

1 **Mitigation of Harmonics and Inter-Harmonics with LVRT and HVRT**
 2 **Enhancement in Grid-Connected Wind Energy Systems Using Genetic Algorithm-**
 3 **Optimized PWM and Fuzzy Adaptive PID Control**

4
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11
 12 **Abstract** The growing installed wind capacity over the last decade has led many energy regulators to define specific grid codes
 13 for wind energy generation systems connection to the electricity grid. These requirements impose strict laws regarding the Low
 14 Voltage Ride Through (LVRT) and High Voltage Ride Through (HVRT) capabilities of wind turbines during voltage disturbances.
 15 The main aim of this paper is to propose LVRT and HVRT strategies that allow wind systems to remain connected during severe
 16 grid voltage disturbances.

17 Power quality issues associated with harmonics and inter-harmonics are also discussed and a control scheme for the grid-side
 18 converter is proposed to make the Wind Energy Conversion System (WECS) insensitive to external disturbances and parametric
 19 variations. The Selective Harmonic Elimination Pulse Width Modulation (SHE-PWM) technique based on Genetic Algorithm
 20 optimisation is employed to overcome over-modulation problems, reduce the amplitudes of harmonics and thus reduce the Total
 21 Harmonic Distortion (THD) in the current and voltage waveforms. Furthermore, to compensate for the fluctuations of the wind
 22 speed due to turbulence at the blades of the turbine, a fuzzy PID (Proportional-Integral-Derivative) controller with adaptive gains
 23 is proposed to control the converter on the generator side.

24
 25 **NOMENCLATURE**

| | |
|---------|---|
| LVRT | Low Voltage Ride Through |
| HVRT | High Voltage Ride Through |
| WECS | Wind Energy Conversion System |
| SHE-PWM | Selective Harmonic Elimination Pulse Width Modulation |
| GA | Genetic Algorithm |
| THD | Total Harmonic Distortion |
| PID | Proportional-Integral-Derivative |
| SCIG | Squirrel-Cage Induction Generator |
| PCC | Point of Common Coupling |
| PV | Photovoltaic |
| DFIG | Doubly-Fed Induction Generator |
| P, Q | Active (P) and reactive (Q) powers of the grid |
| V | RMS voltage (V) of the grid |
| FLC | Fuzzy Logic Control |
| SCSG | Super-Conducting Synchronous Generator |
| PMSG | Permanent Magnet Synchronous Generators |
| PSO | Particle Swarm Optimization |

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| DVR | Dynamic Voltage Restorer |
| MOBA | Multi Objective Bees Algorithm |
| SPWM | Sinusoidal Pulse Width Modulation |
| MPPT | Maximum Power Point Tracking |
| IFOC | Indirect Rotor Flux-Oriented Vector Control |
| DC | Direct Current |
| AC | Alternating Current |
| RSC | Rotor Side Converter |
| GSC | Grid Side Converter |
| DSR | Dynamic Serial Resistance |
| PLL | Phase Locked Loop |
| SVPWM | Space Vector Pulse Width Modulation |

Abbreviations

| | |
|--|---|
| β | Pitch angle of the blades [°] |
| C_p | Power coefficient |
| P_m | Mechanical power [W] |
| T_m | Mechanical torque [N.m] |
| λ | Tip speed ratio |
| R | Radius of the turbine [m] |
| Ω_m | Mechanical speed of the turbine [rad/s] |
| v | Wind speed [m/s] |
| ρ | Density area [kg.m ⁻²] |
| i_{gd}, i_{gq} | Active and reactive Park components of the grid [A] |
| V_{GN} | Normalized grid voltage at the PCC [p.u] |
| I_N | Nominal current of the wind system [A] |
| i_m | Grid current [A] |
| v_{dc} | DC link voltage [V] |
| v_{dcref} | DC link reference voltage [V] |
| V_{LL} | Line-to-line voltage of the grid [V] |
| m_i | Modulation index |
| δ | Phase shift between the fundamental voltage the converter and voltage of the grid [rad] |
| i_{gref} | Reference current of the grid [A] |
| Δ | Variation symbol |
| ω_e | Grid angular frequency [rad/s] |
| v_d, v_q | Voltages of the grid Park [V] |
| v_{od}, v_{oq} | Inverter voltage components [V] |
| v_{odref}, v_{oqref} | Inverter reference voltage components [V] |
| t | Is the time [s] |
| R_f, L_f | Leakages resistance [Ω] and inductance [H] of the transformer |
| C | Capacitor of the DC bus [F] |
| a_n, b_n | Coefficients of Fourier series decomposition |
| K | Number of switching angles |
| $\alpha_1, \alpha_2, \dots, \alpha_K$ | Switching angles [°] |
| $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_K$ | Normalised harmonic amplitude to be eliminated |
| $f(\alpha_i)$ | Cost function or the objective function |
| FF_v | Fitness function |
| X | Parameter vector of the objective function |
| ϕ_{rd}, ϕ_{rq} | Rotor fluxes of d-q axis in the transform of Park [Wb] |
| v_{sd}, v_{sq} | Stator voltages of d-q axis in the transform of Park [V] |
| R_s, L_s | Resistance [Ω] and inductance [H] of the stator generator |
| R_r, L_r | Resistance [Ω] and inductance [H] of the rotor generator |
| L_m | Cyclic mutual inductance [H] |
| σ | Coefficient of Blondel |

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|---|---|
| ω_s | Stator speed of the generator [rad/s] |
| ω_{slip} | Slip speed of the generator [rad/s] |
| T_r, T_e | Turbine torque and electromagnetic torque [N.m] |
| p | Number of pole pairs |
| i_{sd}, i_{sq} | Direct and quadratic stator currents of the generator in the Park frame [A] |
| Ω_r | Angular rotor speed of generator [rad/s] |
| k | Discrete time |
| γ | Self-adjusting gain |
| $u(t)$ | Control signal |
| $e(t), de(t)$ | Error and variation of the error |
| Kp, Ki, Kd | Proportional, integrator and derivative gains |
| k_{pmax}, k_{pmin} | Maximum and minimum limits of the proportional gain |
| k_{dmax}, k_{dmin} | Maximum and minimum limits of the derivative gain |
| μ | Integral gain adjustment coefficient |
| k'_p | Normalized value of the proportional action |
| k'_i | Normalized value of the integral action |
| k'_d | Normalized value of the derivative action |
| n | is the order of harmonic |
| i_g | is the amplitude of the grid current in the Park frame [A] |
| i_{gn} | is the nominal amplitude of the grid current in the Park frame [A] |
| K_c | is the controller gain of LVRT and HVRT strategies |
| f_c | is the grid frequency [Hz] |
| $D = \frac{\sqrt{v_{od}^2 + v_{oq}^2}}{v_{dc}}$ | is defined as the ratio between the fundamental of the output voltage and the DC voltage (v_{dc}) |
| I_{dc_gen} | Is the DC current for generator side |
| ϕ_r | Is the oriented rotor flux [Wb] |
| A and B | are arbitrary constants matrices |
| i and j | Are respectively the indices of the counters of the switching angles and of the order of harmonics |
| $v_{abc}ref$ | Three-phase reference voltages of the grid [V] |
| v_{abc} | Three-phase voltages of the grid [V] |
| v_a | Is the voltage of phase A of the grid |
| i_{abc} | Three-phase current of the grid [A] |
| i_{sabc} | Three-phase current of the generator [A] |
| i_{gdref}, i_{sqref} | Grid currents references of d-q axis in the transform of Park [A] |
| v_{d1ref} and v_{q1ref} | Are the output voltages of the regulators [V] |
| $v_{sabc}ref$ | Three-phase reference voltages of the generator [V] |
| v_{sdref} and v_{sqref} | Stator voltages references of d-q axis in the transform of Park [V] |
| S_{gabc} | States switch of the generator side converter |

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Keywords Wind energy, power quality, LVRT, HVRT, selective harmonic elimination PWM, genetic algorithm.

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1 INTRODUCTION

32

The world, today, is facing a rapid growth in energy demand (a 50% increase in global energy demand is expected by 2030) [1],

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rising energy prices (the price of a barrel of oil oscillates around \$50-80), decline in fossil fuel resources (more than 60 oil-

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producing countries have already exceeded their peaks in production). Moreover, strong regulations on greenhouse gas emissions

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are gradually implemented, forcing states to change their energy policy and shift to renewable energy sources. Natural resources

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such as solar, wind, tidal, hydraulic, etc. can generate clean, sustainable and inexhaustible energy and are environmentally

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friendly. Among these, wind energy is currently the fastest growing source of renewable energy in the world [2,3].

38 Variable-speed WECS have been designed with different generator topologies and the Squirrel-Cage Induction Generator (SCIG)
39 is amongst the most widely used machine in wind turbine configurations.

40 The SCIG-based WECS is required to remain insensitive to external disturbances and parametric variations and hence an effective
41 control strategy should be designed to achieve this. Indeed, there is a plethora of control methods which have been proposed in
42 the literature. However, the capabilities of the SCIG-based WECS to mitigate energy quality issues such as harmonic attenuation,
43 reactive power support and voltage swing have not been fully explored. The main contribution of this paper is to investigate these
44 power quality aspects and enhance the controllability of the WECS. These new features are provided by a power converter
45 interfacing the wind generator to the grid. In addition, the reactive power supplied to the grid must be zero to maintain a unity
46 power factor.

47 The major concerns with the connection of renewable energy sources to the power grid at the Point of Common Coupling (PCC)
48 are associated with the LVRT grid code requirement during grid faults such as [4]: (i) rising of the voltage which causes
49 overcurrent and overheating in the generator and aerodynamic forces of short duration. All these problems lead to fatigue and
50 long-term damage of the equipment. (ii) increase in the losses or an alteration in the energy supply schedule which subsequently
51 lead to a deterioration and shutdown of the system.

52 With the increasing penetration of wind energy systems into the grid, many countries have imposed new regulations and technical
53 requirements. One of these technical requirements is the so-called LVRT capability which requires wind turbines to remain
54 connected to the grid and continue to supply power under grid voltage disturbances. Several solutions have been proposed to
55 address these problems [5-12]. In [5], a new power control method is developed to improve the system efficiency during fault
56 conditions in the grid. Filter design and the development of advanced synchronization methods for grid-connected Photovoltaic
57 (PV) systems are discussed in [6]. In [7-8], the authors proposed an LVRT technique based on the ANFIS (Adaptive Network
58 Fuzzy Inference-System) for the protection and control of wind turbines.

59 A control strategy combining sliding mode control and fuzzy logic control for a grid-connected doubly fed induction generator
60 (DFIG) was proposed in [9]. This technique is robust and effective in attenuating grid voltage during faults. The authors in [10]
61 proposed a modified technique for LVRT strategy. This study focused on minimizing overload on the rotor of the DFIG and the
62 voltage variations of the intermediate circuit by modifying the converter control structure of the generator. This approach resulted
63 in a substantial reduction in the electromagnetic torque oscillations during faults. In [11] the authors proposed a DVR (Dynamic
64 Voltage Restorer) based on a cascade multilevel inverter to increase capacity and reduce harmonics in both LVRT and HVRT
65 strategies. The DVR control system is equipped with an optimal fractional $PI^{\lambda}D^{\mu}$ regulator based on the Multi Objective Bees
66 Algorithm (MOBA). The control of the network-side converter can be modified to mitigate the effects of unbalanced voltage. In
67 [12], an analysis of different power control methods of LVRT strategy is presented to minimize the effects of ripples on the
68 network powers and on the DC bus voltage during voltage drops.

69 A high voltage control method (HVRT) with a P-Q coordination for the DFIG (Doubly-Fed Induction Generator) based on a Q-
70 V control has been proposed in [13]. In the proposed strategy, the reactive power limit of the DFIG can be extended during the
71 transient period in coordination with the DFIG rapid active power control, so that the surge voltage caused by DC bipolar block
72 can be effectively suppressed.

73 In addition, power electronic converters are usually controlled by PWM strategies and the most popular and widely used PWM
74 techniques are the triangular-sine and hysteresis PWMs which tend to generate more harmonics. To reduce the THD, the
75 switching frequency is usually increased which results in an increase in losses and heating of the switches. To overcome these

76 problems, this paper proposes to use the emerging SHE-PWM method optimized by genetic algorithm (GA) to reduce the THD
77 level. The programmed PWM generates high quality output spectra, which in turn leads to minimal current ripple, thus meeting
78 several performance criteria and contributing to the improvement of the overall system performance.

79 Because of the nonlinearity of Fourier series equations for the SHE-PWM technique, iterative methods such as Newton-Raphson
80 are employed to solve the system of equations. Alternative numerical methods mainly depend on the initial conditions and
81 divergence problems are likely to occur. GA optimization can be used to solve these equations, without extensive derivation of
82 analytic expressions [14]. SHE-PWM is a very effective method for controlling two-level inverters to improve the quality of their
83 output voltages. It consists in forming the inverter output waveform as a succession of variable width slots. Generally, using a
84 waveform which has a double symmetry with respect to the quarter and to the half period.

85 The mathematical model of the WECS is complex and non-linear with a strong coupling between the input, output and internal
86 variables. In addition, there are several disturbances, which can affect the performance of the wind energy system such as
87 fluctuations in the wind speed due to air turbulence, uncertainties and parametric variations (like the variation of the stator or
88 rotor resistances as well as the transformer).

89 In the last years, there has an extensive work to design high performance control schemes for WECS. In [15], the authors proposed
90 a combination of a pole placement of the polynomial regulator RST and Fuzzy Logic Control (FLC) to regulate the real and
91 reactive powers generated by the DFIG. RST controller parameters are adjusted online via an adaptive strategy. In [16], an
92 adaptive fuzzy control method with GA was used for the scheduling of energy resources in a microgrid model. In [17], an adaptive
93 neural PID controller based on the Delta learning mechanism is proposed for speed control of a SCSG (Super-Conducting
94 Synchronous Generator) based WECS. The results of the simulations showed that the proposed control approach has better
95 robustness and stability as compared to the conventional PID controller. In [18], a new adaptive neural PID hybrid control
96 approach for the Permanent Magnet Synchronous Generators (PMSG) based variable speed system has been proposed. In [19],
97 an optimal gain scheduling controller is applied to a variable speed wind turbine to control the power when the wind speed is
98 greater than the nominal value. However, the Optimal Gain Planning Controller cannot be used to exploit maximum wind power
99 from wind speeds below the rated speed, and it is difficult to measure or obtain wind data. The dynamic accuracy the controller
100 has not been considered. In [20], a dynamic programming approach using hybrid fuzzy-adaptive genetic algorithms was used to
101 perform smart grid energy resource planning. A multi-objective linear programming model was developed in [21]. The
102 optimization methods based on the evolutionary algorithms for calculating the switching angles are proposed in [22]. In [23, 24],
103 the authors proposed a modified Particle Swarm Optimization (PSO) algorithm. The developed SPWM over-modulation
104 approach is suggested in [25] for the elimination of the third harmonics in a single-phase inverter.

105 A high-performance control system generally requires a good transient and steady-state responses and must be insensitive to
106 variations in operating conditions and plant's parameters. Conventional control techniques, such as PI and PID have been widely
107 used in industry [26] but require retuning when the operating point changes. Control techniques based on artificial intelligence
108 methods, on the other hand, have a strong ability to control non-linear systems, handle imprecise parameters, and derive objective
109 decisions by approximate knowledge, even with the change of process operating point [27]. The integration of fuzzy logic with
110 classical adaptive control is also an attractive solution [28].

111 This paper proposes a control scheme for the wind energy conversion system based on an adaptive PID regulator. Furthermore,
112 a PWM technique with harmonic elimination in the over-modulation region using GA optimization technique is proposed to
113 increase the margin of stability and to improve energy quality.

114 In summary, this contribution focuses on two main parts: The first part is dedicated to the design of the SHE-PWM technique to
 115 reduce additional harmonics created by large voltage drops during the LVRT mode and to ensure a large margin of stability by
 116 over-modulation of SHE-PWM technique in HVRT mode. The second part deals with the design of an adaptive fuzzy-PID control
 117 scheme for the generator side. This control approach is very effective against external and internal disturbances on the generator
 118 side. The proposed control scheme combining SHE-PWM and fuzzy-PID control is used to reduce fluctuations in the DC bus
 119 (SHE-PWM is used to attenuate ripples during grid voltage drops and overvoltage and fuzzy-PID is used to reduce fluctuations
 120 caused by sudden variations of the grid voltage magnitude and turbulence effects of the turbine in the generator side.
 121 The remaining of the paper is organized as follows: Section 2 describes the configuration and presents the modeling of the wind
 122 energy conversion system based on the SCIG generator. Section 3 presents the different control strategies of wind turbines to
 123 achieve the LVRT capability with a state of the art on the work done to reduce the problems of grid faults. The proposed new
 124 control scheme is also presented in this section. In Section 4, the grid side control system is presented. Section 4 presents the
 125 derivation and resolution of the objective function for the SHE-PWM technique based on GA optimization.
 126 The maximum power point tracking (MPPT) for extracting optimal power and the indirect rotor flux-oriented vector control
 127 (IFOC) techniques are presented in Section 5. The proposed adaptive PID controller based on fuzzy logic is also derived in
 128 Section 5. Section 6 of the paper presents a series of simulation scenarios to evaluate the proposed control design scheme. Finally,
 129 the conclusions of this work are summarized in Section 7.

130

131 2 STRUCTURE OF THE WIND ENERGY CONVERSION SYSTEM

132 The WECS shown in Fig. 1 consists of a wind turbine with three (3) blades of radius R connected to a variable-speed SCIG and
 133 two converters AC/DC/AC. The first converter is connected on the machine side and is used as a PWM rectifier. The second
 134 converter is connected to the grid-side and regulates the voltage of the DC side capacitor and controls the real and reactive powers
 135 of the system. The DC bus voltage must be regulated to its reference to ensure power flow between the SCIG and the grid.
 136 SCIG generators connected to the grid through an AC/DC/AC converter can tolerate disturbances and rapid voltage dips.

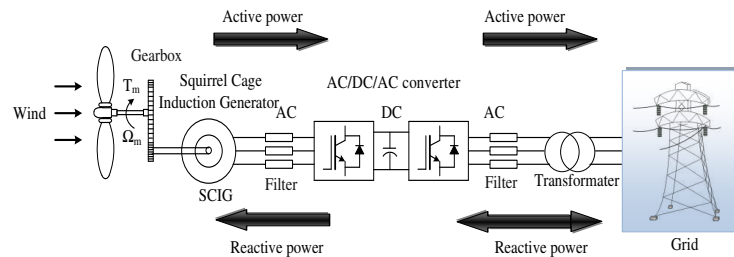


Fig.1 Grid-connected SCIG-based WECS.

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140 The mechanical power of the turbine is given by [29]:

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$$P_m = \frac{1}{2} \pi \rho C_p(\lambda, \beta) R^2 v^3 \quad (1)$$

142 Where β [°] is the pitch angle of the blades, C_p represents the power coefficient and describes the aerodynamic
 143 effectiveness of the wind turbine. C_p is a function of speed ratio λ and the pitch angle β of the blade and is given by the
 144 following equation [30]:

$$145 \quad C_p(\lambda, \beta) = 0.5 \left[\frac{33}{\lambda_i} - 0.2\beta - 0.4 \right] e^{-\frac{12.7}{\lambda_i}} \quad (2)$$

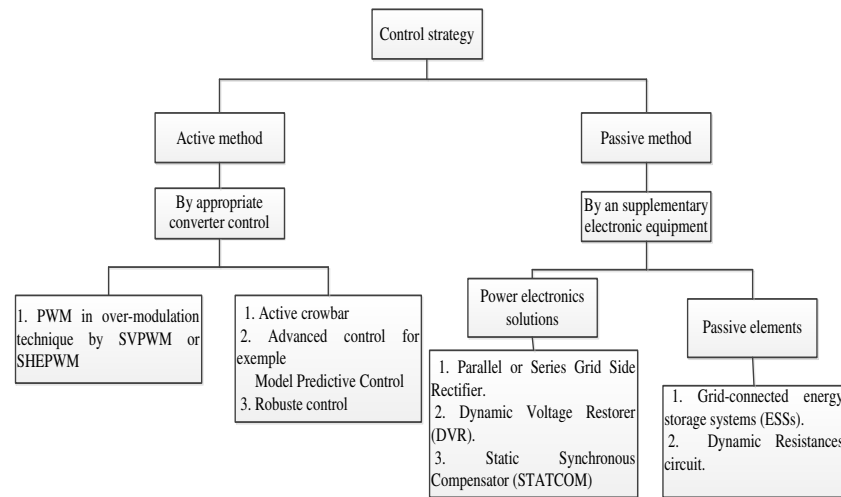
$$146 \quad \frac{1}{\lambda_i} = \frac{1}{\lambda + 0.08\beta} - \frac{0.035}{\beta^3 + 1} \quad (3)$$

$$147 \quad \lambda = \frac{\Omega_m R}{v} \quad (4)$$

148 In equation (4), Ω_m [rad/s] represents the mechanical speed of the turbine.

150 3 CONTROL APPROACHES FOR WIND ENERGY CONVERSION SYSTEMS UNDER FAULT CONDITIONS

151 Reactive energy consumption of the wind energy system generally increases with the actual power output unless reactive support
 152 ancillary equipment is installed. In the absence of appropriate reactive power compensation, there may be significant variations
 153 in the grid voltage, which can cause serious damage to expensive equipment of the transmission grid. To maintain power system
 154 stability, utilities often require that the deployment of additional wind farms should not deteriorate the performance of the system
 155 or violate the stability criteria. The main objective of this work is to propose an LVRT strategy that will allow the wind generation
 156 system to stay connected to the grid and inject power under fault conditions. Fig. 2 summarizes the existing control strategies
 157 for different faults.



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 159

Fig. 2 Control strategies to mitigate grid faults.

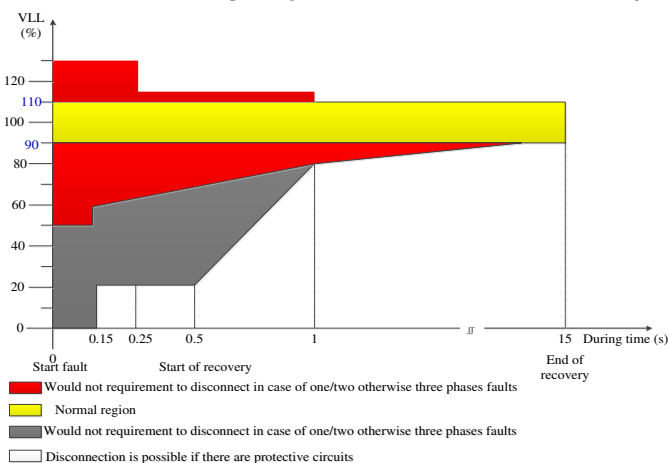
160 Several works in the literature have studied the LVRT capability of the DFIG under voltage dips. When using active crowbar,
 161 during voltage dips, the control of the machine side converter (RSC: Rotor Side Converter) is lost and the generator behaves like
 162 a SCIG machine [31]. The energy storage system in the DC bus is analyzed in [32], however, the cost and size of the capacitor
 163 battery or super capacitor must be considered. In [33], the authors proposed a passive crowbar placed in series with the stator

164 circuit and an active compensator but the control of the (Grid Side Converter) GSC-side converter and the behavior of the DC
 165 bus during the fault are not been discussed. A combination of a crowbar and a Dynamic Series Resistor (DSR) was proposed in
 166 [34]. A control algorithm based on the use of the energy storage as inertial energy in the rotor during voltage dips is discussed in
 167 [35]. An approach based on the demagnetization of the machine to reduce the intensity of the rotor currents is studied in [36]. In
 168 [37], the authors propose a combined LVRT and HVRT strategy to improve the dynamic behavior of an unbalanced grid.
 169 However, all these LVRT control strategies increase the equipment size or complexity of the control circuits. In this paper, a new
 170 control strategy based on over-modulation has been proposed to increase the system stability margin and regulate the DC bus
 171 voltage.

172 Only a few studies on HVRT technology have been carried out so far [38-41]. A combined protection strategy to avoid
 173 disconnection of a wind turbine due to high voltage is proposed in [38]. An efficient HVRT method against high voltages has
 174 been proposed in [39]. This strategy is used to provide reactive power to ensure that the DFIG wind turbine operates normally
 175 in the event of overvoltage, but the chopper must be used on the DC side of the converter [39]. A rotor excitation control strategy
 176 based on variable damping and conditional impedance is proposed in [40] to decrease the electromagnetic torque oscillations
 177 during voltage swell and improves the HVRT capability. The authors in [41] proposed a dynamic HVRT control strategy based
 178 on the reactive power of DFIG wind turbines. Protection strategies are mainly based on physical protection devices. In fact, the
 179 maximum variation of the voltage amplitude is less than 30% of the nominal voltage, so the electromagnetic transient power
 180 caused by the voltage variation cannot trigger the protection device.

181 3.3 Requirements of the low and high voltage ride though and high voltage ride though

182 The concepts of LVRT and HVRT strategies allow wind turbines, during symmetric and asymmetric faults, to remain connected
 183 to the grid and even contribute to the restoration of the grid voltage without loss of controllability of the two AC/DC/AC
 184 converters. Fig. 3 represents the standards of the Spanish grid codes for both LVRT and HVRT strategies [42, 43].



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Fig. 3 LVRT and HVRT requirement for the Spanish grid code [42, 43].

In the yellow and red zones, the production system should remain connected to the grid during single, two or three phases faults.

189 In the grey area, disconnection is not allowed for single and three phase faults. Changes in amplitude of the grid voltage cause
190 either injection of reactive power in the case of HVRT or demand of reactive power in the case LVRT. All control strategies used
191 in the literature have focused on the compensation of reactive currents for voltage support during grid faults. In this work, the
192 grid-side converter is used as a static compensator to produce or consume reactive power to achieve a unity power factor.

193 **a) Requirement of low voltage ride though**

194 The LVRT strategy requires that the wind power generation system should have the capability to connect to the grid when the
195 voltage at the PCC drops after 0.15 sec following a grid fault. The wind system must provide reactive power and the reactive
196 current i_{gq} must meet the following requirements:

$$197 \quad \begin{cases} i_{gq} \geq 1.5(0.9 - V_{GN})I_N & 0.2 \leq V_{GN} \leq 0.9 \\ i_{gq} \geq 1.5 I_N & V_{GN} < 0.2 \\ i_{gq} = 0 & V_{GN} > 0.9 \end{cases} \quad (5)$$

198 Where V_{GN} is the normalized grid voltage at the PCC (in per unit) and I_N is the nominal current of the wind system.

199 In addition, the grid currents in the Park reference frame must satisfy the following condition:

$$200 \quad i_m \geq \sqrt{i_{gd}^2 + i_{gq}^2} \quad (6)$$

201 **b) Requirement of high voltage ride though**

202 In addition to voltage dips, voltage swells can also occur in three-phase systems due to grid faults. Thus, for HVRT codes, wind
203 systems must resist to changes in the voltage amplitude for a short period and at the same time absorb some reactive power to
204 enhance the stability of the system. Fig. 3 shows the HVRT requirements for the Spanish national grid. If the voltage at the PCC
205 reaches 130 % of its normal value, the wind system should remain connected to the grid for 0.25 s. On the other hand, during
206 HVRT operation, the wind system should absorb reactive power in order to attenuate the increase in the voltage at the PCC. The
207 Spanish grid code requires that approximately 0.73 p.u. of reactive current should be absorbed when the voltage increases by
208 130 %.

209 **3.4 Proposed approach for low voltage ride though and high voltage ride though**

210 In this approach, the over-modulation of the PWM technique optimized by GA is used to simultaneously attenuate the amplitudes
211 of harmonics and eliminate the effect of grid voltage imbalances. The principle of this approach is to control the DC bus voltage
212 to achieve a wider range of stability by adjusting the modulation index. Thus, the fault current can be significantly reduced with
213 this control strategy. With reference to Fig. 4, the grid current variation of the LVRT and HVRT strategy is written as $\Delta i_g =$
214 $K_c \cdot \Delta V_{LL}$ where ΔV_{LL} denotes the grid line-to-line voltage and K_c is defined as the proportionality constant between the current
215 and the voltage which is adjusted by the national grid control center and is in the range $0 < K_c < 10$.

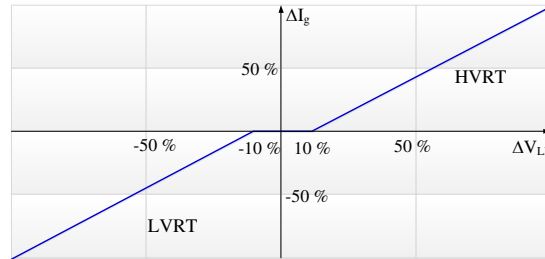


Fig. 4 Reactive current during faults.

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218 The relation between the effective grid voltage and the DC bus voltage is given by $v_{dc} = 2V_{LL}/\sqrt{3}m_i$ where V_{LL} is the line-to-
219 line voltage of the grid. Grid voltage faults are generated in the DC-link. The stabilization of the DC bus voltage is generally
220 achieved via LVRT and HVRT control strategies to ensure smooth operation. However, as stated above, these strategies lead to
221 an increase in the size of the equipment and complexity of its control. In this work, a new control strategy for the DC bus voltage
222 is proposed which provides a wider range of stability without any added equipment.

223 The variation of the grid voltage influences the fundamental amplitude of the output voltage of the grid-side converter. This can
224 increase the amplitude of the modulation index m_i near the non-linear region of the PWM technique. In the proposed strategy, a
225 SHE-PWM technique with a modulation index that can vary between 0 and 2 is employed to ensure a good stability of the DC
226 voltage and consequently continuity of wind power generation during faults is ensured.

227 Grid codes have been introduced to ensure (i) reliability and stability of the electricity grid, (ii) continuous and improved quality
228 of service for consumers connected to the grid, (iii) protection of grid assets, and (iv) security and safety of the personnel.

229 a) Low voltage ride through strategy

230 The aim of the proposed control strategy for the wind system is to satisfy the LVRT requirement while maintaining a unity power
231 factor in the event of a grid faults. The current reference during network voltage drops is given by:

$$232 \quad i_{gref} = i_g - \Delta i_g \quad (7)$$

233 The difference between the current before and after the fault is $\Delta i_g = K_c \cdot \Delta V_{LL}$ where K_c is defined as the proportionality constant
234 between the current and the voltage which is adjusted by the national grid control center and is in the range $0 < K_c < 10$.

235 The network current reference is zero for voltage drops less than -10% of V_{LL} .

236 b) High voltage ride through strategy

237 During grid overvoltage, the grid side converter must absorb reactive power to guarantee a unity power factor. For this, the
238 reference current must be equal to:

$$239 \quad i_{gref} = i_g + \Delta i_g \quad (8)$$

240 The acceptable tolerance designed for the absorbed reactive power is $\Delta i_g = +20\% I_{gn}$. To stabilize the voltage across the power
241 grid during symmetrical and asymmetrical faults, the grid-side converter must absorb the supplementary reactive current.
242 Similarly, the grid current reference is zero for the HVRT technique for voltage drops less than $+10\%$ of V_{LL} .

244 4. GRID-SIDE CONVERTER CONTROL SCHEME

245 Fig. 5 depicts the control scheme of the DC bus voltage and Park currents components on the source side. It consists of a three-
246 phase source and a transformer (represented by inductances of leakage resistances), a voltage source inverter and a DC link. The
247 real power is controlled by the direct current component i_{gd} of the source-side converter and the reactive power is determined by
248 the change of the quadratic current component i_{gq} of the converter.

249 The Park model is given by the following equation:

$$250 \quad \frac{d}{dt} \begin{bmatrix} i_{gd} \\ i_{gq} \end{bmatrix} = \begin{bmatrix} -\frac{R_f}{L_f} & \omega_e \\ -\omega_e & -\frac{R_f}{L_f} \end{bmatrix} \begin{bmatrix} i_{gd} \\ i_{gq} \end{bmatrix} + \frac{1}{L_f} \begin{bmatrix} v_d - v_{od} \\ v_q - v_{oq} \end{bmatrix} \quad (9)$$

251 Where ω_e is the grid angular frequency, v_d and v_q are the Park components of the source voltage, v_{od} and v_{oq} represent the
252 Park components of the inverter voltage, R_f is the leakage resistance of the transformer, L_f is the leakage inductance of the

277 employed to solve this optimization problem. These methods tend to require good initial guesses to achieve convergence and
278 often produce local minima which leads to undesirable results.
279 GA is an effective method for solving nonlinear optimization problems and is based on the mechanism of natural selection, in
280 which the stronger individuals are likely to survive in a competitive environment. Here, GA is applied to the SHE-PWM to
281 remove lower order harmonics.

282 The Fourier coefficients of a periodic signal with symmetry over a quarter of a period and anti-symmetry over half a period are
283 given by:

$$284 \quad \begin{cases} b_n = 0 \\ a_n = \frac{4}{\pi} \int_0^{\frac{\pi}{2}} v_{dc} \sin(n\omega t) \end{cases} \quad (10)$$

285 For the pulse function of a bipolar voltage, the Fourier coefficients are given as follows:

$$286 \quad a_n = \frac{4 v_{dc}}{n \pi} \left(\sum_{i=1}^K (-1)^{i+1} \cos(n \alpha_i) \right) \quad (11)$$

287 Where v_{dc} is the amplitude of the DC link voltage.

288 Equation (11) has K equations and n unknowns. K represents the number of switching angles per quarter of a period. Based on
289 this setting, the fundamental component can be controlled and $(K - 1)$ harmonics can be eliminated.

290 To eliminate $(K - 1)$ harmonics, one must solve the following system of equations [44, 45]:

$$291 \quad f(\alpha_i) = \begin{cases} \varepsilon_1 = \frac{4}{\pi} [\cos(\alpha_1) - \cos(\alpha_2) + \dots \pm \cos(\alpha_K)] - m_i \\ \varepsilon_2 = \cos(3\alpha_1) - \cos(3\alpha_2) + \dots \pm \cos(3\alpha_K) \\ \vdots \\ \varepsilon_{14} = [\cos(n\alpha_1) - \cos(n\alpha_2) + \dots \pm \cos(n\alpha_K)] \end{cases} \quad (12)$$

292 Where m_i is modulation index, the variables ε_1 to ε_{14} are the normalised harmonic amplitude to be eliminated.

293 The objective function of the SHE-PWM technique to minimize the harmonic content in the line voltage of the inverter and is
294 given by equation (13).

$$295 \quad f(\alpha_1, \alpha_2, \dots, \alpha_{14}) = \varepsilon_1^2 + \varepsilon_2^2 + \dots + \varepsilon_{14}^2 \quad (13)$$

296 The optimal values of the switching angles are obtained by minimizing equation (12) by assigning other constraints of equation
297 (13) and this helps to eliminate some orders of harmonics.

$$298 \quad 0 < \alpha_1 < \alpha_2 < \dots < \alpha_K < \frac{\pi}{2} \quad (14)$$

299 The switching angles of the set of nonlinear equations (12) are adjusted to eliminate 13 harmonics (5th, 7th, 11th, 13th, 17th, 19th,
300 23th, 25th, 29th, 31th, 35th, 37th and 41th) with the first angle being used to control the fundamental of the voltage.

301 In GA, a set of solutions $(\alpha_1, \alpha_2, \dots, \alpha_K)$ called population is generated and randomly initialized to guide the algorithm towards
302 the best solution in the search space. During the cooperation phase, the solutions are compared and combined to produce new
303 feasible solutions with the best features.

304 The cost function $f(\alpha_i)$ is the most important component in the GA and evaluates the fitness of each chromosome. The purpose
305 of this study is to minimize the selected harmonics; therefore, the fitness function must be added to the THD [46].

$$306 \quad FF_V = \frac{\sqrt{\sum_{j=1,5,7,\dots}^n \left(\frac{1}{n} \sum_{i=1}^{14} \cos(n\alpha_i) \right)^2}}{\sum_{i=1}^{14} \cos(\alpha_i)} \quad (15)$$

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307

Table 1. GA steps to find the switching angles

The types of algorithm for GA implementation to find the switching angles for various modulation indices are:

- i. Start of algorithm
 - ii. To start the algorithm, look for the number of variables for the specific problem, in our case, the number of variables corresponds to the fourteen switching angles.
 - iii. The definition of the size of the population with initialization. The population size used was 100 chromosomes. It is assumed that the waveform of the output voltage is symmetrical to a quarter of the frequency, so start the population randomly between the angles 0 and 90°.
- The optimal solutions are obtained through several iterations of the objective and fitness functions (equations 12 and 13). Here, the number of iterations is 100 to find the optimal solutions.
- iv) The next generation is to determine by fitness values after a first iteration by crossing and mutation, and then a new population is formed.
 - v) The same evolution is repeated until the solution that satisfies the constraint of equations (14) is reached. Therefore, the inequality constraint equation (16).

308

309

Therefore, the inequality constraint of the current SHE problem is expressed as follows.

310

$$\begin{matrix}
 \begin{pmatrix}
 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1
 \end{pmatrix}
 \begin{pmatrix}
 \alpha_1 \\
 \alpha_2 \\
 \alpha_3 \\
 \alpha_4 \\
 \alpha_5 \\
 \alpha_6 \\
 \alpha_7 \\
 \alpha_8 \\
 \alpha_9 \\
 \alpha_{10} \\
 \alpha_{11} \\
 \alpha_{12} \\
 \alpha_{13} \\
 \alpha_{14}
 \end{pmatrix}
 \leq
 \begin{pmatrix}
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0 \\
 0
 \end{pmatrix}
 \end{matrix} \tag{16}$$

311

Where X is the parameter vector of the objective function, while A and B are arbitrary constants matrices.

312

Fig. 6 shows the flowchart of the SHE-PWM switching control signal optimization method based on GA. Using the MATLAB

313

Optimization Toolbox, it is possible to set the constraints on the lower and upper limits for the 14 switching angles, namely 0 and

314

$\pi/2$, respectively [47] and solve the 14 nonlinear equations (12). This process is repeated for the different modulation indices

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ranging from 0.1 to 2.

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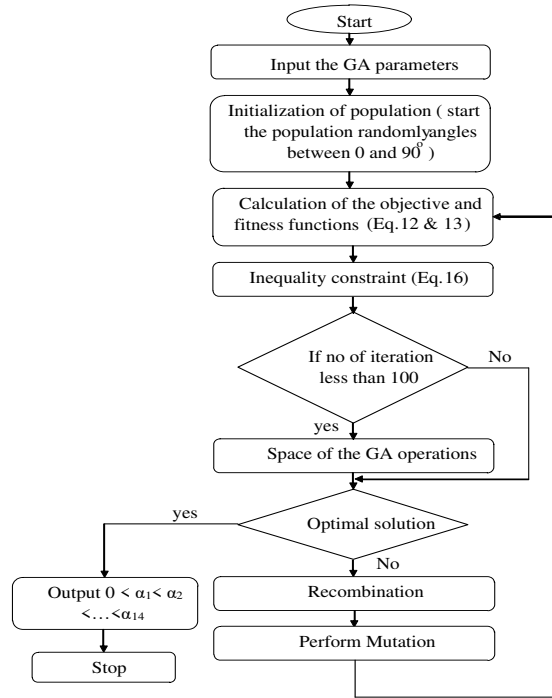


Fig. 6 Flowchart of GA based optimization for the SHE-PWM strategy.

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The population size used is 100 chromosomes. It is assumed that the waveform of the output voltage is symmetrical to a quarter of the frequency, so the population is started randomly between the angles 0 and 90°. The optimal solutions are obtained after 100 iterations of the objective and fitness functions. Fig. 7 shows the trajectory of the commutation angles of the SHE-PWM technique, which are calculated using GA method for modulation indices from 0.1 to 2.

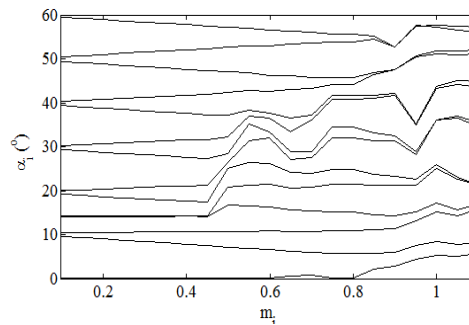


Fig. 7 Trajectory of the optimized switching angles.

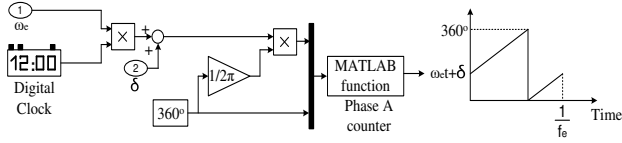
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These switching angles obtained for a modulation index m_i varied from 0 to 2 are stored in a look-up-table. To generate the

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327 PWM signal, a counter was designed to generate a sawtooth signal as shown in Fig. 8.

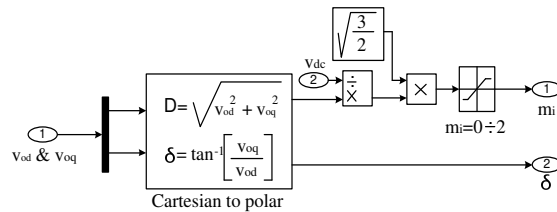


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Fig. 8 Switching strategy of SHE-PWM technique.

330 Fig. 9 represents the block diagram for the calculation of the control laws. These control laws are obtained from the control
331 blocks of the grid-side converter.



332

333

Fig. 9 Calculation of the control signals.

334 The modulation index m_i obtained by using Fig. 9, is employed to determine the switching angles that are stored in a look-up-
335 table. The generation of PWM control signals is based on the comparison between the optimal switching angles and the sawtooth
336 signal as shown in Fig. 8. This comparison gives the logic state of the grid-side converter switches. This is illustrated for one
337 phase (phase A), the other phases are offset by $\pm 120^\circ$.

338

339 5 CONTROL OF THE GENERATOR-SIDE CONVERTER

340 5.1 Vector control strategy

341 In general, to find the optimal operating point, the MPPT requires the knowledge of the aerodynamic characteristics of the
342 turbine. However, several MPPT methods determine the operating point without using these characteristics. In this study, the
343 MPPT method employed belongs to the first category.

344 We introduce a d-q reference frame with an orientation of the rotor flux (d-axis aligned with the direction of the rotor
345 flux). If the frame is perfectly oriented, then:

$$346 \phi_{rd} = \phi_r, \phi_{rq} = 0 \quad (17)$$

347 Using Indirect Field-Oriented Control (IFOC) theory and choosing the flux component ϕ_r to be oriented along the d-axis and
348 considering the equations of the fluxes and voltages, the voltages v_{sd} and v_{sq} are obtained as follows:

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368
$$T_e = \int \gamma u(t) dt \tag{24}$$

369 Where

370
$$u(t) = K_p e(t) + K_i \int e(t) dt + K_d \frac{de(t)}{dt} \tag{25}$$

371 K_p, K_i and K_d are respectively the proportional, integrator and derivative gains of the PID controller. The structure of the adaptive
372 fuzzy PID regulator is depicted in Fig. 11.

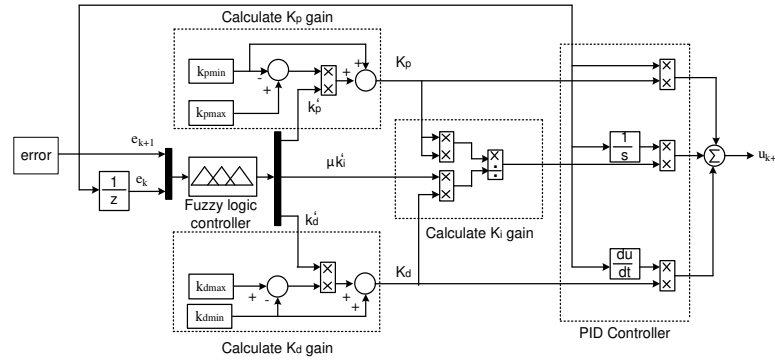


Fig. 11. Structure of the PID regulator with adaptive gains.

375 The inputs of the fuzzy controller FLC are: the error (e) and the derivative of the error (de), the outputs are; the normalized
376 values of the proportional action k'_p , integral action k'_i and derivative action k'_d . the new PID controller parameters are then
377 calculated as follows [48]:

378
$$K_p = (k_{pmax} - k_{pmin})k'_p + k_{pmin} \tag{26}$$

379
$$K_i = K_p^2 / (\mu k'_i K_d) \tag{27}$$

380
$$K_d = (k_{dmax} - k_{dmin})k'_d + k_{dmin} \tag{28}$$

381 The inputs of the fuzzy controller FLC are: the error e and the derivative of the error, the outputs are; the normalized values of
382 the proportional action k'_p , integral action k'_i and derivative action k'_d . The fuzzy sets of the input variables are defined as
383 follows: NB (Negative Big), NM (Negative Medium), NS (Negative Small), Z (Zero), PS (Positive Small), PM (Positive
384 Medium), PB (Positive Big).

385 The fuzzy sets output variables are defined as follows: L (Large), S (Small).

386 Memberships functions for inputs and e are defined in the interval $[-1, 1]$ (Fig.12) and the membership functions for the outputs
387 defined in the interval $[0,1]$ (Fig. 13).

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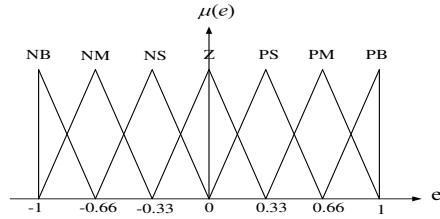


Fig. 12: Membership function for e and de .

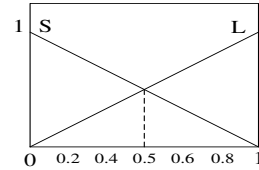


Fig. 13: Membership function of k'_p, k'_i and k'_d .

388 The rule bases for calculating k'_p, k'_i and k'_d are shown in Tables 2 and 3.
 389

Table 2: Rule base for k'_p

| $de \backslash e$ | NB | NM | NS | Z | PS | PM | PB |
|-------------------|----|----|----|---|----|----|----|
| NB | L | L | L | L | L | L | L |
| NM | S | L | L | L | L | L | L |
| NS | S | S | L | L | L | S | S |
| Z | S | S | S | L | S | S | S |
| PS | S | S | L | L | L | S | S |
| PM | S | L | L | L | L | L | S |
| PB | L | L | L | L | L | L | S |

Table 3: Rule bases for k'_i and k'_d .

| $de \backslash e$ | NB | NM | NS | Z | PS | PM | PB |
|-------------------|----|----|----|---|----|----|----|
| NB | L | L | L | L | L | L | L |
| NM | L | L | S | S | S | L | L |
| NS | L | L | L | S | L | L | L |
| Z | L | L | L | S | L | L | L |
| PS | L | L | L | S | L | L | L |
| PM | L | L | S | S | S | L | L |

390

391 6 SIMULATION RESULTS

392 The aim of this simulation study is to assess the performance of the proposed SHE-PWM control in improving the overall stability
 393 of the WECS. The analysis of the results will focus on: (i) the impact of SHE-PWM control technique on the stability of a WECS
 394 in the presence of symmetrical faults, (ii) the influence of the SHE-PWM technique on the energy quality of the system, (iii) use
 395 of the gain scheduling controller to further improve the dynamic behavior of the generator. The proposed control schemes will
 396 be tested under variable wind speed and three-phase symmetrical faults conditions considering different scenarios.

397 A. Variable wind speed

398 The variable wind speed waveform of Fig. 14 is used in this simulation scenario. It can be observed that the wind speed fluctuates
 399 between 5 and 12.4 m/s. Fig. 15 shows the responses of the angular velocity of the rotor shaft and the electromagnetic torque
 400 developed by the generator. Changes in the speed and the electromagnetic torque of the generator are adapted to the variation of
 401 the wind speed of Fig.14.

402 An increase in the wind speed produces an acceleration of the rotor speed. Fig. 17 shows the responses of the angular velocity.
 403 Conversely, reducing the wind speed leads to a decrease in the generator rotor speed as shown in Fig.18.

404 With this change, the flux in the generator stator is reduced which leads to a decrease in the electromagnetic torque. These results
 405 show that a better control of the transient and steady-state responses of the closed-loop system is achieved with the adaptive
 406 fuzzy PID controller.

407 Fig. 16 shows the responses of the real and reactive powers of the system. The powers are directly measured from the electrical
 408 quantities. Note that the real power of the grid is negative, and the reactive power is maintained at zero throughout the duration
 409 of the simulation to achieve a unity power factor, in other words real power is transferred from the SCIG generators to the grid.

410 The power variations between -149.2 kW to -10 kW are due to the wind profile shown in Fig. 11. The negative sign of the angle
 411 indicates that real power is transferred from the generator to the distribution grid. Fig. 17 shows the DC link voltage and the real
 412 and reactive currents in the Park reference frame of the grid. The aim of these controls is to achieve a perfect tracking of the DC
 413 bus voltage and the grid currents. The DC bus voltage is controlled around its reference value of 800 V despite fluctuating wind
 414 speeds. The real component of the current supplied to the grid follows the variation of the wind speed and the imaginary
 415 component is always maintained at its reference value (zero reference).

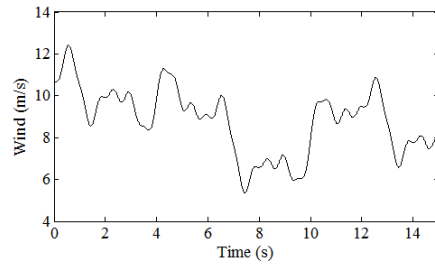


Fig. 14 Wind speed profile.

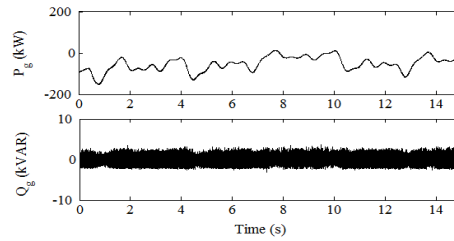


Fig. 16 Responses of the real and reactive powers of the grid.

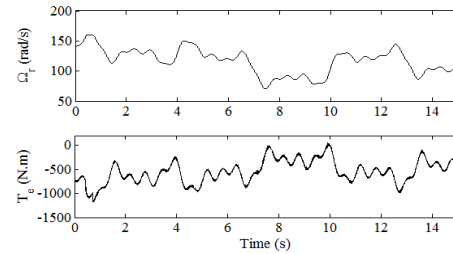


Fig. 15 Responses of the angular velocity and the electromagnetic torque of the generator.

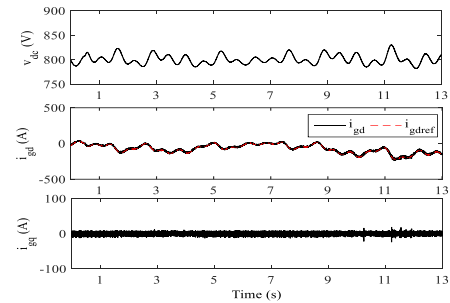


Fig. 17 Waveforms of the DC link voltage and grid currents

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B. Symmetrical three-phase fault

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B.1 Scenario 1

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To assess the influence of voltage dips on the system, a three-phase voltage dip of -20% and +10% with a duration of 1s is
 420 applied to the SCIG. Since the duration of the fault is short as compared to wind speed fluctuations, the wind speed was assumed
 421 to remain constant during the grid fault and has been set at 11 m/s. Immediately after the fault of +10% applied at $t = 1 \text{ sec}$, the
 422 voltage increases by 10% as shown in Fig. 18. A transient of the DC bus voltage can be observed.
 423

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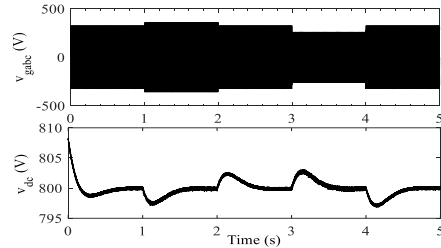


Fig. 18 Waveforms of the grid voltage and DC link voltage

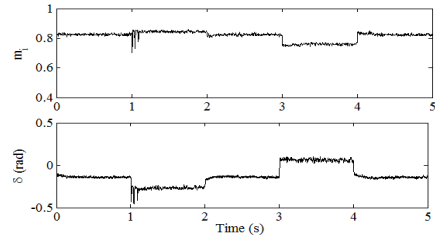


Fig. 19 Control signals of the SHE-PWM.

during the voltage dip.

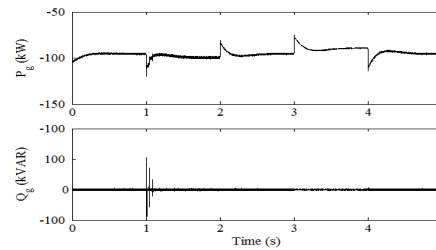


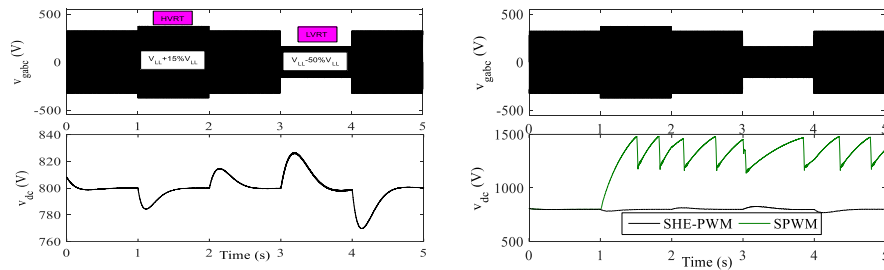
Fig. 20 Responses of the real and reactive powers of the grid.

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The modulation index m_i amplitude and phase shift δ are shown in Fig. 19. During the increase of the amplitude of the three-phase voltages of the grid by +10% of its nominal value, a small variation was noticed on the response of the modulation index m_i to maintain the DC voltage constant. In this case, a noticeable change in the phase shift δ with a negative sign can be observed which means that the converter consumes reactive power to ensure zero reactive power in the grid. When the amplitude of the voltage decreased by 20%, m_i is decreased to ensure that the DC voltage is kept at its reference value. In this case the grid requires reactive power that can be generated by the grid-side converter (a positive sign of the phase shift) to maintain a unity power factor. The real and reactive power of the grid during the three-phase symmetrical fault are illustrated in Fig. 20. Note that the reactive power follows its reference of 0 Var throughout the duration of the fault.

B.2 Scenario 2

In this scenario, we performed a comparison between the standard SPWM and SHE-PWM technique optimized by GA under symmetrical three-phase fault simulated as - 50% and +15% voltage amplitude variation. This allows the assessment of the stability range of the two control strategies and test the system around the non-linear region (i.e. with $m_i \approx 1$).



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438
 439 a) SHE-PWM b) SPWM
 440 Fig. 21 Waveforms of the three-phase grid voltage and DC bus voltage.
 441 A symmetrical fault is now applied which is simulated as a decrease (-15%) and an increase (+15%) in the amplitude of the grid
 442 voltage as shown in Fig. 21. After the transient, it can be observed that the DC bus voltage follows perfectly the reference in the
 443 case of the proposed SHE-PWM technique. On the other hand, oscillations around 1200 and 1500 V (thus ripples of 300 V) were
 444 noticed in the case of the standard SPWM control strategy. In addition, the DC link voltage does not follow the assigned reference
 445 of 800 V despite the elimination of the fault at times $t = 2 \text{ sec}$ and $t = 4 \text{ sec}$.
 446 Fig. 22 shows the responses of the rotor speed and the electromagnetic torque of the SCIG generator. A good speed regulation
 447 is achieved with the proposed SHE-PWM technique. However, the ripples in the DC voltage caused the response of the speed
 448 and torque to quickly deteriorate in the case of the standard SPWM strategy. In this case, the stability range of the proposed
 449 technique is wider as compared to that of the classical SPWM due to the ability of SHE-PWM to operate in the nonlinear region
 450 (or over-modulation).

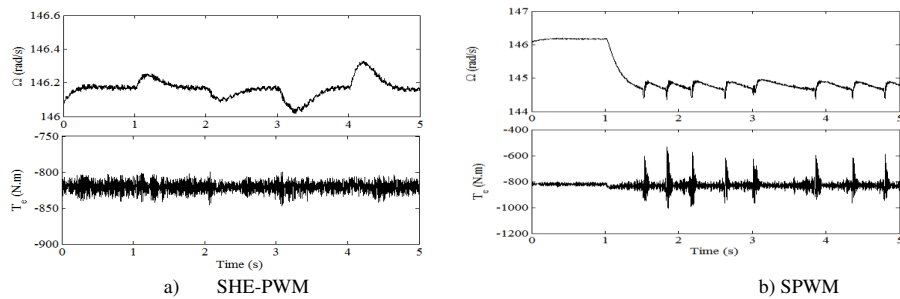
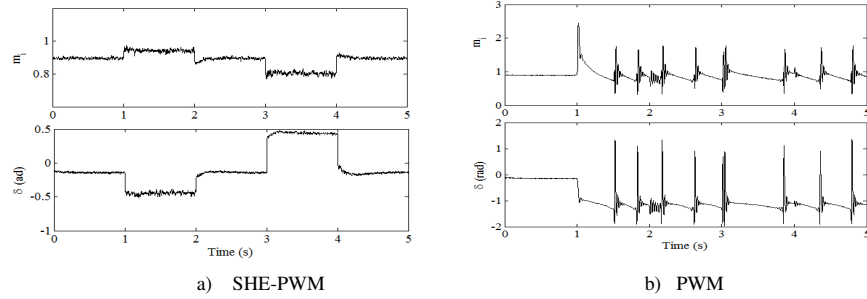


Fig. 22 Waveforms of the angular velocity and the electromagnetic torque of the generator.

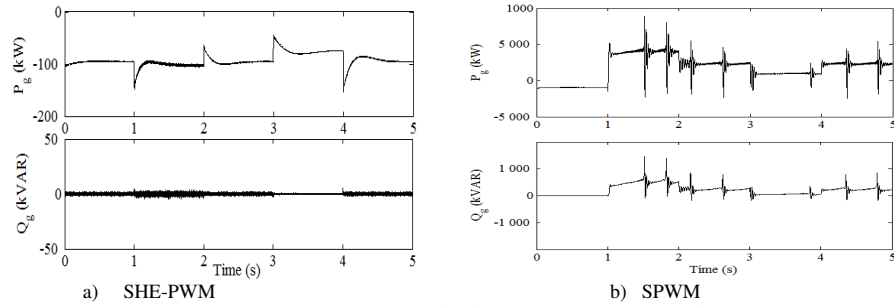
449 The control signals of the input of the two PWM strategies are illustrated in Fig. 23 (a) and (b). Good stability was obtained with
 450 SHE-PWM whereas in the case of SPWM, operation around the nonlinear zone may cause instability. Fig. 24 shows the real and
 451 reactive powers of the grid with SHE-PWM and SPWM. In the case of the SHE-PWM technique (Fig. 24 (a)), the real power
 452 injected into the grid is almost constant around -100 kW which means that there is a continuous supply of real power to the grid.
 453 The reactive power, under all operating condition (without and with fault) follows its reference of 0 Var. In the case of the
 454 classical SPWM technique (Fig. 24 (b)), it can be observed that at the onset of the fault the power becomes positive with large
 455 ripples which results in a poor energy quality and on the other hand, the grid is now delivering real power to the converter on the
 456 DC side. In the case of SPWM, over-modulation and large fluctuations are noticeable in Fig. 23 (b). The instability of the control
 457 not only affects the real power, but it also influences the reactive power as the converter on the DC side consumes a large amount
 458 of reactive power which causes a reduction in the power factor.
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B.3 Scenario 3

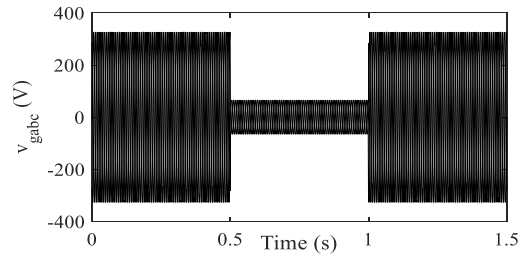
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The aim of this simulation scenario to assess the performance of the proposed control scheme under a severe voltage sag. The voltage was decreased by 80% of the RMS value of the grid voltage. It should be emphasized that this is the maximum fault that can be tolerated under the LVRT grid code requirement of Spain. The voltage sag occurs at time 0.5 s and lasts for 0.5 s. Fig. 25 shows the network phase-voltage waveform under the simulated fault condition.

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Fig. 26 shows the responses of the DC voltage and grid currents in Park reference frame. At the onset of the fault on the grid voltage (voltage sag of 80% of the RMS voltage), the active current of the grid increases in order to ensure cancellation of the reactive current and maintain a unity power factor. The DC voltage follows its reference of 800 V with a small overshoot during

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471 the transient regime. The control inputs (the amplitude modulation index m_i and the phase shift δ between the fundamentals of
 472 the inverter and grid voltages) are shown in Fig. 27. At the occurrence of the fault, the modulation index m_i is reduced in order
 473 to maintain the desired level of DC link voltage. The power flow between the wind energy system and the grid is achieved by
 474 adjusting the phase shift δ . Fig. 28 shows the active and reactive powers of the grid side. These results confirm the robustness of
 475 the proposed strategy against severe grid voltage drops while ensuring a good energy quality thanks to the SHE-PWM technique
 476 based on the genetic algorithm.

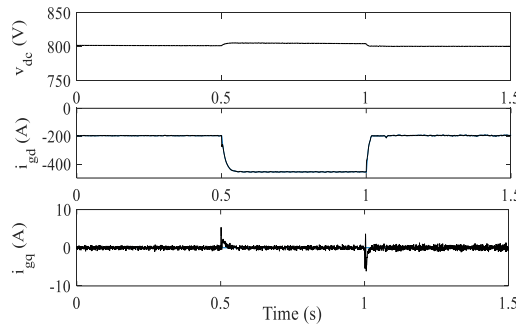


Fig. 26 DC bus voltage and grid currents in Park reference

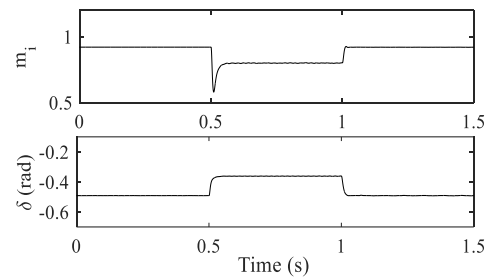


Fig. 27 Control signals.

frame

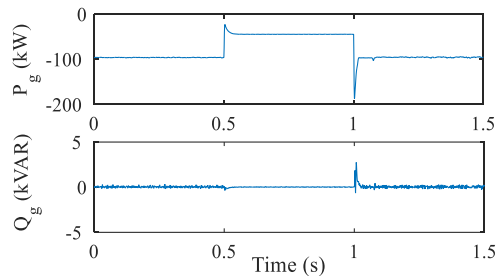


Fig. 28 Active and reactive powers of the grid.

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479 7. CONCLUSION

480 In this paper a new LVRT and HVRT control method with SHE-PWM optimized by genetic algorithm and an adaptive fuzzy
 481 PID controller have been proposed. The behavior of the wind energy conversion system has been investigated under symmetric
 482 voltage dips and tested against new LVRT and HVRT grid codes which require wind turbines to remain connected while
 483 participating in the restoration of the voltage. The LVRT and HVRT control methods proposed in this paper are based on the use
 484 of an SHE-PWM pulse width modulation technique optimized by genetic algorithms to increase the stability range of the DC
 485 bus voltage.

486 The optimized SHE-PWM switching method is proposed for a three-phase, six-pulse inverter circuit topology for a wind energy
 487 conversion system. The genetic algorithm-optimized SHE-PWM technique has been used to enhance the quality of the output
 488 voltage of the converter. The optimization of the function often requires solving a complex and nonlinear system of equations.

489 Therefore, calculating online switching angles is almost impossible. In addition, the final solution depends strongly on the initial
490 point. This step could even lead to a non-optimal solution in some cases. For these reasons, we used the MATLAB™ Toolbox to
491 calculate these switching angles for different amplitude modulation indexes and to eliminate 13 harmonics (5th, 7, 11th, 13th, 17
492 th, 19th, 23th, 25th, 29th, 31th, 35th, 37th and 41th) with the control of the fundamental of the output voltage. And then these
493 solutions are stored in look-up table and used in the wind energy conversion model.

494 In addition, an adaptive fuzzy PID controller is proposed to minimize the effect of air turbulence on the wind turbine and to
495 provide good tracking during fluctuating wind speeds.

496 The simulation results confirmed the effectiveness of the fuzzy adaptive PID control and the superiority of the SHE-PWM
497 strategy over conventional SPWM over the stability margin in the case of symmetrical grid voltage faults.

498

499 **Data Availability Statement:**

500 Data sharing is not applicable to this article as no new data were created or analyzed in this study.

501

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604 **APPENDIX**

605 **Table 3: Parameters of the Simulation Models**

| | |
|--|-------------|
| Grid | |
| Effective voltage, V_{LL} [V] | 400 |
| Frequency, f_e [Hz] | 50 |
| Transformer | |
| Leakage resistance, R_f [Ω] | 0.2 |
| The leakage inductance, L_f [mH] | 2 |
| Turbine | |
| Density area, ρ [kg.m ⁻²] | 1.225 |
| Nominal mechanical power, $P_{m,n}$ [kW] | 149.2 |
| Radius of the turbine, R [m] | 10.5 |
| Nominal wind speed, v_n [m.s ⁻¹] | 12 |
| Gain of the multiplier, G | 17.1806 |
| SCIG | |
| Nominal power, P [kW] | 149.2 |
| Nominal frequency, $f_{g,n}$ [Hz] | 50 |
| Stator resistance, R_s [m Ω] | 14.85 |
| Stator leakage inductance, L_{ls} [mH] | 0.3027 |
| Rotor resistance, R_r [m Ω] | 9.295 |
| Rotor leakage inductance, L_{lr} [mH] | 0.3027 |
| Cyclic mutual inductance, L_m [mH] | 10.46 |
| Inertia, J , [kg.m ⁻²] | 3.1 |
| Viscous friction coefficient, f [N.m.s.rad ⁻¹] | 0.08 |
| Number of pole pairs, p | 2 |
| DC-Side Controller | |
| Proportional gain of DC voltage controller, K_{pdc} | 2 |
| Integral gain of DC voltage controller, K_{idc} | 100 |
| Source-Side Controller | |
| Proportional gain of current controller, K_{pc} | 6 |
| Integral gain of current controller, K_{ic} | 4500 |
| PID regulator with adaptive gains | |
| $[k_{pmax}, k_{pmin}]$ | [1.64 3.07] |
| $[k_{dmax}, k_{dmin}]$ | [1.11 2.1] |

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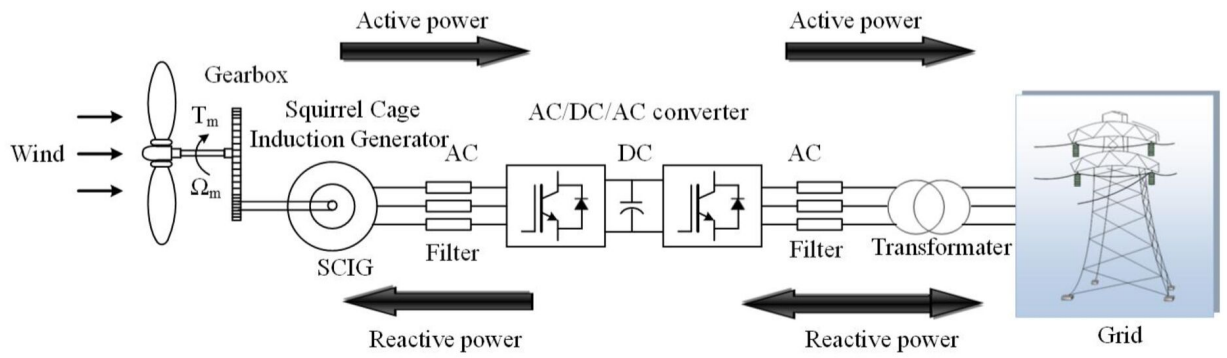


Fig.1 Grid-connected SCIG-based WECS.

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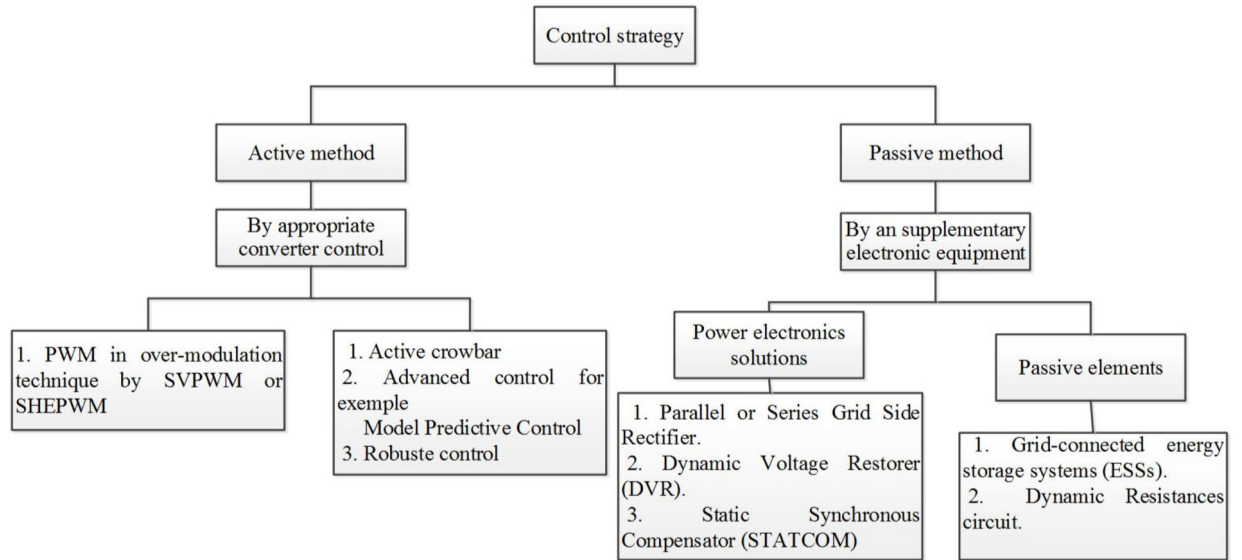


Fig. 2 Control strategies to mitigate grid faults.

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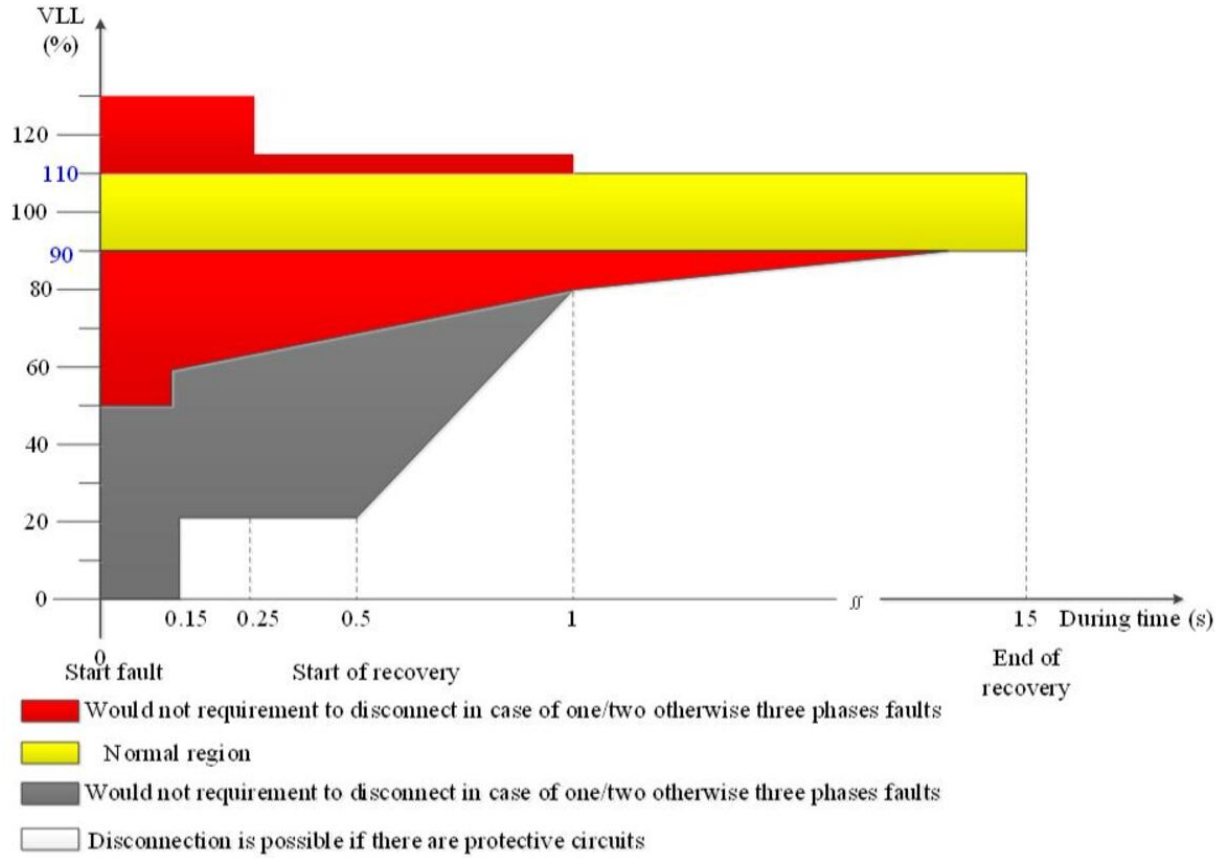


Fig. 3 LVRT and HVRT requirement for the Spanish grid code [36,37].

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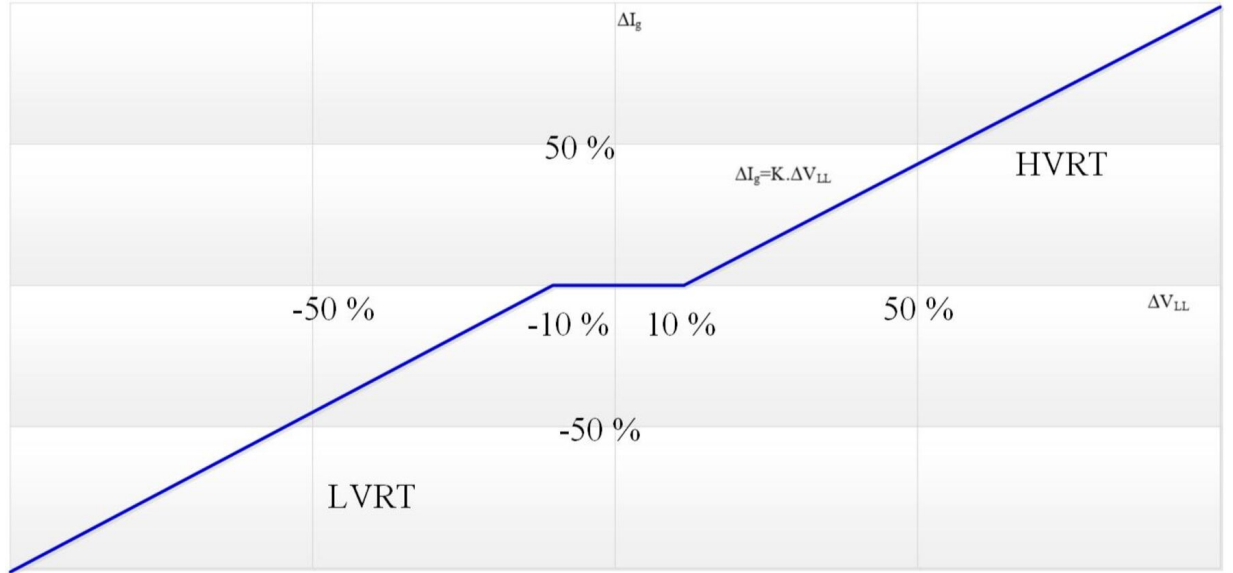


Fig. 4 Reactive current during faults.

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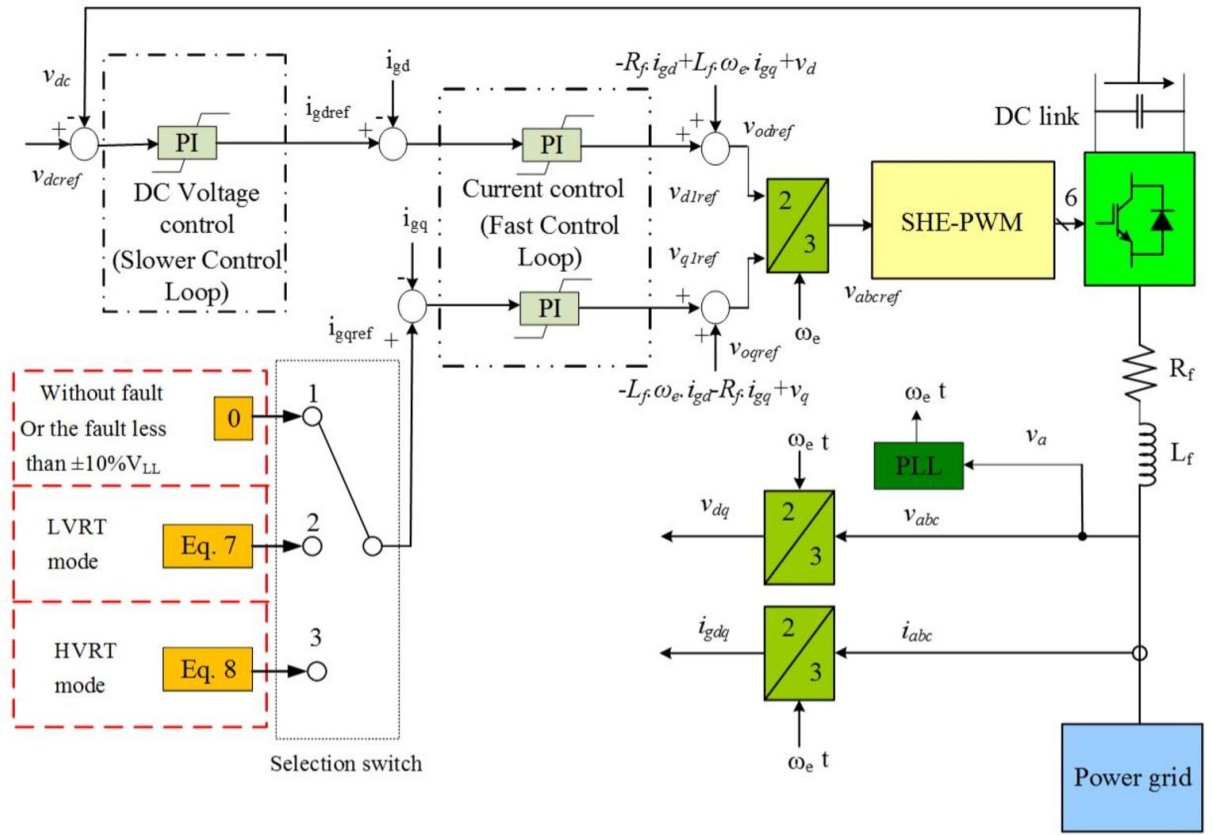


Fig. 5 Control system for the DC link and grid-side.

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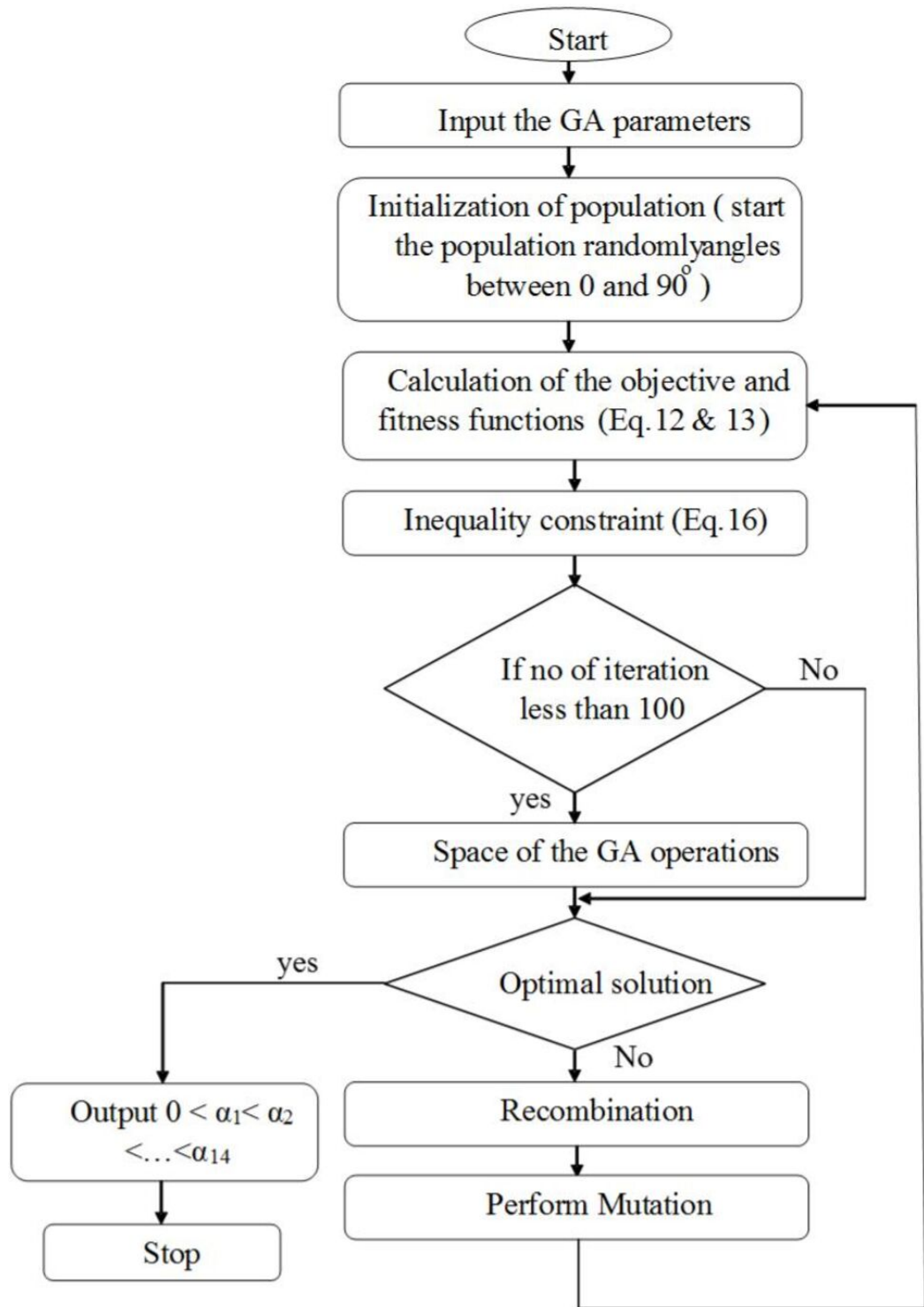


Fig. 6 Flowchart of GA based optimization for the SHE-PWM strategy.

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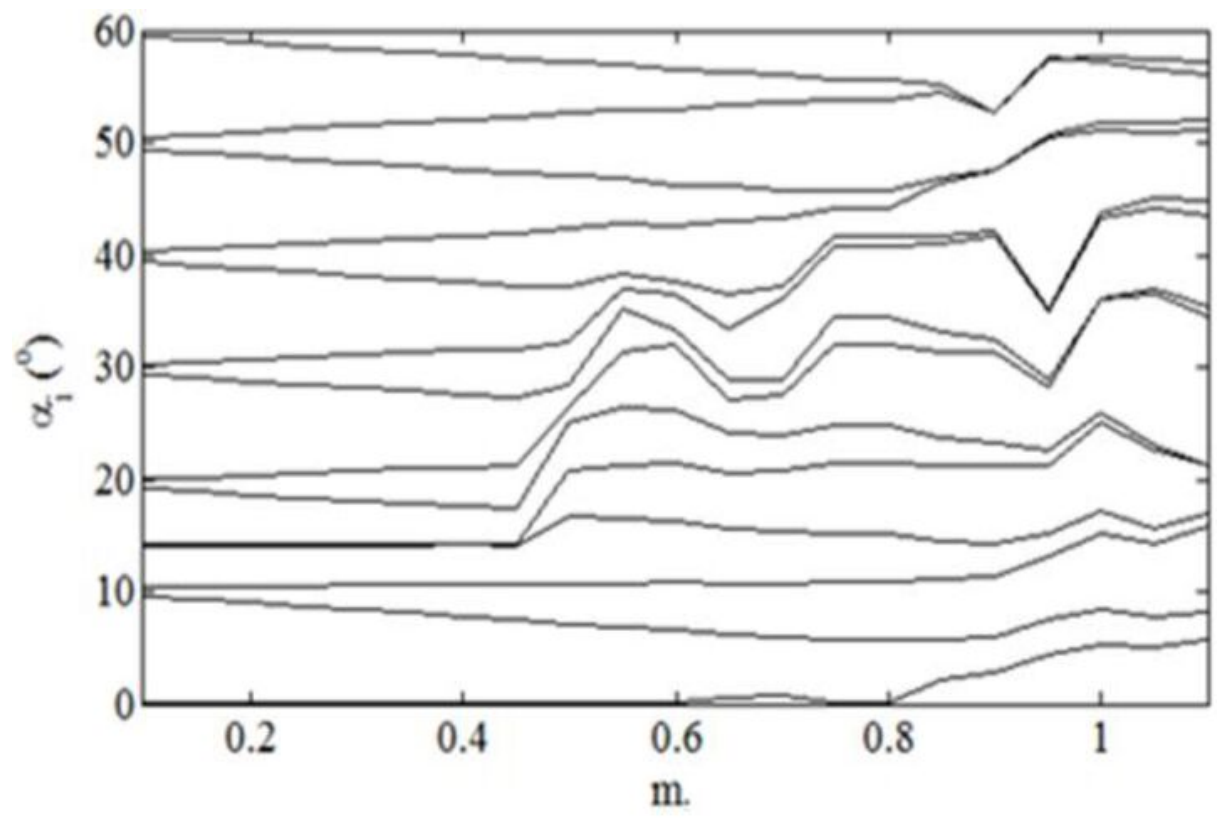


Fig. 7 Trajectory of the calculated switching angles.

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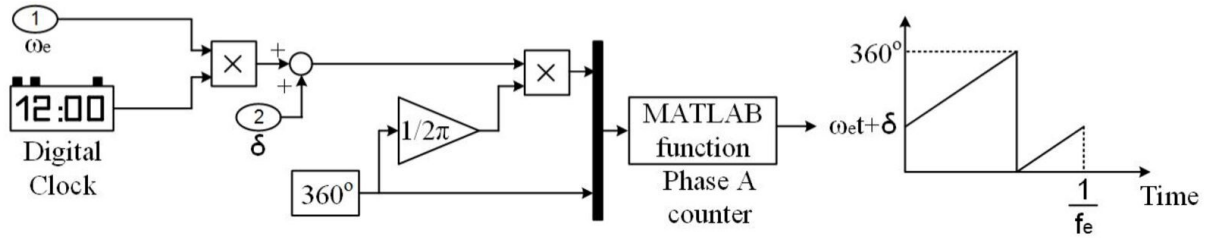


Fig. 8 Switching strategy of SHE-PWM technique.

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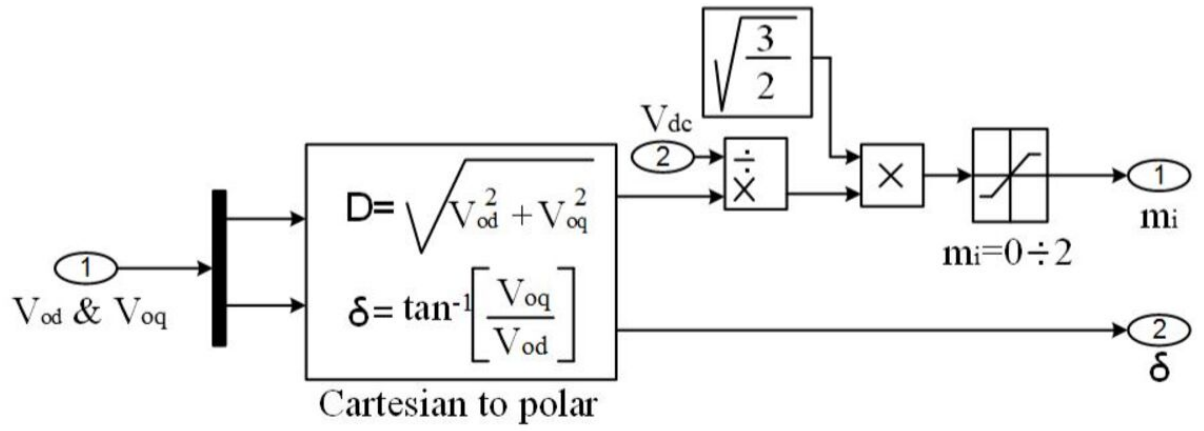


Fig. 9 Calculation control laws.

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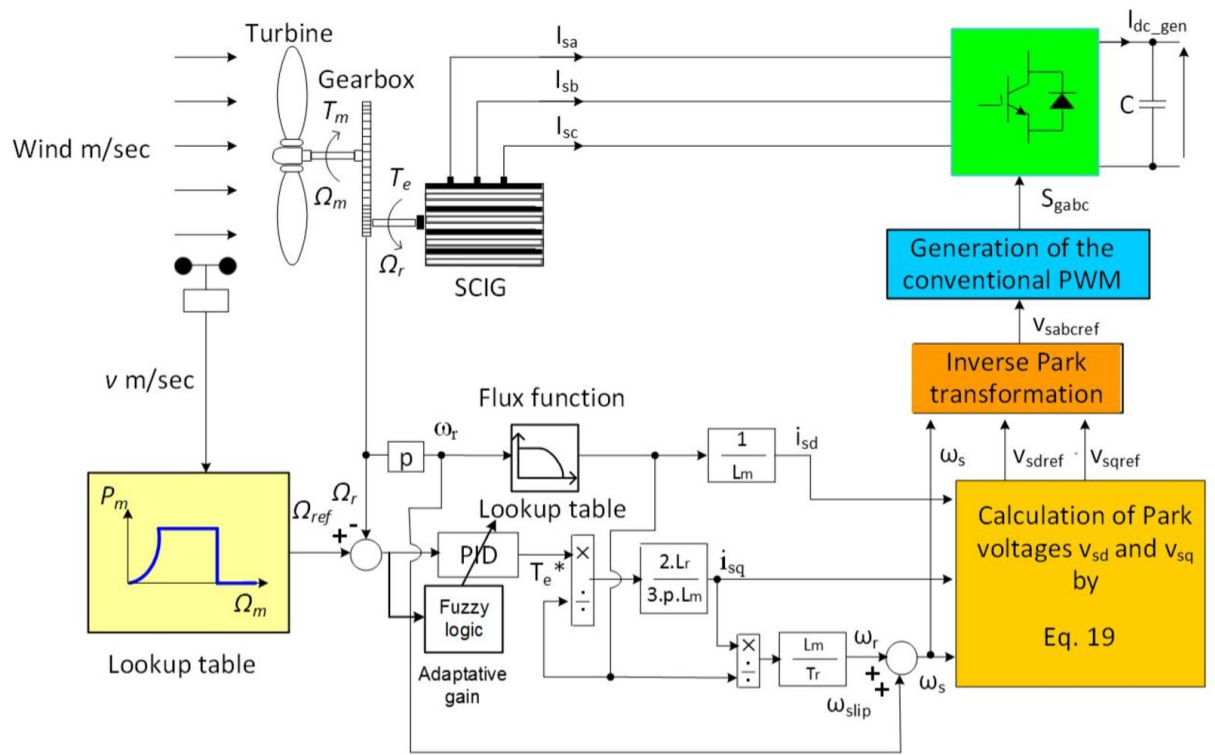


Fig. 10 IFOC of the induction generator.

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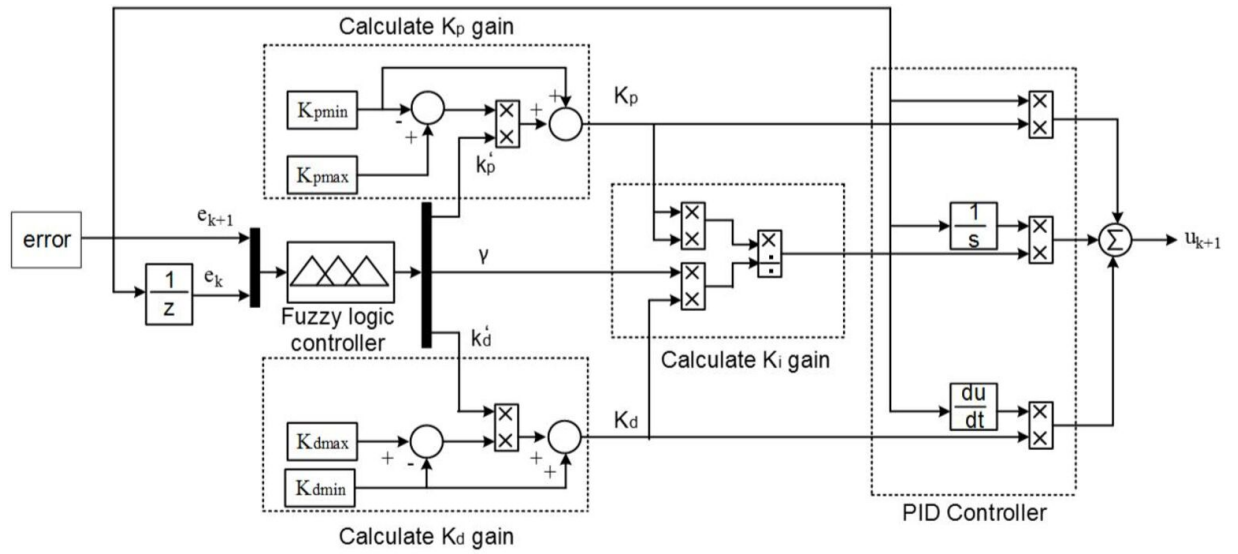


Fig. 11. Structure of the PID regulator with adaptive gains.

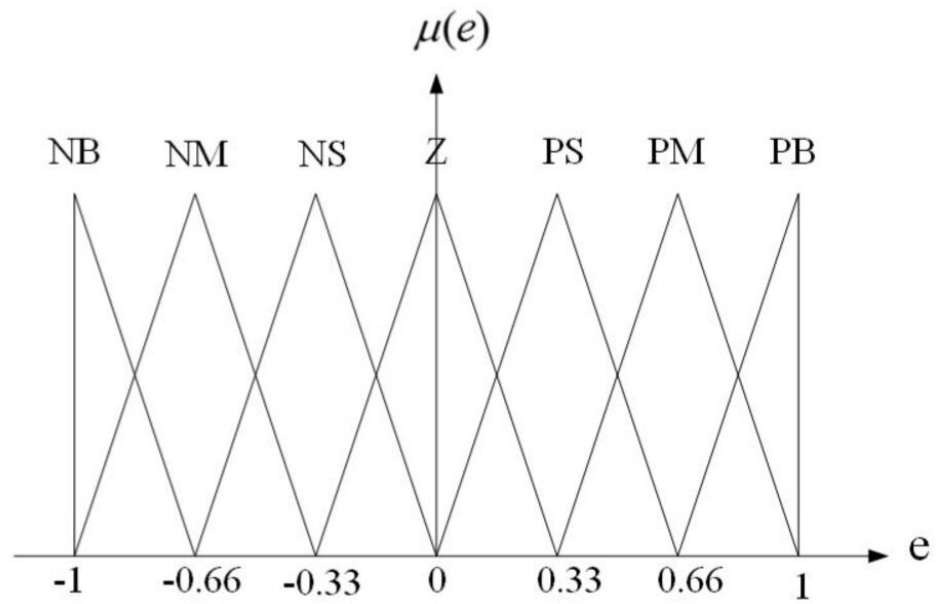


Fig. 12: Membership function for and .Fig. 13: Membership function of e and de .

The rule bases for calculating , $k'p$, $k'i$ and $k'd$ are shown in Tables 2 and 3

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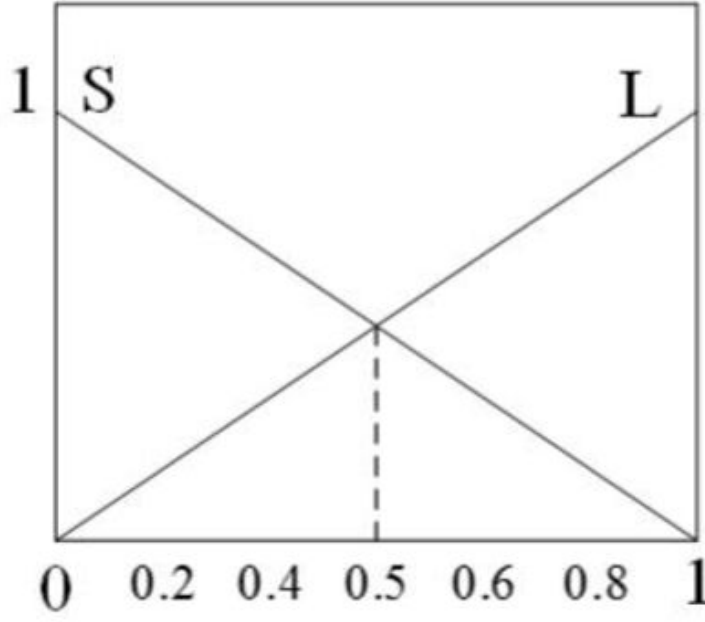


Fig. 13: Membership function of $k'p$, $k'i$ and $k'd$

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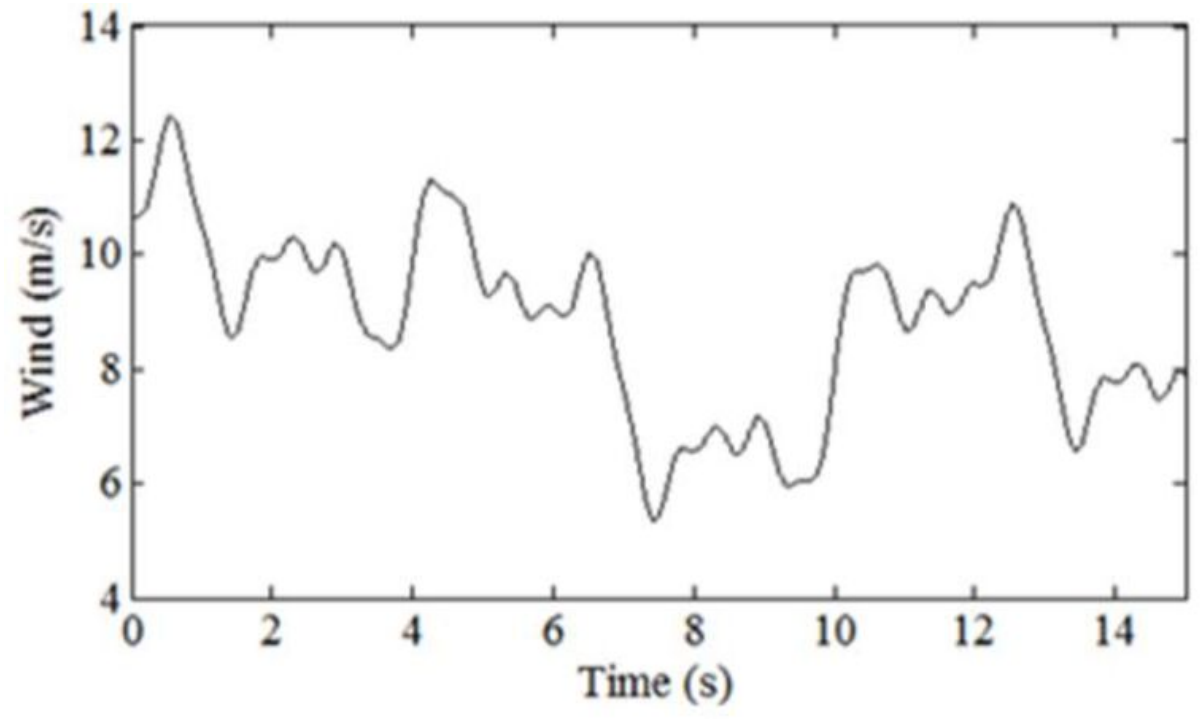


Fig. 14 Wind speed profile

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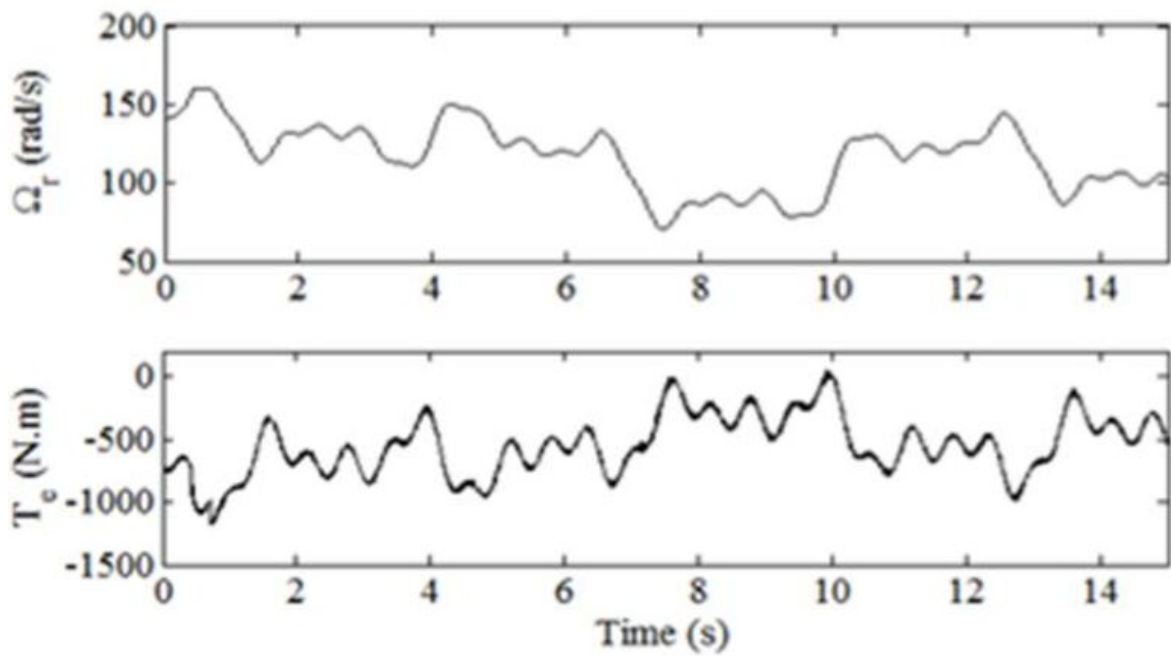


Fig. 15 Responses of the angular velocity and the electromagnetic torque of the generator

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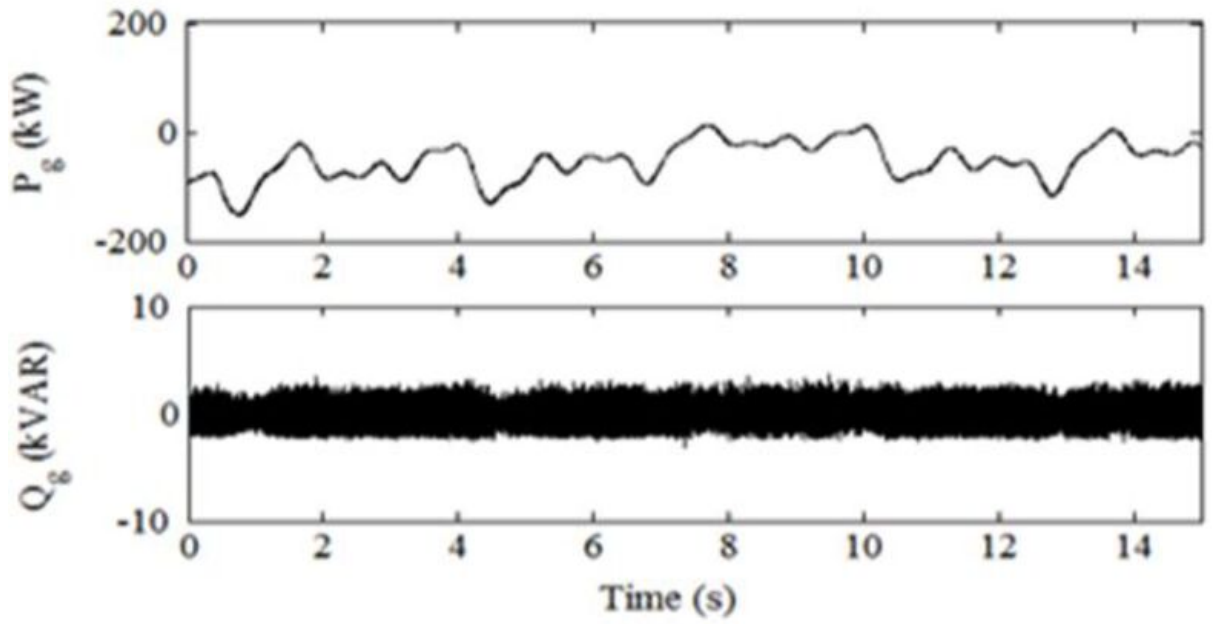


Fig.16 Responses of the real and reactive powers of the grid

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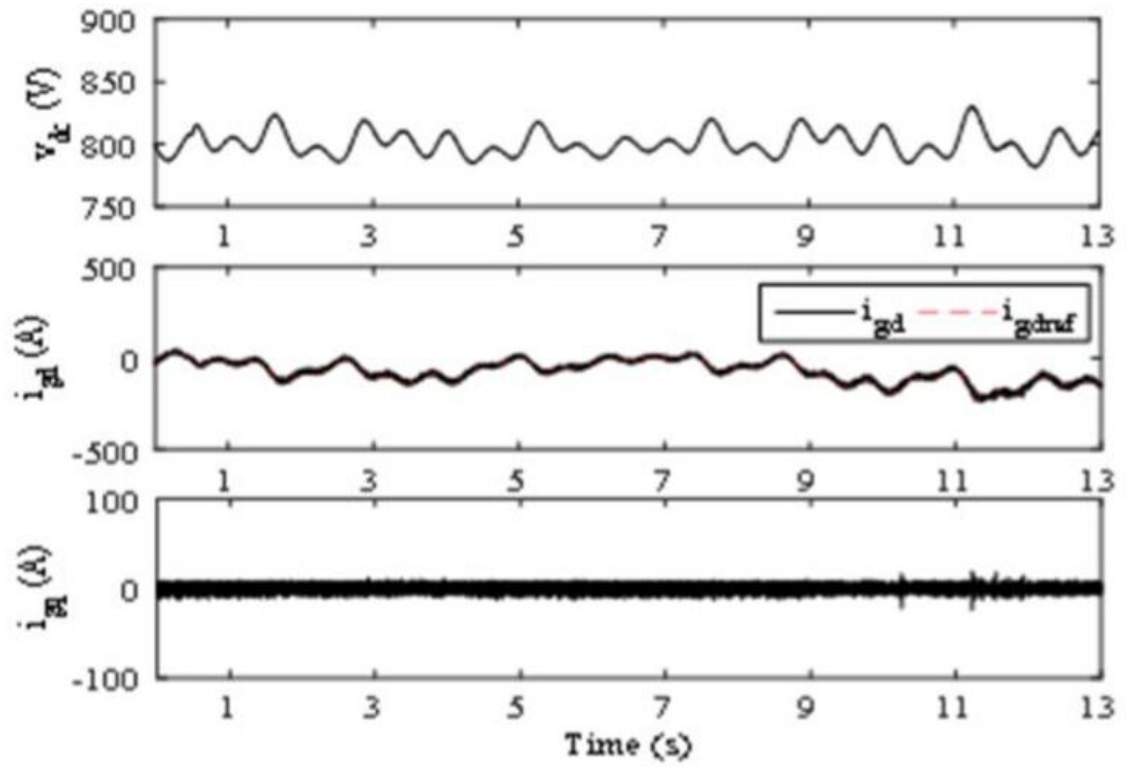


Fig. 17 Waveforms of the DC link voltage and grid currents

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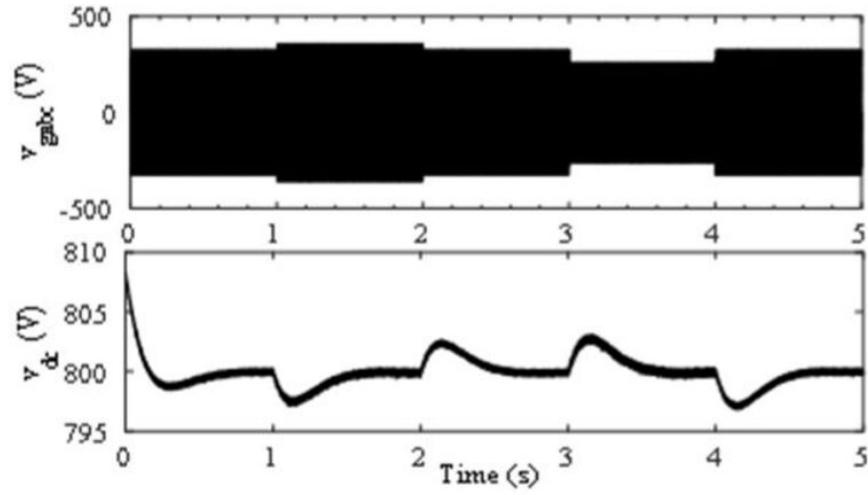


Fig. 18 Waveforms of the grid voltage and DC link voltage during the voltage dip.

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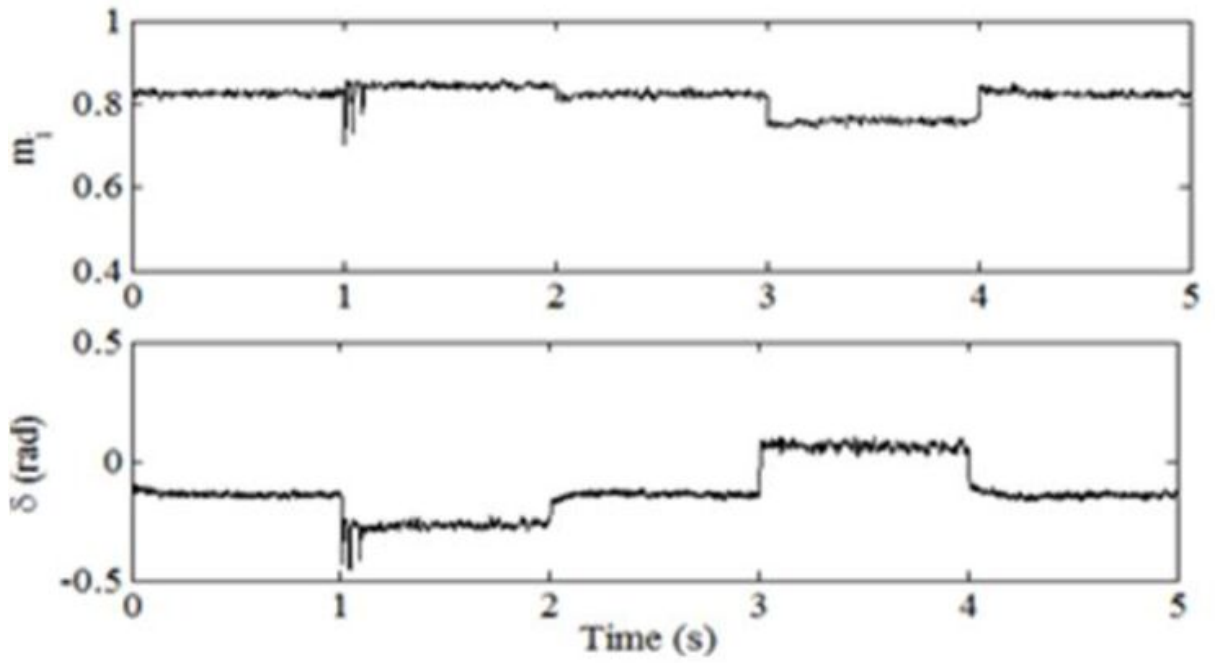


Fig. 19 Control signals of the SHE-PWM.

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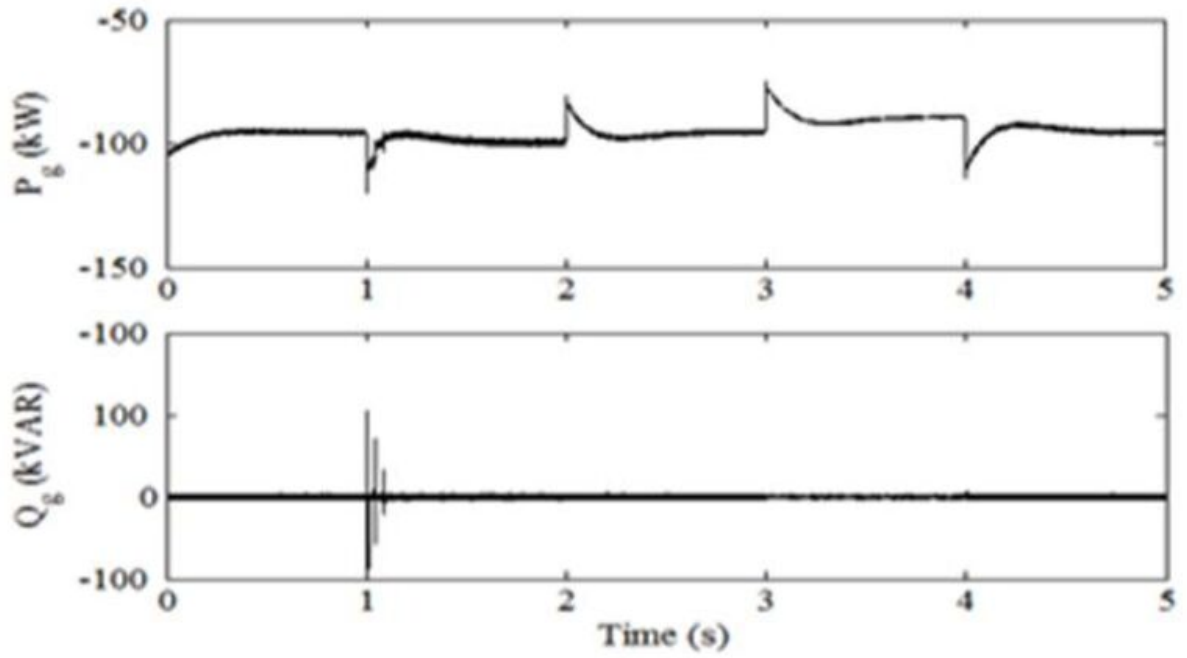
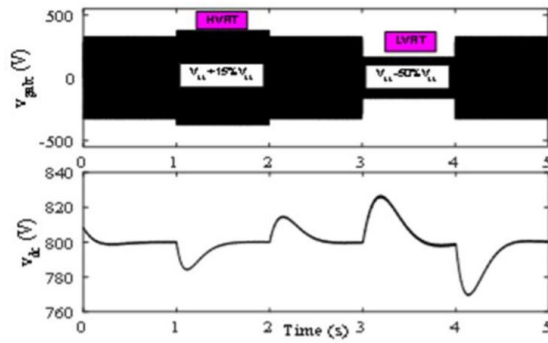


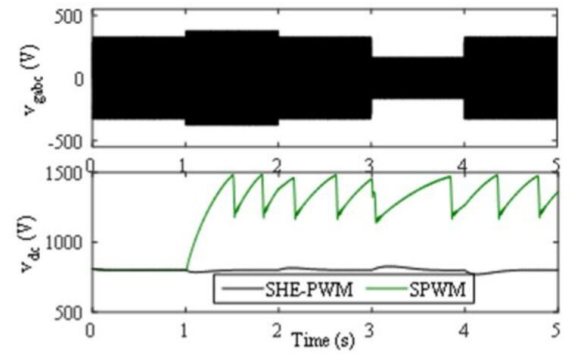
Fig. 20 Responses of the real and reactive powers of the grid.

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a) SHE-PWM

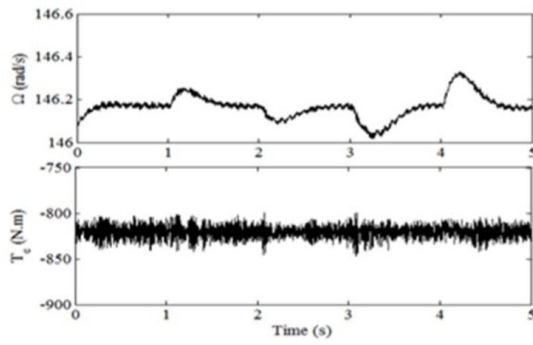


b) SPWM

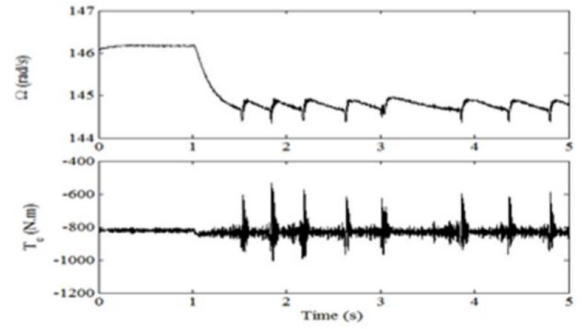
Fig. 21 Waveforms of the three-phase grid voltage and DC bus voltage.

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a) SHE-PWM

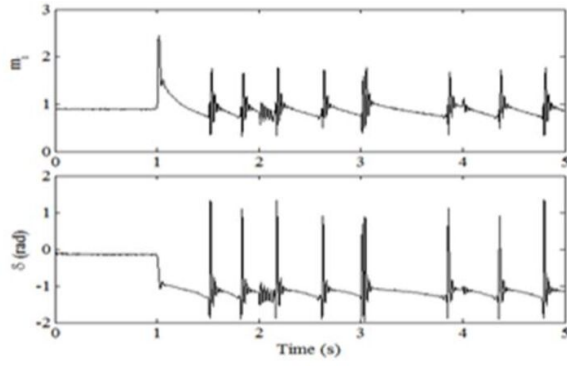


b) SPWM

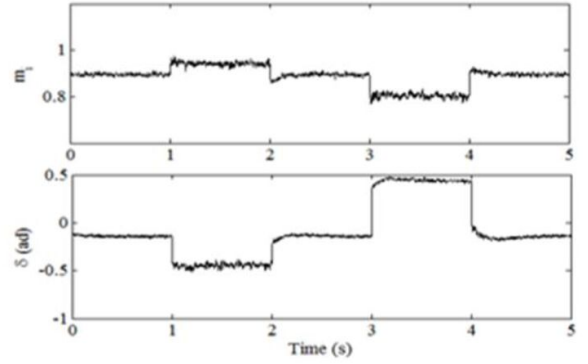
Fig. 22 Waveforms of the angular velocity and the electromagnetic torque of the generator.

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a) SHE-PWM

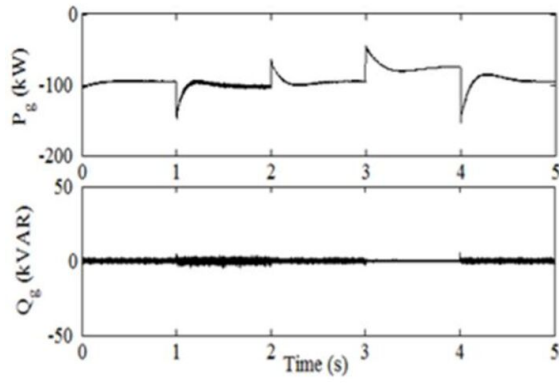


b) PWM

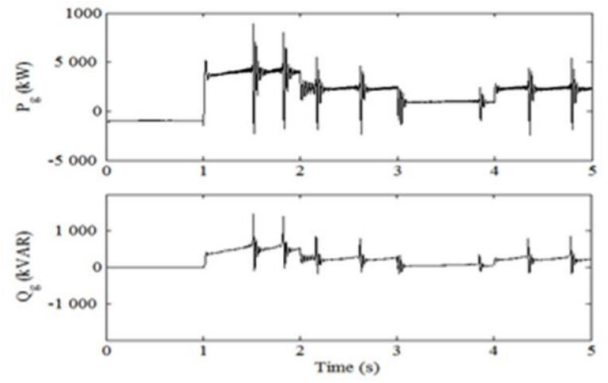
Fig. 23. Control laws

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a) SHE-PWM



b) SPWM

Fig. 24 Responses of the real and reactive powers of the grid.

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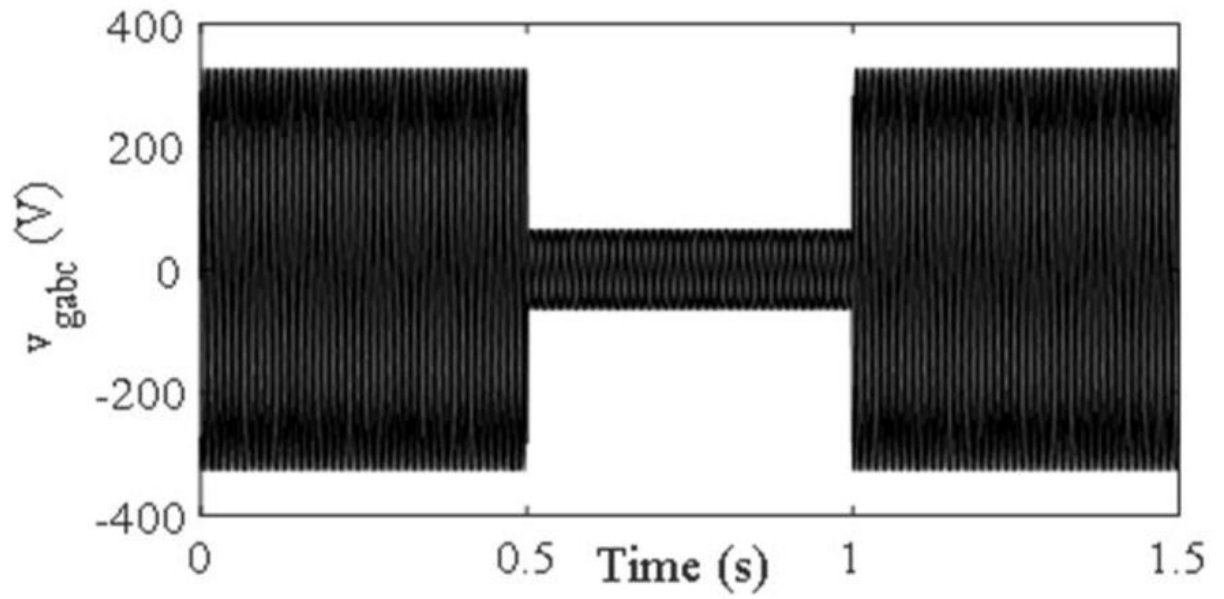


Fig. 25 Network phase voltage waveform under 80 % voltage sag.

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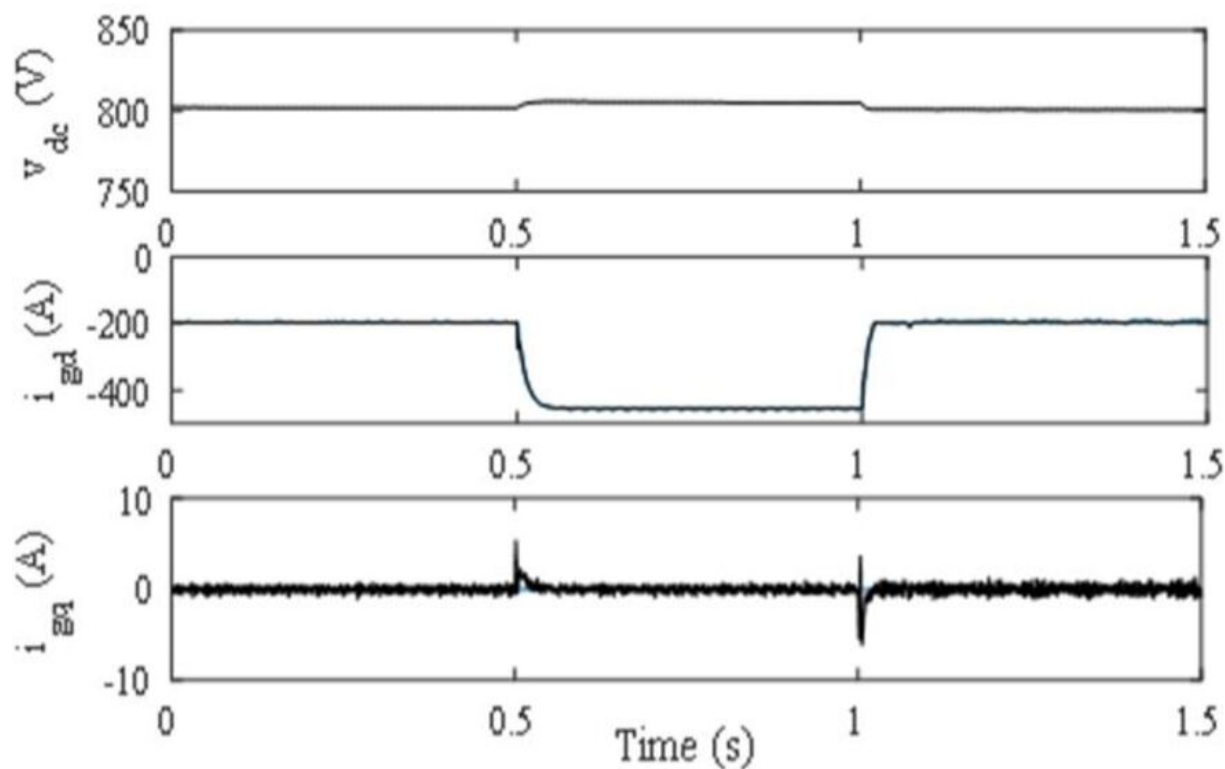


Fig. 26 DC bus voltage and grid currents in Park reference frame

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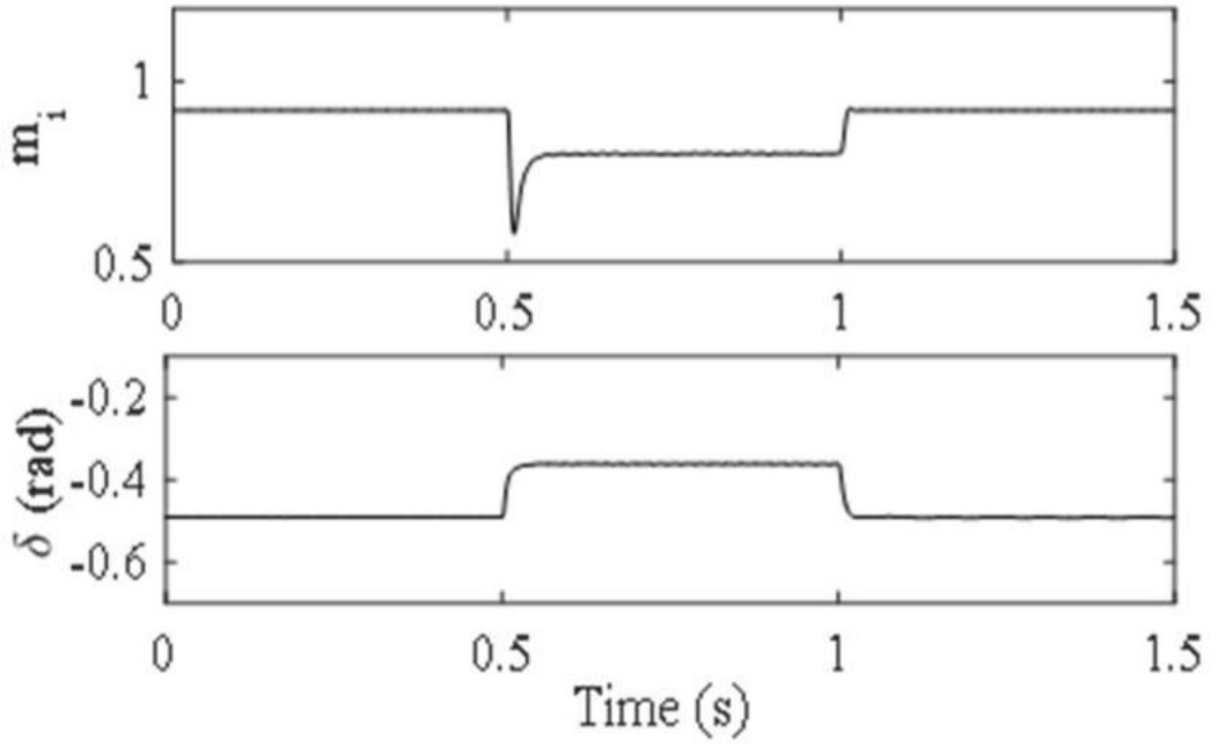


Fig. 27 Control signals.

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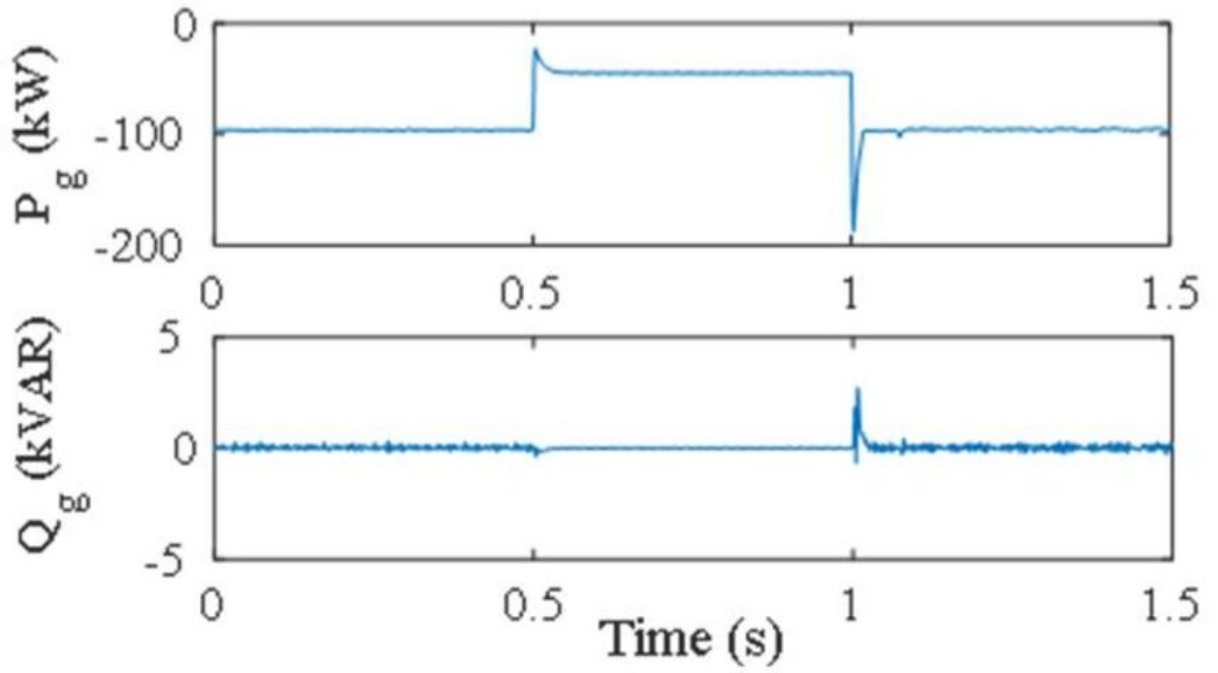


Fig. 28 Active and reactive powers of the grid.