INTRODUCTION

It is not yet possible to say with absolute certainty when individuals will develop cardiovascular disease (CVD). Therefore, healthcare must rely on probability-based models to estimate risk. Metrics correlating with incident CVD are recorded and input into an algorithm to produce an estimate of risk within a pre-defined timeframe.¹,² Through application of such calculators and stratifying individuals by risk, intervention and management strategies can be...
prioritised for those in greatest need. Those with pre-existing cardiovascular pathology are known to be at increased risk of developing co-morbidities, but of equal concern are those who are generally asymptomatic, where it is known that a number of modifiable risk factors (such as cholesterol levels, smoking, exercise and blood pressure) play a significant role.

Prevention being better than cure, health guidelines advocate the use of such calculators to aid the identification of ‘at risk’ individuals. A significant contributor to algorithm development has been the Framingham Study, based in the USA. Having begun data collection in 1948 and run continually thereafter (the grandchild cohort was enrolled in 2002), the study has yielded vital long-term health data. The validity of such calculators when applied to other cohorts (i.e., internationally) has been highlighted, and in the UK has led to the development of an alternative algorithm derived from local data: QRISK, which calculates 10-year risk of an incident CVD event. This calculator has undergone two subsequent revisions (in order to incorporate more variables) in 2008 and 2017: QRISK2 and QRISK3, respectively. When validated against a Framingham-derived calculator, QRISK demonstrated better discrimination between high and low-risk individuals. The calculators are freely available to access online, and the National Institute for Health and Care Excellence (NICE) advocate their use in routine screening of potential ‘at risk’ patients; currently, intervention is advised for risk scores of 10% or greater. In an effort to identify asymptomatic, at risk individuals, the National Health Service (NHS) Health Checks, additional routes of access to screening are necessary to improve identification of those at risk. The age-range targeted (40–74 years) roughly corresponds with diabetes mellitus and stroke, with diabetes mellitus and stroke.

Concurrently, significant progress has been made in the measurement and understanding of the retinal microcirculation. Until recently, quantification of changes to the retinal microcirculation were rooted in the early observations of Gowers and Gunn at the end of the 19th century, and the work of Keith, Wagener and Barker (KWB) in the 1930s. The KWB classification was the first major attempt to correlate the visible appearance of the retina and the mortality of patients, and is still occasionally used in research (although its use is waning). Additionally, UK optometrists are still expected to record the retinal vasculature subjectively by The College of Optometrists. Whilst it is widely acknowledged that retinal vessel health correlates with cardiovascular status, no management criteria or referral pathway currently exists based on retinal findings, nor is blood pressure routinely measured. Current best practice guidelines advocate the use of the subjective arterio-venous ratio (AVR) and descriptive observations of the retinal vasculature, despite historical observations of limitations to such subjective approaches.

Key points

- Retinal vessel calibre assessments in primary eye care can be useful biomarkers to complement cardiovascular risk stratification.
- Retinal vascular calibres may be useful as a surrogate marker of cardiovascular health.
- Data obtained during primary eye care examinations could be used for the clinical benefit of otherwise healthy individuals to examine their cardiovascular risk.

Technological advancements, chiefly the advent of digital retinal imaging, now allow for objective techniques to assess and quantify a range of characteristics of the retinal microvasculature; for example, vessel calibre, tortuosity, branching angle and fractal dimensions and oxygen saturation. Of these parameters, retinal vessel calibre has a wealth of data correlating it with other measures of cardiovascular health. Whilst a number of these concern arterial or venular calibre changes independent of one another, depending on underlying pathologies (such as the central retinal artery equivalent (CRAE) decrease in raised blood pressure and the central retinal vein equivalent (CRVE) increases in complications associated with diabetes mellitus and stroke), there is also evidence which suggests that an objectively derived AVR correlates well with cardiovascular health.

Considering the significant shortfall in attendance at the NHS Health Checks, additional routes of access to screening are necessary to improve identification of those at risk. The age-range targeted (40–74 years) roughly corresponds to the onset of symptomatic presbyopia. Additionally, the optometrist’s consulting room has the potential to be a vital additional source of routine cardiovascular screening with little additional work.

For optometrists to contribute effectively to routine cardiovascular health screening, management pathways and clinical decision-making matrices need to be developed in line with established routes. A distinct intervention point has been defined in the UK with QRISK scores (i.e., ≥10%); the same needs to be the case with retinal vessel assessments which should follow a standardised protocol. The Atherosclerosis Risk in Communities (ARIC) Study (which outlined the use of retinal vessel calibre measurement) reported that AVRs in the lowest quintile were more likely to develop incident stroke over a 3.5 year period compared to the uppermost quintile, suggestive of
a poor cardiovascular health profile. In the absence of specific intervention criteria, comparing AVR measurements to these quintile measurements and their correlation with QRISK predictions may identify patients who benefit most from further health assessments.

**METHODS**

The study was approved by the Aston University Research Ethics Committee (Reference 778) and adhered to the tenets of the Declaration of Helsinki. Participants were patients attending for a routine eye examination at a UK optometry practice (Davis Optometrists, Market Harborough, UK), and gave written informed consent prior to their examination.

Inclusion criteria for the study were as follows: aged between 51–70 years (in order to match the original ARIC cohort, since vessel calibre has been shown to vary with age) and a good quality fundus photograph of at least one eye. Patients with dense cataracts, corneal scarring or other media opacity which negatively affected image quality were excluded.

**Ocular examination**

All participants underwent a full eye examination, including subjective sphaero-cylindrical refraction, ophthalmoscopic examination (using slit lamp biomicroscopy and a 7BD condensing lens) and combined fundus photography and optical coherence tomography (OCT; Topcon 3D Maestro (Topcon, global.topcon.com)). Undilated (unless otherwise indicated) full-colour, 45° optic nerve head-centred photographs were acquired with the Topcon 3D Maestro and stored in the highest resolution format available, Tagged Image File Format (TIFF). Following image acquisition, all images were assigned a unique identification number and a quality index as detailed elsewhere. In brief, images were rated on a scale of 1–5, whereby a minimum quality of 4 was set as the cut-off value to be included for further analyses.

Systemic blood pressure (BP) was obtained using a digital sphygmomanometer (UA-767, A&D Medical, medical.andprecision.com) per National Institute for Health and Care Excellence (NICE) and European Societies of Hypertension (ESH) and Cardiology (ESC) guidelines; the patient was seated for at least 5 min and three readings were taken with 1-min intervals. Average BP readings for systolic and diastolic blood pressure were calculated using three readings unless there was a ≥10 mmHg discrepancy.

**Cardiovascular risk calculation**

Detailed medical symptoms and history was recorded by the criteria required for the calculation of cardiovascular risk (Mayo Clinic1 and QRISK248). The two calculators were chosen in order to explore cardiovascular risk across different timeframes. The QRISK2 estimates 10-year risk as a percentage, whilst the Mayo Clinic predicts over a 30-year period. Whilst a newer iteration of the QRISK algorithm exists (QRISK349), it has not yet been incorporated into NICE guidelines, and so was not used for the present study for that reason. Data regarding participants’ general health and medical history (including medication), lifestyle (including smoking status, alcohol and caffeine intake, exercise and diet) and family medical history were all recorded according to standard clinical management guidance.15 Height and weight were self-reported from patients. Location data (as required by QRISK2) was obtained from the participant’s home address registered with the practice.

**Retinal vessel calibre measurement**

After the quality assessment, red-free images were imported into VesselMap v3.0 (Imedos, imedos.com) for vessel...
calibre analysis. In brief, the analysis procedure is semi-automated whereby a circle corresponding to the ARIC-defined disc diameter (1850µm) is placed around the optic disc beyond which a measurement annulus (1/2 to 1 disc diameter; see Figure 1) is fitted. The software utilises the original Parr-Hubbard formulae,\(^{18}\) where only vessels larger than 40µm are selected. Once all vessels crossing through the measurement annulus are selected, the software produces a single summary value which is an estimate of the calibre of the central retinal artery from which all of those measured arterial vessels have originated from (the central retinal artery equivalent, CRAE). This is also summarised in Figure 1. Similarly, a value is also calculated for the central retinal vein equivalent (CRVE). With a single measurement for both artery and vein, it is then possible to calculate a ratio of the two to give an objective arterio-venous ratio (AVR), where $\text{AVE} = \frac{\text{CRAE}}{\text{CRVE}}$.

All tests and image analyses were conducted by a single optometrist (CF). All images were assigned a unique identification number immediately after data collection to minimise observer bias.

**Application of arterio-venous ratio (AVR) classification**

The original ARIC Study report on AVR correlations with incident stroke was used as the basis for classification values.\(^{44}\) This study found individuals with an AVR in the lowest quintile were 24-times more likely to develop in this paper.

and lowest values will be referred to as ‘cut off’ values in relation specifically to the ARIC dataset, the uppermost quintile values will be kindly provided by the paper’s lead statistician (David Couper, 2 July 2019). Since these quintile values were published. Hence, these were kindly provided by the paper’s original statistician (David Couper, personal communication, 2 July 2019; see Table 1). Since these quintile values relate specifically to the ARIC dataset, the uppermost and lowest values will be referred to as ‘cut off’ values in this paper.

**Statistical analysis**

All data were analysed using SPSS v26.0 (IBM, ibm.com). Normal distribution was evaluated by Kolmogorov-Smirnov testing for each variable. Following a paired samples t-test comparing right and left eye vessel parameters, average inter-eye (OU) parameters were calculated from vessel calibre measurements and used for subsequent analyses (CRAE $t = -0.10, p = 0.92$; CRVE $t = -0.25, p = 0.80$; AVR $t = 0.15; p = 0.78$). In order to assess if AVR values reflect current cardiovascular risk as calculated by QRISK2 and Mayo Clinic, participants falling outside the uppermost and lowest ARIC quintile measurements were compared. In addition, Chi squared tests were employed on categorical data to compare the prevalence in each group (as defined by the upper and lower ARIC quintiles). Statistical significance was defined as $p \leq 0.05$.

**RESULTS**

One hundred and twenty-five patients met the inclusion criteria, but five were excluded due to insufficient image quality (being Grade 2 or less\(^{45}\), leaving a total of 120 to be included for statistical analysis. Table 2 details subjects’ demographics, ocular and cardiovascular risk data. In total, 72 were female and mean age was 59.59 years ($\pm 6.04$). Mean CRAE for the right and left eyes was 170.75 µm ($\pm 16.30$) and 170.95 µm ($\pm 16.13$), respectively, while the inter-eye average (OU) CRAE was 170.60 µm ($\pm 15.30$). Mean CRVE for right and left eyes was 201.73 µm ($\pm 17.54$) and 202.09 µm ($\pm 16.69$) respectively, whilst OU average CRVE was 201.29 µm ($\pm 16.48$). A mean AVR of 0.85 ($\pm 0.07$) was recorded in both eyes, also giving an OU average of 0.85 ($\pm 0.07$). Both normal quantile-quantile plots and Kolmogorov-Smirnov revealed data for all variables to be normally distributed. Distribution of inter-eye average AVRs plotted against age is shown in Figure 2, with the ARIC quintiles overlayed for reference.

Following AVR stratification (as detailed in Table 1), a total of 19 subjects had an AVR greater than the upper cut-off (0.90642), whilst 17 had an AVR less than the lower AVR quintile cut-off (0.77746). Independent t-tests were run between these two samples; Levene’s Test for Equality of Variance was significant for all parameters.

Both systolic blood pressure (SBP) and diastolic blood pressure (DBP) were found to be significantly higher amongst those subjects falling below the lower AVR cut-off compared to those with an AVR greater than the higher cut off (SBP: 150.65 vs. 132.21 mmHg; DBP: 91.65 vs. 82.47 mmHg (both $p = 0.001$)). Mean CRAE was found to be significantly reduced (−26.04 µm) amongst individuals falling below the lower AVR cut-off ($p = <0.001$) whilst mean CRVE was found to be increased by 19.59µm amongst the same subjects ($p = 0.002$).

Cardiovascular risk, as calculated by QRISK2 and the Mayo Clinic, was significantly greater for subjects with an AVR

<table>
<thead>
<tr>
<th>Quintile</th>
<th>AVR range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fifth</td>
<td>&gt;0.90642</td>
</tr>
<tr>
<td>Fourth</td>
<td>0.86090–0.90642</td>
</tr>
<tr>
<td>Third</td>
<td>0.82157–0.86090</td>
</tr>
<tr>
<td>Second</td>
<td>0.77746–0.82157</td>
</tr>
<tr>
<td>First</td>
<td>&lt;0.77746</td>
</tr>
</tbody>
</table>

Note: Values were obtained via personal communication with the lead statistician (David Couper, 2 July 2019). Abbreviation: AVR, application of arterio-venous ratio.
less than the lower cut-off when compared to those with an AVR greater than the upper cut-off. Mean QRISK2 was 4.41% greater (14.28% vs. 9.87%; \(p = 0.05\)) whilst the Mayo Clinic calculation was 17.14% greater (36.35% vs. 19.21%; \(p = 0.01\)) compared to those with an AVR greater than the upper cut-off. Chi squared showed a significant presence of diagnosed hypertensives in the category below the lower ARIC cut-off compared to the upper cut-off. There was no significant difference in BMI nor its components (i.e., height and weight) between the two groups (\(p \geq 0.05\)).

The distribution of pathologies and variables associated with cardiovascular disease is explored in greater detail in Table 3. Chi squared tests revealed that there was a significant difference in the number of subjects with diagnosed hypertension with an AVR below the lowest ARIC quintile (\(p = 0.02\)). Additionally, there was a significant difference in the number of persons taking calcium channel blockers (\(p = 0.05\)). All other distributions were not statistically different.

**DISCUSSION**

This study evaluated whether AVR cut-off values, as defined by the ARIC Study, are linked with markers of cardiovascular risk when assessed in a primary care optometric setting. The basis of this work was to take the findings of the original ARIC Study, which has well-documented strong correlations between AVR and cardiovascular outcomes, and uses them as a benchmark. The authors of the ARIC Study found that individuals with an AVR in the lowest quintile were 24-times more likely to develop incident stroke within 3.5 years compared to those with an AVR in the uppermost quintile. For the present study, this finding was deemed to be highly suggestive of poor cardiovascular health, and used to compare with established systemic predictors of cardiovascular health (i.e., risk calculators). Our results demonstrate that individuals in the lowest AVR quintile presented with an increased level of CVD risk markers compared to those in the highest AVR quintile.

Using the absolute values determined by the original ARIC investigators, the AVR measurements of the present cohort were stratified to identify those with AVRs in-line with the original study. Comparing these two groups, it has been shown that there is a clear difference in vessel calibre, with arterial narrowing and venular dilation being observed in the lower cut-off group. There was no significant difference in BMI nor its components (i.e., height and weight) between the two groups (\(p \geq 0.05\)).

**TABLE 2** Summary of cohort demographics, tabulated for the whole cohort with standard deviations shown in parentheses

<table>
<thead>
<tr>
<th>Variable</th>
<th>Whole cohort</th>
<th>Lower AVR cut-off (&lt;0.77746)</th>
<th>Upper AVR cut-off (&gt;0.90642)</th>
<th>Significance</th>
</tr>
</thead>
<tbody>
<tr>
<td>n</td>
<td>120</td>
<td>17</td>
<td>19</td>
<td>(p)</td>
</tr>
<tr>
<td>Age (years)</td>
<td>Mean (SD)</td>
<td>Mean (SD)</td>
<td>Mean (SD)</td>
<td>0.71</td>
</tr>
<tr>
<td>SBP (mmHg)</td>
<td>134 (19)</td>
<td>151 (14)</td>
<td>132 (16)</td>
<td>(0.001)</td>
</tr>
<tr>
<td>DBP (mmHg)</td>
<td>85 (11)</td>
<td>92 (2)</td>
<td>82 (11)</td>
<td>(0.01)</td>
</tr>
<tr>
<td>Height (m)</td>
<td>1.72 (± 0.05)</td>
<td>1.73 (± 0.06)</td>
<td>1.72 (± 0.04)</td>
<td>0.43</td>
</tr>
<tr>
<td>Weight (kg)</td>
<td>77 (10)</td>
<td>79 (12)</td>
<td>73 (11)</td>
<td>0.11</td>
</tr>
<tr>
<td>BMI (kg/m²)</td>
<td>26 (3)</td>
<td>27 (4)</td>
<td>25 (3)</td>
<td>0.12</td>
</tr>
<tr>
<td>CRAE (µm)</td>
<td>171 (15)</td>
<td>153 (13)</td>
<td>179 (18)</td>
<td>(&lt;0.001)</td>
</tr>
<tr>
<td>CRVE (µm)</td>
<td>201 (16)</td>
<td>208 (18)</td>
<td>188 (17)</td>
<td>(&lt;0.002)</td>
</tr>
<tr>
<td>AVR</td>
<td>0.85 (±0.07)</td>
<td>0.73 (±0.02)</td>
<td>0.95 (±0.03)</td>
<td>(&lt;0.001)</td>
</tr>
<tr>
<td>QRISK 10-year risk (%)</td>
<td>10.77 (±7.18)</td>
<td>14.28 (±7.34)</td>
<td>9.87 (±7.49)</td>
<td>(0.05)</td>
</tr>
<tr>
<td>Mayo Clinic 30-year risk (%)</td>
<td>28.36 (±19.04)</td>
<td>36.35 (±21.17)</td>
<td>19.21 (±16.67)</td>
<td>(0.01)</td>
</tr>
</tbody>
</table>

*Note:* Mean data is then shown for subjects with an AVR above or below the uppermost and lowest ARIC quintiles, respectively. Independent t-tests were run on these two groups, and significance is shown in the rightmost column (significant values shown in bold).

*Abbreviations:* ARIC, Atherosclerosis Risk in the Communities Study; AVR, arterio-venous ratio; BMI, body mass index; CRAE, central retinal artery equivalent; CRVE, central retinal vein equivalent; DBP, diastolic blood pressure; SBP, systolic blood pressure; Vessel summary measurements (CRAE, CRVE and AVR) are all inter-eye averages (OU).
Interestingly, a high number of previously diagnosed hypertensives were recorded in the lower cut-off group as having raised systemic blood pressure. This suggests that greater refinement and management of those cases may be warranted, since despite a confirmed diagnosis and treatment, their current BP is not well controlled.

Correlating objective retinal vessel measurements with established measures such as QRISK2 is a strong argument...
for integrating this biomarker into future clinical management and referral guidelines. At present, optometrists are expected to inspect the retinal vasculature visually, and are acutely aware of characteristic changes associated with certain cardiovascular pathologies (such as hypertension). However, there is a lack of guidance on what to do with identified retinal vascular changes, and the present evaluation consists of subjective grading via ophthalmoscopy (or fundus photography). This approach has been shown to be highly inaccurate. Whilst fundus photography is commonplace in primary care optometry, there is an absence of clinical management guidelines for the images. At present, the photographs are merely used for documentation instead of adding further diagnostic information. On the other hand, there is a clear pathway for the management of patients with a QRISK2 value greater than 10%; the establishment of optometric management guidelines for the images. At present, optometrists are highly aware of characteristic changes associated with certain cardiovascular pathologies (such as hypertension). However, there is a lack of guidance on what to do with identified retinal vascular changes, and the present evaluation consists of subjective grading via ophthalmoscopy (or fundus photography). This approach has been shown to be highly inaccurate. 

An additional benefit of this approach is the regularity of eye examinations. The College of Optometrists recommends a maximum interval of two years, meaning frequent interactions with a primary care professional. In addition, the onset of symptomatic presbyopia coincides well with the age range of the NHS Health Check scheme (i.e., >40 years old). Given the poor take up of the latter, exploration of alternative schemes for identifying at-risk persons is crucial.

Optometrists are well-placed for contributing to the detection of cardiovascular risk patients. Minimal additional information need be recorded since a thorough health and lifestyle questionnaire has already been undertaken as a matter of course during history and symptom taking. Clinical measurement of blood pressure takes a small amount of time, and the patient is already set up for the measurement, having been in the consulting room chair seated and relaxed for more than 5 min. Additionally, the vessel measurements can be performed in a matter of seconds, meaning that little additional time is required for each consultation yet yields significantly greater clinical information. Added to the two-yearly examinations, a detailed clinical profile will be quickly accumulated by the optometrist.

There are several limitations which need to be addressed in this research. The data was collected in arguably ‘sub-optimal’ conditions, with patients undilated (although this is representative of current routine optometric practice in the UK). Much of the medical history was self-reported; thus the specificity of recall was dependent upon the individual patient. A battery of blood tests would have better bridged the gap between structural measurement (i.e., retinal vessel calibre) and predicted cardiovascular risk. Additionally, the ARIC quintile values themselves, much like the Mayo Clinic/Framingham data, are derived from a less recent United States cohort. There has already been some disparity shown between Framingham and European data, suggesting the date and location of the cohorts may play a role. This may also be the case for the absolute AVR cut-off values derived by the ARIC study (see also Table 1), as these may well differ depending on the population assessed.

In summary, this research uniquely demonstrates that agreement exists in the principle of stratifying objective retinal vessel measurements by pre-defined cut-off values and correlating them with established metrics of cardiovascular health. It has also been shown that optometrists are well suited to perform regular non-invasive assessments of patients to identify those at risk of cardiovascular disease and provide continuous observation and co-management with other healthcare professionals, strengthening the concept of patient-centred care.

**ACKNOWLEDGMENTS**

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**CONFLICTS OF INTEREST**

The authors report no conflicts of interest and have no proprietary interest in any of the materials mentioned in this article.

**AUTHOR CONTRIBUTION**

Christian French: Data curation (equal); Formal analysis (equal); Funding acquisition (equal); Investigation (equal); Methodology (equal); Project administration (equal); Writing – original draft (equal); Writing – review & editing (equal).

Robert Cubbidge: Methodology (equal); Supervision (equal); Writing – review & editing (equal).

Rebekka Heitmar: Conceptualization (equal); Data curation (equal); Formal analysis (equal); Funding acquisition (equal); Methodology (equal); Project administration (equal); Supervision (equal); Writing – original draft (equal); Writing – review & editing (equal).

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