

New High-Order Filter Structures Using Only Single-Ended-Input OTAs and Grounded Capacitors

Chun-Ming Chang, Bashir M. Al-Hashimi, Yichuang Sun, and J. Neil Ross

Abstract—Despite the wealth of literature on operational transconductance amplifier (OTA)-C filters, the synthesis of high-order filter characteristics is still an active topic. In this paper the realization of voltage transfer functions based on canonical current-mode follow-the-leader-feedback (FLF) OTA-C structures are investigated. Two new structures are presented, which use only single-ended-input OTAs and grounded capacitors. The first structure has a single voltage input and multiple voltage outputs taken from different nodes, which enables it to provide simultaneous outputs of different filter functions. The second structure has a single voltage output and single voltage input distributed to different circuit nodes for a universal realization. The authors not only propose such filter structures, but also show how analytical synthesis can be used to produce filter circuits that have less active elements than those recently reported voltage-mode structures which are based on differential-input OTAs. This represents another attractive feature from chip area, and power consumption point of view. Simulation results verifying the theoretical analysis of the proposed filter structure are included.

Index Terms—Analog filters, analytical synthesis, operational transconductance amplifier (OTA)-C structures.

I. INTRODUCTION

OVER the last decade or so numerous voltage-mode and current-mode high-order operational transconductance amplifier (OTA)-C filter structures have been reported [1]–[12]. Such structures have often been developed with different design criteria in mind, including reduced number of active elements, grounded capacitors, and simple design methods. In [9], different voltage-mode structures were proposed capable of synthesizing n th-order filters with both transmission poles and zeros. The filters employ $2n + 2$ active elements, and n grounded capacitors for canonical realizations. The active elements consist of $n + 2$ single-ended-input OTAs, and n differential-input OTAs. It was pointed out in [8] that single-ended-input OTAs should be employed in place of differential-input OTAs in the filter structure to avoid the generation of parasitic zeros due to the finite input parasitic capacitances of the differential-input OTAs. In [10], an OTA-C filter structure with $3n + 2$ single-ended-input OTAs, and only

one differential-input OTA was presented, a structure that was also mentioned as a noncanonical follow-the-leader-feedback (FLF) structure in [9]. Whilst this noncanonical voltage-mode FLF structure has reduced the number of differential-input OTAs, it has increased the number of single-ended-input OTAs from $n + 2$ to $3n + 2$, clearly an unsuitable solution from component count point of view. In the literature, there have been a number of current-mode multiple loop feedback OTA-C filters using single-ended-input OTAs and grounded capacitors [1], [11], [12]. It would be very interesting to use the advantages of these current-mode filters to realize voltage transfer functions without increase in the component number and without use of differential input OTAs. Furthermore, the structures of [9]–[12] have one input and one output which mean that there is a different filter topology for different filtering function. In some applications, however, simultaneous outputs of different high-order filtering functions may be needed.

The aim of this paper is to present two new high-order OTA-C filter structures employing only single-ended-input OTAs, and grounded capacitors, based on the canonical current-mode FLF OTA-C filter structure [11], [12], but for the realization of voltage transfer functions. The first one is capable of providing different filtering functions lowpass (LP), highpass (HP), and bandpass (BP) from different nodes without changing the filter topology. This is achieved without increasing the number of active elements; in fact, it has the least number of active and passive elements when compared with some recently reported voltage-mode works including [9], [10]. The second one is obtained by adding a linear input distribution OTA network to the first one. Any voltage n th-order transfer functions can be realized by the second one which simultaneously enjoys three main attractive criteria: the minimum components, only single-ended-input OTAs, and only grounded capacitors [8].

II. NEW OTA-C FILTER STRUCTURE FOR REALIZING n TH-ORDER LP, BP, AND HP FILTERS OF VOLTAGE TRANSFER FUNCTION

Fig. 1 shows the proposed n th-order filter structure where V_{in} is the filter input voltage, and $V_{out(0)}, V_{out(1)}, V_{out(2)}, V_{out(3)}, \dots, V_{out(n-1)},$ and $V_{out(n)}$ are the $n + 1$ filter voltage outputs. The settings of the $n + 1$ filter output voltages determine the filter functions (LP, HP, BP). It can be seen that the structure employs only single-ended-input OTAs and grounded capacitors, an advantage of the current-mode FLF structure

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C.-M. Chang, B. M. Al-Hashimi, and J. N. Ross are with the School of Electronics and Computer Science, University of Southampton, Highfield, Southampton, SO17 1BJ, U.K. (e-mail: cmc01r@ecs.soton.ac.uk; bmah@ecs.soton.ac.uk; jnr@ecs.soton.ac.uk).

Y. Sun is with the Department of Electronic, Communication and Electrical Engineering, University of Hertfordshire, Hatfield AL10 9AB, U.K.

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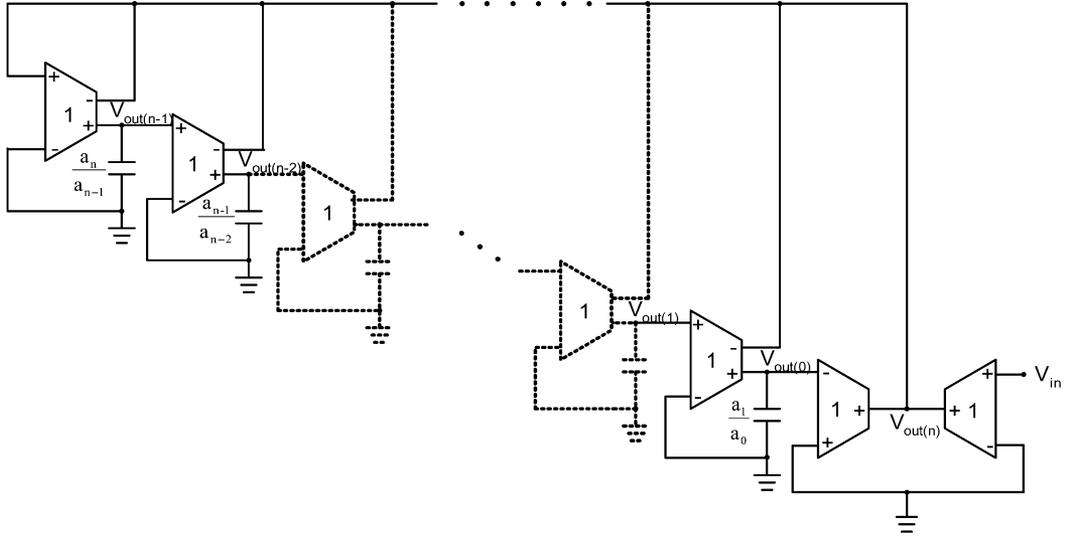


Fig. 1. *N*th-order OTA-C filter structure of the proposed analytical synthesis method.

[11], [12], which is here taken for realization of voltage transfer functions.

The filter structure of Fig. 1 is obtained as follows. The transfer functions of an *n*th-order filter with LP, BP, and HP responses at different outputs can be written as

$$V_{out(i)} = V_{in} \left(\frac{a_i s^i}{\Delta} \right), \quad \text{for } i = 0, 1, 2, \dots, n-1, n$$

where

$$\Delta = a_n s^n + a_{n-1} s^{n-1} + a_{n-2} s^{n-2} + a_{n-3} s^{n-3} + \dots + a_1 s + a_0. \quad (1)$$

Cross multiplying the transfer function of (1) as $i = 0$, and rearranging the result, we obtain

$$V_{out(0)} \left(\frac{a_n s^n}{a_0} \right) = V_{in} - V_{out(0)} - V_{out(0)} \sum_{i=1}^{n-1} \left(\frac{a_i s^i}{a_0} \right). \quad (2)$$

From (1), we have

$$V_{out(i)} = V_{out(i-1)} \left(\frac{a_i s}{a_{i-1}} \right), \quad i = 1, 2, 3, \dots, n \quad (3)$$

$$\begin{aligned} V_{out(i)} &= V_{out(0)} \left(\frac{a_i s^i}{a_0} \right) \\ &= V_{out(i-1)} \left(\frac{a_i s}{a_{i-1}} \right), \quad i = 1, 2, 3, \dots, n. \end{aligned} \quad (4)$$

Substituting (4) into (2) gives

$$V_{in} - V_{out(0)} = \sum_{i=1}^n V_{out(i-1)} \left(\frac{a_i s}{a_{i-1}} \right). \quad (5)$$

Equation (5) is a voltage relationship. In order to be consistent with the input-and-output current relationship of an OTA, i.e.,

$(V_+ - V_-)g_m = I_{out}$, we multiply each side of (5) by an equal transconductance of unity value (1), leading to

$$\begin{aligned} (V_{in} - V_{out(0)}) (1) &= \sum_{i=1}^n V_{out(i-1)} \left(\frac{a_i s}{a_{i-1}} \right) (1) \\ &= \sum_{i=1}^n V_{out(i-1)} \left[\left(\frac{a_i s}{a_{i-1}} \right) / (1) \right] (1). \end{aligned} \quad (6)$$

Then, (3) can be derived as

$$V_{out(i)} = V_{out(i-1)} \left[\left(\frac{a_i s}{a_{i-1}} \right) / (1) \right] \quad i = 1, 2, 3, \dots, n$$

namely

$$V_{out(i)} (1) = V_{out(i-1)} \left(\frac{a_i s}{a_{i-1}} \right), \quad i = 1, 2, 3, \dots, n. \quad (7)$$

Substituting (7) into (6) yields

$$(V_{in} - V_{out(0)}) (1) = \sum_{i=1}^n V_{out(i)} (1). \quad (8)$$

By using the active element OTA, whose characteristic relationship is $I_{out} = g_m V_{in}$, and the grounded capacitor, whose admittance is sC , to implement (7), a fundamental OTA-grounded capacitor structure is obtained by a grounded capacitor (with capacitance $(a_i)/(a_{i-1})$) connected with the output terminal of a single-ended-input OTA (with transconductance unity) having an input voltage $V_{out(i)}$ and an output voltage $V_{out(i-1)}$ across the grounded capacitor. Next, we implement (8) using the output currents of the OTAs and Kirchoff's current law. The combination of the individual circuit yields the circuit shown in Fig. 1, in which all the coefficient values are normalized.

In summary, the proposed synthesis method has decomposed the *n*th-order transfer function (1) into $n + 1$ transfer functions including n first-order transfer functions [(7)] and one constraint equation [(8)]. To illustrate the synthesis method, con-

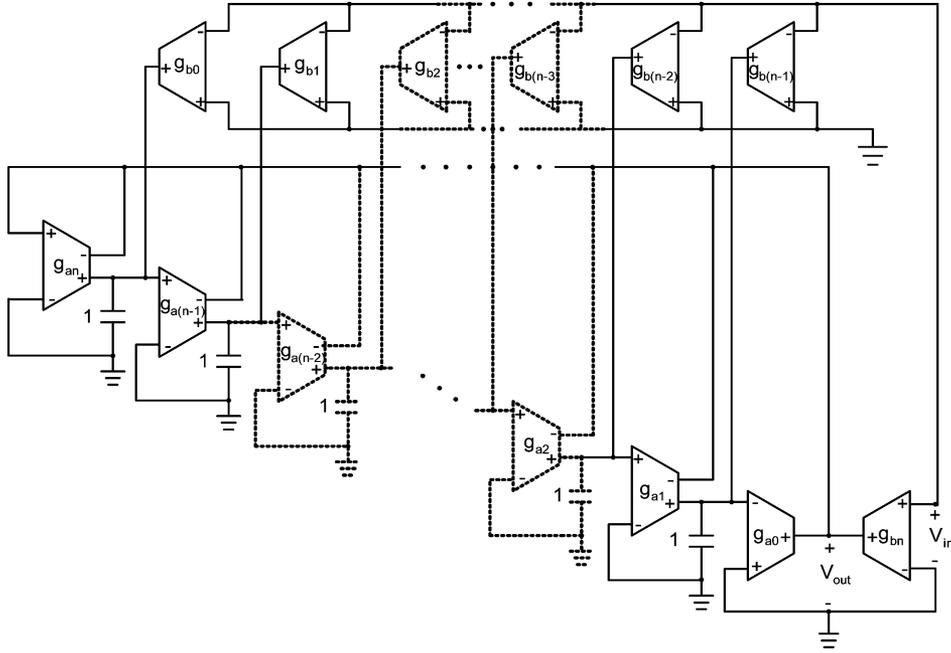


Fig. 2. OTA-C filter structure II for realizing general n th-order transfer functions.

consider the structure generation of a fourth-order filter. The synthesis method uses (7) and (8). Based on these equations, when $n = 4$, the fourth-order OTA-C filter has the following three transfer functions:

$$\begin{aligned}\frac{V_{\text{out}(0)}}{V_{\text{in}}} &= \left(\frac{a_0}{\Delta}\right) \quad (\text{LP}) \\ \frac{V_{\text{out}(2)}}{V_{\text{in}}} &= \left(\frac{a_2 s^2}{\Delta}\right) \quad (\text{BP}) \\ \frac{V_{\text{out}(4)}}{V_{\text{in}}} &= \left(\frac{a_4 s^4}{\Delta}\right) \quad (\text{HP})\end{aligned}$$

where

$$\Delta = a_4 s^4 + a_3 s^3 + a_2 s^2 + a_1 s + a_0. \quad (9)$$

It should be noted that, in general, a voltage follower may be necessary at the output of the filter to buffer the output and avoid the effects of load capacitance or resistance changing the response of the filter.

III. NEW OTA-C FILTER STRUCTURE FOR REALIZING n -TH-ORDER UNIVERSAL VOLTAGE TRANSFER FUNCTIONS

In Section II, we proposed a new filter structure, shown in Fig. 1, for realizing n th-order OTA-C LP, BP, and HP filters. Equation (1), corresponding to Fig. 1, shows that there are $n + 1$ different-order transfer functions which can be realized at $n + 1$ different nodes in Fig. 1, respectively. The general n th-order voltage transfer function

$$\begin{aligned}\frac{V_{\text{out}}}{V_{\text{in}}} &= \frac{b_n s^n + b_{n-1} s^{n-1} + b_{n-2} s^{n-2} + \dots + b_2 s^2 + b_1 s + b_0}{a_n s^n + a_{n-1} s^{n-1} + a_{n-2} s^{n-2} + \dots + a_2 s^2 + a_1 s + a_0} \\ &= \sum_{i=0}^n \left[\left(\frac{b_i}{a_i}\right) \left(\frac{a_i s^i}{\Delta}\right) \right]\end{aligned} \quad (10)$$

is the linear combination of the $n + 1$ different-order transfer functions shown in (1), i.e.,

$$\begin{aligned}\frac{V_{\text{out}}}{V_{\text{in}}} &= \frac{b_n s^n + b_{n-1} s^{n-1} + b_{n-2} s^{n-2} + \dots + b_2 s^2 + b_1 s + b_0}{a_n s^n + a_{n-1} s^{n-1} + a_{n-2} s^{n-2} + \dots + a_2 s^2 + a_1 s + a_0} \\ &= \sum_{i=0}^n \left[\left(\frac{b_i}{a_i}\right) \left(\frac{a_i s^i}{\Delta}\right) \right]\end{aligned}$$

where

$$\Delta = a_n s^n + a_{n-1} s^{n-1} + a_{n-2} s^{n-2} + \dots + a_2 s^2 + a_1 s + a_0. \quad (11)$$

Two synthesis approaches to realize the above relationship are proposed as follows.

- i) Using the linear combination method to perform the synthesis, multiply both sides of (11) by V_{in} and obtain

$$V_{\text{out}} = (1) \times \sum_{i=0}^n \left[\left(\frac{b_i}{a_i}\right) \left(\frac{a_i s^i}{\Delta} V_{\text{in}}\right) \right]. \quad (12)$$

Then, we take each nodal voltage in the circuit structure of Fig. 1, i.e., $V_{\text{out}(i)} (= a_i s^i) / (\Delta V_{\text{in}})$ in which $i = 0, 1, 2, \dots, n - 1$, and n , as the input voltage of an extra OTA with the transconductance b_i/a_i . Join all of the output terminals of the $n + 1$ extra OTAs and connect the summing point with an equivalent grounded resistor realized by a single-ended-input OTA with unity transconductance. The realized circuit structure uses $2n + 4$ single-ended-input OTAs and n grounded capacitors.

- ii) A more effective synthesis approach is explained as follows. Multiply both sides of (11) by V_{in} and obtain the following other form [different from (12)]

$$V_{\text{out}} = \sum_{i=0}^n \left[\left(V_{\text{in}} \frac{b_i}{a_i}\right) \left(\frac{a_i s^i}{\Delta}\right) \right]. \quad (13)$$

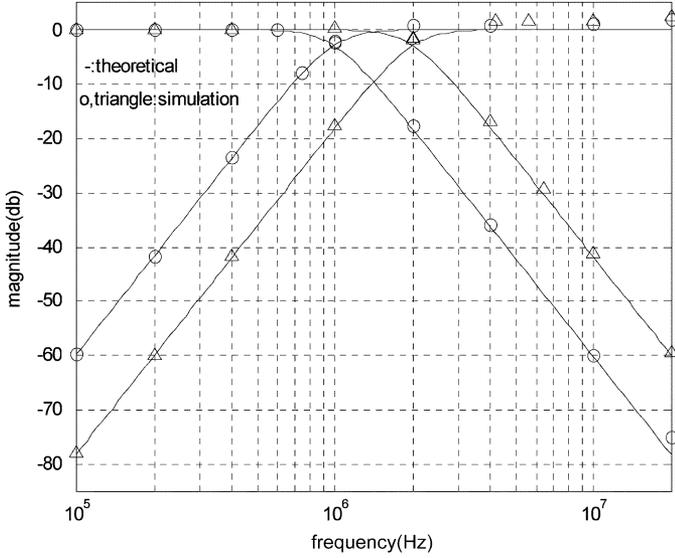


Fig. 3. Amplitude-frequency response of third-order LP and HP filters.

The physical meaning of the above relationship is “to insert different weights of the input voltage signal V_{in} into each node in the filter structure shown in Fig. 1 and then obtain the output voltage signal”. According to this approach [1], [9], [12], giving a forward signal, with a weight of input voltage signal, from input voltage node to each inner node in the filter structure shown in Fig. 1, we obtain the other new OTA-C filter structure, shown in Fig. 2, for realizing the general n th-order voltage transfer function shown in (10). The realized circuit structure uses $2n + 2$ single-ended-input OTAs and n grounded capacitors.

The circuit structure (Fig. 2) of approach (ii) uses two fewer single-ended-input OTAs than that (Fig. 3) of approach (i) and is recommended to realize the general n th-order voltage transfer function shown in (10). Note that, in Fig. 2, all $2n + 2$ OTAs have single-ended input and all n capacitors are grounded. The numbers of active OTAs and grounded capacitors are the minimum numbers [9] to realize such a general n th-order voltage transfer function shown in (10).

In Fig. 1, although we let all the transconductances of the OTAs be unity and let the capacitances of n grounded capacitors be $a_1/a_0, a_2/a_1, a_3/a_2, \dots, a_{n-1}/a_{n-2},$ and a_n/a_{n-1} , in fact, all the values of the transconductances and the capacitances can be given flexibly. The restriction of the values of the transconductances and the capacitances shown in Fig. 1 is used for being consistent with the derivation process and the original given transfer functions shown in (1). Similarly, in order to simplify and clarify the network analysis of Fig. 2, we let the value of each grounded capacitor be unity although, as a matter of fact, it can be given by any different required value. Circuit analysis

yields the transfer function of Fig. 2, shown in (14) at the bottom of the page. All $g_{ai}, i = 0, 1, 2, \dots, n - 1, n,$ can be found exactly, i.e.,

$$g_{an} = a_n \quad \text{and} \quad g_{ai} = \frac{a_i}{a_{i+1}}, \quad \text{for } i = 0, 1, 2, \dots, n - 2, n - 1 \quad (15)$$

by solving the following $n + 1$ equations:

$$\prod_{j=0}^{n-i} g_{a(n-j)} = a_i, \quad i = 0, 1, 2, \dots, n - 1, n. \quad (16)$$

All $g_{bi}, i = 0, 1, 2, \dots, n - 1, n$ can be found exactly by solving the following $n + 1$ equations:

$$\sum_{j=0}^i g_{bj} \left(\prod_{k=0}^{n-1-i} g_{a(i-j+k)} \right) = b_i, \quad i = 1, 2, \dots, n - 1 \quad (17)$$

$$g_{b0} \left(\prod_{j=0}^{n-1} g_{aj} \right) = b_0.$$

In any case, if the calculated $g_{bj} = 0$, then, the corresponding OTA should be eliminated; and if the calculated $g_{bj} = a$ negative value, then the two input terminals of the corresponding OTA should be interchanged.

The relative sensitivities $S_x^Y = ((x/Y))/(\partial Y/\partial x)$ are the values of filter characteristic parameters with respect to circuit elements. If we let the values of all capacitors from the right side in Fig. 2 be $C_0, C_1, C_2, \dots, C_{n-2},$ and C_{n-1} , respectively, as we divide both numerator and denominator of its transfer function by $(C_0 C_1 C_2 \dots C_{n-2} C_{n-1})$, the transfer function of Fig. 2 is similar to (14) by replacing g_{ai} with g_{ai}/C_i for $i = 0, 1, 2, \dots, n - 2,$ and $n - 1$. Due to space limitation, only key sensitivity results are quoted without derivation. For example, the denominator coefficient sensitivities to g_{ai} where $i = 0, 1, 2, \dots, n - 1, n,$ are 1 or 0. The numerator coefficient sensitivities to g_{bi} are

$$S_{g_{bn}}^{B_n} = 0, \quad \text{for } i = 0, 1, 2, \dots, n - 1, S_{g_{bn}}^{B_n} = 1$$

$$S_{g_{bi}}^{B_0} = 0, \quad \text{for } i = 1, 2, \dots, n - 1, n, S_{g_{bi}}^{B_0} = 1$$

$$S_{g_{bj}}^{B_i} = \frac{g_{bj} \left(\prod_{k=0}^{n-1-i} g_{a(i-j+k)} \right)}{\sum_{j=0}^i g_{bj} \left(\prod_{k=0}^{n-1-i} g_{a(i-j+k)} \right)}, \quad \text{for } i = 1, 2, 3, \dots, n - 1; \quad j = 0, 1, 2, \dots, i. \quad (18)$$

$$\frac{V_{out}}{V_{in}} = \frac{s^n g_{bn} + \sum_{i=1}^{n-1} s^i \left[\sum_{j=0}^i g_{bj} \left(\prod_{k=0}^{n-1-i} g_{a(i-j+k)} \right) \right] + g_{b0} \left(\prod_{j=0}^{n-1} g_{aj} \right)}{\sum_{i=0}^n s^i \left(\prod_{j=0}^{n-i} g_{a(n-j)} \right)} = \frac{\sum_{i=0}^n s^i B_i}{\sum_{i=0}^n s^i A_i}. \quad (14)$$

TABLE I
COMPARISON OF RECENTLY REPORTED n TH-ORDER OTA-BASED FILTERS

| Parameters Cases | Number of OTAs | Types of OTAs |
|--|-------------------|---|
| Nth-order proposed filter (Fig. 1) | $n+2$ | Single-input ($n+2$) |
| Nth-order proposed filter (Fig. 2) | $2n+2$ | Single-input ($2n+2$) |
| Nth-order general filter in [9] | $2n+2$ | Single-input ($n+2$), Double- input (n) |
| Nth-order general filter in [10] | $3n+3$ | Single-input ($3n+2$), Double- input (1) |

Detailed numerator and denominator coefficient sensitivities with respect to g_{ai} , g_{bi} , and C_i are in the range from -1 to 1 provided that all g_{ai} and g_{bi} are positive.

IV. COMPARISON WITH PREVIOUS STUDIES AND SIMULATION RESULTS

Table I shows a comparison in terms of number of OTAs and types of OTAs between the proposed filter structures (Figs. 1 and 2), and those recently reported in [9] and [10].

Another parameter which needs to be compared with one another is the span (or spread) of filter component values which may be large for high-order filters. A wider span of filter component values leads to a worse implementation carried out in CMOS which will create the quadratic current ratios. To give insight into what the component spread of the new filter structure shown in Fig. 1, Table II provides the comparison of the fourth-order Butterworth HP, LP, and BP filters designed using the proposed filter (Fig. 1) and those reported in [9], [10]. Table II gives the component spread for equal transconductance (g) and equal capacitance (C) designs. It can be seen that the proposed filter has the same component spread (equal g design) as those in [9], [10] except the case for BP in [9]. In the case of equal C design, the filter in [10] has the least component spread, and our filter has better component spread than that in [9].

To verify the theoretical analysis of the proposed filter structure shown in Fig. 1, a third-order LP and HP OTA-C filter has been simulated using H-Spice with the UMC05 level-49 parameters, with $W/L = 5 \mu/1 \mu$ for nMOS and $10 \mu/1 \mu$ for pMOS transistors, and the component values: 1) $g_{0,1,2,3,4} = 56 \mu\text{S}$ ($I_b = 8.852 \mu\text{A}$), $C_1 = 18 \text{ pF}$, $C_2 = 9 \text{ pF}$, and $C_3 = 4.5 \text{ pF}$ for the responses with $f_o = 0.990 \text{ MHz}$, using $V_{dd} = 2.5 \text{ V}$, and $V_{ss} = -2.5 \text{ V}$; 2) $g_{0,1,2,3,4} = 62.8 \mu\text{S}$ ($I_b = 10.495 \mu\text{A}$), $C_1 = 10 \text{ pF}$, $C_2 = 5 \text{ pF}$, and $C_3 = 2.5 \text{ pF}$ for the responses with $f_o = 1.999 \text{ MHz}$, using $V_{dd} = 2.5 \text{ V}$, and $V_{ss} = -2.5 \text{ V}$. The OTAs were implemented using the CMOS circuit given in [13]. Fig. 3 shows the simulated LP and HP responses of the filter. As can be seen, there is a close agreement between theory and simulation, for example, the simulated 3 dB frequencies are 0.94 MHz (HP) and 1.04 MHz (LP), compared to 0.990 MHz in the ideal case, and 1.83 MHz (HP) and 2.02 MHz (LP), compared to 1.999 MHz in the ideal case. Other filters with different

TABLE II
COMPONENT SPREAD COMPARISON OF FOURTH-ORDER HP, LP, AND BP BUTTERWORTH FILTERS

| Case | Spread | Equal g design | | Equal C design | |
|-------------|--------|------------------|------------|------------------|------------|
| | | C_{\min} | C_{\max} | g_{\min} | g_{\max} |
| HP (Fig. 1) | | 0.383 | 2.613 | 0.383 | 2.613 |
| HP [10] | | 0.383 | 2.613 | 0.635 | 1.617 |
| HP [9] | | 0.383 | 2.613 | 0.383 | 11.657 |
| LP (Fig. 1) | | 0.383 | 2.613 | 0.383 | 2.613 |
| LP [10] | | 0.383 | 2.613 | 1 | 3.414 |
| LP [9] | | 0.383 | 2.613 | 0.383 | 2.613 |
| BP (Fig. 1) | | 0.383 | 2.613 | 0.383 | 2.613 |
| BP [10] | | 0.383 | 2.613 | 1 | 3.414 |
| BP [9] | | 0.383* | 2.613* | 0.383 | 2.613 |

*The transconductance spread is two (not unity).

order and responses were also simulated and found to perform as theory predictions.

V. CONCLUSION

This paper has presented two new high-order filter structures (shown in Figs. 1 and 2) that employ only single-ended-input OTAs and grounded capacitors. It has been shown how decomposing analytically an n th-order transfer function into $n + 1$ simple realisable transfer functions using OTA-C circuits produces the first filter structure that employs less active elements than some of the recently reported methods and offers simultaneous multiple outputs. The proposed first filter structure has $n + 2$ single-ended-input OTA, and n grounded capacitors for a given filter order, n . Realizing the general transfer function by using the more effective synthesis approach different from the linear combination of each output signal of the first filter structure leads to the second filter structure which can realize any kind of voltage transfer functions employing the minimum components, only $2n + 2$ "single-ended-input" OTAs, and only n "grounded" capacitors.

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