.986 ± 0.003	0.107 ± 0.002	0.077 ± 0.003						
-	-	0.5	1.832 ± 0.027	1.166 ± 0.011	0.911 ± 0.019	0.469 ± 0.012	0.498 ± 0.004	0.525 ± 0.011						
-	-	1.0	14.260 ± 0.235	9.377 ± 0.052	6.596 ± 0.078	0.323 ± 0.001	0.358 ± 0.002	0.387 ± 0.002						
-	-	1.5	43.947 ± 0.817	30.253 ± 0.516	22.431 ± 0.432	0.246 ± 0.001	0.278 ± 0.001	0.305 ± 0.001						
15	-	0.5	3.205 ± 0.031	1.388 ± 0.071	2.734 ± 0.060	0.549 ± 0.001	0.729 ± 0.001	0.656 ± 0.001						
15	-	1.0	17.870 ± 0.520	8.377 ± 0.452	18.597 ± 1.001	0.411 ± 0.001	0.578 ± 0.001	0.459 ± 0.003						

15	-	1.5	37.970 ± 4.132	29.043 ± 1.236	62.821 ± 9.873	0.352 ± 0.012	0.456 ± 0.022	0.371 ± 0.023
17.5	-	0.5	3.187 ± 0.066	3.202 ± 0.138	106.131 ± 2.861	0.574 ± 0.002	0.704 ± 0.003	0.218 ± 0.002
17.5	-	1.0	12.578 ± 0.254	43.851 ± 5.149	362.002 ± 25.402	0.479 ± 0.014	0.396 ± 0.032	0.075 ± 0.001
17.5	-	1.5	124.231 ± 11.325	370.601 ± 15.385	441.030 ± 4.292	0.256 ± 0.025	0.064 ± 0.002	0.039 ± 0.001
20	-	0.5	3.903 ± 0.093	249.772 ± 12.243	298.001 ± 6.154	0.573 ± 0.003	0.121 ± 0.011	0.110 ± 0.013
20	-	1.0	18.001 ± 0.467	470.633 ± 11.541	490.501 ± 7.425	0.435 ± 0.001	0.086 ± 0.010	0.063 ± 0.014
20	-	1.5	13.681 ± 1.887	475.232 ± 22.673	560.932 ± 49.692	0.554 ± 0.043	0.037 ± 0.001	0.001 ± 0.012

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281

282 The consistency index ( $k$ ) is related to the viscosity of a power law fluid at low shear.  
283 Thus, high values demonstrate great resistance to deformation due to the entanglement  
284 of polymer chains. As shown in Table 1, an increase in polox407 and HPMC  
285 concentration and an increase in temperature resulted in higher  $k$  values. The addition of  
286 polox407 into formulations containing only HPMC showed a significant increase in  $k$   
287 value when 17.5 or 20% polox407 ( $p < 0.05$ ) were added. The addition of 15% (w/w)  
288 polox407 did not cause a statistically significant change in  $k$ , but the increased  
289 consistency value obtained by the addition of 20% polox407 was statistically different  
290 compared to the 15 or 17.5% polox407. Similarly, the addition of HPMC in the system  
291 gave an increase in the value of  $k$ . However, the increase in the consistency of the  
292 systems was not significant when compared polox407 solutions to any studied  
293 concentration of HPMC (2, 3 or 4%, w/w). Regarding the temperature, its increase led  
294 to a significant increase of the consistency index, since at high temperatures the gelation  
295 of the formulations occurs. Thus, polymer chains are better organized into packed  
296 micellar mesophases, leading a consequent increase in the consistency and/or viscosity  
297 of the system. As observed for  $n$  value, statistical difference was observed between 5 °C  
298 and 25 or 37 °C ( $p < 0.05$ ), but not between 25 and 37 °C ( $p > 0.05$ ).

299 The yield value represents the minimum stress required for the material starts  
300 flowing, and is characteristic for physically crosslinked materials since once the yield  
301 value is exceeded, the physical interactions are overcome and the material begins to  
302 flow (Barbosa et al., 2017). The temperature and concentration of polox407 and HPMC  
303 polymers influenced the yield value of the studied formulations (Table 2). HPMC  
304 mono-polymeric formulations at all observed temperatures demonstrated pseudoplastic  
305 behavior, with no yield. However, the other binary systems showed plastic flow,  
306 increasing their yield value when increasing polymer concentration. This behavior is

307 attributed to the presence of polox407. Pharmaceutical systems that demonstrate higher  
308 yield value are more favorable for retention of the formulation at the applied site and  
309 may resist to different *in vivo* movements, such as chewing, mucociliary movement or  
310 clearance (De Souza Ferreira, Moço, et al., 2015). The temperature displayed a  
311 statistically significant influence on the yield value of systems composed of polox407  
312 and HPMC, with the main difference observed between 5 °C and 25 or 37 °C ( $p < 0.05$ ).

313         When subjected to shear stress, some the materials undergo a reversible loss of  
314 viscosity which may exhibit hysteresis. The hysteresis area usually reveals the  
315 reversibility of the formulations' response to shear, showing two different profiles:  
316 thixotropic (positive hysteresis area) or rheopectic (negative hysteresis area) (Barbosa et  
317 al., 2017; Jones et al., 2009). The addition and increase of polox407 to HPMC mono-  
318 polymeric formulations changed the hysteresis area found, however no statistical  
319 difference was observed. The addition of HPMC changed the hysteresis area of  
320 polox407 formulations from rheopectic to thixotropic in most cases, except 15 %  
321 polox407 with 2 and 3 % HPMC. Rheopexy has been already reported in colloidal  
322 dispersions, as a reversible phenomenon with time-dependent increase in viscosity when  
323 exposed to a determined shear rate. Thixotropic systems, on the other hand, become  
324 more fluid when stress is applied, as during stirring, pumping or spread; returning  
325 gradually to their initial structure after removal of shear stress. Thixotropic behavior  
326 was observed mainly at 5 and 37 °C, without statistical difference between them.

327 **Table 2**

328 The effects of concentration of poloxamer 407 (polox407), hydroxypropylmethycellulose (HPMC) and sodium carboxymethylcellulose  
 329 (NaCMC) on the yield value and hysteresis area of binary polymeric systems at different temperatures.

Polymer (% , w/w)			Yield value (Pa)			Hysteresis area		
P407	HPMC	NaCMC	5 °C	25 °C	37 °C	5 °C	25 °C	37 °C
15	-	-	0.329 ± 0.019	5.143 ± 1.520	7.702 ± 0.772	2319.001 ± 51.391	-5953.323 ± 447.411	-34260.00 ± 4143.621
17.5	-	-	0.000 ± 0.014	1.732 ± 0.148	200.870 ± 8.503	1863.332 ± 15.308	-17673.323 ± 198.578	-18350.00 ± 1083.461
20	-	-	0.000 ± 0.048	79.053 ± 9.980	337.978 ± 18.505	452.833 ± 58.115	-5763.323 ± 340.193	-217.167 ± 12.432
-	2	-	0.000 ± 0.650	0.000 ± 0.226	0.000 ± 0.169	2405.667 ± 263.365	-2955.020 ± 144.441	-6718.670 ± 472.500
-	3	-	0.000 ± 0.338	0.000 ± 0.233	0.000 ± 0.188	3311.500 ± 99.702	-9155.667 ± 714.394	-17493.33 ± 1475.481
-	4	-	0.000 ± 0.370	0.000 ± 1.185	0.000 ± 1.168	21520.00 ± 533.948	-24786.667 ± 1033.554	-39463.33 ± 4248.580
15	2	-	0.000 ± 0.237	31.4567 ± 2.253	123.963 ± 4.265	303.333 ± 39.249	-33803.333 ± 2695.187	-18460.00 ± 690.217
15	3	-	0.000 ± 0.491	54.8067 ± 0.340	443.833 ± 37.508	4397.667 ± 388.647	-50290.000 ± 1479.864	-12510.00 ± 1132.607
15	4	-	0.000 ± 0.774	430.733 ± 11.609	647.633 ± 5.604	738066.6 ± 4841.83	-94525.000 ± 7.071	174133.33 ± 8581.569
17.5	2	-	0.000 ± 0.151	260.867 ± 14.319	185.200 ± 25.460	3924.500 ± 248.194	-53613.333 ± 4097.443	100246.67 ± 11667.413
17.5	3	-	0.000 ± 0.065	285.033 ± 3.121	398.800 ± 21.579	1183.000 ± 72.753	-2927.667 ± 269.786	370966.67 ± 8240.348
17.5	4	-	0.000 ± 0.123	388.366 ± 4.821	722.467 ± 32.178	4254.667 ± 239.863	-25346.667 ± 3015.317	160733.33 ± 15938.737
20	2	-	0.000 ± 0.037	410.833 ± 4.606	402.002 ± 23.539	462.867 ± 33.012	114916.667 ± 14179.59	148233.33 ± 21696.159
20	3	-	0.000 ± 0.019	458.967 ± 35.739	466.400 ± 18.780	-310.233 ± 32.010	13850.000 ± 1885.948	5552.33 ± 630.347
20	4	-	0.000 ± 0.056	460.092 ± 15.627	492.667 ± 20.175	755.767 ± 77.528	2985.000 ± 87.681	25530.00 ± 2940.272
-	-	0.5	1.169 ± 0.100	0.928 ± 0.097	0.582 ± 0.369	901.625 ± 85.082	-669.833 ± 67.861	-2237.001 ± 226.122
-	-	1.0	6.418 ± 1.801	0.178 ± 0.013	2.391 ± 0.408	5064.333 ± 453.632	-1293.667 ± 135.079	-430.333 ± 388.931
-	-	1.5	9.328 ± 0.922	45.067 ± 2.524	9.479 ± 0.397	15463.33 ± 609.289	4459.667 ± 38.070	-5116.001 ± 421.576

15	-	0.5	7.299 ± 0.531	12.487 ± 0.540	50.273 ± 0.092	5140.000 ± 391.559	-18626.667 ± 2015.746	-17963.33 ± 1955.081
15	-	1.0	18.570 ± 1.870	43.873 ± 4.721	142.267 ± 1.191	20000.00 ± 2115.39	9401.667 ± 1063.185	24566.662 ± 2795.790
15	-	1.5	87.770 ± 8.531	90.920 ± 5.467	247.567 ± 38.822	9286.667 ± 687.278	22426.667 ± 2687.979	36333.332 ± 905.232
17.5	-	0.5	9.222 ± 0.552	54.04 ± 3.001	106.838 ± 12.712	4734.333 ± 529.392	64813.330 ± 7606.720	54640.000 ± 6047.567
17.5	-	1.0	35.301 ± 3.952	245.507 ± 20.352	183.422 ± 22.090	5621.667 ± 650.079	15900.000 ± 1560.002	244466.67 ± 5024.277
17.5	-	1.5	64.001 ± 2.302	248.060 ± 71.751	245.669 ± 37.259	-8452.363 ± 107.740	22083.333 ± 1537.281	43973.332 ± 1801.011
20	-	0.5	13.621 ± 0.543	317.967 ± 32.004	190.814 ± 21.120	552.667 ± 60.511	18946.667 ± 1692.380	26170.001 ± 2507.858
20	-	1.0	34.951 ± 3.570	493.670 ± 30.435	1430.01 ± 16.206	868.133 ± 48.423	51183.337 ± 3773.292	69210.001 ± 7732.152
20	-	1.5	72.123 ± 6.021	526.800 ± 675.57	216.200 ± 17.253	-7008.000 ± 751.243	71200.000 ± 3917.891	48946.667 ± 2532.384

330

331 For systems containing NaCMC, all parameters evaluated had an effect on  $k$ ,  $n$ ,  
332 yield value and thixotropy. Mono-polymeric NaCMC systems, as well as binary  
333 polymeric systems composed of NaCMC and polox407 had  $n$  values less than one at all  
334 temperatures analyzed (Table 1) (Benchabane & Bekkour, 2008). Therefore, shear-  
335 thinning behavior was observed for all NaCMC systems, as well as for those containing  
336 HPMC. The increase in NaCMC concentration led to a significant reduction in the  $n$   
337 values, but this reduction was only statistically significant between formulations  
338 without NaCMC and the two highest NaCMC concentrations studied – 1.0 and 1.5%  
339 (w/w). The addition of polox407 also resulted in a lower  $n$  value, with significant  
340 reduction only between formulations containing 15% (w/w) polox407 and those with  
341 20% (w/w) polox407. Regarding  $n$  values, formulations without polox407 were not  
342 statistically different; nor were those composed of 17.5% (w/w) polox407. For a  
343 thermoresponsive system raising the temperature also reduces the values of  $n$ , since it  
344 results in a Newtonian to non-Newtonian transition.

345 The consistency index was affected by temperature and polymer concentration  
346 (Table 1). The addition and increase of polox407 content increased  $k$  values, as did the  
347 addition and increase of NaCMC content. Significant increase in  $k$  was observed  
348 between all formulations with low concentrations of polox407 (15 and 17. % (w/w))  
349 and those containing 20% (w/w) polox407. Significant increases were not observed  
350 between formulations without polox407 and those with 15% (w/w) polox407, or  
351 between those composed of 15 and 17.5% (w/w) polox407. On the other hand, with the  
352 increase of NaCMC, there was a significant increase of  $k$  value only among 1.5% (w/w)  
353 NaCMC and formulations without or with 0.5% (w/w) NaCMC. A significant increase  
354 of  $k$  was observed between all analyzed temperatures. Conversely, NaCMC-containing  
355 mono-polymeric systems have been shown to reduce in  $k$  value with increasing

356 temperature, suggesting the polox407 leads to structuring of the fluid at elevated  
357 temperatures.

358         Frequently a higher yield value at high temperature supports the clinical use of  
359 the pharmaceutical systems. At low temperatures they flow easily, as low or no stress is  
360 enough to remove them, when exposed at body temperature these formulations can  
361 remain longer in the desired site. Most NaCMC-containing polymeric systems had  
362 plastic behavior, with most mono-polymeric and binary NaCMC samples displaying a  
363 yield value at the three temperatures evaluated, except binary systems containing 17.5  
364 and 20% (w/w) polox407 at 25 and 37 °C, which demonstrated pseudoplastic behavior,  
365 without yield (Table 2).

366         Regarding the hysteresis area, although a thixotropic profile of high  
367 concentrated NaCMC dispersions (4-7%) at 20 °C is reported, most of mono-polymeric  
368 systems (0.5-1.5%) had thixotropic behavior at 5 °C, and a rheopectic behavior at 25  
369 and 37 °C (Table 2) (Benchabane & Bekkour, 2008). When in a binary polymeric  
370 system, most NaCMC/poloxamer mixtures showed a thixotropic profile at three  
371 temperatures. Formulations containing a higher concentration of polox407 and NaCMC  
372 had superior properties as pharmaceutical systems, since at 25 and 37 °C they exhibited  
373 thixotropy.

374

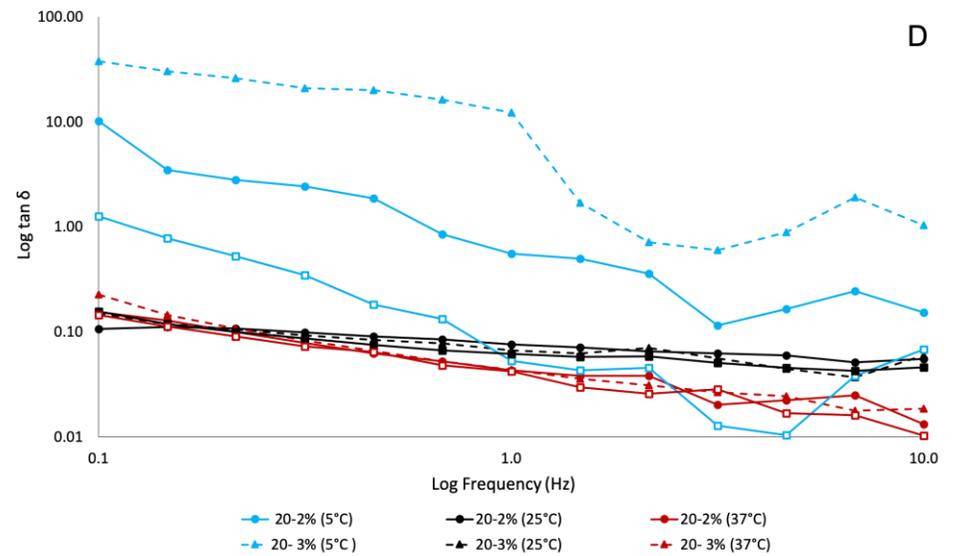
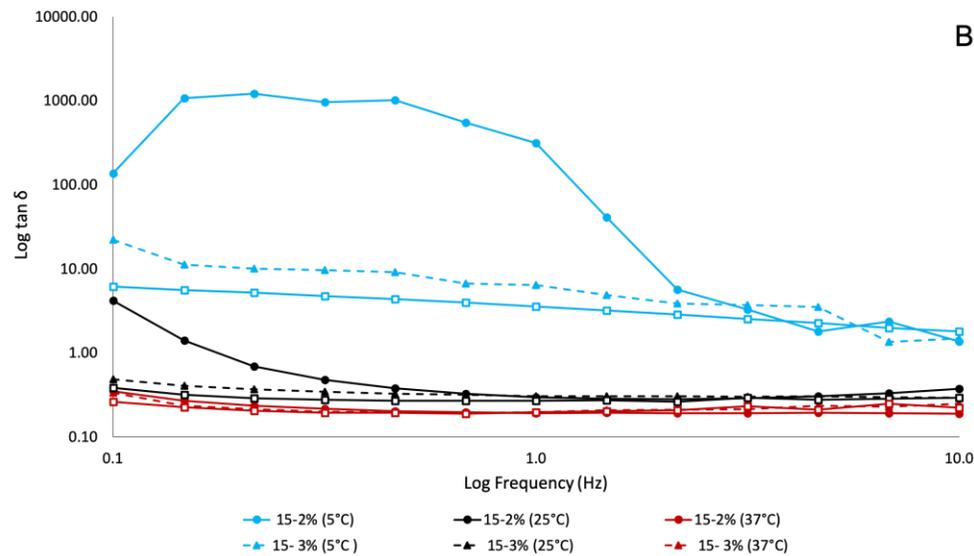
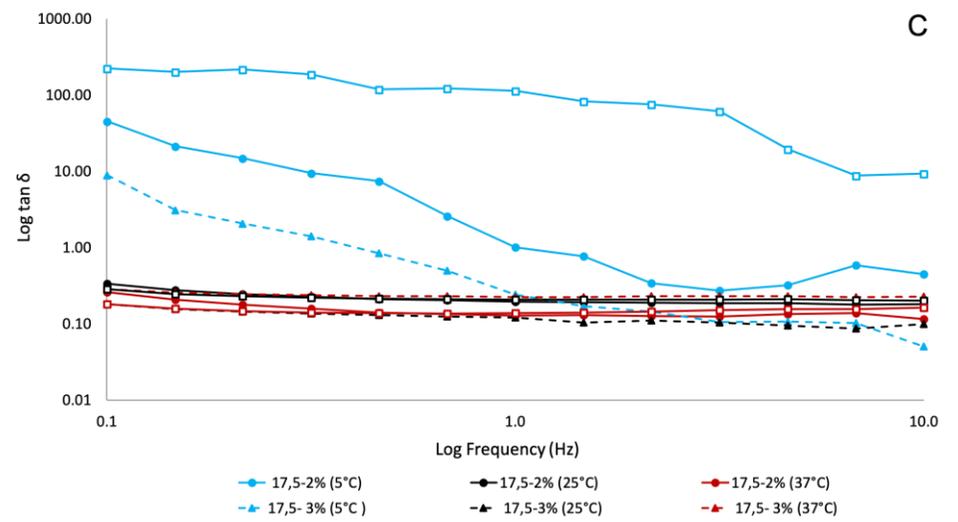
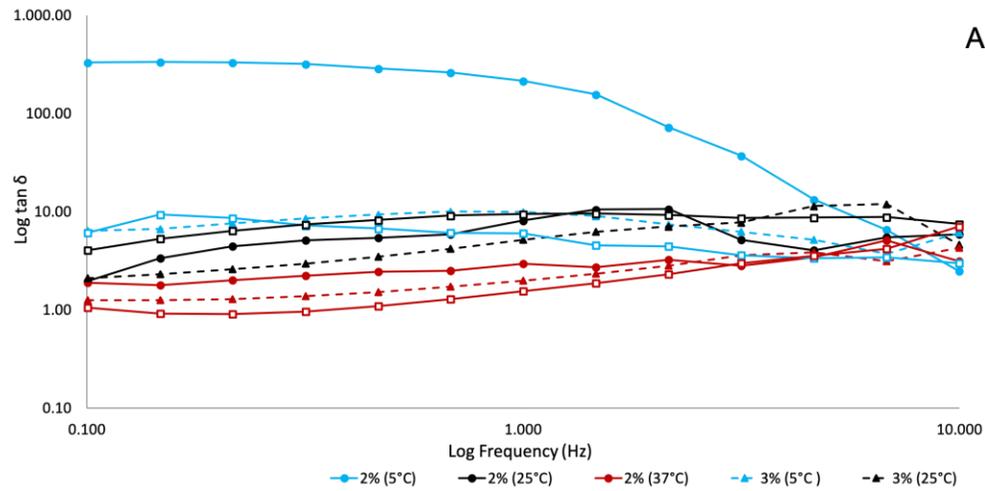
### 375 *3.2. Oscillatory analysis*

376         Oscillatory rheometry allows evaluation of the viscoelastic properties of the  
377 preparations. Oscillatory measurements allow to simulate the behavior of the systems  
378 when they are at low shear, probing the native properties of the semisolids at shears  
379 relevant to physiological environments. The elastic ( $G'$ ) and viscous ( $G''$ ) moduli  
380 obtained by oscillatory rheometry relate to the stored and recovered energy in each

381 deformation cycle at a given frequency. Additionally, the division of  $G''$  by  $G'$   
382 determines the loss tangent ( $\tan \delta$ ), with possible values greater or lower than one unit.  
383 Value above one characterizes elastoviscous systems, which have higher values of  $G''$   
384 than  $G'$ . Conversely, when  $\tan \delta$  values are less than one the systems have viscoelastic  
385 properties, that means  $G'$  is greater than  $G''$  (Baloglu et al., 2011; de Francisco et al.,  
386 2019; De Souza Ferreira, Moço, et al., 2015).

387 The oscillatory frequency and polymer concentration affected the viscoelastic  
388 properties of the formulations containing polox407 and HPMC or NaCMC (Fig. 1). An  
389 increase of oscillatory frequency resulted in the increase of  $G'$  and  $G''$ , as well as a  
390 decrease of dynamic viscosity ( $\eta'$ ). Most polymeric systems showed viscoelastic  
391 profiles at 5, 25 and 37 °C, except binary systems at 5 °C, which presented  
392 elastoviscous property at low frequencies (Table S1-S6).

393 As displayed in Tables S1-S6, formulations containing polox407 and HPMC  
394 were shown to be temperature, oscillatory frequency and polymer concentration  
395 dependent. Increasing the temperature, polymer concentration and frequency typically  
396 resulted in an increase of  $G'$  and  $G''$ , while the values found for  $\tan \delta$  were reduced. An  
397 increase of  $\eta'$  was observed with increasing polymer concentration and temperature;  
398 however the values were reduced with increasing oscillatory frequency. In addition,  
399 most binary polymeric systems demonstrated similar rheological values ( $G'$ ,  $G''$ ,  $\eta'$  and  
400  $\tan \delta$ ) when analyzed at 25 and 37 °C, showing a better interaction between cellulose  
401 derivatives and thermoresponsive polymer, with an improved system structuring, at  
402 temperatures above 25 °C.



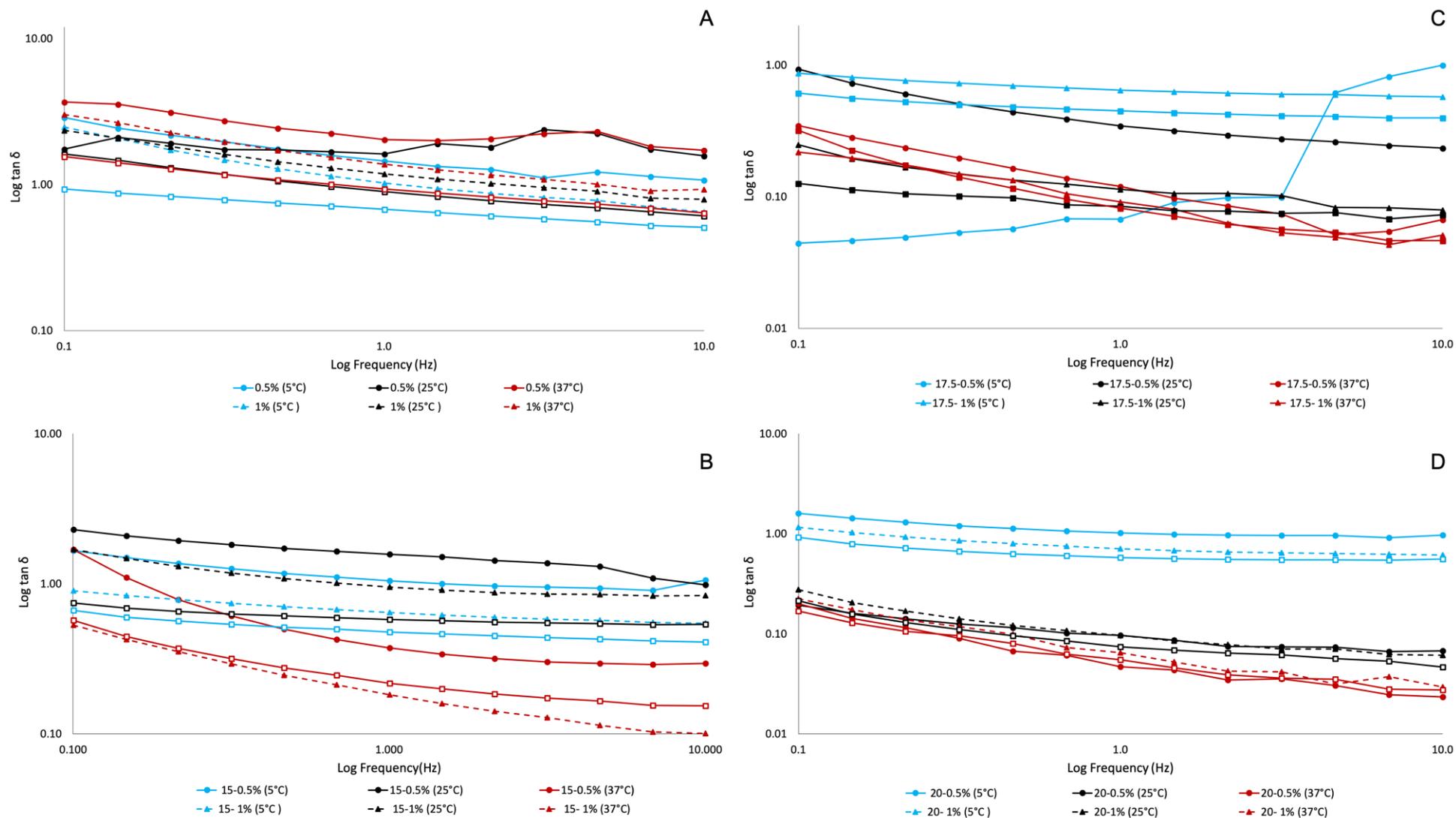
403

404 **Fig. 1.** Loss tangent ( $\tan \delta$ ) as function of frequency at temperatures of 5 (blue), 25 (black) and 37 °C (red) for: (A) monopolymeric formulations  
 405 containing 2 (●), 3 (▲) and 4 (◻) (% w/w) hydroxypropyl methylcellulose; (B) binary polymeric formulations containing 15% (w/w) poloxamer 407

406 and hydroxypropyl methylcellulose; (C) 17.5% (w/w) poloxamer 407 and hydroxypropyl methylcellulose; (D) 20% (w/w) poloxamer 407 and  
407 hydroxypropyl methylcellulose. Each point of the rheogram represents the mean of at least three replicates. Standard deviations have been omitted for  
408 clarity; however, in all cases, the relative standard deviation was lower than 12%.

409 For monopolymeric systems containing NaCMC (Fig. 2), an elastoviscous  
410 profile was observed for most formulations, and viscoelastic character was more evident  
411 at higher polymer concentration, as well as at higher frequencies, in accordance with the  
412 literature (Benchabane & Bekkour, 2008). The increase in oscillatory frequency resulted  
413 in an increase of  $G'$  and  $G''$ , while  $\eta'$  and  $\tan \delta$  had their values reduced. Typically,  
414 binary polymeric systems containing 15, 17.5 and 20% (w/w) polox407 demonstrated  
415 similar behavior. This was not the case in systems with high polymer concentration,  
416 such as those containing 17.5 and 20% (w/w) polox407 with 1.5% (w/w) NaCMC,  
417 which showed an increased  $G'$  but decreased  $G''$  with increasing oscillatory frequency  
418 at 25 and 37 °C. Furthermore, for most systems, the increase in the temperature and  
419 polymer content led to the increase of  $G'$ ,  $G''$  and  $\eta'$ , while the values found for  $\tan \delta$   
420 were reduced.

421 Viscoelastic behavior is often required in pharmaceutical systems as it gives to  
422 the formulation better application and retention properties at the desired site, which  
423 contributes to their therapeutic effectiveness. Therefore, systems containing 15, 17.5  
424 and 20% (w/w) polox407 showed viscoelastic behavior for most polymer systems at 25  
425 and 37 °C, especially for formulations containing 3 and 4% (w/w) HPMC and 1.0 and  
426 1.5% (w/w) of NaCMC, and thus may be preferable for clinical application.



427

428 **Fig. 2.** Loss tangent ( $\tan \delta$ ) as a function of frequency at temperatures of 5 (blue), 25 (black) and 37 °C (red) for: (A) monopolymeric formulations  
 429 containing 0.5 ( $\bullet$ ), 1.0 ( $\blacktriangle$ ) and 1.5 ( $\square$ ) (% w/w) sodium carboxymethylcellulose; (B) binary polymeric formulations containing 15% (w/w) poloxamer

430 407 and sodium carboxymethylcellulose; (C) 17.5% (w/w) poloxamer 407 and sodium carboxymethylcellulose; (D) 20% (w/w) poloxamer 407 and  
431 sodium carboxymethylcellulose. Each point of the rheogram represents the mean of at least three replicates. Standard deviations have been omitted for  
432 clarity; however, in all cases, the relative standard deviation was lower than 12%.

### 433 3.3. Interaction parameter

434 The strength of interactions between cellulose derivatives and polox407 may be  
435 investigated by measurement of  $G'$ . A rheological synergism is observed when  $G'$  of a  
436 polymer mixture is greater than the sum of  $G'$  of the constituent polymers in solutions  
437 of equivalent concentration. Synergism was assessed using  $G'$  values obtained at 10.0  
438 Hz, in order to intensively force the polymeric systems as a function of the oscillatory  
439 frequency (Barbosa et al., 2017; Jones et al., 2009).  $G'_{\text{observed}}$  was the  $G'$  of the  
440 formulation at this frequency, and  $G'_{\text{calculated}}$  was the sum of the  $G'$  at 10 Hz for the  
441 constituent mono-polymeric solutions at the same concentrations.

442 Table 3 shows  $G'_{\text{observed}}$  and  $G'_{\text{calculated}}$  for each binary polymeric system  
443 composed of polox407 and HPMC, as well as their interaction parameter, which was  
444 calculated at each temperature studied (5, 25 and 37 °C). The temperature increase was  
445 followed by an increase in  $G'$  for all HPMC systems. However, the  $G'_{\text{calculated}}$  did not  
446 increase proportionally, with 15% (w/w) polox407 formulations, exhibiting a reduction  
447 of its value as a function of the evaluated temperatures. Systems containing 17.5%  
448 (w/w) polox407, in turn, showed similar values between  $G'_{\text{calculated}}$  at 5 and 25 °C, with  
449 values almost ten times higher at 37 °C than those observed at the lowest temperatures.  
450 Additionally, formulations composed of 20% (w/w) polox407 demonstrated a decrease  
451 in calculated  $G'$  at 5 °C and increasing values at other temperatures. Thus, at 5 °C only  
452 systems containing 20% (w/w) polox407 showed low rheological synergism, the other  
453 systems presented rheological antagonism. At 25 and 37 °C, by contrast, a synergic  
454 profile was observed for all systems containing HPMC.

455 **Table 3**456 Observed and calculated values for elastic modulus ( $G'$ ) of binary polymeric formulations containing poloxamer 407

457 (polox407) and hydroxypropyl methylcellulose (HPMC) or sodium carboxymethylcellulose (NaCMC).

Polox407 (%. w/w)	HPMC (%. w/w)	NaCMC (%. w/w)	Temperature (°C)	$G'_{\text{observed}}$ (Pa) <sup>a</sup>	$G'_{\text{calculated}}$ (Pa) <sup>a</sup>	Interaction parameter (Pa)
15	2.0	-	5	22.46 ± 1.10	129.53 ± 8.85	-107.07 ± 9.24
15	2.0	-	25	44.68 ± 5.28	153.43 ± 2.77	-108.75 ± 6.34
15	2.0	-	37	1057.67 ± 57.42	67.56 ± 7.12	990.10 ± 55.55
15	3.0	-	5	101.93 ± 2.51	177.62 ± 8.39	-75.68 ± 10.90
15	3.0	-	25	75.02 ± 2.33	151.23 ± 4.76	-76.21 ± 2.97
15	3.0	-	37	6665.33 ± 162.21	82.98 ± 6.30	6582.35 ± 115.93
15	4.0	-	5	238.70 ± 20.32	263.10 ± 7.41	-33.55 ± 6.29
15	4.0	-	25	252.73 ± 26.42	211.45 ± 2.58	25.15 ± 4.70
15	4.0	-	37	3799.33 ± 384.71	137.20 ± 6.29	3662.14 ± 382.68
17.5	2.0	-	5	25.73 ± 0.83	131.13 ± 0.88	-105.40 ± 1.03
17.5	2.0	-	25	4288.67 ± 224.28	135.87 ± 6.03	4152.80 ± 224.23
17.5	2.0	-	37	8900.67 ± 234.61	9424.87 ± 498.99	-340.92 ± 150.86
17.5	3.0	-	5	89.92 ± 3.07	179.22 ± 1.02	-89.30 ± 2.98
17.5	3.0	-	25	9582.00 ± 523.64	133.67 ± 6.73	9448.33 ± 529.69
17.5	3.0	-	37	10930.00 ± 670.22	9138.96 ± 49.43	2159.58 ± 452.05
17.5	4.0	-	5	223.90 ± 7.21	264.70 ± 2.76	-42.35 ± 6.29
17.5	4.0	-	25	10603.33 ± 361.16	193.88 ± 6.45	10409.45 ± 365.92
17.5	4.0	-	37	11750.00 ± 1090.00	9659.62 ± 577.24	1545.38 ± 193.50
20	2.0	-	5	29.05 ± 0.33	37.88 ± 6.09	-8.83 ± 5.85
20	2.0	-	25	12442.33 ± 827.61	9517.73 ± 495.34	2924.60 ± 333.28
20	2.0	-	37	11663.33 ± 445.57	14908.54 ± 293.79	-3245.207 ± 382.47
20	3.0	-	5	93.47 ± 1.43	85.97 ± 5.65	7.51 ± 6.99
20	3.0	-	25	13200.00 ± 312.25	9515.53 ± 497.16	3684.47 ± 788.09
20	3.0	-	37	14165.0 ± 487.90	14923.96 ± 295.34	-588.55 ± 50.27
20	4.0	-	5	130.25 ± 11.24	171.45 ± 4.66	-39.49 ± 6.16
20	4.0	-	25	13980.00 ± 482.80	9575.75 ± 995.38	4404.25 ± 671.84

20	4.0	-	37	17385.00 ± 1308.48	14978.17 ± 294.69	2316.96 ± 954.25
15	-	0.5	5	14.28 ± 1.62	134.99 ± 9.33	-120.11 ± 10.34
15	-	0.5	25	550.37 ± 32.33	128.62 ± 5.15	421.75 ± 36.57
15	-	0.5	37	1163.67 ± 25.38	68.78 ± 6.14	1094.88 ± 29.49
15	-	1.0	5	8.32 ± 0.48	135.33 ± 9.22	-127.01 ± 9.67
15	-	1.0	25	5931.33 ± 455.62	124.06 ± 4.69	5807.28 ± 458.67
15	-	1.0	37	8708.33 ± 499.34	64.71 ± 6.82	8643.62 ± 492.72
15	-	1.5	5	135.73 ± 9.96	173.83 ± 5.31	-38.09 ± 15.26
15	-	1.5	25	8339.33 ± 1025.06	127.55 ± 4.54	8211.78 ± 1025.00
15	-	1.5	37	5117.33 ± 645.02	85.32 ± 6.77	5032.01 ± 638.90
17.5	-	0.5	5	84.96 ± 128.44	135.99 ± 1.02	-51.03 ± 6.88
17.5	-	0.5	25	8724.33 ± 128.44	111.06 ± 5.04	8613.27 ± 131.90
17.5	-	0.5	37	9723.67 ± 744.12	9426.09 ± 498.49	297.57 ± 449.11
17.5	-	1.0	5	122.97 ± 3.16	136.93 ± 0.18	-13.96 ± 3.01
17.5	-	1.0	25	12396.67 ± 140.48	106.50 ± 5.89	12290.17 ± 144.36
17.5	-	1.0	37	12646.67 ± 473.43	9135.23 ± 60.97	3784.77 ± 60.97
17.5	-	1.5	5	6.99 ± 0.37	175.43 ± 4.29	-168.43 ± 4.48
17.5	-	1.5	25	1402.33 ± 81.28	109.99 ± 6.61	1292.34 ± 86.85
17.5	-	1.5	37	14166.67 ± 3.69	9442.63 ± 498.44	4724.03 ± 441.43
20	-	0.5	5	98.51 ± 3.69	42.74 ± 6.57	55.774 ± 3.89
20	-	0.5	25	15130.00 ± 1263.61	9492.92 ± 496.24	6369.01 ± 807.30
20	-	0.5	37	23360.00 ± 3095.11	14909.76 ± 295.24	6585.14 ± 163.50
20	-	1.0	5	47.49 ± 6.07	43.68 ± 6.49	7.8305 ± 12.27
20	-	1.0	25	17466.00 ± 1590.37	9488.35 ± 496.62	8590.69 ± 837.87
20	-	1.0	37	22663.33 ± 1249.97	14905.69 ± 294.57	7757.64 ± 968.38
20	-	1.5	5	115.27 ± 4.90	82.18 ± 2.89	33.09 ± 2.75
20	-	1.5	25	18266.67 ± 1528.93	9491.85 ± 496.95	7621.88 ± 122.83
20	-	1.5	37	22746.67 ± 741.44	14926.30 ± 293.87	7820.37 ± 961.59

\*Storage modulus (G') determined at 10 Hz.

458  
459

460 For systems containing polox407 and NaCMC, the observed and calculated  $G'$ ,  
461 as well as the interaction parameter values are shown in Table 3. A temperature increase  
462 led to an increase in the observed  $G'$  values, while for calculated  $G'$  the same decrease  
463 was observed as seen for HPMC systems. At 5 °C rheological antagonism was observed  
464 for all systems, and only those with greater polymer concentration showed synergism at  
465 25 °C. However, at 37 °C synergism was observed in most binary systems, except for  
466 the 17.5/0.5, 20/0.5 and 20/1.0 formulations.

467 Both HPMC and NaCMC systems demonstrated antagonism at 5 °C, showing no  
468 interaction between the cellulose derivatives and the thermoresponsive polymer, as also  
469 observed in the accelerated centrifuge stability results performed at this temperature for  
470 binary polymeric systems containing 17.5 or 20% (w/w) polox407 and HPMC (3 and  
471 4%, w/w) or NaCMC (1.0 and 1.5%, w/w) (Fig. S5). Nonetheless, at room and body  
472 temperature, these polymers demonstrated strong interaction. The lowest studied  
473 polymer concentrations have already demonstrated their ability to establish interactions  
474 at 37 °C, where non-covalent interactions may occur between the cellulose derivatives  
475 and polox407 in its micellar state. However, using higher polymer concentrations, the  
476 systems demonstrated better interaction parameters at both temperatures, since the  
477 potential for chain overlap to occur is greater.

478

#### 479 *3.4. Solution-gel transition temperature ( $T_{sol/gel}$ )*

480  $T_{sol/gel}$  is characterized by the temperature at which the formulation ceases to be  
481 liquid and becomes a gel. This parameter is essentially important for systems containing  
482 thermoresponsive polymers, such as polox407. For pharmaceutical and biomedical  
483 applications, polymeric systems with  $T_{sol/gel}$  at around 25-37 °C allow gel formation to  
484 occur when the formulation is warmed from room temperature to body temperature

485 (Pund, Rasve, & Borade, 2013; Yun Chang et al., 2002).  $T_{\text{sol/gel}}$  study allows the  
486 selection of the most appropriate formulations according to the intended use, with  
487 topical sites having slightly lower temperatures than parenteral administration, for  
488 instance.

489 Most formulations containing 15, 17.5 and 20% (w/w) polox407 showed a  
490 significant increase in viscosity ( $\eta'$ ) during temperature sweep, presenting a  $T_{\text{sol/gel}}$ .  
491 When in the liquid state, the systems presented low  $G'$  values, while at higher  
492 temperatures, when they became a gel with greater elasticity. The value of  $G'$  increased  
493 significantly with temperature, except for the formulation composed of 17.5% (w/w)  
494 polox407 and 2% (w/w) HPMC. The  $T_{\text{sol/gel}}$  results obtained by rheology for binary  
495 polymeric systems composed of polox407 and HPMC or NaCMC are shown in Table 4.

496 **Table 4**

497 Sol-gel transition temperature ( $T_{\text{sol/gel}}$ ) of binary polymeric systems containing  
 498 poloxamer 407 (polox407) and hydroxypropyl methylcellulose (HPMC) or sodium  
 499 carboxymethylcellulose (NaCMC)<sup>a</sup>.

Formulations	Polymer % (w/w)			$T_{\text{sol/gel}}$ (°C)
	Polox407	HPMC	NaCMC	
15 / 2	15	2	-	33.760 ± 0.078
15 / 3	15	3	-	32.863 ± 0.081
15 / 4	15	4	-	28.708 ± 0.045
17.5 / 2	17.5	2	-	30.545 ± 0.076
17.5 / 3	17.5	3	-	28.788 ± 0.033
17.5 / 4	17.5	4	-	25.993 ± 0.059
20 / 2	20	2	-	24.120 ± 0.065
20 / 3	20	3	-	22.792 ± 0.098
20 / 4	20	4	-	22.280 ± 0.084
15 / 0.5	15	-	0.5	43.860 ± 0.018
15 / 1.0	15	-	1.0	39.240 ± 0.077
15 / 1.5	15	-	1.5	36.505 ± 0.064
17.5 / 0.5	17.5	-	0.5	36.460 ± 0.066
17.5 / 1.0	17.5	-	1.0	29.647 ± 0.029
17.5 / 1.5	17.5	-	1.5	30.900 ± 0.038
20 / 0.5	20	-	0.5	28.240 ± 0.060
20 / 1.0	20	-	1.0	30.528 ± 0.045
20 / 1.5	20	-	1.5	29.577 ± 0.053

500 <sup>a</sup>Each mean represents the mean ( $\pm$  standard deviation) of at least three replicates.

501 As previously observed, 15 and 20% (w/w) polox407 mono-polymeric systems  
502 have a  $T_{sol/gel}$  of 31.5 and 25.4 °C, respectively (Barbosa et al., 2017). The addition of  
503 HPMC to the systems did not generate major changes in polox407  $T_{sol/gel}$ . However, it  
504 can be observed that the increase of HPMC concentration, as well as the increase of  
505 polox407 concentration, reduced  $T_{sol/gel}$ , since at higher concentrations the polymeric  
506 chains are more likely to overlap as there are a greater quantity in the same dispersion  
507 volume. Critical volume fractions for gelation may be reached at lower temperatures,  
508 and the polymer chains may experience less water solvation overall (Weaver,  
509 Stockmann, Postma, & Thang, 2016). Considering the  $T_{sol/gel}$  values obtained for the  
510 polymeric systems, most of the formulations will undergo gelation when warmed from  
511 room to body temperature. All HPMC systems were considered suitable, but 15/0.5 and  
512 15/1 (%/%) NaCMC systems did not show  $T_{sol/gel}$  below 37 °C. In good agreement,  
513 Barse and collaborators showed that a combination between polox407 and lower  
514 concentrations of HPMC (0.5-1%), also displayed a  $T_{sol/gel}$ , ranging from 25 and 39 °C  
515 (Barse et al., 2016).

516 Gelation occurring below 25 °C makes the formulation difficult to manufacture,  
517 handle and administer as pharmaceutical dosage form, while systems with gelation  
518 temperatures above 37 °C will not exhibit the required increase in viscosity after  
519 administration to the body. Considering the gelation temperature alongside the  
520 rheological interaction parameter, the most suitable HPMC formulations for  
521 pharmaceutical use are 17.5/3; 17.5/4; 20/3 and 20/4, particularly for topical sites such  
522 as the mouth and eyes.

523 The literature provides some suggestions on how polymeric additives may  
524 mechanistically alter the  $T_{sol/gel}$  of polox407. It is suggested that substances which are  
525 able to establish strong non-covalent bonds with polox407 decrease its  $T_{sol/gel}$  since, in

526 this case, polox407 interaction with water is reduced, favoring its dehydration and  
527 micellization (Baloglu et al., 2011; Choi, Lee, Kim, & Kim, 1999; Dumortier et al.,  
528 2006). It is also possible that reductions in  $T_{\text{sol/gel}}$  occur due to preferential interaction of  
529 the additive with water, reducing the overall fraction of water able to hydrate the  
530 poloxamer chains. Some studies suggest that elevation of  $T_{\text{sol/gel}}$  may be a result of  
531 disturbing the micellar packing of polox407, requiring greater temperatures and micelle  
532 volume fraction to be achieved prior to gelation occurring (Kim, Gao, Park, Li, & Han,  
533 2002). It is also possible that critical micelle concentrations of polox407 may be altered  
534 by additives, in turn affecting  $T_{\text{sol/gel}}$ .

535 In general, formulations containing NaCMC or HPMC demonstrated an increase  
536 of polox407  $T_{\text{sol/gel}}$ , which may be attributed to a disruption of the micelle packing  
537 mechanism. However, NaCMC systems displayed higher gelation temperature values  
538 comparing to those containing HPMC. This may be attributed to the greater interaction  
539 of NaCMC with water, providing a large steric bulk to interfere with micelle packing.  
540 The higher hydrophobicity of HPMC, compared with NaCMC, may also provide  
541 reductions in the solvation degree of the polox407 chains in the dispersion, which is  
542 consequently seen as a relatively retarded  $T_{\text{sol/gel}}$  (Weaver et al., 2016).

543 The  $T_{\text{sol/gel}}$  values obtained for NaCMC and polox407 systems were further  
544 reduced as the concentration of those polymers increased. Systems containing 15%  
545 (w/w) polox407 demonstrated high values of  $T_{\text{sol/gel}}$ , inappropriate for topical  
546 application. However, they may still be viable for use in some febrile regions, for  
547 example, where the temperature is higher. Additionally, the  $T_{\text{sol/gel}}$  of 17% (w/w)  
548 polox407 and 1% (w/w) NaCMC polymeric system has already been described in the  
549 literature with  $T_{\text{sol/gel}}$  of approximately 33 °C, slightly above that found by rheology  
550 measurement for formulations containing 17.5% (w/w) polox407 and 1% (w/w)

551 NaCMC, which was 29.6 °C (Pund et al., 2013). Therefore, considering gelation  
552 temperature and others rheological parameters, the most promising systems to be  
553 investigated as topical pharmaceutical systems are 17.5/1; 17.5/1.5; 20/1 and 20/1.5  
554 polox407/NaCMC.

555

### 556 3.5. *Texture profile analysis (TPA)*

557 TPA is a useful tool for the evaluation of mechanical properties in  
558 pharmaceutical systems like semi-solids. It can provide information about the  
559 mechanical structure of hydrogels, predicting interactions between the polymers that  
560 constitute them. Additionally, it allows the evaluation of systems under different  
561 temperature conditions, simulating a physiological environment, for example. The data  
562 obtained by texture analysis in this study allowed for the calculation of five parameters:  
563 hardness, elasticity, adhesiveness, cohesiveness and compressibility, as described in the  
564 material and methods section (De Souza Ferreira, Bassi da Silva, et al., 2015; Jones et  
565 al., 1996).

566 Considering topical semi-solid pharmaceutical systems, properties such as easy  
567 removal from packaging material, adequate spreadability, adhesiveness and viscosity  
568 are important parameters to be investigated in order to support patient adherence to the  
569 treatment. For systems applied on the skin, eye or mouth, it is also necessary to consider  
570 the natural process of the clearance from mucosal membranes in the presence of natural  
571 fluids (such as saliva or tears), and shear forces occurring during speech, chewing and  
572 blinking, for instance. For pharmaceutical dosage forms, low hardness and  
573 compressibility values are expected, in order to ensure ease of application and removal  
574 of the product. Also, low elasticity values, high cohesiveness and high adhesion are  
575 better in terms of formulations performance at the intended location, mainly for those

576 exposed to movements which can make their retention difficult (De Souza Ferreira,  
577 Bassi da Silva, et al., 2015).

578         Texture profile analysis was conducted in order to evaluate the mechanical  
579 properties of binary polymeric systems as possible candidates for topical application,  
580 shown in Table 5 and 6. Both HPMC and NaCMC platforms showed significant  
581 differences for all texture profile parameters evaluated, with changes observed when  
582 varying polox407 concentration, cellulose-derivative concentration, and temperature.  
583 Regarding the polymeric systems containing HPMC (Table 5), significant increases in  
584 hardness, compressibility and adhesiveness parameters were observed with the increase  
585 of polox407 content. Elasticity and cohesiveness, despite having their values reduced  
586 with increasing concentration of polox407, did not show significant change. The  
587 increase of HPMC led to a significant increase in hardness and compressibility.  
588 Adhesiveness showed a significant increase between 4% (w/w) and the lowest tested  
589 HPMC concentration. Between 2 and 3% (w/w) HPMC the increase in adhesiveness  
590 was not significant ( $p>0.05$ ). The elasticity did not change when increasing HPMC  
591 concentration. Although the cohesiveness did not show a huge variation, it had its  
592 values slightly reduced with increasing concentrations of HPMC, being statistically  
593 significant only between 2 and 4% (w/w) of HPMC ( $p<0.05$ ). These polymeric systems  
594 demonstrated significant increase in hardness and compressibility with temperature.  
595 Although the adhesiveness of formulations increased with temperature, there was not a  
596 significant difference between 25 and 37 °C ( $p<0.05$ ). The elasticity of the systems  
597 remained unchanged with temperature variation. The cohesiveness varied being  
598 significant only between temperatures of 5 and 25 °C ( $p<0.05$ ), as well as 5 and 37 °C  
599 ( $p<0.05$ ).

600 **Table 5**

601 Mechanical properties obtained by texture profile analysis (TPA) of binary polymeric systems containing poloxamer 407 (polox407) and  
 602 hydroxypropyl methylcellulose (HPMC)<sup>a</sup>.

Polox407 (% w/w)	HPMC (% w/w)	Temperature (°C)	Hardness (N)		Compressibility (N.mm)		Adhesiveness (N.mm)		Elasticity (mm)		Cohesiveness (dimensionless)	
15	2.0	5	0.052	± 0.001	0.135	± 0.006	0.000	± 0.000	0.987	± 0.037	0.781	± 0.017
15	2.0	25	0.521	± 0.005	2.617	± 0.034	2.711	± 0.044	1.000	± 0.007	0.944	± 0.006
15	2.0	37	0.660	± 0.028	3.367	± 0.118	2.930	± 0.102	0.996	± 0.005	0.949	± 0.017
15	3.0	5	0.058	± 0.002	0.168	± 0.008	0.000	± 0.000	0.997	± 0.037	0.855	± 0.012
15	3.0	25	0.694	± 0.030	3.554	± 0.172	3.130	± 0.150	0.997	± 0.003	0.959	± 0.006
15	3.0	37	0.831	± 0.024	4.121	± 0.077	3.546	± 0.118	0.996	± 0.005	0.949	± 0.007
15	4.0	5	0.067	± 0.003	0.182	± 0.008	0.000	± 0.000	0.992	± 0.007	0.816	± 0.028
15	4.0	25	0.819	± 0.009	4.076	± 0.138	3.810	± 0.109	0.995	± 0.009	0.941	± 0.015
15	4.0	37	0.964	± 0.046	4.811	± 0.177	3.915	± 0.106	1.000	± 0.006	0.933	± 0.008
17.5	2.0	5	0.058	± 0.003	0.148	± 0.007	0.000	± 0.000	1.000	± 0.053	0.978	± 0.038
17.5	2.0	25	0.893	± 0.033	4.644	± 0.197	4.203	± 0.203	0.997	± 0.001	0.917	± 0.027
17.5	2.0	37	0.938	± 0.046	4.757	± 0.195	4.098	± 0.187	1.001	± 0.007	0.957	± 0.007
17.5	3.0	5	0.064	± 0.002	0.199	± 0.009	0.000	± 0.000	1.003	± 0.025	0.794	± 0.039
17.5	3.0	25	0.978	± 0.045	4.705	± 0.498	4.003	± 0.158	0.999	± 0.007	0.906	± 0.024
17.5	3.0	37	1.171	± 0.048	6.072	± 0.289	4.853	± 0.116	0.999	± 0.008	0.889	± 0.012
17.5	4.0	5	0.079	± 0.003	0.293	± 0.014	0.000	± 0.000	0.990	± 0.002	0.834	± 0.039
17.5	4.0	25	1.354	± 0.026	6.673	± 0.203	5.239	± 0.201	0.992	± 0.009	0.858	± 0.013
17.5	4.0	37	1.528	± 0.051	8.109	± 0.373	5.927	± 0.193	0.999	± 0.006	0.843	± 0.034
20	2.0	5	0.063	± 0.003	0.176	± 0.007	0.000	± 0.000	0.971	± 0.038	0.602	± 0.023
20	2.0	25	1.048	± 0.057	5.340	± 0.268	5.749	± 0.240	0.997	± 0.005	1.135	± 0.042
20	2.0	37	1.267	± 0.026	6.340	± 0.155	5.423	± 0.072	0.997	± 0.007	0.948	± 0.014
20	3.0	5	0.072	± 0.003	0.234	± 0.011	0.000	± 0.000	1.010	± 0.010	0.803	± 0.029

20	3.0	25	1.340 ± 0.032	6.538 ± 0.184	5.548 ± 0.182	1.000 ± 0.007	0.926 ± 0.009
20	3.0	37	1.455 ± 0.061	7.730 ± 0.055	6.226 ± 0.139	0.996 ± 0.011	0.909 ± 0.018
20	4.0	5	0.124 ± 0.005	0.368 ± 0.016	0.049 ± 0.002	0.990 ± 0.012	0.755 ± 0.037
20	4.0	25	1.533 ± 0.061	7.413 ± 0.388	6.143 ± 0.246	0.999 ± 0.004	0.907 ± 0.020
20	4.0	37	1.629 ± 2.651	8.415 ± 0.197	6.479 ± 0.209	0.996 ± 0.004	0.882 ± 0.013

603 <sup>a</sup>Each mean represents the mean ( $\pm$  standard deviation) of at least three replicates.

604

605 For platforms containing NaCMC, changes in polox407 content also provided  
606 significant increase in hardness, compressibility and adhesion parameters. However,  
607 elasticity and cohesiveness showed differences only between the lowest and the highest  
608 polox407 concentrations studied. On the other hand, increasing the concentration of  
609 NaCMC cellulose derivative led to a significant increase in hardness and  
610 compressibility parameters. The progressive increase in adhesiveness as a function of  
611 the increase in NaCMC concentration was statistically significant only between systems  
612 containing 0.5 and 1.5% (w/w) NaCMC ( $p < 0.05$ ). Formulations containing 1 and 1.5%  
613 (w/w) NaCMC did not present statistical difference for adhesiveness. The elasticity was  
614 considered to be statistically equivalent between formulations containing different  
615 NaCMC concentrations; however, their cohesiveness was significantly reduced as  
616 concentration increased. A significant increase in hardness, compressibility and  
617 adhesiveness was observed when temperature was increased between 5, 25 and 37 °C.  
618 The elasticity did not change with NaCMC concentration, but cohesiveness was  
619 increased as the polymer concentration increased, and only the lowest concentration  
620 differed statistically from the others.

621 Overall, it was observed that an increase of polymer concentration, either for  
622 polox407, HPMC or NaCMC led to an increase of hardness and compressibility.  
623 Adhesiveness was mainly dependent on the concentration of cellulose derivative used,  
624 obtaining higher values for the highest concentrations of HPMC and NaCMC, with the  
625 greatest adhesive force observed for formulations containing 4% (w/w) HPMC.  
626 Formulations containing 1 or 1.5% (w/w) NaCMC were significantly more adhesive  
627 those containing 0.5% (w/w) NaCMC, however, no significant difference was observed  
628 between the concentrations of 1 and 1.5% (w/w) NaCMC. The elasticity of the systems  
629 did not change significantly with variation of the cellulose derivative or their

630 concentration. Regarding cohesiveness, despite a tendency to decrease values when  
631 increasing polymer concentration, only small changes were observed, with the highest  
632 concentrations giving the greatest loss of cohesiveness.

633

634 **Table 6**

635 Mechanical parameters obtained by texture profile analysis (TPA) of binary polymeric systems containing poloxamer 407 (polox407) and

636 sodium carboxymethylcellulose (NaCMC)<sup>a</sup>.

Polox407 (%, w/w)	NaCMC (%, w/w)	Temperature (°C)	Hardness (N)		Compressibility (N.mm)		Adhesiveness (N.mm)		Elasticity (mm)		Cohesiveness (dimensionless)	
15	0.5	5	0.064	± 0.002	0.188	± 0.007	0.000	± 0.000	1.068	± 0.029	0.848	± 0.017
15	0.5	25	0.062	± 0.002	0.165	± 0.003	0.000	± 0.000	1.082	± 0.045	0.911	± 0.032
15	0.5	37	0.314	± 0.014	1.454	± 0.063	1.307	± 0.054	0.993	± 0.024	0.996	± 0.002
15	1.0	5	0.160	± 0.004	0.666	± 0.033	0.300	± 0.011	1.005	± 0.014	0.759	± 0.011
15	1.0	25	0.138	± 0.002	0.563	± 0.028	0.244	± 0.010	1.005	± 0.025	0.743	± 0.030
15	1.0	37	0.387	± 0.008	1.772	± 0.056	1.161	± 0.031	1.009	± 0.011	0.761	± 0.024
15	1.5	5	0.572	± 0.038	2.097	± 0.091	0.711	± 0.028	1.001	± 0.009	0.771	± 0.056
15	1.5	25	0.494	± 0.010	2.484	± 0.082	0.849	± 0.045	1.008	± 0.009	0.678	± 0.020
15	1.5	37	0.819	± 0.002	3.735	± 0.192	2.202	± 0.070	0.998	± 0.009	0.757	± 0.039
17.5	0.5	5	0.068	± 0.003	0.201	± 0.007	0.000	± 0.000	0.976	± 0.018	0.845	± 0.022
17.5	0.5	25	0.529	± 0.018	2.663	± 0.112	2.458	± 0.109	0.999	± 0.007	0.980	± 0.008
17.5	0.5	37	0.568	± 0.007	2.750	± 0.021	2.383	± 0.017	1.001	± 0.007	0.965	± 0.003
17.5	1.0	5	0.192	± 0.009	0.680	± 0.030	0.199	± 0.009	0.998	± 0.013	0.838	± 0.021
17.5	1.0	25	0.766	± 0.031	2.977	± 0.114	2.517	± 0.077	1.000	± 0.003	0.952	± 0.029
17.5	1.0	37	1.013	± 0.030	4.532	± 0.187	3.665	± 0.189	1.001	± 0.007	0.889	± 0.015
17.5	1.5	5	0.494	± 0.005	2.033	± 0.085	0.700	± 0.028	1.003	± 0.009	0.737	± 0.0377
17.5	1.5	25	1.035	± 0.022	3.822	± 0.111	3.386	± 0.166	0.999	± 0.006	0.922	± 0.019
17.5	1.5	37	1.100	± 0.010	4.956	± 0.091	4.009	± 0.107	1.003	± 0.004	0.899	± 0.039
20	0.5	5	0.072	± 0.002	0.224	± 0.004	0.000	± 0.000	0.988	± 0.047	0.835	± 0.027
20	0.5	25	0.733	± 0.033	3.793	± 0.129	3.939	± 0.162	1.006	± 0.004	0.977	± 0.014

20	0.5	37	0.891 ± 0.019	4.450 ± 0.158	3.852 ± 0.109	1.004 ± 0.004	0.968 ± 0.010
20	1.0	5	0.268 ± 0.007	0.107 ± 0.006	0.033 ± 0.001	1.004 ± 0.003	0.739 ± 0.025
20	1.0	25	1.151 ± 0.015	4.626 ± 0.152	4.082 ± 0.143	1.002 ± 0.006	0.958 ± 0.038
20	1.0	37	1.314 ± 0.056	6.490 ± 0.125	5.639 ± 0.185	1.004 ± 0.004	0.952 ± 0.023
20	1.5	5	0.669 ± 0.033	2.812 ± 0.138	0.917 ± 0.031	1.006 ± 0.006	0.706 ± 0.036
20	1.5	25	1.515 ± 0.083	5.824 ± 0.273	4.107 ± 0.163	1.001 ± 0.002	0.847 ± 0.034
20	1.5	37	1.484 ± 0.050	6.814 ± 0.319	4.180 ± 0.182	1.006 ± 0.007	0.836 ± 0.038

<sup>a</sup>Each mean represents the mean (± standard deviation) of, at least, three replicates.

637  
638

639 *3.6. Softness*

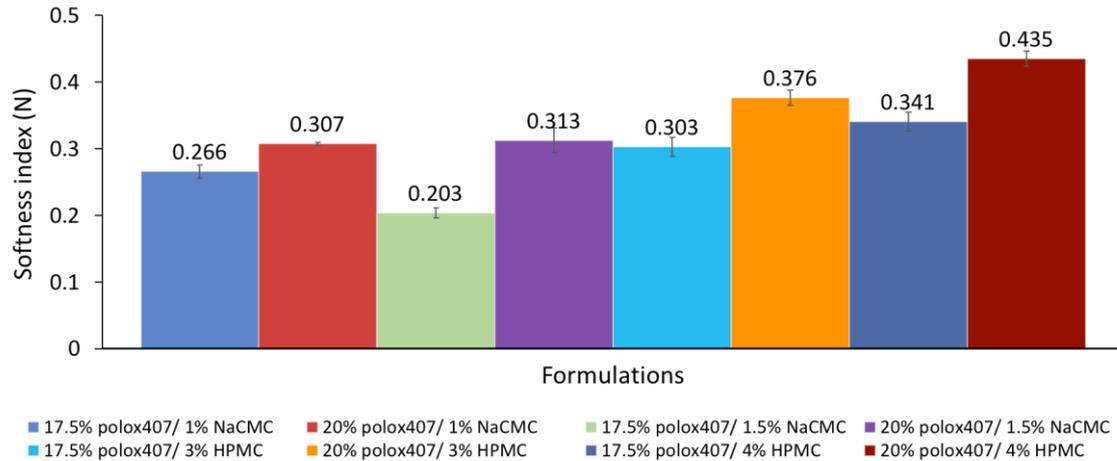
640 Softness determination is a complementary measure to TPA. The use of a  
641 conical probe ensures that samples demonstrate their plastic behavior due to reduced  
642 viscosity during its penetration. Hence, softness analysis enables measurement of  
643 characteristics such as the spreadability (previously observed in glass plates), allowing  
644 for rigidity determination of semi-solid materials, that can be correlated with the  
645 sensorial evaluation and softness index of the formulations (Barbosa et al., 2017).

646 Softness analysis was performed only for formulations previously found to be  
647 suitable as topical pharmaceutical systems by rheology and TPA, with analysis at 37 °C.  
648 The objective was to evaluate the smoothness of these samples under body conditions,  
649 simulating their application appropriately. Softness is a very sensitive property, which  
650 can vary according the humidity and pH, for example. The softness index, determined  
651 as the force required for penetration of conical probe at a depth of 10 mm of the sample,  
652 is shown in Fig. 3.

653 The higher the softness index of the formulations, the harder and less soft they  
654 will be, since a greater strength will be required for the probe to penetrate the sample.  
655 Binary polymeric systems containing NaCMC or HPMC demonstrated similar softness  
656 profiles, suggesting that the polox407 is the major determinant of this property.  
657 Formulations containing HPMC demonstrated higher softness values when compared to  
658 formulations composed of NaCMC, likely due to the greater concentration of polymer  
659 in those systems.

660 Formulations containing 17.5% (w/w) polox407 displayed, in both cases, lower  
661 smoothness when compared to the highest concentration of the same polymer. The  
662 lowest concentration of HPMC, 3% (w/w), demonstrated the lowest softness index for  
663 monopolymeric systems, with similar results to 4% (w/w) HPMC. For binary polymeric

664 systems containing NaCMC, the lowest softness index was found for the highest  
 665 concentration of NaCMC - 1.5% (w/w), followed by 1.0% NaCMC. The most suitable  
 666 systems for topicals with respect to softness index are those composed of 17.5% (w/w)  
 667 polox407, suggesting that polox407 has the major contribution to this parameter.



668  
 669 **Fig. 3.** Softness of binary systems composed of poloxamer 407 (polox407) and  
 670 carboxymethylcellulose (NaCMC) or hydroxypropyl methylcellulose (HPMC)  
 671 determined at 37 ° C. Each value represents the mean ( $\pm$  standard deviation) of at least  
 672 three replicates.

673  
 674 *3.7. Rheological-mechanical properties correlation*

675 The linear regression is frequently used to assess the strength of linear  
 676 relationships between two variables (Schober & Schwarte, 2018). Therefore, it was used  
 677 to evaluate the linear correlation between mechanical and continuous shear properties  
 678 with  $r^2$ -values ranging from 0.0072 to 0.9953 for HPMC systems and from 0.2407 to  
 679 0.9801 for NaCMC formulations. Moreover, softness index was also linearly correlated  
 680 with hardness and consistency index at 37 ° C, and the results are all displayed in Table  
 681 7.

682 **Table 7**

683 Correlation between rheological (consistency index, yield value, flow behavior index) and mechanical properties (hardness, adhesiveness,  
 684 compressibility and softness) of binary systems composed of poloxamer 407 (polox407) and hydroxypropyl methylcellulose (HPMC) or  
 685 carboxymethylcellulose (NaCMC) at temperatures of 5, 25 and 37 ° C.

686

Mechanical or rheological parameter	Temperature (°C)	Polymeric systems	
		polox407/HPMC	polox407/NaCMC
<i>r</i> <sup>2</sup> -value			
Hardness			
Consistence index	5	0.0072	0.255
	25	0.5728	0.7953
	37	0.6936	0.9048
Hardness			
Yield value	5	-	0.9301
	25	0.6527	0.8557
	37	0.0117	0.3911
Adhesiveness			
Flow behavior index (n)	5	0.0489	0.2407
	25	0.7087	0.7128
	37	0.4492	0.7648
Compressibility			
Hardness	5	0.8957	0.9018
	25	0.9951	0.9544
	37	0.9953	0.9801
Softness			
Hardness	37	0.7169	0.5365
Softness			
Consistency index	37	0.9871	0.3131

687 Generally, negative correlations were not observed. Rheological and mechanical  
688 parameters were not strongly correlated for systems composed of polox407 and  
689 cellulose derivatives, with a  $r^2$  frequently lower than 0.90. However, formulations  
690 containing NaCMC demonstrated a better correlation when compared with HPMC,  
691 particularly at high temperatures.

692 The correlation between two mechanical responses (hardness and  
693 compressibility) was the best fitted by linear regression, resulting in  $r^2$ -values near 1,  
694 since they came from the same TPA assay. It may be possible to predict compressibility  
695 from hardness values by regression.

696 Consistency index and hardness are parameters which try to infer how structured  
697 the semi-solid systems were using two different approaches. It was seen that both  
698 systems are better correlated at 25 and 37 °C, where the formulations are in the gel  
699 state. The yield value infers the necessary stress for a sample start flowing, which is  
700 intuitively related to the hardness. Thus, a poor correlation was observed between those  
701 parameters for cellulose derivative formulations at 5 °C, mainly for HPMC samples,  
702 emphasizing the low interaction between polox407 and HPMC at this temperature. The  
703 systems showed a better correlation between those parameters at 25 °C, which  
704 decreased at 37 °C, demonstrating that yield value and hardness are more poorly  
705 correlated above gelation temperature. On the other hand, flow behavior index and  
706 adhesiveness demonstrated a good correlation at 25 and 37 °C. Therefore, adhesiveness  
707 is improved above gelation temperatures, where the micellization process makes them  
708 more structured.

709 Softness, hardness and consistency index are parameters able to evaluate similar  
710 properties, such as the ability of removing the formulation from the packaging material,  
711 application at a specific site and smoothness during administration (Tuğcu-Demiröz,

712 Acartürk, & Erdoğan, 2013). Correlating softness with hardness and consistency index  
713 at 37 °C, HPMC systems showed good correlation values, with better predictability than  
714 NaCMC. Emphasizing the necessity of the softness assay as complementary to TPA, it  
715 was seen that hardness and softness were well correlated, with  $r^2$ -values of  $r^2=0.7169$   
716 for HPMC binary systems and  $r^2=0.5365$  for NaCMC binary systems (Barbosa et al.,  
717 2017). Increasing hardness or consistency index linearly increased the softness  
718 response, but it is not possible to predict softness from hardness or consistency index,  
719 except for HPMC binary systems where softness was well correlated with consistency  
720 index values ( $r^2=0.9871$ ).

721 While good correlations between rheological and mechanical properties were  
722 not demonstrated for most studied parameters, it is important to consider that  
723 rheological responses may be more sensitive and able to detect possible interactions  
724 between the components of the semi-solid systems more effectively, and better able to  
725 investigate the organization of the formulations in a nanoscale (de Francisco et al.,  
726 2019).

727

#### 728 **4. Conclusions**

729 Binary polymeric systems composed of polox407 and HPMC or NaCMC were  
730 developed and characterized by mechanical and rheological analyses. It was possible to  
731 probe the interactions between polox407 and the cellulose derivatives through  
732 measurements of rheological synergisms,  $T_{sol/gel}$ , and changes in consistency, for  
733 example. All properties were valuable in the selection of suitable systems for  
734 biomedical and pharmaceutical applications. However,  $T_{sol/gel}$  and rheological  
735 interaction parameters were especially useful to select the most suitable formulations for  
736 local application. The most appropriate formulations were those with higher polymer

737 content, which then studied to investigate their softness profile. Through this process,  
738 the formulations composed of 17.5/1.5 polox407 and NaCMC, as well as those  
739 composed of 17.5/3 polox407 and HPMC were identified as potential materials for  
740 topical administration of drugs.

741 All mechanical and rheological properties were temperature and polymer  
742 concentration dependent. Most of the systems exhibited plastic behavior, with yield  
743 observed at high temperatures. The formulations also presented rheopectic and  
744 thixotropic properties, being most thixotropic at high polymer concentration and 37 °C.  
745 Oscillatory rheology predicts that they are able to be retained at the application site,  
746 improving drug delivery, since most of them were viscoelastic materials at 37 °C.  
747 Considering  $T_{sol/gel}$ , most formulations were considered appropriate to ensure ease of  
748 application and good retention, forming gels above 22 °C. The rheological interaction  
749 parameter demonstrated that at 5 °C the systems were not synergic, whereas the  
750 interaction between polox407 and HPMC or NaCMC was improved at 25 and 37 °C.  
751 Additionally, the linear correlation among mechanical and rheological properties was  
752 typically poor when compared to the correlation among mechanical properties, such as  
753 hardness and compressibility or softness.

754 Therefore, considering all the findings, it may be suggested that systems  
755 containing higher polymeric concentrations like 17.5/3; 17.5/4; 20/3 and 20/4 for  
756 HPMC and 17.5/1; 17.5/1.5; 20/1 and 20/1.5 for those containing NaCMC had  
757 advantageous properties for thermoresponsive gels. For local or topical administration  
758 in pharmaceutical or biomedical applications, systems containing 17.5 % (w/w)  
759 polox407, with 3% (w/w) HPMC and 1% (w/w) NaCMC were most appropriate.

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767

768 **Appendix A. Supplementary data**

769

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