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## The ontological interpretation of informational privacy

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Abstract. The paper outlines a new interpretation of informational privacy and of its moral value. The main theses defended are: (a) informational privacy is a function of the ontological friction in the infosphere, that is, of the forces that oppose the information flow within the space of information; (b) digital ICTs (information and communication technologies) affect the ontological friction by changing the nature of the infosphere (re-ontologization); (c) digital ICTs can therefore both decrease and protect informational privacy but, most importantly, they can also alter its nature and hence our understanding and appreciation of it; (d) a change in our ontological perspective, brought about by digital ICTs, suggests considering each person as being constituted by his or her information and hence regarding a breach of one's informational privacy as a form of aggression towards one's personal identity.

17 Key words: information ethics, informational privacy, infosphere, ontological friction, personal identity

## 29 Introduction

"One of these days d'you think you'll be able to see 21 things at the end of the telephone?' Peggy said, get-22 ting up." She will not return to her wondering again, 23 24 in the remaining pages of Virginia Woolf's The 25 Years. The novel was published in 1937. Only a year 26 earlier, the BBC had launched the world's first public 27 television service in London, and Alan Turing had published his groundbreaking work on Turing 28 29 Machines (Turing, 1936).

30 Distracted by a technology that invites practical usage more readily than critical reflection, Peggy only 31 32 half-perceives that new ICTs (information and com-33 munication technologies) are transforming society profoundly and irrevocably. The thirties were laying 34 35 the foundations of the information society. It was 36 difficult to make complete sense of such a significant 37 change in human history, at this early stage of its 38 development. Nevertheless, an evocative phrase con-39 cerning the topic of this article appears in an essay on 40 Montaigne, again by Virginia Woolf (The Common 41 Reader, 1925): "[we], who have a private life and hold 42 it infinitely the dearest of our possessions [...]", will 43 find protecting it ever more difficult in a social envi-44 ronment increasingly dependent on Peggy's futuristic 45 technology.

46 Today, the commodification of ICTs, begun in the 47 seventies, and the consequent spread of a global information society since the eighties, are progres-48 sively challenging the right to informational privacy, 49 at least as westerners still conceived it in Virginia 50 Woolf's times. The problem is pressing.<sup>1</sup> It has 51 prompted a stream of scholarly and scientific inves-52 53 tigations, witness this special issue of Ethics and 54 Information Technology; and there has been no shortage of political decisions and legally enforceable 55 measures to tackle it.<sup>2</sup> The goal of this paper, how-56 ever, is not to review the very extensive body of lit-57 erature dedicated to informational privacy and its 58 legal protection, even in the relatively limited area of 59 computer ethics studies. Rather, it is to argue in 60 favour of a new ontological interpretation of infor-61 mational privacy and of its moral value, on the basis 62 of the conceptual frame provided by Information 63 Ethics (Floridi, 1999; forthcoming-a). 64

### Informational privacy and computer ethics

Why have digital ICTs made informational privacy66one of the most obvious and pressing issues in computer ethics? The question is crucial<sup>3</sup> and deceptively68simple.69

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<sup>&</sup>lt;sup>1</sup> Especially in the US, see Garfinkel (2000).

<sup>&</sup>lt;sup>2</sup> Froomkin (2000) still provides a valuable review.

<sup>&</sup>lt;sup>3</sup> See for example Johnson (2001), Bynum and Rogerson (2004) and Tavani (2003).

70 According to one of the most widely accepted 71 explanations, digital ICTs exacerbate old problems 72 concerning informational privacy because of the 73 dramatic increase in their data Processing capacities, 74 in the speed (or Pace) at which they can process data, 75 and in the Quantity and Quality of data that they can collect, record and manage. This can be referred to as 76 77 the 2P2O hypothesis.

The trouble with any approach sharing the 2P2Q 78 79 hypothesis is that it concentrates only on obvious and 80 yet secondary effects of the *digital* revolution, and 81 that it does so from a "continuist" philosophy of 82 technology (more on this in section four). It thus fails 83 to account for the equally important fact that digital 84 ICTs are also responsible both for a potential increase 85 in some kinds of informational privacy and, above 86 all, for a radical change in its overall nature. ICTs are 87 more redrawing rather than erasing the boundaries of informational privacy. A few examples may help to 88 89 illustrate the point. Consider

- 90 the "remotization" of information management,
  91 such as the ordinary phenomenon of booking,
  92 banking or shopping online;
- 93 the growth of anonymous, indirect or nonpersonal interactions. According to a recent survey
  95 by Freever (a mobile-services firm, http://www.
  96 freever.com) 45% of Britons had lied about their
  97 location by text message; this is privacy as well;
- 98 the much faster and more widespread revisability, • 99 volatility and fragility of digital data. Personal 100 records can be upgraded or erased at the stroke of a 101 key, destroyed by viruses in a matter of seconds, or 102 become virtually unavailable with every change in 103 technological standards, whereas we are still able to 104 reconstruct whole family trees thanks to parish 105 documents that have survived for centuries; or

• the various technologies that enable users to 106 107 encrypt, firewall or protect information (e.g. with passwords or PIN). In each case, it seems that 108 109 digital ICTs allow both the erosion of informational privacy and its protection. The following, 110 111 colourful episode is indicative: "Hong Kong businessmen, for example, once did not dare to leave 112 113 their mobile phones switched on while visiting 114 sleazy Macau, because the change in ringing tone 115 could betray them. After the ringing tone for 116 Macau was changed to sound like Hong Kong's, however, they could safely leave their phones on, 117 and roaming revenues soared." (The Economist, 118 119 December 2nd 2004). 2P2Q explains only half of 120 the story.

121 The new challenges posed by digital ICTs are not122 only a matter of "more of the same". They have their123 roots in a radical and unprecedented transformation

in the very nature (ontology) of the informational 124 environment, of the informational agents<sup>4</sup> embedded 125 in it and of their interactions. As will be argued in this 126 article, understanding this ontological transformation 127 provides a better explanation that is not only con-128 sistent with the 2P2Q hypothesis - now to be inter-129 preted as a mere secondary effect of a far more 130 fundamental change - but also closer to the kernel of 131 the privacy problem in the information society. 132

# Informational privacy as a function of ontological133friction134

Imagine a model of a limited (region of the) info-135 sphere, represented by four students (our set of 136 interactive, informational agents) living in the same 137 house (our limited environment). Intuitively, given a 138 certain amount of available information (which can 139 be treated as a constant and hence disregarded), the 140 larger the informational gap among the agents, the 141 less they know about each other, the more private 142 143 their lives can be.

The informational gap is a function of the degree 144 of accessibility of personal data. In our example, 145 there will be more or less informational privacy 146 depending on whether the students are allowed, e.g., 147 to have their own rooms and lock their doors. Other 148 relevant conditions are easily imaginable (individual 149 fridges, telephone lines in each room, separate 150 entrances, etc.). 151

Accessibility, in its turn, is an epistemic factor that 152 depends on the ontological features of the infosphere, 153 i.e. on the nature of the specific agents, of the specific 154 environment in which they are embedded and of the 155 specific interactions implementable in that environ-156 ment by those agents. If the walls in the house are few 157 and thin and all the students have excellent hearing, 158 the degree of accessibility is increased, the informa-159 tional gap is reduced and informational privacy is 160 more difficult to obtain and protect. The love life of 161 the students may be badly affected by the Japanese-162 style house they have chosen to share. 163

The ontological features of the infosphere deter-164 mine a specific degree of "ontological friction" reg-165 ulating the information flow within the system. 166 "Ontological friction" refers here to the forces that 167 oppose the information flow within (a region of) the 168 infosphere, and hence (as a coefficient) to the amount 169 of work required for a certain kind of agent to obtain 170 information (also, but not only) about other agents 171 in a given environment, e.g. by establishing and 172



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 $<sup>^{\</sup>rm 4}$  For a precise definition of agent see Floridi and Sanders (2004b).

maintaining channels of communication and by
overcoming obstacles in the flow of information such
as distance, noise, lack of resources (especially time
and memory), amount and complexity of the data to
be processed etc.

178 Of course, the informational affordances and 179 constraints provided by an environment are such only 180 in relation to agents with specific informational capacities. In our model, brick walls provide much 181 182 higher "ontological friction" for the flow of acoustic information than a paper-thin partition, but this is 183 184 irrelevant if the students are deaf. More realistically, 185 the debate on privacy issues in connection with the 186 design of office spaces (from private offices to panel-187 based open plan office systems, to completely open 188 working environments, see Becker and Sims (2000)) 189 offers a significant example of the relevance of vary-190 ing degrees of ontological friction in social contexts.

191 We are now ready to formulate a qualitative sort of equation, which will be needed to analyze the 192 193 relation between digital ICTs and informational pri-194 vacy. Given a certain amount of personal informa-195 tion available in (a region of) the infosphere I, the 196 lower the ontological friction in I, the higher the 197 accessibility of personal information about the agents 198 embedded in I, the smaller the informational gap 199 among them, and the lower the level of informational 200 privacy implementable about each of them. Put sim-201 ply, informational privacy is a function of the onto-202 logical friction in the infosphere. It follows that any 203 factor affecting the latter will also affect the former.

The factors in question can vary and may concern more or less temporary or reversible changes in the environment (imagine three of our students living in a tent during a holiday, while the fourth is left home alone) or in the agents (e.g., two of our students change their behaviour because the other two have quarrelled).

211 Because of their "data superconductivity", ICTs 212 are well-known for being among the most influential 213 factors that affect the ontological friction in the inf-214 osphere.<sup>5</sup> A crucial difference between old and new 215 ICTs is *how* they affect it.

# 216 Ontological friction and the difference between old217 and new ICTs

In the past, ICTs have always tended to reduce what
agents considered the normal degree of ontological
friction in their environment. This already held true

for the invention of the alphabet or the diffusion of 221 printing. Photography and the rise of the daily press 222 were no exceptions. One can easily sympathize with 223 nineteenth century concerns about the impact on 224 individuals' informational privacy of "[r]ecent 225 inventions and business methods [...], [i]nstantaneous 226 photographs and newspaper enterprise 227 [...] and numerous mechanical devices" (Warren and 228 Brandeis, 1890). 229

All this does not mean that, throughout history, 230 informational privacy has constantly decreased in 231 relation to the invention and spreading of ever more 232 powerful ICTs. This would be a simplistic and mis-233 taken inference. As emphasized above, changes in the 234 nature both of the environment and of the agents 235 play a pivotal role as well, so the actual ontological 236 friction, and hence the corresponding degree of 237 informational privacy in a region of the infosphere, 238 are the result of a fine balance among several factors. 239 Most notably, during the nineteenth and the twenti-240 eth centuries, following the industrial revolution, the 241 242 social phenomenon of the new metropolis counter-243 acted the effects of the latest ICTs, as urban environments fostered a type of informational privacy 244 based on *anonymity*.<sup>6</sup> This is the sort of privacy 245 enjoyed by a leaf in the forest, still inconceivable 246 nowadays in rural settings or small villages. In the 247 same period in which Warren and Brandeis were 248 working on their classic article, the Edinburgh of Dr. 249 Jekyll<sup>7</sup> and the London of Sherlock Holmes<sup>8</sup> already 250 provided increasing opportunities for informational 251 privacy through anonymity, despite the recent avail-252 ability of new technologies. 253

Old ICTs have always tended to reduce the ontological friction in the infosphere because they *enhance* or *augment* the agents embedded in it. To understand why, consider the appliances available in our students' house.

Some appliances – e.g. a drill, a vacuum cleaner or 259 a food mixer - are tools that enhance their users, 260 exactly like an artificial limb. Tele-ICTs (e.g. the 261 telescope, the telegraph, the radio, the telephone or 262 the television) are enhancing in this sense. Some other 263 appliances - e.g. a dishwasher, a washing machine or 264 a refrigerator – are robots that *augment* their users 265 insofar as well-specified tasks can be delegated to 266 them, at least partially. Recording ICTs (e.g. the 267 alphabet and the various writing and printing 268



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<sup>&</sup>lt;sup>5</sup> For a similar point see Moor (1997), who writes "When information is computerized, it is *greased* to slide easily and quickly to many ports of call" (p. 27).

<sup>&</sup>lt;sup>6</sup> Anonymity is defined here as the unavailability of personal information, or the "noncoordinability of traits in a given respect", according to Wallace (1999).

<sup>&</sup>lt;sup>7</sup> Stevenson's *The Strange Case of Dr Jekyll and Mr Hyde* was first published in 1886.

<sup>&</sup>lt;sup>8</sup> Doyle's *A Study in Scarlet* was first published in 1887.

269 technologies, the tape or video recorder) are 270 augmenting in this sense.

271 Enhancing and augmenting ICTs have converged 272 and become bundled together. The Watergate scandal 273 and Nixon's resignation would have been impossible 274 without them. But whether kept separate or packaged 275 together, old ICTs have always shared the funda-276 mental feature of facilitating the information flow in 277 the infosphere by increasingly empowering the agents 278 embedded in it. This "agent-oriented" trend in old, 279 predigital<sup>9</sup> ICTs is well represented by dystopian 280 views of informationally omnipotent agents, able to 281 overcome any ontological friction, to control every 282 aspect of the information flow, to acquire any per-283 sonal data and hence to implement the ultimate sur-284 veillance system, thus destroying all informational 285 privacy, "the dearest of our possessions".

286 Now, according to a "continuist" interpretation of 287 technological changes, digital ICTs should be treated as just one more instance of well-known, enhancing 288 289 or augmenting ICTs. But then - the reasoning goes -290 if there is no radical difference between old and new 291 (i.e. digital) ICTs, it is reasonable to argue that the 292 latter cause increasing problems for informational 293 privacy merely because they are orders of magnitude 294 more powerful than past technologies in enhancing or 295 augmenting agents in the infosphere. All past ICTs 296 have tended to reduce the ontological friction in the 297 infosphere by enhancing or augmenting the agents 298 inhabiting it, but digital ICTs are no exception, so the 299 2P2Q explanation is correct. Orwell's "Big Brother" 300 is readily associated with the ultimate database.

301 Although the continuist 2P2Q hypothesis is rea-302 sonable and intuitive, it overlooks the essence of the 303 problem. In theory, ontological friction can both be 304 reduced and increased. We have seen how the emer-305 gence of the urban environment actually produced 306 more anonymity, and hence more ontological friction and more informational privacy. The difference 307 308 between old and new ICTs is that the former tended 309 to reduce informational privacy, whereas the latter 310 can also increase it. This is because the former tended 311 to enhance or augment the agents involved more and 312 more, whereas the latter can also change the very 313 nature of the infosphere (that is, of the environment 314 itself, of the agents embedded in it and of their 315 interactions). The 2P2Q explanation misses a funda-316 mental difference between old and new ICTs: the 317 former are enhancing or augmenting whereas the 318 latter are best understood as re-ontologizing tech-319 nologies, an important distinction that needs to be 320 analyzed in some detail.

### Digital ICTs as re-ontologizing technologies

Our model and a bit of science fiction will help to 322introduce the new concept of *re-ontologization*.<sup>10</sup> 323

Suppose that all the walls and the furniture in our 324 325 students' house are transformed into perfectly transparent glass. Assuming our students have good sight, 326 this will drastically reduce the ontological friction in 327 the system. Imagine next that the students are 328 transformed into proficient mind-readers and telep-329 athists. Any informational privacy in this sort of 330 Bentham's PanOpticon will become virtually impos-331 sible. The thought experiment illustrates how radical 332 modifications in the very nature (a re-ontologization) 333 of the infosphere can dramatically change the con-334 ditions of possibility of informational privacy. 335

The influence exercised by the new digital ICTs on 336 the infosphere can now be analyzed in terms of its reontologization. Schematically, one can distinguish 338 five fundamental trends. 339

1. The digitization of the informational environment. 340 341 This is the most obvious way in which the new ICTs have re-ontologized the infosphere. The transition 342 343 from analogue to digital data is very familiar and requires no explanation, but perhaps a brief comment 344 may not go amiss. In their second study on infor-345 mation storage and flows, Lyman and Varian (2003) 346 write that "Print, film, magnetic, and optical storage 347 348 media produced about 5 exabytes of new information in 2002. Ninety-two percent of the new information 349 was stored on magnetic media, mostly in hard disks. 350 [...] Five exabytes of information is equivalent in size 351 to the information contained in 37,000 new libraries 352 the size of the Library of Congress book collections" 353 (Lyman and Varian, 2003). Although the production 354 of analogue data is still increasing, the infosphere is 355 fast becoming progressively more digital. 356

2. The homogenization of the processor and the 357 processed. The re-ontologization of the infosphere 358 has also been caused by the fundamental convergence 359 between digital resources and digital tools. The 360 ontology of the information technologies available 361 (e.g. software, databases, communication protocols 362 etc.) is now the same as (and hence fully compatible 363 with) the ontology of their objects. This was one of 364 Turing's most consequential intuitions: in the re-365 ontologized infosphere, there is no longer any sub-366 stantial difference between the processor and the 367 processed and the digital deals effortlessly and 368 seamlessly with the digital. This potentially eliminates 369 370 one of the most long-standing bottlenecks in the infosphere, a major source of ontological friction. 371

<sup>&</sup>lt;sup>10</sup> The neologism is constructed following the word "reengineering" ("to design and construct anew").

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<sup>&</sup>lt;sup>9</sup> Orwell's *1984*, first published in 1949, contains no reference to computers or digital machines.

372 The increasing computerization of artefacts (from the cash machine to the fridge, from the car to 373 the building, from one's underwear to a book, cf. the 374 current debate on privacy and RFID<sup>11</sup> and of whole 375 376 social environments (the phenomenon of "Ubiquitous Computing" or "Ambient Intelligence"<sup>12</sup>) 377 378 reminds us that soon it will be difficult to understand 379 what life was in predigital times.

380 3. The evolution of new informational agents. This 381 change concerns the emergence of artificial and hybrid agents (i.e. partly artificial and partly human; 382 383 consider the group of our students as a single agent, 384 equipped with digital cameras, laptops, palm pilots, 385 mobiles, a wireless network, digital TVs, DVDs, CD 386 players, etc.). These new artificial agents share the same ontology with their environment and can 387 388 operate in it with much more freedom and control. 389 This is where digital ICTs can be mistaken for mere 390 augmenting technologies. Arguably, the infosphere will be progressively populated by artificial or hybrid 391 392 agents, to which other (not necessarily human) agents 393 will be able to delegate tasks and decisions. It is to be 394 expected that the moral status of such agents will 395 become an ever more challenging issue.<sup>13</sup>

4. *The informationalization of interactions*. In the
re-ontologized infosphere populated by ontologicallyequal entities and agents, where there is no ontological
difference between processors and processed, interactions become equally digital. They are all interpretable as "read/write" (i.e., access/alter) activities,
with "execute" the remaining type of process.

403 5. The mutation of old agents into informational 404 agents. Finally, by re-ontologizing the infosphere, digital ICTs have also brought to light the intrinsi-405 406 cally informational nature of human agents. This is 407 not equivalent to saying that our students in the 408 house have digital alter egos, some Messrs Hydes 409 represented by their @s, blogs and https. This trivial point only encourages us to mistake digital ICTs for 410 411 merely enhancing technologies. The informational nature of agents should not be confused with a "data 412 shadow"<sup>14</sup> either. The more radical change, brought 413 414 about by the re-ontologization of the infosphere, has 415 been the disclosure of human agents as informational

<sup>13</sup> The issue of artificial morality is analyzed in Floridi and Sanders (2004b).
 <sup>14</sup> The term is introduced by Westin (1968) to describe a digital

<sup>14</sup> The term is introduced by Westin (1968) to describe a digital profile generated from data concerning a user's habits online.

entities among other informational entities, in the following sense.

Recall the distinction between enhancing and 418 augmenting appliances. The switches and dials of the 419 former are interfaces meant to plug in the appliance 420 421 to the user's body ergonomically. The data and 422 control panels of augmenting appliances are instead interfaces between different possible worlds: on the 423 one hand there is the human user's Umwelt,<sup>15</sup> and on 424 the other hand there are the dynamic, watery, soapy, 425 hot and dark world of the dishwasher; the equally 426 watery, soapy, hot and dark but also spinning world 427 of the washing machine; or the still, aseptic, soapless, 428 429 cold and potentially luminous world of the refrigerator. These robots can be successful because they 430 have their environments "wrapped" and tailored 431 around their capacities, not vice versa. Imagine our 432 students trying to build a droid like C3PO capable of 433 washing their dishes in the sink exactly in the same 434 way as they would. 435

Computers and digital ICTs are not augmenting or 436 437 empowering in the sense just explained. They are ontologizing devices because they engineer environ-438 439 ments that the user is then enabled to enter through (possibly friendly) gateways. So, whilst a dishwasher 440 interface is a panel through which the machine enters 441 into the user's world, a computer interface is a gate 442 through which a user can be telepresent in the info-443 444 sphere (Floridi, forthcoming-b). This simple but fundamental difference underlies the many spatial 445 metaphors of "cyberspace", "virtual reality", "being 446 online", "surfing the web", "gateway" and so forth. 447

The re-ontologization of the infosphere, just sket-448 449 ched, has been causing an epochal, unprecedented migration of humanity from its Umwelt to the info-450 sphere itself. Inside it, humans are informational 451 agents among other informational (possibly artificial) 452 agents. They operate in an environment that is 453 friendlier to "digital creatures". They have the 454 ontological status of informational entities. And as 455 digital immigrants are replaced by digital natives, the 456 457 latter may come to appreciate that there is no ontological difference between infosphere and Umwelt, 458 only a difference of levels of abstractions (Floridi and 459 Sanders, 2004a; forthcoming). 460

# Informational privacy in the re-ontologized461infosphere462

To summarize, so far it has been argued that informational privacy is a function of the ontological friction in the infosphere. Many factors can affect the 465

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<sup>&</sup>lt;sup>11</sup> Radio Frequency IDentification, a method of storing and remotely retrieving data using tags or transponders.

<sup>&</sup>lt;sup>12</sup> Coroama et al. (2004), Bohn et al. (2004) and Brey (2005) offer an ethical evaluation of privacy-related issues in Ambient Intelligence environments. For a technically informative and balanced assessment see also Gow (2005).

<sup>&</sup>lt;sup>15</sup> The outer world, or reality, as it affects the agent inhabiting it.

466 latter, including, most importantly, technological innovations and social developments. Old ICTs 467 468 affected the ontological friction in the infosphere 469 mainly by enhancing or augmenting the agents 470 embedded into it; therefore, they tended to decrease 471 the degree of informational privacy possible within 472 the infosphere. On the contrary, digital ICTs 473 affect the ontological friction in the infosphere most 474 significantly by re-ontologizing it; therefore, not only 475 can they both decrease and protect informational 476 privacy but, most importantly, they can also alter its 477 nature and hence our understanding and appreciation 478 of it.

479 Framing the revolutionary nature of digital ICTs 480 in this ontological way offers several advantages. The 481 first can be highlighted immediately: the ontological 482 hypothesis is perfectly consistent with the 2P2Q hypothesis, since the re-ontologization of the info-483 sphere explains why digital ICTs are so successful, in 484 485 terms of the quantity, quality and speed at which they 486 can variously process their data. It follows that the 487 ontological hypothesis can inherit whatever explan-488 atory benefits are carried by the 2P2Q hypothesis.

489 Four other advantages can be listed here but each 490 of them requires a more detailed analysis: (1) con-491 trary to the 2P2Q hypothesis, the new approach 492 explains why digital ICTs can also enhance infor-493 mational privacy, although (2) there is still a sense in 494 which the information society provides less protec-495 tion for informational privacy than the industrial 496 society did. Above all, (3) the ontological hypothesis 497 provides the right frame within which to assess con-498 temporary interpretations of informational privacy 499 and (4) can indicate how we might wish to proceed in 500 the future in order to protect informational privacy in 501 the newly re-ontologized infosphere. Let us consider 502 each point in turn.

## 503 Empowering the informational agent

504 In the re-ontologized infosphere, any informational 505 agent has an increased power not only to gather and 506 process personal data, but also to control and protect 507 them. Recall that the digital now deals with the dig-508 ital effortlessly. The phenomenon cuts both ways. It 509 has led not only to a huge expansion in the flow of 510 personal information being recorded, processed and 511 exploited, but also to a large increase in the types and 512 levels of control that agents can exercise on their 513 personal data. And while there is only a certain 514 amount of personal data that an agent may care to protect, the potential growth of digital means and 515 516 measures to control their life-cycle does not seem to have a foreseeable limit. If privacy is the right of 517

individuals (being these single persons, groups, or 518 institutions) to control the life-cycle (especially the 519 generation, access, recording and usage) of their 520 information and determine for themselves when, 521 how, and to what extent their information is pro-522 cessed by others, then one must agree that digital 523 ICTs may enhance as well as hinder the possibility of 524 enforcing such right. 525

At their point of generation, digital ICTs can foster the protection of personal data, e.g. by means of encryption, anonymization, password-encoding, firewalling, specifically devised protocols or services, and, in the case of externally captured data, warning systems. 531

At their point of storage, legislation, such as the 532 Data Protection Directive passed by the EU in 1995, 533 guarantees that no ontological friction, already 534 removed by digital ICTs, is surreptitiously reintro-535 duced to prevent agents from coming to know about 536 the existence of personal data records, and from 537 accessing them, checking their accuracy, correcting or 538 upgrading them or demanding their erasure. 539

And at their point of exploitation – especially through data-mining, -sharing, -matching and -merging – digital ICTs could help agents to control and regulate the usage of their data by facilitating the identification and regulation of the relevant users involved.

At each of these three stages, solutions to the problem of protecting informational privacy can be not only self-regulatory and legislative but also technological, not least because informational privacy infringements can more easily be identified and redressed also thanks to digital ICTs. 551

All this is not to say that we are inevitably moving 552 towards an idyllic scenario in which our PETs (Pri-553 vacy Enhancing Technologies) will fully protect our 554 private lives and information against harmful PITs 555 (Privacy Intruding Technologies). Such optimism is 556 unjustified. But it does mean that digital ICTs can 557 already provide some means to counterbalance the 558 risks and challenges that they represent for informa-559 tional privacy, and hence that no fatalistic pessimism 560 is justified either. Digital ICTs do not necessarily 561 erode informational privacy; they can also enhance 562 and protect it. A good example is provided by the 563 P3P (Platform for Privacy Preferences) initiative of 564 the W3C (World Wide Web Consortium, see http:// 565 www.w3.org/P3P/). 566

## The return of the (digital) community

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Because digital ICTs are radically modifying our 568 informational environments, ourselves and our 569

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570 interactions, it would be naïve to expect that infor-571 mational privacy in the future will mean exactly what

it meant in the industrial Western world in the middle

573 of the last century.574 In section four, we saw that, between the end of

the nineteenth and the beginning of the twentieth 575 576 century, the ontological friction in the infosphere, 577 actually reduced by old ICTs, was nevertheless 578 increased by social conditions favouring anonymity 579 and hence a new form of informational privacy. In this respect, the diffusion of digital ICTs has finally 580 581 brought to completion the process begun with the 582 invention of printing. We are back into the now 583 digital community, where anonymity can no longer 584 be taken for granted, and hence where the decrease in 585 ontological friction caused by old and new ICTs can 586 have all its full-blown effects on informational privacy. In Britain, for example, public places are con-587 stantly monitored by 1.5 m CCTV systems, with the 588 589 result that the average citizen is recorded 300 times a 590 day (The Economist, (Jan 23rd 2003). The digital 591 ICTs that allowed terrorists to communicate undis-592 turbed over the Internet were also responsible for the 593 identification of the London bombers in a matter of 594 hours (Figure 1). Likewise, mobile phones are 595 increasingly useful as forensic evidence in trials. In 596 Britain, cell site analysis (a form of triangulation that 597 estimates the location of a mobile phone when it is 598 used) helped disprove Ian Huntley's alibi and convict 599 him for the murdering of Holly Wells and Jessica

Chapman. Sherlock Holmes has the means to fight Mr. Hyde.

How serious and dangerous is it to live in a glassy 602 infosphere? Human agents tend to be acquainted with 603 different environments that have varying degrees of 604 ontological friction and hence to be rather good at 605 adapting themselves accordingly. As with other forms 606 of fine equilibria, it is hard to identify, for all agents 607 in any environments, a common, lowest threshold of 608 ontological friction below which human life becomes 609 increasingly unpleasant and ultimately unbearable. It 610 is clear, however, that a particular threshold has been 611 overcome when the agents are willing to employ 612 resources, run risks or expend energy to restore it, e.g. 613 by building a higher fence, by renouncing a desired 614 service, or by investing time in revising a customer 615 profile. On the other hand, different agents have 616 different degrees of sensitivity. One needs to remem-617 ber that several factors (character, culture, upbring-618 ing, past experiences etc.) make each agent a unique 619 individual. To one person, a neighbour capable of 620 seeing one's garbage in the garden may seem an 621 unbearable breach of their privacy, which it is worth 622 any expenditure and effort to restore; to another 623 person, living in the same room with several other 624 family members may feel entirely unproblematic. 625 Human agents can adapt to very low levels of onto-626 logical friction. Virginia Woolf's essay on Montaigne 627 discusses the lack of ontological friction that char-628 acterizes public figures in public contexts. Politicians 629



Figure 1. CCTV image of the four London terrorists as they set out from Luton.

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630 and actors are used to environments were privacy is a rare commodity. Likewise, people involved in "Big 631 Brother" (but "Truman Show" would be a more 632 633 appropriate label) programmes show a remarkable 634 capacity to adapt to settings where any ontological 635 friction between them and the public is systematically reduced, apparently for the sake of entertainment. In 636 637 far more tragic and realistic contexts, prisoners in 638 concentration camps are subject to extreme duress 639 due to both intended and unavoidable rarefaction of ontological friction (Levi, 1959). 640

641 The information society has revised the threshold 642 of ontological friction and therefore provides a dif-643 ferent sense in which its citizens appreciate their 644 informational privacy. Your supermarket knows 645 exactly what you like, but so did the owner of the 646 grocery where your grandparents used to shop. Your 647 bank has detailed records of all your visits and of your financial situation, but how exactly is this dif-648 649 ferent from the old service? A phone company could 650 analyze and transform the call data collected for billing purposes into a detailed subscriber profile: 651 652 social network (names and addresses of colleagues friends or relatives called), possible nationality (types 653 654 of international calls), times when one is likely to be 655 at home and hence working patterns, financial profile 656 (expenditure) and so forth. Put together the data 657 from the supermarket, the bank and the phone 658 company, and inferences of all sorts could be drawn 659 for one's credit rating. Yet so they could be and were 660 in Alexandre Dumas' The Count of Monte Cristo 661 (1844). Some steps forward into the information 662 society are really steps back into a small community and, admittedly, the claustrophobic atmosphere that 663 664 may characterize it.

665 In the early stages in the history of the Web, roughly when Netscape was synonymous with 666 browser, users believed that being online meant being 667 entirely anonymous. A networked computer was like 668 669 Gyges' ring in Plato's Republic (359b-360d): it made 670 one invisible, unaccountable and therefore potentially 671 less responsible, socially speaking. Turing would 672 certainly have appreciated the (at the time) popular 673 comic strip in which a dog, typing an email on a 674 computer, confessed to another dog that "when you 675 are on the Internet nobody can guess who you are". 676 Nowadays, the strip is not funny anymore, only 677 outdated. Cookies, monitoring software and malware 678 (malicious software, such as spyware) have made 679 people realize that the screen in front of them is not a 680 shield for their privacy or Harry Potter's invisibility 681 cloth, but a window on their lives online, through 682 which virtually anything could be seen. They expect 683 web sites to monitor and record their activities and do 684 not even mind for what purpose. They accept that being online is one of the less private things in life.<sup>16</sup> 685 The screen is a monitor and is monitoring you. 686

A few years ago, a journalist at *The Economist* ran 687 an experiment (The Economist, December 16th 1999). 688 He asked a private investigator, "Sam", to show what 689 690 information it was possible to gather about someone. The journalist himself was to be the subject of the 691 experiment. The country was Britain, the place where 692 the journalist lived. The journalist provided Sam with 693 only his first and last names. Sam was told not to use 694 "any real skulduggery (surveillance, going through 695 her domestic rubbish, phone-tapping, hacking, that 696 sort of thing)". The conclusion? By using several 697 databases and various ICTs, "Without even talking to 698 anyone who knows me, Sam [...] had found out quite 699 a bit about me. He had a reasonable idea of my per-700 sonal finances - the value of my house, my salary and 701 702 the amount outstanding on my mortgage. He knew my address, my phone number, my partner's name, a 703 former partner's name, my mother's name and 704 address, and the names of three other people who had 705 706 lived in my house. He had 'found' my employer. He 707 also had the names and addresses of four people who had been directors of a company with me. He knew 708 my neighbours' names." 709

Shocking? Yes, in the anonymous industrial soci-710 ety, but not really in the pre-industrial village before 711 it, or in the information society after it. In Guarcino, 712 a small village south of Rome of roughly a thousand 713 people, everybody knows everything about every-714 body else, "vita, morte e miracoli", "life, death and 715 miracles", as they say in Italian. There is very little 716 ontological friction provided by anonymity so there is 717 very little informational privacy in that respect. A 718 difference with the information society is that we have 719 seen that the latter has the digital means to protect 720 what the small village must necessarily forfeit. 721

There are of course many other dissimilarities. As Paul Oldfield has rightly stressed,<sup>17</sup> the comparison between today's information society and the small community of the past, where "everybody knows everything", must be taken with more than a pinch of salt. History may repeat itself, yet never too 727

<sup>17</sup> Private communication. The rest of this section is largely based on comments sent to me by Paul Oldfield.



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<sup>&</sup>lt;sup>16</sup> "The best long-term assessment of public attitudes toward privacy is provided by Columbia's Alan Westin, who has conducted a series of polls over the last thirty years on this issue. On average, he finds that one quarter of the American public cares deeply about keeping personal information secret, one quarter doesn't care much at all, and roughly half are in the middle, wanting to know more about the benefits, safeguards, and risks before providing information. Customer behaviour in the marketplace – where many people freely provide personal information in exchange for various offers and benefits – seems to bear out this conclusion" Walker (2000).

728 monotonously. Small communities had a high degree 729 of intra-community transparency (like a shared 730 house) but a low degree of inter-community trans-731 parency (they were not like the Big Brother house, 732 visible to outside viewers). So in those communities, 733 the breaches of privacy were reciprocal, yet there were 734 few breaches of privacy across the boundary of the 735 community. This is quite different from today's 736 information society, where there can be very little 737 transparency within the communities we live or work in (we hardly know our neighbours, and our fellow-738 739 workers have their privacy rigorously protected), yet 740 data-miners, hackers and institutions can be very well 741 informed about us. Breaches of privacy from outside 742 are common. What is more, we do not even know 743 whether they know our business. On the other hand, 744 part of the value of this comparison lies in the size of 745 the community taken into consideration. A special 746 trait of the information society is precisely its lack of 747 boundaries, its global nature. We live in a single inf-748 osphere, which has no "outside" and where intra- and 749 inter-community relations are more difficult to dis-750 tinguish. The types of invasion of privacy are quite different too. In the small community, breaches of 751 752 privacy might shame or discredit you. Interestingly, 753 Augustine usually speaks of privacy in relation to the 754 topic of intercourse in married couples, and he always 755 associates it to secrecy and secrecy to shame or 756 embarrassment. Or they might disclose your real 757 identity or character (more on this in section ten). 758 Things that were private became public knowledge. In 759 the information society, such breaches involve unau-760 thorized collection of information, not necessarily its 761 publication. Things that are private may not become public at all; they may be just accessed and used by 762 763 privileged others. The small community also had its 764 own self-regulations for limiting breaches of privacy. 765 Everyone knew that they were as subject to scrutiny as everyone else, and this set an unspoken limit on their 766 767 enthusiasm for intruding into others' affairs.

#### 768 Assessing theories of privacy

(e.g. unfairness). Informational privacy is a utility, 780 also in the sense of providing an essential condition of 781 possibility of good human interactions, e.g. by pre-782 serving human dignity or by providing political 783 checks and balances. 784

The ownership-based interpretation argues that 785 informational privacy needs to be respected because of 786 each person's rights to bodily security and property 787 (where "property of x" is classically understood as the 788 right to exclusive use of x). A person is said to own his 789 790 or her information (information about him- or herself) - recall Virginia Woolf's "infinitely the dearest of our 791 possessions" - and therefore to be entitled to control 792 its whole life-cycle, from generation to erasure.<sup>18</sup> 793

The two approaches are not incompatible, but 794 they stress different aspects of informational privacy. 795 One is more oriented towards a consequentialist 796 797 assessment of privacy protection or violation. The other is more oriented towards a "natural rights" 798 understanding of the concept of privacy itself, in 799 terms of private or intellectual property. Unsurpris-800 ingly, they both compare privacy breach to a tres-801 pass<sup>19</sup> or unauthorized invasion of, or intrusion in, a 802 space or sphere of personal information, whose 803 accessibility and usage ought to be fully controlled by 804 its owner and hence kept private. A typical example is 805 provided by the border-crossing model of informa-806 tional privacy developed by Gary T. Marx since the 807 late nineties (see now Marx, 2005). 808

The reductionist interpretation is not entirely sat-809 isfactory. Defending the need for respect for infor-810 mational privacy in view of the potential misuse of 811 the information acquired is certainly reasonable, 812 especially from a consequentialist perspective, but it 813 may be inconsistent with pursuing and furthering 814 social interests and welfare. For, although it is obvi-815 ous that even some public personal information may 816 need to be protected - e.g. against profiling or unre-817 strained electronic surveillance - it remains unclear, 818 on a purely reductionist basis, whether a society 819 devoid of any informational privacy may not be a 820 better society, with a higher, common welfare.<sup>20</sup> It 821

<sup>20</sup> Moor (1997) infers from this that informational privacy is not a core value, i.e. a value that "all normal humans and cultures need for survival", but then other values he lists as "core" are not really so in his sense, e.g. happiness and freedom. According to Moor, privacy is also intrinsically valuable, while being the expression of the core value represented by security.



<sup>769</sup> Once it is acknowledged that digital ICTs have 770 re-ontologized the infosphere, it becomes easier to assess the available theories of informational privacy 771 772 and its moral value.

<sup>773</sup> Two theories are particularly popular: the reduc-774 tionist inteprretation and the ownership-based 775 interpretation.

<sup>776</sup> The reductionist interpretation argues that the 777 value of informational privacy rests on a variety of 778 undesirable consequences that may be caused by its 779 breach, either personally (e.g. distress) or socially

<sup>&</sup>lt;sup>18</sup> The debate on the ownership-based interpretation developed in the seventies, see Scanlon (1975) and Rachels (1975), who criticize Thomson (1975), who supported an interpretation of the right to privacy as being based on property rights.

<sup>&</sup>lt;sup>19</sup> See Spinello (2005) for a recent assessment of the use of the trespassing analogy in computer-ethical and legal contexts. Charles Ess has pointed out to me that comparative studies have shown such spatial metaphors to be popular only in Western contexts.

822 has been argued, for example, that the defence of 823 informational privacy in the home may actually be used as a subterfuge to hide the dark side of privacy: 824 825 domestic abuse, neglect or mistreatment. Precisely 826 because of reductionist-only considerations, even in 827 democratic societies such as the UK and the US, it 828 tends to be acknowledged that the right to informa-829 tional privacy can be overridden when other concerns 830 and priorities, including business needs, public safety 831 and national security, become more pressing. All this is despite the fact that article 12 of The Universal 832 833 Declaration of Human Rights clearly indicates that 834 "No one shall be subjected to arbitrary interference 835 with his privacy, family, home or correspondence, 836 nor to attacks upon his honour and reputation. 837 Everyone has the right to the protection of the law 838 against such interference or attacks."

839 The ownership-based interpretation also falls short
840 of being entirely satisfactory. Three problems are
841 worth highlighting here:

- 842 (i) the issue of informational contamination under-843 mining passive informational privacy; this is the 844 unwilling acquisition of information or data (e.g. 845 mere noise) imposed on someone by some external 846 source. Brainwashing may not occur often, but 847 junkmail, or the case of a person chatting loudly 848 on a mobile near us, are unfortunately very com-849 mon experiences of passive privacy breach, yet no 850 informational ownership seems to be violated;
- 851 (ii) the issue of informational privacy in public con-852 texts; informational privacy is often exercised in 853 public spaces, that is, in spaces which are not only socially and physically public - a street, a car 854 855 park, a pub – but also informationally public – anyone can see the newspaper one buys, the bus 856 857 one takes, the T-shirt one wears, the drink one is 858 ordering (Patton, 2000). How could a CCTV 859 system be a breach of someone's privacy if the 860 agent is accessing a space which is public in all possible senses anyway? and 861
- 862 (iii) the metaphorical and imprecise use of the concept 863 of "information ownership", which cannot quite explain the lossless acquisition (or usage) of 864 865 information: contrary to other things that one owns, one's personal information is not lost when 866 867 acquired by someone else. Analyses of privacy based on "ownership" of an "informational 868 869 space" are metaphorical twice over.

## 870 The ontological interpretation of informational

## 871 privacy and its value

872 Both the reductionist and the ownership-based 873 interpretation fail to acknowledge the radical change brought about by digital ICTs. They belong to an industrial culture of material goods and of manufacturing/trading relations. They are overstretched when trying to cope with the new challenges offered by an informational culture of services and usability. 878

Warren and Brandeis (1890) had already realized 879 this limit very insightfully: "where the value of the 880 production [of some information] is found not in the 881 right to take the profits arising from publication, but 882 in the peace of mind or the relief afforded by the 883 ability to prevent any publication at all, it is difficult 884 to regard the right as one of property, in the common 885 acceptation of the term" (p. 25, emphasis added). 886

More than a century later, in the same way as the digital revolution is best understood as a fundamental re-ontologization of the infosphere, informational privacy requires an equally radical re-interpretation, one that takes into account the essentially informational nature of human beings and of their operations as informational social agents. 893

Such re-interpretation is achieved by considering each person as constituted by his or her information, and hence by understanding a breach of one's informational privacy as a form of aggression towards one's personal identity.

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The following passage by Marcel Proust, though 899 admittedly referring to the social construction of the 900 901 individual, helps to conveys the idea of a person as an informational entity: "But then, even in the most 902 insignificant details of our daily life, none of us can be 903 said to constitute a material whole, which is identical 904 for everyone, and need only be turned up like a page 905 906 in an account-book or the record of a will; our social personality is created by the thoughts of other people. 907 Even the simple act which we describe as "seeing 908 some one we know" is, to some extent, an intellectual 909 process. We pack the physical outline of the creature 910 we see with all the ideas we have already formed 911 about him, and in the complete picture of him which 912 we compose in our minds those ideas have certainly 913 914 the principal place. In the end they come to fill out so completely the curve of his checks, to follow so 915 exactly the line of his nose, they blend so harmoni-916 ously in the sound of his voice that these seem to be 917 918 no more than a transparent envelope, so that each time we see the face or hear the voice it is our own 919 920 ideas of him which we recognize and to which we listen." (Remembrance of Things Past - Swann's 921 Way). 922

The ontological interpretation is consistent with 923 the fact that digital ICTs can both erode and reinforce informational privacy, and hence that a positive 925 effort needs to be made in order to support not only 926 PET but also "poietic" (i.e. constructive) applications, which may allow users to design, shape and 928



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929 maintain their identities as informational agents 930 (Floridi and Sanders, 2005). The information flow 931 needs some friction in order to keep firm the dis-932 tinction between the multiagent system (the society) 933 and the identity of the agents (the individuals) con-934 stituting it. Any society (even a utopian one) in which 935 no informational privacy is possible is one in which 936 no personal identity can be maintained and hence no 937 welfare can be achieved, social welfare being only the 938 sum of the individuals' involved. The total "trans-939 parency" of the infosphere that may be advocated by 940 some reductionists – recall the example of the glassy 941 house and of our mentally super-enhanced students -942 achieves the protection of society only by erasing all 943 personal identity and individuality, a "final solution" 944 for sure, but hardly one that the individuals them-945 selves, constituting the society so protected, would be 946 happy to embrace freely.

947 The advantage of the ontological interpretation 948 over the reductionist one is then that consequentialist 949 concerns may override respect for informational 950 privacy, whereas the ontological interpretation, by 951 equating its protection to the protection of personal 952 identity, considers it a fundamental and inalienable right,<sup>21</sup> so that, by default, the presumption should 953 954 always be in favour of its respect. As we shall see, this 955 is not to say that informational privacy is never 956 negotiable in any degree.

957 Looking at the nature of a person as being con-958 stituted by that person's information allows one to 959 understand the right to informational privacy as a 960 right to personal immunity from unknown, undesired 961 or unintentional changes in one's own identity as an 962 informational entity, either actively - collecting, 963 storing, reproducing, manipulating etc. one's infor-964 mation amounts now to stages in cloning and 965 breeding someone's personal identity - or passively -966 as breaching one's informational privacy may now 967 consist in forcing someone to acquire unwanted data, 968 thus altering her or his nature as an informational entity without consent.<sup>22</sup> The first difficulty facing the 969 970 ownership-based interpretation is thus avoided: in 971 either case, the ontological interpretation suggests that there is no difference between one's informa-972 973 tional sphere and one's personal identity. "You are 974 your information", so anything done to your infor-975 mation is done to you, not to your belongings. The 976 right to informational privacy (both in the active and 977 in the passive sense just seen) shields one's personal 978 identity. This is why informational privacy is extremelv valuable and ought to be respected. 979

Heuristically, violations of informational privacy 980 are now more fruitfully compared to a digital kid-981 napping rather than trespassing: the observed is 982 moved to an observer's local space of observation (a 983 space which is remote for the observed), unwillingly 984 985 and possibly unknowingly. What is abducted is personal information and no actual removal is in gues-986 tion, but a cloning of the relevant piece of personal 987 information. Yet the cloned information is not a 988 "space" that belongs to the observed and which has 989 been trespassed; it is part of the observed herself, or 990 better something that (at least partly) constitutes the 991 992 observed for what she or he is. It is a Doppelgänger, 993 as Richard Avedon described it once, when speaking of his photograph of Henry Kissinger ("Is it just a 994 shadow representation of a man? Or is it closer to a 995 doppelgänger, a likeness with its own life, an inexact 996 997 twin whose afterlife may overcome and replace the 998 original?"). A further advantage, in this change of perspective, is that it becomes possible to dispose of 999 the false dichotomy qualifying informational privacy 1000 in public or in private contexts. Insofar as a piece of 1001 information constitutes an agent, it does so context-1002 independently and that is why the observed may wish 1003 to preserve her integrity and uniqueness as an infor-1004 mational entity, even when she is in an entirely public 1005 place. After all, trespassing makes no sense in a 1006 public space, but kidnapping is a crime independently 1007 of where it is committed. The second problem 1008 affecting the ownership-based interpretation is also 1009 solved. 1010

As for the third problem, one may still argue that 1011 an agent "owns" his or her information, yet no longer 1012 in the metaphorical sense seen above, but in the 1013 precise sense in which an agent is her or his infor-1014 mation. "My" in "my information" is not the same 1015 "my" as in "my car" but rather the same "my" as in 1016 "my body" or "my feelings": it expresses a sense of 1017 constitutive belonging, not of external ownership, a 1018 sense in which my body, my feelings and my infor-1019 mation are part of me but are not my (legal) pos-1020 sessions. It is worth quoting Warren and Brandeis 1021 (1890) once again: ""[...] the protection afforded to 1022 thoughts, sentiments, and emotions [...] is merely an 1023 instance of the enforcement of the more general right 1024 of the individual to be let alone. It is like the right not 1025 to be assaulted or beaten, the right not to be 1026 imprisoned, the right not to be maliciously perse-1027 cuted, the right not to be defamed [or, the right not to 1028 be kidnapped, my addition]. In each of these rights 1029 [...] there inheres the quality of being owned or pos-1030 sessed and [...] there may be some propriety in 1031 1032 speaking of those rights as property. But, obviously, they bear little resemblance to what is ordinarily 1033 comprehended under that term. The principle [...] is in 1034



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<sup>&</sup>lt;sup>21</sup> For a different view see Volkman, 2003.

<sup>&</sup>lt;sup>22</sup> This view is close to the interpretation of privacy in terms of protection of human dignity defended by Bloustein (1964).

reality not the principle of private propriety but that of
inviolate personality (p. 31, emphasis added) [...] the
right to privacy, as part of the more general right to the

*immunity of the person*, [is] *the right to one's personality* (p. 33, emphasis added).

1040 This ontological conception has started being 1041 appreciated by more advanced information societies 1042 where identity theft is the fastest growing white-collar 1043 offence, as Figure 2 well indicates. Informational 1044 privacy is the other side of identity theft, to the point 1045 that, ironically, for every person whose identity has 1046 been stolen (around 10m Americans are victims 1047 annually) there is another person (the thief) whose 1048 identity has been "enhanced".

1049 Recent problems affecting Google and its privacy 1050 policy convey a similar picture. As Kevin Bankston, 1051 staff attorney at the Electronic Frontier Foundation, 1052 remarks "Your search history shows your associa-1053 tions, beliefs, perhaps your medical problems. The 1054 things you Google for define you. [...] data that's 1055 practically a printout of what's going on in your 1056 brain: What you are thinking of buying, who you talk 1057 to, what you talk about" (quoted in Mills, 2005, 1058 emphasis added).

1059 As anticipated, the ontological interpretation
1060 reshapes some of the assumptions behind our still
1061 "industrial" conception of informational privacy.
1062 Three examples are indicative of this transition.

If personal information is finally acknowledged to 1063 be a constitutive part of someone's personal identity 1064 and individuality, then one day it may become strictly 1065 illegal to trade in some kinds of personal information, 1066 exactly as it is illegal to trade in human organs 1067 (including one's own) or slaves. The problem of child 1068 pornography may also be revisited in light of an 1069 ontological interpretation of informational privacy. 1070 At the same time, one might relax one's attitude 1071 towards some kinds of "dead personal information" 1072 that, like "dead pieces of oneself", are not really or 1073 no longer constitutive of oneself. One should not sell 1074 one's kidney, but can certainly sell one's hair or be 1075 rewarded for giving blood. Recall the experiment of 1076 the journalist at The Economist. Very little of what 1077 Sam had discovered could be considered ontologi-1078 cally constitutive of the person in question. We are 1079 constantly leaving behind a trail of personal data, 1080 pretty much in the same sense in which we are losing 1081 a huge trail of dead cells. The fact that nowadays 1082 digital ICTs allow our data trails to be recorded, 1083 monitored, processed and used for social, political or 1084 commercial purposes is a strong reminder of our 1085 informational nature as individuals and might be seen 1086 as a new level of ecologism, as an increase in what is 1087 recycled and a decrease in what is wasted. 1088

At the moment, all this is just speculation and in 1089 the future it will probably be a matter of fine 1090



## Total Identity Theft Records<sup>1</sup> by Calendar Year

<sup>1</sup>Percentages are based on the total number of identity theft records by calendar year.

Figure 2. Identity thefts in the US between 2002 and 2004. Source: Data from Consumer Sentinel and the Identity Theft Data Clearinghouse, National and State Trends in Fraud & Identity Theft, January–December 2004. Federal Trade Commission, February 1, 2005.

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1091 adjustments of ethical sensibilities, but the third 1092 Geneva Convention (1949) already provides a clear test of what might be considered "dead personal 1093 1094 information": a prisoner of war need only give his or 1095 her name, rank, date of birth, and serial number and 1096 no form of coercion may be inflicted on him or her to 1097 secure any further information, of any kind. If we 1098 were all considered "prisoners of the information 1099 society", our informational privacy would be well 1100 protected and yet there would still be some personal 1101 data that would be perfectly fine to share with any 1102 other agent, even hostile ones.

1103 A further issue that might be illuminated by the 1104 ontological interpretation is that of confidentiality. 1105 The sharing of private information with someone, 1106 implicitly or explicitly, is based on a relation of pro-1107 found trust that joins together the agents involved. 1108 This coupling is achieved by allowing the agents to be 1109 partly constituted, ontologically, by the same infor-1110 mation. Visually, the informational identities of the 1111 agents involved now overlap, at least partially, as in a 1112 Venn diagram. The union of the agents forms a single 1113 unity, a supra-agent. Precisely because entering into a 1114 new supra-agent is a delicate and risky operation, 1115 care should be exercised before "melding" oneself 1116 with other individuals by sharing personal informa-1117 tion or its source i.e. common experiences. Confi-1118 dentiality is a bond that is hard and slow to forge 1119 properly, yet resilient to many external forces when 1120 finally in place, as the supra-agent is stronger than the 1121 constitutive agents themselves. Relatives, friends, 1122 classmates, fellows, colleagues, comrades, compan-1123 ions, partners, team-mates, spouses and so forth may 1124 all have experienced the nature of such a bond, the 1125 stronger taste of a "we". But it is also a bond very 1126 brittle and difficult to restore when it comes to 1127 betrayal, since the disclosure, deliberate or uninten-1128 tional, of some personal information in violation of 1129 confidence can entirely and irrecoverably destroy the 1130 privacy of the new, supra-agent born out of the 1131 joining agents, by painfully tearing them apart. We 1132 shall return to the topic of trust and confidentiality at the end of this article. 1133

1134 A third and final issue can be touched upon rather 1135 briefly, as it was already mentioned above: the 1136 ontological interpretation stresses that informational 1137 privacy is also a matter of construction of one's own 1138 informational identity. The right to be let alone is 1139 also the right to be allowed to experiment with one's 1140 own life, to start again, without having records that mummify one's personal identity forever, taking 1141 1142 away from the individual the power to mould it. 1143 Everyday, a person may wish to build a different, 1144 possibly better, "I". We never stop becoming our-1145 selves, so protecting a person's informational privacy also means allowing that person the freedom to 1146 change, ontologically.<sup>23</sup> 1147

#### Informational privacy, personal identity and biometrics 1148

On September 12, 1560 the young Montaigne atten-1149 ded the public trial of Arnaud du Tilh, an impostor 1150 who was sentenced to death for having faked his 1151 identity. Many acquaintances and family members, 1152 including the wife Bertrande, had been convinced for 1153 a long while that he was Martin Guerre, returned 1154 home after many years of absence. Only when the 1155 real Martin Guerre came home was Arnaud's actual 1156 identity finally ascertained. 1157

Had Martin Guerre always been able to protect his 1158 personal information, Arnaud du Tilh would have 1159 been unable to steal his identity. Clearly, the more 1160 one's informational privacy is protected the more one's 1161 personal identity can be safeguarded. This new quali-1162 tative equation is a direct consequence of the onto-1163 logical interpretation. Personal identity also depends 1164 on informational privacy. The difficulty facing our 1165 contemporary society is how to combine the new 1166 equation with the other equation, introduced in sec-1167 tion three, according to which informational privacy is 1168 a function of the ontological friction in the infosphere. 1169 Ideally, one would like to reap all the benefits from 1170

- (a) the highest level of information flow; and hence from
- the lowest level of ontological friction; while (b) enioving
- the highest level of informational privacy pro-1175 (c) tection; and hence 1176
- (d) the highest level of personal identity protection.

The problem is that (a) and (d) seem incompatible: 1178 facilitate and increase the information flow through 1179 digital ICTs and the protection of one's personal 1180 identity is bound to come under increasing pressure. 1181 You cannot have an identity without having an 1182 identikit. Or so it seems, until one realizes that the 1183 information flowing in (a) consists of all sorts of data, 1184 including arbitrary data about oneself (e.g. a name 1185 and surname) that are actually shareable, whereas the 1186 information required to protect (d) can be ontic data, 1187 that is, data constituting someone (e.g. someone's 1188 DNA) that are hardly sharable by nature.<sup>24</sup> Enter 1189 biometrics. 1190



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<sup>&</sup>lt;sup>23</sup> In this sense, Johnson (2001) seems to be right in considering informational privacy an essential element in an individual's autonomy. Moor (1997), referring to a previous edition of Johnson (2001), disagrees.

<sup>&</sup>lt;sup>24</sup> On the tripartite distinction between information as, about or for reality see Floridi (2004).

1191 Personal identity is the weakest link and most 1192 delicate element in our problem. Even nowadays, 1193 personal identity is regularly protected and authen-1194 ticated by means of some arbitrary data, randomly or 1195 conventionally attached to the bearer/user, like a 1196 mere label: a name, an address, a Social Security 1197 number, a bank account, a credit card number, a 1198 driving licence number, a PIN and so forth. Each 1199 label in the list has no ontologically constitutive link 1200 with its bearer; it is merely associated with someone's identity and can easily be detached from it 1201 1202 without affecting it. The rest is a mere consequence 1203 of this "detachability". The more the ontological 1204 friction in the infosphere decreases, the swifter these 1205 detached labels can flow around, and the easier it 1206 becomes to grab and steal them and use them for 1207 illegal purposes. Arnaud du Tilh had stolen a name 1208 and a profile and succeeded in impersonating Martin 1209 Guerre for many years in a rather small village, 1210 within a community that knew him well, fooling 1211 even Martin's wife, apparently. Eliminate all per-1212 sonal interactions and identity theft becomes the 1213 easiest thing in the world.

A quick and dirty way to fix the problem would be 1214 1215 to clog the infosphere by slowing down the infor-1216 mation flow. Building some traffic calming device, as 1217 it were. It seems the sort of policy popular among 1218 some IT officers and bank managers, keen on not 1219 allowing this or that operation for security reasons, 1220 for example. However, as with all counter-revolu-1221 tionary or anti-historical approaches, "resistance is 1222 futile": trying to withstand the evolution of the inf-1223 osphere only harms current users and, in the long 1224 run, fails to deliver an effective solution.

1225 A much better approach is to ensure that the 1226 ontological friction keeps decreasing, thus benefiting 1227 all the inhabitants of the infosphere, while safe-1228 guarding personal identity by data that are not 1229 arbitrary labels about, but rather constitutive traits 1230 of, the person in question. Arnaud du Tilh and 1231 Martin Guerre looked very similar, yet this was as far 1232 as biometrics went in the sixteenth century. Today, 1233 biometric digital ICTs are increasingly used to 1234 authenticate a person's identity by measuring the 1235 person's physiological traits - such as fingerprints, 1236 eye retinas and irises, voice patterns, facial patterns, 1237 hand measurements or DNA sampling - or behav-1238 ioral features, such as typing patterns. Since they also 1239 require the person to be identified to be physically 1240 present at the point-of-identification, biometric sys-1241 tems provide a very reliable way of ensuring that the 1242 person is who the person claims to be; of course not 1243 always, and not infallibly – after all Montaigne used 1244 the extraordinary case of Martin Guerre to challenge 1245 human attempts ever to reach total certainty – but far more successfully than any arbitrary label can. It is a matter of degree.

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All this is not to say that we should embrace bio-1248 metrics as an unproblematic panacea. As Alterman 1249 (2003) has correctly shown, there are many risks and 1250 limits in the use of such technologies as well. But it is 1251 significant that digital ICTs, in their transformation 1252 of the information society into a digital community, 1253 are partly restoring, partly improving (see the case of 1254 Martin Guerre) that reliance on personal acquain-1255 tance that characterized relations of trust in any small 1256 town. By giving away some information, one can 1257 safeguard one's identity and hence one's informa-1258 tional privacy, while taking advantage of interactions 1259 that are personalized (through preferences derived 1260 from one's habits and behaviours) and customized 1261 (through preferences derived from one's expressed 1262 choices). In the digital community, you are a recog-1263 nized individual, whose tastes, inclinations, habits, 1264 preferences etc. are known to the other agents, who 1265 can adapt their behaviour accordingly. 1266

As for protecting the privacy of biometric data, 1267 again, no rosy picture should be painted, but if one 1268 applies the "Convention of Geneva" test, it seems 1269 that even the worst enemy could be allowed to 1270 authenticate someone's identity by measuring her 1271 fingerprints or his eye retinas. They seem to be per-1272 sonal data that is worth sacrificing in favour of the 1273 extra protection they can offer of one's personal 1274 identity and private life. 1275

Once a cost/benefit analysis is taken into account, 1276 it makes sense to rely on authentication systems that 1277 do not lend themselves so easily to misuse. In the 1278 digital community, one is one's own information and 1279 can be (biometrically) recognized as oneself as one 1280 was in the small village. The case of Martin Guerre is 1281 there to remind us that mistakes are still possible. But 1282 their likelihood decreases dramatically the more 1283 biometric data one is willing to check. On this, 1284 Penelope can teach us a final lesson. 1285

## Conclusion

When Odysseus returns to Ithaca, he is identified four1287times. Argos, his old dog, is not fooled and recognizes1288him despite his disguise as a beggar. Then Eurycleia,1289his wet-nurse, while bathing him, recognizes him by a1290scar on his leg, which he had received from a boar1291when hunting. He then proves to be the only man1292capable of stringing Odysseus' bow. All these are1293

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biometric tests no Arnaud du Tilh would have pasd sed. But then, Penelope is no Bertrande either. She does not rely on any "unique identifier" but finally tests Odysseus by asking Eurycleia to move the bed in 1295 1294 1295 1296 1297



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1298 their wedding-chamber. Odysseus protests that this is 1299 impossible: he himself had built the bed around a 1300 living olive tree, which is now one of its legs. This is a 1301 crucial piece of information that only Penelope and 1302 Odysseus ever shared. By naturally relying on it, 1303 Odysseus restores Penelope's full trust. She recog-1304 nizes him as the real Odysseus not because of who he 1305 is or how he looks, but, ontologically, because of the 1306 information that they have in common and that 1307 constitutes both of them as a couple. Through the sharing of this piece of information identity is 1308 1309 restored and the supra-agent is reunited. There is a 1310 line of continuity between the roots of the olive tree 1311 and the married couple. For Homer, their bond was 1312 homophrosyne (like-mindedness); to Shakespeare, it 1313 was the marriage of true minds. To us, it is infor-1314 mational privacy that admits no ontological friction.

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