






Full S -matrices and witten diagrams with relative L_∞ -algebras

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ABSTRACT: The L_∞ -algebra approach to scattering amplitudes elegantly describes the non-trivial part of the S -matrix but fails to take into account the trivial part. We argue that the trivial contribution to the S -matrix should be accounted for by another, complementary L_∞ -algebra, such that a perturbative field theory is described by a cyclic relative L_∞ -algebra. We further demonstrate that this construction reproduces Witten diagrams that arise in AdS/CFT including, in particular, the trivial Witten diagrams corresponding to CFT two-point functions. We also discuss Chern-Simons theory and Yang-Mills theory on manifolds with boundaries using this approach.

KEYWORDS: BRST Quantization, Scattering Amplitudes, AdS-CFT Correspondence, Chern-Simons Theories

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1 Introduction and results

While Lagrangians determine tree-level scattering amplitudes, it is well known that sometimes different Lagrangians give equivalent scattering amplitudes. Put differently, Lagrangians carry redundant information. The on-shell-scattering-amplitudes programme sheds this redundancy by constructing the amplitudes directly, often removing the necessity of a Lagrangian altogether. This has led to both powerful computational tools and many new insights (see [1] and references therein for reviews).

A complementary approach is to identify a natural notion of equivalence between Lagrangians that encode the same physics. The appropriate equivalence relation, adopted here, amongst Lagrangians is given in terms of quasi-isomorphisms between the cyclic L_∞ -algebras governing physical theories.

The starting point of this picture is the observation that field theories described by actions correspond to cyclic L_∞ -algebras. In a nutshell, a cyclic L_∞ -algebra,¹ $\mathfrak{L} := (V, \{\mu_n\}_{n \in \mathbb{N}}, \langle -, - \rangle_V)$, consists of a graded vector space $V = \bigoplus_{k \in \mathbb{Z}} V^k$ equipped with higher n -ary brackets, $\mu_n : V \times \cdots \times V \rightarrow V$, and cyclic inner-product (or structure) $\langle -, - \rangle_V : V \times V \rightarrow \mathbb{R}$, generalising the binary Lie bracket and Cartan-Killing form of Lie algebras. The cyclic structure and higher brackets canonically yield a homotopy Maurer-Cartan action, which is precisely the classical Batalin-Vilkovisky (BV) action of the associated theory. Roughly speaking, the cyclic structure $\langle -, - \rangle_V$ is an inner-product on the space of (anti)fields that yields the action,² the unary bracket μ_1 encodes the kinetic term of the action, given by $\langle \phi, \mu_1(\phi) \rangle_V$, while the higher brackets μ_n encode the $(n+1)$ -point interaction terms, given by $\langle \phi, \mu_n(\phi, \dots, \phi) \rangle_V$.

Cast in this language, semi-classical equivalence between physical theories then amounts to quasi-isomorphisms of cyclic L_∞ -algebras. This language is fruitful in that the previous

¹For notational clarity, we will write $(V, \{\mu_n\}_{n \in \mathbb{N}}, \langle -, - \rangle_V)$ as $(V, \mu_n, \langle -, - \rangle_V)$.

²Typically integration over the spacetime manifold together with an invariant inner-product on the space of internal symmetry representations carried by the fields.

sentence is but the very beginning of a large and detailed dictionary between physics and homotopy algebras outlined in Table 1. In particular, every L_∞ -algebra \mathfrak{L} is equivalent (i.e. quasi-isomorphic) to a unique³ L_∞ -algebra \mathfrak{L}° which has vanishing μ_1 . A representative of this equivalence class is called a *minimal model*. When the cyclic structure is preserved by the quasi-isomorphism, the higher brackets of the minimal model encode precisely the non-trivial part of the corresponding connected S -matrix. From this perspective, off-shell Lagrangians and scattering amplitudes are unified as quasi-isomorphic L_∞ -algebras. This makes it clear that quasi-isomorphisms are the correct notion of equivalence; all quasi-isomorphic Lagrangians are quasi-isomorphic to the same minimal model encoding the unique S -matrix.

However, there is an important gap in the above dictionary. The cyclic L_∞ -algebra only contains information about the nontrivial part of the S -matrix; information about the identity part of the S -matrix must be supplied separately. Lacking the identity component, one cannot simply exponentiate the connected diagrams — after all, the minimal model, by definition, has no μ_1 and, hence, no ‘propagator’ for the identity part of the S -matrix. For Minkowski space-time, this is not a serious loss, of course, since it is the literal identity. However, in the case of perturbation theory on nontrivial spaces such as anti-de Sitter space, this is no longer true and the ‘trivial’ Witten diagrams encode nontrivial information such as the CFT two-point function.

This observation is closely related to more technical aspects of the homotopy Maurer-Cartan action in the presence of boundaries. Firstly, the putative cyclic structure, although well-defined in the absence of a boundary, may fail to be cyclic due to boundary contributions that appear when using integration by parts to establish the required cyclic identities. For the same reason, the canonical homotopy Maurer-Cartan action may differ from the physically preferred action, even if the cyclic structure is well-defined. For example, the canonical homotopy-Maurer-Cartan scalar-field-theory kinetic term is

$$S_{\text{hMC}} = \frac{1}{2} \langle \phi, \mu_1(\phi) \rangle + \cdots = \frac{1}{2} \int_M \text{vol}_M \phi \Delta \phi + \cdots, \quad (1.1)$$

which differs from the physically relevant action functional $\frac{1}{2} \int_M \text{vol}_M (\partial \phi)^2$ by a boundary term coming from the total derivative; indeed, this term is precisely that which appears in the computation of the CFT two-point function. Generically, for technical reasons, in the L_∞ -algebraic approach to perturbation theory [2–10], the cyclic structure is defined piecewise for the on-shell, $\mu_1(\phi) = 0$, and off-shell, $\mu_1(\phi) \neq 0$, components. So, if we decompose ϕ into off-shell and on-shell components $\phi =: F + f$ respectively, the linearised homotopy Maurer-Cartan action is

$$S_{\text{hMC}} = \frac{1}{2} \langle \phi, \mu_1(\phi) \rangle = \frac{1}{2} \langle F, \mu_1(F) \rangle, \quad (1.2)$$

so that there is no contribution from the on-shell part f . However, as we have seen in the scalar field example, the on-shell part can contribute to the physically relevant action functional.

All these observations are uniformly addressed by transitioning from a cyclic L_∞ -algebra to a *relative* cyclic L_∞ -algebra that induces a canonical *relative* homotopy Maurer-Cartan action, which form the central part of this work. A relative cyclic L_∞ -algebra is simply a

³Up to L_∞ -isomorphisms.

Homotopy Algebras	Scattering Amplitudes
homotopy Maurer-Cartan action for L_∞ -algebras [11–15]	perturbative field theory actions without boundary terms
minimal model of L_∞ -algebras [2–10, 16–18]	nontrivial part of the connected tree-level S -matrix
minimal model of quantum L_∞ -algebra [5, 8, 19, 20]	nontrivial part of the connected loop-level S -matrix
further structure on L_∞ -algebras (e.g. BV_∞^\blacksquare -algebra) [21–31]	further symmetries (e.g. colour–kinematics duality)
homotopy Maurer-Cartan action for relative L_∞ -algebras	perturbative field theory actions including boundary terms
minimal model of relative L_∞ -algebras	connected tree-level S -matrix including trivial part

Table 1. Correspondence between homotopy algebras and quantum field theory physics. This paper focuses on the bottom part of the table.

pair of cyclic L_∞ -algebras, \mathfrak{L} and \mathfrak{L}_∂ , with a cyclic morphism $\pi : \mathfrak{L} \rightarrow \mathfrak{L}_\partial$ relating them. This is the homotopy relaxation, via Koszul duality, of relative metric Lie algebras, i.e. pairs of homomorphic Lie algebras $\pi : \mathfrak{g} \rightarrow \mathfrak{g}_\partial$ equipped with inner-products preserved by π . The key idea is to supplement the original cyclic L_∞ -algebra \mathfrak{L} , the ‘bulk’, with another cyclic L_∞ -algebra \mathfrak{L}_∂ , the ‘boundary’. The raison d’être of the boundary L_∞ -algebra is to simultaneously correct the failure of cyclicity while introducing the physically relevant boundary terms that are not present in the canonical homotopy Maurer-Cartan action. In particular, the bulk-to-boundary morphism π generates the boundary terms in the relative homotopy Maurer-Cartan action. Every relative L_∞ -algebra $\pi : \mathfrak{L} \rightarrow \mathfrak{L}_\partial$ is equivalent to a minimal relative L_∞ -algebra $\overset{\circ}{\pi} : \mathfrak{L}^\circ \rightarrow \mathfrak{L}_\partial^\circ$. As before, the higher brackets, $\overset{\circ}{\mu}_k$, of the minimal model encode the non-trivial connected S -matrix, while the ‘trivial’ part is recovered from boundary contributions to the relative minimal model given by $\overset{\circ}{\pi}$. In conclusion, we thus arrive at an abstract structure, relative cyclic L_∞ -algebras, that encodes the physics associated to (asymptotic) boundaries, from S -matrices to Witten diagrams, uniformly.

Related works. The work [32] is similar in spirit to our discussion of L_∞ -algebras for theories with boundary in Section 2.1 and holography in Section 3.1.2 and, in part, inspired the present contribution. However, it differs substantially in the technical approach. It would be interesting to understand how these (at least superficially) distinct perspectives are related.

In particular, rather than recovering the boundary contributions to the BV-action via the pullback from the boundary BFV theory, as would follow from the standard BV–BFV formalism [33], the bulk and boundary are treated within a single L_∞ -algebra in [32], with modified antifields, products and cyclic structure. In particular, the space of antifields is enlarged to include boundary antifields and the differential μ_1 and cyclic structure are adjusted to reproduce the desired bulk action. It is then shown to be possible to homotopy transfer to a boundary theory, which corresponds to a certain *non-minimal* quasi-isomorphic L_∞ -algebra. The corresponding homotopy Maurer-Cartan action then computes the boundary action for on-shell field configurations, precisely as one would like for holography. However, the resulting boundary theory is *not* the minimal model of the modified L_∞ -algebra, since it has non-trivial differential, see [32, (3.38)]. It is possible, then, that the minimal model itself is actually trivial with no physical fields⁴ and thus vanishing boundary action. Correspondingly,

⁴For example, for scalar field theory on an oriented compact Riemannian manifold M with boundary ∂M , then [32, (3.31)] has as the cochain complex

$$0 \longrightarrow \mathcal{C}^\infty(M) \xrightarrow{(\Delta - m^2, \partial_N)} \mathcal{C}^\infty(M) \oplus \mathcal{C}^\infty(\partial M) \longrightarrow 0, \quad (1.3)$$

it is not possible to encode the identity component of the S -matrix, or the two-point function of the boundary CFT in a holographic context, in terms of the minimal model.

By contrast, we prefer to regard invariance under quasi-isomorphisms as a fundamental guiding principle: quasi-isomorphic L_∞ -algebras should be physically equivalent. The physics, e.g. the S -matrix or Witten diagrams, is captured by the relative minimal model, which is unique up to L_∞ -isomorphisms, with the boundary data encoded in the minimal model morphism $\overset{\circ}{\pi}$.

Our work can be related to the BV–BFV formalism as developed in [33, 35, 36], but the discussion of the minimal model and scattering amplitudes thereof is new to the best of our knowledge. Note that our discussion of holography focuses on the perturbative sector of Witten diagrams and, as such, differs from (and is complementary to) the holography-related discussion in [35], which discusses the nonperturbative aspects of $\text{AdS}_3/\text{CFT}_2$ in particular.

The work [37] discusses the perturbative aspects of $\text{AdS}_3/\text{CFT}_2$ using the Batalin–Vilkovisky formalism; our discussion is related but complementary in that we connect the BV formulation of holography to L_∞ -algebras.

The programme by [38, 39] to formulate defects and holography using Koszul duality shares many keywords with the current work — Koszul duality, for example, underlies the definition of L_∞ -algebras — but otherwise differs very much technically; homotopy algebras do not feature heavily in that programme. Nevertheless, it would be interesting to see if the commonalities in concepts could be extended to some sort of concrete connection.

2 Relative L_∞ -algebras

2.1 A motivating example

Let M be an oriented compact Riemannian manifold with metric g and boundary ∂M . Consider a scalar field ϕ of mass m on M governed by the action

$$S := - \int_M \text{vol}_M \left\{ \frac{1}{2} (\partial\phi)^2 + \frac{1}{2} m^2 \phi^2 + \frac{1}{3!} \lambda \phi^3 \right\}, \quad (2.1)$$

where vol_M is the volume form associated with g and λ is the cubic self-interaction coupling constant. The variation of (2.1) with the Dirichlet boundary condition $\delta\phi|_{\partial M} = 0$ yields the desired equation of motion

$$(\Delta - m^2)\phi = \lambda\phi^2. \quad (2.2)$$

To encode this theory in terms of an L_∞ -algebra, as loosely described in Section 1, one first integrates by parts in (2.1) to arrive at

$$S = \int_M \text{vol}_M \left\{ \frac{1}{2} \phi (\Delta - m^2) \phi - \frac{1}{3!} \lambda \phi^3 \right\} - \frac{1}{2} \int_{\partial M} \text{vol}_{\partial M} \phi \partial_N \phi. \quad (2.3)$$

where Δ is the Beltrami Laplacian, and ∂_N the normal derivative on ∂M . The space of physical fields in the minimal model is given by $\ker(\Delta - m^2, \partial_N)$. Since the Laplacian on a compact Riemannian manifold with boundary ∂M has a non-positive point spectrum for Neumann boundary conditions ($\partial_N \phi = 0$), see e.g. [34], for $m \neq 0$ the cohomology containing the physical states is trivial.

Here, Δ is the Beltrami Laplacian associated with g and $\partial_N: \mathcal{C}^\infty(M) \rightarrow \mathcal{C}^\infty(\partial M)$ the normal derivative to ∂M with respect to g .⁵ Note that the boundary term $\int_{\partial M} \text{vol}_{\partial M} \phi \partial_N \phi$ is precisely what is needed to reproduce the equation of motion (2.2) via the variation of (2.3) whilst imposing only the Dirichlet boundary condition on the variation, $\delta\phi|_{\partial M} = 0$. Whilst essentially trivial, this toy example captures the generic situation that an action with higher-than-first-order derivatives of a field requires a boundary correction, the most famous instance of which is the Gibbons-Hawking-York term.

In the present context, the key observation is that the *bulk* term, that is, the first summand in (2.3), can be recast as a homotopy Maurer-Cartan action

$$S_{\text{hMC}} := \sum_{n \geq 2} \frac{1}{n!} \langle \phi, \mu_{n-1}(\phi, \dots, \phi) \rangle \quad (2.4)$$

for the L_∞ -algebra which has

$$V := \underbrace{\mathcal{C}^\infty(M)}_{=: V^1} \oplus \underbrace{\mathcal{C}^\infty(M)}_{=: V^2} \quad (2.5a)$$

as its underlying graded vector space, where the fields ϕ live in V^1 and the corresponding antifields ϕ^+ belong to V^2 . The non-trivial L_∞ -products⁶

$$\mu_1(\phi) := (\Delta - m^2)\phi \quad \text{and} \quad \mu_2(\phi_1, \phi_2) := -\lambda\phi_1\phi_2, \quad (2.5b)$$

of degrees 1 and 0, respectively, and the non-degenerate bilinear form

$$\langle \phi, \phi'^+ \rangle_V := \int_M \text{vol}_M \phi \phi'^+ \quad (2.5c)$$

of degree -3 , which pairs fields with antifields. The latter is not cyclic for μ_1 because of the presence of the boundary ∂M , cf. [32].

Can we describe the *boundary* term, that is, the second term in (2.3), in a similar language? Naively, we have the boundary-related structures of boundary fields

$$V_\partial^{\text{naive}} := \underbrace{\mathcal{C}^\infty(\partial M)}_{=: (V_\partial^{\text{naive}})^1} \quad (2.6a)$$

and the bulk-to-boundary maps

$$\begin{aligned} \iota^* : \quad V &\rightarrow V_\partial^{\text{naive}}, & \partial_N : \quad V &\rightarrow V_\partial^{\text{naive}}, \\ \begin{pmatrix} \phi \\ \phi^+ \end{pmatrix} &\mapsto \phi|_{\partial M}, & \begin{pmatrix} \phi \\ \phi^+ \end{pmatrix} &\mapsto \partial_N \phi, \end{aligned} \quad (2.6b)$$

given by the pull-back of the natural inclusion $\iota: V_\partial^{\text{naive}} \rightarrow V$ and the normal derivative.

⁵More precisely, one extends the normal vector field ∂_N on $\partial M \hookrightarrow M$ to some vector field \tilde{V}_N on M in an arbitrary but smooth fashion. Then, $\partial_N \phi := \tilde{V}_N \phi|_{\partial M}$. Note that this does not depend on the choice of the extension \tilde{V}_N of ∂_N .

⁶For simplicity we consider only ϕ^3 interactions given by μ_2 , so that the L_∞ -algebra is merely a graded differential Lie algebra, but arbitrary interactions may be included via higher products $\mu_n(\phi_1, \phi_2, \dots, \phi_n) := -\lambda_n \phi_1 \phi_2 \cdots \phi_n$ of degree $n - 2$.

There is also the natural non-degenerate bilinear form on $V_{\partial}^{\text{naive}}$

$$\langle \alpha, \alpha' \rangle_{V_{\partial}^{\text{naive}}} := \int_{\partial M} \text{vol}_{\partial M} \alpha \alpha' \quad (2.6c)$$

of degree -2 . With these additional boundary structures it is straightforward to recast the entire action (2.3) as

$$S = \sum_{n \geq 1} \frac{1}{n!} \langle \phi, \mu_n(\phi, \dots, \phi) \rangle_V - \frac{1}{2} \langle \phi|_{\partial M}, \partial_N \phi \rangle_{V_{\partial}^{\text{naive}}}. \quad (2.7)$$

The addition of boundary functions $C^\infty(\partial M)$ appears in L_∞ -algebra of [32] for the same reason. However, in that case it is included in the degree 2 (antifield) component of the original L_∞ -algebra (and is not doubled, as we shall momentarily describe), while we will place it in a relative boundary L_∞ -algebra. Of course, since $C^\infty(\partial M)$ is added as a direct sum in [32] this is superficially identical (but the degrees are different; here $C^\infty(\partial M)$ is the space of boundary *fields*).

The presence of $V_{\partial}^{\text{naive}}$ and $\langle -, - \rangle_{V_{\partial}^{\text{naive}}}$ is reminiscent of the BV–BFV formalism [33, 35, 36]. The BV–BFV formalism suggests, however, that the boundary should be described by a phase space, not a configuration space — that is, it should be double the size — and that the two maps $\iota^*, \partial_N: V \rightarrow V_{\partial}^{\text{naive}}$ should be bundled up into a single map into a phase space. That is, the equations of motion following from the action (2.3) are of second order so that rather considering the boundary ∂M we should be considering the first-order infinitesimal thickening along the normal bundle. Consequently, we take

$$V_{\partial} := \underbrace{C^\infty(\partial M) \oplus C^\infty(\partial M)}_{=: V_{\partial}^1} \quad (2.8)$$

instead of (2.6), and combine ι^* and ∂_N into a single linear map of degree 0,

$$\pi : \begin{array}{ccc} V & \rightarrow & V_{\partial}, \\ \left(\begin{array}{c} \phi \\ \phi^+ \end{array} \right) & \mapsto & \left(\begin{array}{c} \phi|_{\partial M} \\ -\partial_N \phi \end{array} \right). \end{array} \quad (2.9)$$

Define a degree -2 bilinear form, $\langle -, - \rangle_{\partial} : V_{\partial} \times V_{\partial} \rightarrow V_{\partial}$, by

$$\left\langle \begin{pmatrix} \alpha \\ \beta \end{pmatrix}, \begin{pmatrix} \alpha' \\ \beta' \end{pmatrix} \right\rangle_{V_{\partial}} := \int_{\partial M} \text{vol}_{\partial M} \alpha \beta'. \quad (2.10)$$

It then follows that

$$\frac{1}{2} \langle \pi(\phi), \pi(\phi) \rangle_{V_{\partial}} = -\frac{1}{2} \int_{\partial M} \text{vol}_{\partial M} \phi \partial_N \phi \quad (2.11)$$

reproduces the boundary term in the action (2.3).

Note that the term $\int_{\partial M} \text{vol}_{\partial M} \alpha \beta'$ is also included in the modified cyclic structure of [32] for precisely the same reason. However, its definition and interpretation differs from (2.10). Specifically, in [32] it is the space of antifields V^2 of the L_∞ -algebra (2.5) that is enlarged to

include a *single* copy of $\mathcal{C}^\infty(\partial M)$, while in the present case we introduce a second boundary L_∞ -algebra with $V_\partial = V_\partial^1 := \mathcal{C}^\infty(\partial M) \oplus \mathcal{C}^\infty(\partial M)$ concentrated in the space of *fields*.

We note that the bilinear form (2.10) is degenerate and, in addition, it has no symmetry properties. However, its graded symmetrisation and antisymmetrisation,

$$\begin{aligned}\langle x, x' \rangle_{V_\partial}^{\text{sym}} &:= \frac{1}{2} (\langle x, x' \rangle_{V_\partial} + (-1)^{|x||x'|} \langle x', x \rangle_{V_\partial}), \\ \langle x, x' \rangle_{V_\partial}^{\text{skew}} &:= \frac{1}{2} (\langle x, x' \rangle_{V_\partial} - (-1)^{|x||x'|} \langle x', x \rangle_{V_\partial}),\end{aligned}\tag{2.12}$$

are non-degenerate for all $x := (\alpha, \beta)$ and $x' := (\alpha', \beta')$,⁷ and each component separately plays an important role.

First note, setting $m = 0$ for notational simplicity,

$$\begin{aligned}\langle \phi, \mu_1(\phi') \rangle_V &= \frac{1}{2} \int_M \text{vol}_M \phi \Delta \phi' \\ &= \frac{1}{2} \int_M \text{vol}_M (\Delta \phi) \phi' + \frac{1}{2} \int_{\partial M} \phi|_{\partial M} \partial_N \phi' - \frac{1}{2} \int_{\partial M} \partial_N \phi \phi'|_{\partial M} \\ &= \langle \phi', \mu_1(\phi) \rangle_V + \langle \pi(\phi), \pi(\phi') \rangle_{V_\partial}^{\text{sym}},\end{aligned}\tag{2.13}$$

so that $\langle -, - \rangle_{V_\partial}^{\text{sym}}$ corrects for the failure of the cyclicity of the bilinear form (2.5c) for the differential μ_1 of the bulk L_∞ -algebra (2.5).

Note that on setting $\phi = \phi'$ the term $\langle \pi(\phi), \pi(\phi') \rangle_{V_\partial}^{\text{sym}}$ appearing in (2.13) vanishes identically. On the other hand, the graded antisymmetric component yields

$$\langle \pi(\phi), \pi(\phi) \rangle_{V_\partial}^{\text{skew}} = \langle \pi(\phi), \pi(\phi) \rangle_{V_\partial},\tag{2.14}$$

so that we recover the boundary term in the action (2.3). If one had merely used only $\langle -, - \rangle_{V_\partial}^{\text{skew}}$ at the outset, the boundary-term of the action would be recovered, but without any correction for cyclicity.

In summary, $\langle -, - \rangle_{V_\partial}^{\text{sym}}$ corrects for the failure of the cyclicity while $\langle -, - \rangle_{V_\partial}^{\text{skew}}$ corrects for the difference between the Dirichlet action (2.1) and the homotopy Maurer-Cartan action (2.4).

It remains to determine the compatibility relation between π and the bulk L_∞ -algebra products μ_1 and μ_2 . It follows that

$$\pi \circ \mu_1 = 0 \quad \text{and} \quad \pi \circ \mu_2 = 0\tag{2.15}$$

because of degree reasons since π is of degree 0 and V_∂ is concentrated in degree 1. These identities are consistent with the expected functoriality of π , i.e. it should be a chain map, $\pi \circ \mu_1 = \mu_1^\partial \circ \pi$ and a Lie algebra homomorphism $\pi(\mu_2(x, x')) = \mu_2^\partial(\pi(x), \pi(x'))$ for all x and x' .

2.2 Basic definitions

In order to formalise the discussion in Section 2.1 and to set the stage for our later discussion, we now present some basic definitions, motivating them by reference to the key features exposed in Section 2.1.

⁷Note that, since V_∂ is concentrated in degree 1, we always have $|x| = |x'| = 1$.

Cyclic relative L_∞ -algebras. We start by recalling the notion of a relative Lie algebra.

Definition 1. A *relative Lie algebra* $(V, [-, -], V_\partial, [-, -]_\partial, \pi)$ is a pair of Lie algebras $(V, [-, -])$ and $(V_\partial, [-, -]_\partial)$ together with a morphism $\pi: (V, [-, -]) \rightarrow (V_\partial, [-, -]_\partial)$. A *morphism of relative Lie algebras* $(\phi, \phi_\partial): (V, V_\partial) \rightarrow (V', V'_\partial)$ is a commutative square of Lie algebra homomorphisms

$$\begin{array}{ccc} V & \xrightarrow{\phi} & V' \\ \downarrow \pi & & \downarrow \pi' \\ V_\partial & \xrightarrow{\phi'} & V'_\partial \end{array} \quad (2.16)$$

Remark 2. The class of relative Lie algebras forms a two-coloured operad (one colour for V , another colour for V_∂). Following [40, 41], this coloured operad admits a minimal model, i.e. the corresponding ∞ -algebra using Koszul duality, which is the following notion.

Definition 3. A *relative L_∞ -algebra* $(V, \mu_n, V_\partial, \mu_n^\partial, \pi)$ is a pair of L_∞ -algebras (V, μ_n) , $(V_\partial, \mu_n^\partial)$ together with a morphism $\pi: (V, \mu_n) \rightarrow (V_\partial, \mu_n^\partial)$. Furthermore, a *morphism of relative L_∞ -algebras* $(\phi, \phi_\partial): (V, V_\partial) \rightarrow (V', V'_\partial)$ is a commutative square of L_∞ -algebra morphisms

$$\begin{array}{ccc} V & \xrightarrow{\phi} & V' \\ \downarrow \pi & & \downarrow \pi' \\ V_\partial & \xrightarrow{\phi'} & V'_\partial \end{array} \quad (2.17)$$

Note that a morphism π of L_∞ -algebras consists of a family of n -linear maps $\pi_n: V \times \cdots \times V \rightarrow V$ of degree $1 - n$. It is then clear from the definition that the scalar field theory described in Section 2.1 yields a relative L_∞ -algebra with non-trivial brackets $\mu_2, \mu_1, \mu_1^\partial$ and morphism π with only π_1 non-vanishing. In the context of theories on manifolds with boundary, the general case articulated in Definition 3 allows for arbitrary bulk and boundary interactions, μ_n and μ_n^∂ .⁸

However, in order to formulate action principles including these interactions, we need the notion of a cyclic structure on a relative L_∞ -algebra.

Definition 4. A *cyclic structure* on a relative L_∞ -algebra

$$(V, \mu_n) \xrightarrow{\pi} (V_\partial, \mu_n^\partial) \quad (2.18)$$

consists of a non-degenerate graded-symmetric bilinear form

$$\langle -, - \rangle_V: V \times V \rightarrow \mathbb{R} \quad (2.19a)$$

of degree -3 and a bilinear form⁹

$$\langle -, - \rangle_{V_\partial}: V_\partial \times V_\partial \rightarrow \mathbb{R} \quad (2.19b)$$

⁸Boundary interactions will arise when there are derivative bulk interactions.

⁹We do neither assume that this bilinear form is non-degenerate nor has any symmetry properties.

of degree -2 such that for each n the multilinear maps

$$\begin{aligned} V \times \cdots \times V &\rightarrow \mathbb{R}, \\ (x_1, \dots, x_n) &\mapsto [x_1, \dots, x_n]_V := \langle x_1, \mu_{n-1}(x_2, \dots, x_n) \rangle_V \\ &\quad + \sum_{i+j=n} \langle \pi_i(x_1, \dots, x_i), \pi_j(x_{i+1}, \dots, x_n) \rangle_{V_\partial} \end{aligned} \quad (2.19c)$$

and

$$\begin{aligned} V_\partial \times \cdots \times V_\partial &\rightarrow \mathbb{R}, \\ (x_1, \dots, x_n) &\mapsto [[x_1, \dots, x_n]]_{V_\partial} := \langle x_1, \mu_{n-1}^\partial(x_2, \dots, x_n) \rangle_{V_\partial} \\ &\quad + (-1)^{|x_1| |\mu_{n-1}^\partial(x_2, \dots, x_n)|} \langle \mu_{n-1}^\partial(x_2, \dots, x_n), x_1 \rangle_{V_\partial} \end{aligned} \quad (2.19d)$$

are non-degenerate and also cyclic,

$$\begin{aligned} [x_1, \dots, x_n]_V &= (-1)^{n-1+(n-1)(|x_1|+|x_n|)+|x_n| \sum_{i=1}^{n-1} |x_i|} [x_n, x_1, \dots, x_{n-1}]_V, \\ [[x_1, \dots, x_n]]_{V_\partial} &= (-1)^{n-1+(n-1)(|x_1|+|x_n|)+|x_n| \sum_{i=1}^{n-1} |x_i|} [[x_n, x_1, \dots, x_{n-1}]]_{V_\partial}. \end{aligned} \quad (2.19e)$$

Note that the cyclicity will directly impose crossing (Bose) symmetry of scattering amplitudes.

Relative homotopy Maurer-Cartan action. Consider a cyclic relative L_∞ -algebra

$$(V, \mu_n, \langle -, - \rangle_V) \xrightarrow{\pi} (V_\partial, \mu_n^\partial, \langle -, - \rangle_{V_\partial}). \quad (2.20)$$

Motivated by our discussion in Section 2.1, we shall refer to $\mathfrak{L} = (V, \mu_n, \langle -, - \rangle_V)$ as the *bulk* L_∞ -algebra and to $\mathfrak{L}_\partial = (V_\partial, \mu_n^\partial, \langle -, - \rangle_{V_\partial})$ as the *boundary* L_∞ -algebra, respectively. To formulate an action principle that encodes all the fields, ghosts, ghosts-for-ghosts, etc. and also their antifields, it is convenient to consider the degree shift by $[1]$ of (2.20).¹⁰ In particular, we have the identifications $V[1] \cong [1] \otimes V$ and $V_\partial[1] \cong [1] \otimes V_\partial$ as graded vector spaces, and upon setting

$$\begin{aligned} \tilde{\mu}_n([1] \otimes x_1, \dots, [1] \otimes x_n) &:= \begin{cases} -\mu_1(x_1) & \text{for } n = 1 \\ (-1)^{n+\sum_{i=2}^n \sum_{j=1}^{i-1} |x_j|} \mu_n(x_1, \dots, x_n) & \text{else} \end{cases}, \\ \tilde{\mu}_n^\partial([1] \otimes x_1, \dots, [1] \otimes x_n) &:= \begin{cases} -\mu_1^\partial(x_1) & \text{for } n = 1 \\ (-1)^{n+\sum_{i=2}^n \sum_{j=1}^{i-1} |x_j|} \mu_n^\partial(x_1, \dots, x_n) & \text{else} \end{cases} \end{aligned} \quad (2.21a)$$

and

$$\begin{aligned} \langle [1] \otimes x_1, [1] \otimes x_2 \rangle_{V[1]} &:= (-1)^{|x_1|} \langle x_1, x_2 \rangle_V, \\ \langle [1] \otimes x_1, [1] \otimes x_2 \rangle_{V_\partial[1]} &:= (-1)^{|x_1|} \langle x_1, x_2 \rangle_{V_\partial} \end{aligned} \quad (2.21b)$$

as well as

$$\tilde{\pi}([1] \otimes x) := [1] \otimes \pi(x), \quad (2.21c)$$

we obtain the degree-shifted cyclic relative L_∞ -algebra

$$(V[1], \tilde{\mu}_n, \langle -, - \rangle_{V[1]}) \xrightarrow{\tilde{\pi}} (V_\partial[1], \tilde{\mu}_n^\partial, \langle -, - \rangle_{V_\partial[1]}). \quad (2.21d)$$

¹⁰An alternative approach would be to use coordinate functions and the superfield trick [42]; see [14, section 2.1] for a review.

Definition 5. Consider the degree-shifted cyclic relative L_∞ -algebra (2.21d). The associated *relative homotopy Maurer-Cartan action* is the expression

$$\begin{aligned} S_{\text{rhMC}} &:= \sum_{n \geq 2} \frac{1}{n!} [x, \dots, x]_{V[1]} \\ &= \sum_{n \geq 2} \frac{1}{n!} \left(\langle x, \tilde{\mu}_{n-1}(x, \dots, x) \rangle_{V[1]} + \sum_{i+j=n} \langle \tilde{\pi}_i(x, \dots, x), \tilde{\pi}_j(x, \dots, x) \rangle_{V_\partial[1]} \right) \end{aligned} \quad (2.22)$$

for all $x \in V[1]$.

Evidently, the first term in (2.22) is the standard homotopy Maurer-Cartan action (2.4), and this will be the only term if the manifold on which the fields live has no boundary. In this case, $\langle -, - \rangle_V$ will be cyclic. Generally, if we have a boundary, the second term will be present. However, if there are no derivative interactions, only π_1 will be non-trivial.

Remark 6. Consider a pair of cyclic L_∞ -algebras $(V, \mu_n, \langle -, - \rangle_V)$ and $(V', \mu'_n, \langle -, - \rangle_{V'})$, that is, both $\langle -, - \rangle_V$ and $\langle -, - \rangle_{V'}$ are assumed to be cyclic. Recall that a morphism

$$(V, \mu_n, \langle -, - \rangle_V) \xrightarrow{\pi} (V', \mu'_n, \langle -, - \rangle_{V'}) \quad (2.23)$$

is called *cyclic* [43] (see also [14, 15]) provided that

$$\langle x_1, x_2 \rangle_V = \langle \pi_1(x_1), \pi_1(x_2) \rangle_{V'} \quad (2.24a)$$

and

$$\sum_{i+j=n} \langle \pi_i(x_1, \dots, x_i), \pi_j(x_{i+1}, \dots, x_{i+j}) \rangle_{V'} = 0 \quad (2.24b)$$

for all $n \geq 3$. Consequently, upon requiring the morphism π entering the Definitions 3 and 4 to be cyclic in this sense, the second term in the relative homotopy Maurer-Cartan action (2.22) drops out, and we are in the standard situation where we do not have any boundary contributions.¹¹

2.3 Relation to BV–BFV formalism

A natural formalism for describing systems with boundaries is given by the Batalin-Vilkovisky–Batalin-Fradkin-Vilkovisky (BV–BFV) formalism [33, 35, 36]. It uses the language of graded manifolds, for which see [14, 15, 44, 45]. The central notion of the BV–BFV formalism is that of a BV–BFV pair.

Definition 7. A *BV–BFV pair* $(X, Q, \omega) \xrightarrow{\Pi} (X_\partial, Q_\partial, \omega_\partial)$ consists of a pair of differential graded manifolds (X, Q) and (X_∂, Q_∂) together with a morphism $\Pi: (X, Q) \rightarrow (X_\partial, Q_\partial)$ and closed two-forms $\omega \in \Omega^2(X)$ and $\omega_\partial \in \Omega^2(X_\partial)$ such that

$$\mathcal{L}_Q \omega + \Pi^* \omega_\partial = 0 \quad \text{and} \quad \mathcal{L}_{Q_\partial} \omega_\partial = 0. \quad (2.25)$$

Here, \mathcal{L} denotes the Lie derivative.

¹¹Note that in this case the condition (2.24a) means that $\langle \tilde{\pi}_1(\phi), \tilde{\pi}_1(\phi) \rangle_{V_\partial[1]} = 0$ for degree reasons.

Our notion of relative L_∞ -algebras relates to the BV–BFV formalism as follows. Firstly, recall that an L_∞ -algebra (V, μ_n) can equivalently be described as the differential graded manifold $(V[1], Q)$ where the homological vector field Q encodes the products μ_n . In addition, a cyclic structure $\langle -, - \rangle_V$ of degree k on V is equivalent to a constant (and hence, closed) non-degenerate two-form $\omega \in \Omega^2(V[1])$ of degree $k + 2$ such that $\mathcal{L}_Q \omega = 0$. We can extend this as follows.

Proposition 8. *Given a cyclic relative L_∞ -algebra*

$$(V, \mu_n, \langle -, - \rangle_V) \xrightarrow{\pi} (V_\partial, \mu_n^\partial, \langle -, - \rangle_{V_\partial}), \quad (2.26)$$

there exists a BV–BFV pair

$$(V[1], Q, \omega) \xrightarrow{\Pi} (V_\partial[1], Q_\partial, \omega_\partial), \quad (2.27)$$

where $(V[1], Q)$ and $(V_\partial[1], Q_\partial)$ are differential graded manifolds encoding the L_∞ -algebras (V, μ_n) and $(V_\partial, \mu_n^\partial)$, respectively, and a morphism $\Pi: (V[1], Q) \rightarrow (V_\partial[1], Q_\partial)$ encoding π and where $\omega \in \Omega^2(V[1])$ and $\omega_\partial \in \Omega^2(V_\partial[1])$ are the constant two-forms of degrees -1 and 0 , respectively and encoding $\langle -, - \rangle_V$ and (the graded-symmetric part of) $\langle -, - \rangle_{V_\partial}$, respectively.

Proof. The conditions (2.25) are simply the conditions for a cyclic structure in Definition 4. \square

2.4 Minimal model and generalised scattering amplitudes

Since we seek to describe scattering amplitudes, we need to consider the minimal model of a relative L_∞ -algebra. Since relative L_∞ -algebras are special cases of coloured ∞ -algebras defined by Koszul duality, they enjoy homotopy transfer theorems; in particular, their minimal models exist. Preserving the cyclic structure requires more work, cf. [46, 47].

Construction of the minimal model. We can construct the minimal model explicitly as follows. Given a cyclic relative L_∞ -algebra $(V, \mu_n) \xrightarrow{\pi} (V_\partial, \mu_n^\partial)$ and deformation retracts

$$\begin{array}{ccc} h \circlearrowleft & (V, \mu_1) & \xleftarrow[p_1]{i_1} (H^\bullet(V), 0) \\ & \downarrow \pi & \\ h_\partial \circlearrowleft & (V_\partial, \mu_1^\partial) & \xleftarrow[p_1^\partial]{i_1^\partial} (H^\bullet(V_\partial), 0) \end{array} \quad (2.28)$$

by means of the homological perturbation lemma (see e.g. [48–50]) we obtain the L_∞ -morphisms

$$\begin{array}{ccc} (V, \mu_n) & \xleftarrow[p]{i} (H^\bullet(V), \{\overset{\circ}{\mu}_{n>1}\}) & \\ \downarrow \pi & & \downarrow \overset{\circ}{\pi} \\ (V_\partial, \mu_n^\partial) & \xleftarrow[p^\partial]{i^\partial} (H^\bullet(V_\partial), \{\overset{\circ}{\mu}_{n>1}^\partial\}) & \end{array} \quad (2.29a)$$

with i , p , i^∂ , and p^∂ being L_∞ -quasi-isomorphisms and

$$\overset{\circ}{\pi} := p_\partial \circ \pi \circ i. \quad (2.29b)$$

We call

$$(H^\bullet(V), \overset{\circ}{\mu}_{n>1}) \xrightarrow{\overset{\circ}{\pi}} (H^\bullet(V_\partial), \overset{\circ}{\mu}_{n>1}^\partial) \quad (2.30)$$

the *minimal model* of $(V, \mu_n) \xrightarrow{\pi} (V_\partial, \mu_n^\partial)$.

Explicitly, we have the following recursion relations expressing the maps $\overset{\circ}{\mu}_i, \overset{\circ}{\mu}_i^\partial, \overset{\circ}{\pi}_i$ of the minimal model in terms of the original maps $\mu_i, \mu_i^\partial, \pi_i$.

The first two bulk minimal model L_∞ -brackets are given by

$$\begin{array}{c} \text{Diagram: A circle with a dot inside, labeled } \mu_2, \text{ with three external lines (top, bottom-left, bottom-right).} \end{array} = \begin{array}{c} \text{Diagram: A circle labeled } \mu_2, \text{ with three external lines (top, bottom-left, bottom-right).} \end{array} \quad (2.31a)$$

$$\begin{array}{c} \text{Diagram: A circle with a dot inside, labeled } \mu_3, \text{ with four external lines (top, bottom-left, bottom, bottom-right).} \end{array} = \begin{array}{c} \text{Diagram: A circle labeled } \mu_3, \text{ with four external lines (top, bottom-left, bottom, bottom-right).} \end{array} + \begin{array}{c} \text{Diagram: A circle labeled } \mu_2 \text{ with a line labeled } h \text{ entering from the top, and a circle labeled } \mu_2 \text{ with two external lines (bottom-left, bottom-right).} \end{array} + \begin{array}{c} \text{Diagram: A circle labeled } \mu_2 \text{ with a line labeled } h \text{ entering from the right, and a circle labeled } \mu_2 \text{ with two external lines (top, bottom-left).} \end{array} + \begin{array}{c} \text{Diagram: A circle labeled } \mu_2 \text{ with a line labeled } h \text{ entering from the top-right, and a circle labeled } \mu_2 \text{ with two external lines (top-left, bottom).} \end{array} \quad (2.31b)$$

with the higher bracket given by the obvious generalisation. We recognise these as the usual Feynman diagram expansion, with propagator h and n -point vertices μ_n .

The boundary minimal model L_∞ -brackets are analogously given by

$$\begin{array}{c} \text{Diagram: A circle with a dot inside, labeled } \mu_2^\partial, \text{ with three external lines (top, bottom-left, bottom-right).} \end{array} = \begin{array}{c} \text{Diagram: A circle labeled } \mu_2^\partial, \text{ with three external lines (top, bottom-left, bottom-right).} \end{array} \quad (2.31c)$$

$$\begin{array}{c} \text{Diagram: A circle with a dot inside, labeled } \mu_3^\partial, \text{ with four external lines (top, bottom-left, bottom, bottom-right).} \end{array} = \begin{array}{c} \text{Diagram: A circle labeled } \mu_3^\partial, \text{ with four external lines (top, bottom-left, bottom, bottom-right).} \end{array} + \begin{array}{c} \text{Diagram: A circle labeled } \mu_2^\partial \text{ with a line labeled } h \text{ entering from the top, and a circle labeled } \mu_2^\partial \text{ with two external lines (bottom-left, bottom-right).} \end{array} + \begin{array}{c} \text{Diagram: A circle labeled } \mu_2^\partial \text{ with a line labeled } h \text{ entering from the right, and a circle labeled } \mu_2^\partial \text{ with two external lines (top, bottom-left).} \end{array} + \begin{array}{c} \text{Diagram: A circle labeled } \mu_2^\partial \text{ with a line labeled } h \text{ entering from the top-right, and a circle labeled } \mu_2^\partial \text{ with two external lines (top-left, bottom).} \end{array} \quad (2.31d)$$

Again, there is the obvious generalisation to higher brackets and Feynman diagrammatic interpretation.

More novel is that the bulk and boundary minimal models are connected by the minimal model bulk-to-boundary morphisms

$$\begin{array}{c} \text{Diagram: A circle with a dot inside, labeled } \pi_1, \text{ with two external lines (top, bottom).} \end{array} = \begin{array}{c} \text{Diagram: A circle labeled } \pi_1, \text{ with two external lines (top, bottom).} \end{array} \quad (2.31e)$$

$$\begin{array}{c} \circ \\ \pi_2 \end{array} = \begin{array}{c} p \\ \pi_2 \end{array} + \begin{array}{c} p \\ \pi_1 \\ h \\ \mu_2 \end{array} + \begin{array}{c} p \\ h \mu_2^{\partial} h \\ \pi_1 \pi_1 \end{array} \quad (2.31f)$$

$$\begin{array}{c} \circ \\ \pi_3 \end{array} = \begin{array}{c} p \\ \pi_3 \end{array} + \begin{array}{c} p \\ h \pi_2 \\ \mu_2 \end{array} + \begin{array}{c} p \\ \pi_2 h \\ \mu_2 \end{array} + \begin{array}{c} p \\ h \pi_2 \\ \mu_2 \end{array} \quad (2.31g)$$

$$\begin{array}{c} p \\ h \mu_2^{\partial} \pi_2 \end{array} + \begin{array}{c} p \\ \mu_2^{\partial} h \pi_2 \end{array} + \begin{array}{c} p \\ h \mu_2^{\partial} \pi_2 \end{array} + \begin{array}{c} p \\ \pi_1 \\ h \mu_3 \end{array} + \begin{array}{c} p \\ h \mu_3^{\partial} h \pi_1 \pi_1 \end{array} .$$

Generalised scattering amplitudes. Using the minimal model, we can now define the generalised scattering amplitudes as follows. In a quantum field theory, the full S -matrix,

$$S_{2 \rightarrow 2} = \underbrace{\left| \right| + \times}_{\text{identity part}} + \text{diagram with two vertices} + \text{diagram with two vertices} + \text{diagram with two vertices} + \text{diagram with two vertices} + \dots, \quad (2.32)$$

comes from exponentiating the connected (Wick) diagrams,

$$\left\{ \left| \right|, \text{diagram with two vertices}, \text{diagram with two vertices}, \text{diagram with two vertices}, \text{diagram with two vertices}, \dots \right\}. \quad (2.33)$$

Of the diagrams that comprise S_{conn} , the ‘trivial’ diagram ‘|’ is special. The minimal model of an L_{∞} -algebra contains the data for all connected diagrams *except* for the trivial diagram, but the trivial diagram is crucial in reproducing the full S -matrix. And, in fact, the ‘trivial’ diagram is not so trivial in general in curved geometries such as anti-de Sitter space.

Definition 9. Consider the minimal model (2.30) of a relative cyclic L_{∞} -algebra as well its degree shift constructed by means of (2.21). At the tree level, the associated *generalised connected n -point scattering amplitude* is given by the expression

$$[\phi_1, \dots, \phi_n]_{H^{\bullet}(V)[1]} = \langle \phi_1, \tilde{\mu}_{n-1}(\phi_2, \dots, \phi_n) \rangle_{H^{\bullet}(V)} + \sum_{i+j=n} \langle \tilde{\pi}_i(\phi_1, \dots, \phi_i), \tilde{\pi}_j(\phi_{i+1}, \dots, \phi_n) \rangle_{H^{\bullet}(V_{\partial})} \quad (2.34)$$

for $\phi_1, \dots, \phi_n \in H^{\bullet}(V)[1]$.¹²

¹²Put differently, the generalised scattering amplitudes follow from the polarisation of the relative homotopy Maurer-Cartan action (2.22) for the degree-shifted minimal model of a relative cyclic L_{∞} -algebra.

Note that, whilst $\langle -, - \rangle_{H^\bullet(V)}$ need not be cyclic with respect to $\overset{\circ}{\mu}_i$, any failure of cyclicity is compensated by the antisymmetric part of $\langle -, - \rangle_{H^\bullet(V_\partial)}$ according to Definition 4, such that the n -point connected scattering amplitudes are guaranteed to respect crossing (Bose) symmetry. Note also that, for the two-point scattering amplitude, whilst the first term in (2.34) vanishes since $\overset{\circ}{\mu}_1 = 0$ by construction, the second term will, in general, not be zero, so that we recover the trivial piece of the S -matrix.

The full (rather than connected) tree-level S -matrix can be easily defined in terms of the connected tree-level S -matrix. For the full quantum S -matrix, there is an evident generalisation to the loop case following [5, 8], where one should consider a loop relative L_∞ -algebra.

Boundary contributions to higher-point scattering amplitudes. In the above, suppose that π is strict, that is, $\pi_{n>1} = 0$ and that

$$\pi_1 \circ h = 0 \tag{2.35}$$

for h the contracting homotopy. According to explicit formulas for homotopy transfer, the higher-order components $i_{n>1}$ of the L_∞ -quasi-isomorphism i in (2.29) satisfy

$$\text{im}(i_n) \subseteq \text{im}(h). \tag{2.36}$$

Then it follows that the boundary terms in (2.34) are trivial except for two-point scattering amplitudes. Therefore, in this case, we only have boundary corrections to the two-point scattering amplitudes but not to higher-point ones, consistent with the fact that the usual L_∞ -algebra formalism (ignoring boundary contributions) yield the correct connected tree-level scattering amplitudes for $n > 2$ points.

3 Examples

Let us now discuss applications of the above setup. We start off considering the simplest examples of scalar field theory, before moving on to Chern-Simons theory and Yang-Mills theory.

3.1 Scalar field theory on a manifold-with-boundary

Having setting out the definitions in Section 2.2, we can now return to the motivating example from Section 2.1, first concisely summarising the general setup for compact Riemannian manifold with boundary and then presenting the corresponding relative minimal model.

We then consider the more subtle cases of Euclidean flat and anti-de Sitter space, where the boundaries are asymptotic. In the latter case, we shall reproduce the well-known results from the AdS/CFT literature. See also [32] for analogous results, obtained in a conceptually similar manner (although, since our underlying framework is different, the technical details remain distinct).

3.1.1 Compact Riemannian manifolds-with-boundary

Consider a scalar field on scalar field ϕ of mass m on an oriented compact Riemannian manifold (M, g) with boundary ∂M and cubic interactions.

Relative L_∞ -algebra. The associated cochain complex of the relative L_∞ -algebra is¹³

$$\begin{array}{ccccccc} 0 & \longrightarrow & C^\infty(M) & \xrightarrow{\mu_1} & C^\infty(M) & \longrightarrow & 0 \\ & & \downarrow \pi_1 & & \downarrow 0 & & \\ 0 & \longrightarrow & C^\infty(\partial M) \oplus C^\infty(\partial M) & \longrightarrow & 0 & & \end{array} \quad (3.1a)$$

where the first row represents V and the second row V_∂ in the notation of Section 2.2. The non-trivial brackets and components of π are

$$\begin{aligned} \mu_1(\phi) &:= (\Delta - m^2)\phi, & \mu_2(\phi, \phi') &:= -\lambda\phi\phi', \\ \pi_1(\phi) &:= \begin{pmatrix} \phi|_{\partial M} \\ -\partial_N\phi \end{pmatrix}, \end{aligned} \quad (3.1b)$$

where λ is the coupling constant. As in Section 2.1, ∂_N is (the differential operator associated with) the vector field normal to ∂M , and $\phi|_{\partial M}$ is the restriction of ϕ to ∂M . Note that the doubled function space in V_∂ can be seen as the space of functions on a first-order neighbourhood of ∂M . Furthermore, we set

$$\langle \phi, \phi'^+ \rangle_V := \int_M \text{vol}_M \phi \phi'^+ =: \langle \phi'^+, \phi \rangle_V \quad \text{and} \quad \left\langle \begin{pmatrix} \alpha \\ \beta \end{pmatrix}, \begin{pmatrix} \alpha' \\ \beta' \end{pmatrix} \right\rangle_{V_\partial} := \int_{\partial M} \text{vol}_{\partial M} \alpha \beta'. \quad (3.1c)$$

The relative homotopy Maurer-Cartan action (2.22) for this relative L_∞ -algebra is

$$\begin{aligned} S &= \int_M \text{vol}_M \left\{ \frac{1}{2} \phi (\Delta - m^2) \phi - \frac{1}{3!} \lambda \phi^3 \right\} - \frac{1}{2} \int_{\partial M} \text{vol}_{\partial M} \phi \partial_N \phi \\ &= - \int_M \text{vol}_M \left\{ \frac{1}{2} (\partial \phi)^2 + \frac{1}{2} m^2 \phi^2 + \frac{1}{3!} \lambda \phi^3 \right\}. \end{aligned} \quad (3.2)$$

Relative minimal model. The cochain complex of the minimal model of the bulk theory is

$$0 \longrightarrow \ker(\Delta - m^2) \xrightarrow{\overset{\circ}{\mu}_1=0} \text{coker}(\Delta - m^2) \longrightarrow 0. \quad (3.3)$$

The homotopy h is given by a choice of Green's function¹⁴ G_m for $\Delta - m^2$ (understood to carry L_∞ -degree -1). Then, the trivial embeddings of $\ker(\Delta - m^2)$ into $C^\infty(M)$ and the obvious projections $\text{id} - \mu_1 \circ G_m : C^\infty(M) \rightarrow \ker(\Delta - m^2)$ and $\text{id} - G_m \circ \mu_1 : C^\infty(M) \rightarrow \text{coker}(\Delta - m^2)$ provide the homotopy retract data. The higher products $\overset{\circ}{\mu}_{n>1}$ then follow from the homological perturbation lemma, using the formulae of Section 2.4. See also [3] for more details.

The boundary minimal model is trivially given by the boundary L_∞ -algebra itself, so the cochain complex for the relative minimal model is given by

$$\begin{array}{ccccccc} 0 & \longrightarrow & \ker(\Delta - m^2) & \xrightarrow{\overset{\circ}{\mu}_1=0} & \text{coker}(\Delta - m^2) & \longrightarrow & 0 \\ & & \downarrow \overset{\circ}{\pi}_1 = \pi_1|_{\ker(\Delta - m^2)} & & \downarrow \overset{\circ}{\pi}_1 = 0 & & \\ 0 & \longrightarrow & C^\infty(\partial M) \oplus C^\infty(\partial M) & \longrightarrow & 0 & & \end{array} \quad (3.4)$$

¹³This complex differs from the analogous structures in [32] (see eqq. (3.31) and (3.38) therein) in two regards. Firstly, the ‘bulk’ and ‘boundary’ (anti)fields are contained in single relative L_∞ -algebra, as opposed to belonging to quasi-isomorphic, but distinct, L_∞ -algebras related by homotopy retract data. Indeed, we have a homotopy transfer from the full relative bulk+boundary relative L_∞ -algebra to a minimal bulk+boundary relative L_∞ -algebra. Secondly, the boundary fields are doubled and the space of boundary antifields is trivial.

¹⁴If one imposes Dirichlet boundary conditions the Green's function is unique.

where the first row represents $H^\bullet(V)$ and the second row $H^\bullet(V_\partial)$, and there are no boundary higher products $\overset{\circ}{\mu}_n^\partial$ (since there are no derivative interactions in the bulk). The minimal model then encodes Feynman-diagram-like perturbation theory for solutions to the classical equations of motion.

3.1.2 Flat and anti-de Sitter spaces

In this section, we discuss field theories on manifolds with a boundary ‘at infinity’, namely on anti-de Sitter space and flat space, to reproduce conformal-field-theory (CFT) correlators (computed via Witten diagrams) and S -matrices (computed via Feynman diagrams), respectively. Our discussion for the flat-space S -matrix will be inspired by the AdS/CFT correspondence; for a related approach see [51]. In both cases, it is more convenient to motivate the construction in Euclidean (rather than Lorentzian) signature, although one can always Wick-rotate to Lorentzian structure afterwards. The idea that the trivial part of the S -matrix arises from boundary terms also appears in [52].

Euclidean anti-de Sitter space. Consider the Poincaré patch of Euclidean anti-de Sitter space (a.k.a. hyperbolic space) AdS_{d+1} . The underlying manifold is $\text{AdS}_{d+1} := \{(z, \vec{y}) | z \in \mathbb{R}_{>0}, \vec{y} \in \mathbb{R}^d\}$, and the Riemannian metric g is given by

$$g_{\text{AdS}} := \frac{1}{z^2} \left(dz \otimes dz + \sum_{i=1}^d dy^i \otimes dy^i \right). \quad (3.5)$$

The conformal boundary $S^d \cong \mathbb{R}^d \cup \infty$ lies at $z = 0$ and $z = \infty$.

Euclidean flat space. We treat $(d+1)$ -dimensional Euclidean flat space similarly to the hyperbolic space: the underlying manifold is $\{(z, \vec{y}) | z \in \mathbb{R}_{>0}, \vec{y} \in \mathbb{R}^d\}$, and the Riemannian metric g is given by

$$g_{\text{E}} := \frac{1}{z^2} dz \otimes dz + \sum_{i=1}^d dy^i \otimes dy^i. \quad (3.6)$$

Using the coordinate transformation $z = e^t$, then (t, \vec{y}) are the usual Cartesian coordinates for \mathbb{R}^{1+d} . Since this is in Euclidean signature, there is only one component of the conformal boundary (similar to hyperbolic space), which lies at $z = 0$, corresponding to the far past $t \rightarrow -\infty$; there is no corresponding boundary component for the far future $t \rightarrow +\infty$. Effectively, we are using crossing symmetry to replace outgoing legs of positive energy with incoming legs of negative energy so that all external legs come in from the far past.

To treat the hyperbolic and flat cases uniformly, we write

$$g := \frac{1}{z^2} dz \otimes dz + \frac{1}{z^{2n}} \sum_{i=1}^d dy^i \otimes dy^i \quad (3.7)$$

with $n = 0$ for flat space and $n = 1$ for hyperbolic space, and set $z = e^t$.

Bulk function space. Let C be the space of smooth functions $\phi: \mathbb{R}_{>0} \times \mathbb{R}^d \rightarrow \mathbb{R}$ such that there exists a function $\phi_{\text{interior}}: \mathbb{R}_{>0} \times \mathbb{R}^d \rightarrow \mathbb{R}$ that decays superpolynomially as $z \rightarrow 0$ or, equivalently, superexponentially as $t \rightarrow -\infty$ (i.e. $\lim_{z \rightarrow 0} z^{-\alpha} \phi_{\text{interior}}(z, \vec{y}) = 0$ or $\lim_{t \rightarrow -\infty} e^{-\alpha t} \phi_{\text{interior}}(e^t, \vec{y}) = 0$ at every $\vec{y} \in \mathbb{R}^d$ and $\alpha \in \mathbb{R}$) and the difference $\phi_{\text{pw}} := \phi - \phi_{\text{interior}}$ is a countable¹⁵ sum of functions that depend homogeneously on z as

$$\phi(z, \vec{y}) = \phi_{\text{interior}}(z, \vec{y}) + \sum_{i=1}^{\infty} z^{\alpha_i} \phi_{\alpha_i}(\vec{y}), \quad (3.8)$$

where $\phi_{\alpha_i} \in C_0^\infty(\mathbb{R}^d)$ are each a compactly supported smooth function and $\alpha_i \in \mathbb{R}$. Note that C is defined so that it includes, in addition to functions that decay quickly at infinity, on-shell plane waves as well as their products and derivatives; it is not possible to restrict to only on-shell waves since they are not closed under products.

On C , wherever convergent, we set

$$\langle \phi, \phi' \rangle_C := \int_0^\infty dz \int_{\mathbb{R}^d} d^d \vec{y} z^{-nd-1} \phi(z, \vec{y}) \phi'(z, \vec{y}), \quad (3.9)$$

using the volume density $\sqrt{\det g} = z^{-nd-1}$.

Boundary function space. For each $\delta \in \mathbb{R}$, let C_δ^δ be (a copy of) the function space

$$C_\delta^\delta := C^\infty(\mathbb{R}^d) \quad (3.10)$$

of smooth functions on \mathbb{R}^d . This should be thought of as the space of the ‘values at $z = 0$ of ‘plane waves’ with ‘dispersion relation’ characterised by δ . Let C_∂ be the space of formal sums

$$C_\partial = \left\{ \sum_{i=1}^{\infty} (\delta_i, \phi_i) \left| \phi_i \in C_{\delta_i}^{\delta_i} \right. \right\} \quad (3.11)$$

such that the function

$$(z, \vec{y}) \mapsto \sum_{i=1}^{\infty} z^{\delta_i} \phi_i(\vec{y}) \quad (3.12)$$

converges pointwise.

Thus, for the flat space case, C_∂ is the space of linear combinations of on-shell plane waves. The space C_∂ is chosen such that it includes the asymptotic values (i.e. ‘values at $z = 0$ ’) for solutions to the Helmholtz equation (for both the flat and hyperbolic cases) for different values of the squared mass m^2 . For the Euclidean case, solutions to the Helmholtz equation are

$$z^{\pm \sqrt{m^2 + \vec{p}^2}} \exp(i\vec{p} \cdot \vec{y}), \quad (3.13)$$

which we regard as an element of $C_\partial^{\sqrt{m^2 + \vec{p}^2}}$, while for the hyperbolic case, solutions to the Helmholtz equation are of the form

$$\phi(z, \vec{y}) = z^{\delta_-} \phi_{\delta_-}(\vec{y}) + \cdots + z^{\delta_+} \phi_{\delta_+}(\vec{y}) + \cdots, \quad (3.14a)$$

¹⁵We allow countable, rather than finite, sums so as to include solutions to the Helmholtz equation on hyperbolic space.

where

$$\delta_{\pm} := \frac{d}{2} \pm \sqrt{\frac{d^2}{4} + m^2} \quad (3.14b)$$

and δ_+ is the conformal dimension of the corresponding CFT local operator,¹⁶ and ϕ_{δ_+} is related to ϕ_{δ_-} as

$$\phi_{\delta_+}(\vec{y}) \propto \int d^d \vec{y}' \frac{\phi_{\delta_-}(\vec{y}')}{|\vec{y} - \vec{y}'|^{2\delta_+}}. \quad (3.15)$$

We regard this as the formal sum

$$(\delta_-, \phi_{\delta_-}) + \cdots + (\delta_+, \phi_{\delta_+}) + \cdots \in C_{\partial}. \quad (3.16)$$

Furthermore, on C_{∂} , wherever convergent, we set

$$\langle f, g \rangle_{C_{\partial}} := \int_{\mathbb{R}^d} d^d \vec{y} \sum_{\delta \in \mathbb{R}} f_{\delta}(\vec{y}) g_{1+nd-\delta}(\vec{y}). \quad (3.17)$$

We also have the map

$$\begin{aligned} (-)_{\text{pw}} : C &\rightarrow C_{\partial}, \\ \left(\phi_{\text{interior}} + \sum_i z^{\delta_i} \phi_i \right) &\mapsto \sum_i (\delta_i, \phi_i), \end{aligned} \quad (3.18)$$

where ϕ_{interior} was defined in (3.8), which picks out the asymptotic components of $\phi \in C$.

Relative L_{∞} -algebra. Inspired by our discussion from Section 3.1, the relative L_{∞} -algebra for a scalar field ϕ of mass m with cubic interaction is

$$\begin{array}{ccccccc} 0 & \longrightarrow & C & \xrightarrow{\mu_1} & C & \longrightarrow & 0 \\ & & \downarrow \pi_1 & & \downarrow 0 & & \\ 0 & \longrightarrow & C_{\partial} & \longrightarrow & 0 & & \end{array} \quad (3.19a)$$

with

$$\begin{aligned} \mu_1(\phi) &:= (\Delta - m^2)\phi, & \mu_2(\phi, \phi') &:= -\lambda\phi\phi', \\ \pi_1(\phi) &:= \phi_{\text{pw}}, \end{aligned} \quad (3.19b)$$

where the Beltrami Laplacian is

$$\Delta\phi := -z^{1+nd} \left(\partial_z(z^{1-nd} \partial_z \phi) + \partial_{\vec{y}}(z^{-1-nd} z^{2n} \partial_{\vec{y}} \phi) \right) \quad (3.19c)$$

and where c is a constant; it will eventually be fixed by requiring that the coefficient for the quadratic term in (3.30) or (3.32) below is correctly normalised (when compared to the trivial part of the S -matrix or the CFT two-point function). Furthermore,

$$\langle \phi, \phi'^+ \rangle_V := \langle \phi, \phi'^+ \rangle_C =: \langle \phi'^+, \phi \rangle_V \quad \text{and} \quad \langle \alpha, \alpha' \rangle_{V_{\partial}} := \langle \alpha, \beta' \rangle_{C_{\partial}}, \quad (3.19d)$$

¹⁶To avoid confusion with the Beltrami Laplacian, we denote the conformal dimension by δ_+ .

where $\langle -, - \rangle_C$ and $\langle -, - \rangle_{C_\partial}$ were given in (3.9) and (3.17), respectively.

Using these ingredients, the relative homotopy Maurer-Cartan action (2.22) becomes

$$S = \int_0^\infty \frac{dz}{z^{1+nd}} \int_{\mathbb{R}^d} d^d \vec{y} \left\{ \frac{1}{2} \phi(\Delta - m^2) \phi - \frac{1}{3!} \lambda \phi^3 \right\} + c \int_{\mathbb{R}^d} d^d \vec{y} \left[(z^{-1-nd} \phi(z, \vec{y}) (\partial_z \phi)(z, \vec{y})) \right]_0, \quad (3.20)$$

where $[\dots]_0$ extracts the component that is of order $\mathcal{O}(z^0)$. This can be recognised as a regularised version of the standard action

$$S_{\text{naive}} = - \int_0^\infty \frac{dz}{z^{1+nd}} \int_{\mathbb{R}^d} d^d \vec{y} \left\{ \frac{1}{2} (\partial \phi)^2 + \frac{1}{2} m^2 \phi^2 + \frac{1}{3!} \lambda \phi^3 \right\}. \quad (3.21)$$

Deformation retract. We have the deformation retract (i, p, h) whose components are

$$\begin{array}{ccccc} C & \xrightleftharpoons[p]{p} & \mathcal{C}^\infty(\mathbb{R}^d) \oplus \mathcal{C}^\infty(\mathbb{R}^d) & \xrightarrow{0} & \mathcal{C}^\infty(\mathbb{R}^d) \oplus \mathcal{C}^\infty(\mathbb{R}^d) \\ & \searrow \Delta - m^2 & \downarrow & & \downarrow \\ & C & \xrightleftharpoons[p]{p} & \mathcal{C}^\infty(\mathbb{R}^d) \oplus \mathcal{C}^\infty(\mathbb{R}^d) & \\ & \downarrow (\Delta - m^2)^{-1} & & \downarrow (f_+, f_-) \mapsto (i(f_+, f_-))_{\text{pw}} & \\ C_\partial & \xrightleftharpoons[\text{id}]{\text{id}} & C_\partial & \xrightarrow{\quad} & 0 \\ & \searrow & \downarrow & & \downarrow \\ & 0 & \xrightarrow{\quad} & 0 & \end{array} \quad (3.22)$$

Here, i is given (for both fields and antifields) as

$$i : (f_+, f_-) \mapsto \int d^d \vec{y} (f_+(\vec{y}) K_+(-, -; \vec{y}) + f_-(\vec{y}) K_-(-, -; \vec{y})) \quad (3.23)$$

in terms of the bulk-to-boundary propagator

$$K_\pm(\vec{y}, z; \vec{y}') := \begin{cases} \int d^d \vec{p} z^{\delta_\pm(\vec{p})} \exp(i(\vec{y} - \vec{y}') \cdot \vec{p}) & n = 0 \\ \frac{\Gamma(\delta_\pm)}{\pi^{d/2} \Gamma(\delta_\mp)} \left(\frac{z}{z^2 + (\vec{y} - \vec{y}')^2} \right)^{\delta_\pm} & n = 1, \end{cases} \quad (3.24)$$

where

$$\delta_\pm(\vec{p}) := \begin{cases} \pm \sqrt{m^2 + \vec{p}^2} & \text{for } n = 0 \\ \frac{d}{2} \pm \sqrt{\frac{d^2}{4} + m^2} & \text{for } n = 1 \end{cases} \quad (3.25)$$

is either the on-shell energy (and its negative) for flat space or the conformal dimension (and its conjugate) of the corresponding CFT operator for hyperbolic space, and where

$$m^2 \geq \begin{cases} 0 & \text{for } n = 0 \\ -\frac{d^2}{4} & \text{for } n = 1 \end{cases} \quad (3.26)$$

Witten Diagrams	Homotopy Transfer
bulk–bulk propagator	homotopy h
bulk–boundary propagator	i and p
boundary–boundary propagator	$\langle \pi_1(-), \pi_1(-) \rangle$

Table 2. Correspondence between Witten diagrams and homotopy algebras.

is the squared mass of the particle, which obeys the Breitenlohner-Freedman bound [53, 54] in the case of $n = 1$ and is non-negative for $n = 0$. For the flat space case, the expression is perhaps clearer in momentum space:

$$\hat{K}_{\pm}(\vec{y}, z; \vec{p}) = z^{\delta_{\pm}(\vec{p})} \exp(i\vec{y} \cdot \vec{p}) = \exp(\delta_{\pm}(\vec{p})t + i\vec{y} \cdot \vec{p}), \quad (3.27)$$

which is the Wick-rotated version of an on-shell plane wave.

The projection map p is given in terms of a suitable left inverse of i .

Minimal model for flat space. Let us Fourier-transform \vec{y} into \vec{p} . Then, solutions to the equations of motion are linear combinations of plane waves of the form

$$\hat{\phi}_{\pm}(z, \vec{p}) = z^{\pm E_{\vec{p}}} \hat{\phi}_{E_{\vec{p}}}^{\pm}(\vec{p}) \quad (3.28a)$$

where

$$E_{\vec{p}} := \sqrt{m^2 + \vec{p}^2} \quad (3.28b)$$

is the mass-shell condition.

The cochain complex underlying the minimal model for (3.19) is then

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{C}^{\infty}(\mathbb{R}^d) \oplus \mathcal{C}^{\infty}(\mathbb{R}^d) & \xrightarrow{\overset{\circ}{\mu}_1=0} & \mathcal{C}^{\infty}(\mathbb{R}^d) \oplus \mathcal{C}^{\infty}(\mathbb{R}^d) & \longrightarrow & 0 \\ (f_+, f_-) \mapsto (i(f_+, f_-))_{\text{pw}} & & \downarrow & & \downarrow 0 & & \\ 0 & \longrightarrow & C_{\partial} \oplus C_{\partial} & \longrightarrow & 0 & & \end{array} \quad (3.29)$$

where all the $\overset{\circ}{\mu}_{n>1}$ are present and are given by the homological perturbation lemma as discussed in Section 2.4. Furthermore, the relative homotopy Maurer-Cartan action (2.22) for the minimal model is

$$S = \frac{1}{2} \int_{\mathbb{R}^d} d^d \vec{p} E_{\vec{p}} \hat{\phi}_{E_{\vec{p}}}^+(\vec{p}) \hat{\phi}_{E_{\vec{p}}}^+(-\vec{p}) - \int_0^{\infty} \frac{dz}{z} \int_{\mathbb{R}^d} d^d \vec{y} \frac{1}{3!} \lambda \phi^3(z, \vec{y}) + \dots, \quad (3.30)$$

where $\phi(z, \vec{y})$ is a linear combination of the Fourier-transform of (3.28) and the ellipsis denotes higher-order scattering amplitudes via the higher-order products $\overset{\circ}{\mu}_{n>1}$. In particular, the two-point scattering amplitude is seen to reproduce the (Euclidean) Klein-Gordon metric (see e.g. [55, (4.9)]), correctly pairing positive-energy (incoming) and negative-energy (outgoing) states.

Minimal model for anti-de Sitter space. Let us take the minimal model for anti-de Sitter space, that is, for $n = 1$. In this case, solutions to the Helmholtz equation follow the ansatz (3.14a).

As before, the cochain complex underlying the minimal model for (3.19) is

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathcal{C}^\infty(\mathbb{R}^d) \oplus \mathcal{C}^\infty(\mathbb{R}^d) & \xrightarrow{\overset{\circ}{\mu}_1=0} & \mathcal{C}^\infty(\mathbb{R}^d) \oplus \mathcal{C}^\infty(\mathbb{R}^d) & \longrightarrow & 0 \\
 & & (f_+, f_-) \mapsto (i(f_+, f_-))_{\text{pw}} \downarrow & & \downarrow 0 & & \\
 0 & \longrightarrow & C_\partial \oplus C_\partial & \longrightarrow & 0 & &
 \end{array} \quad (3.31)$$

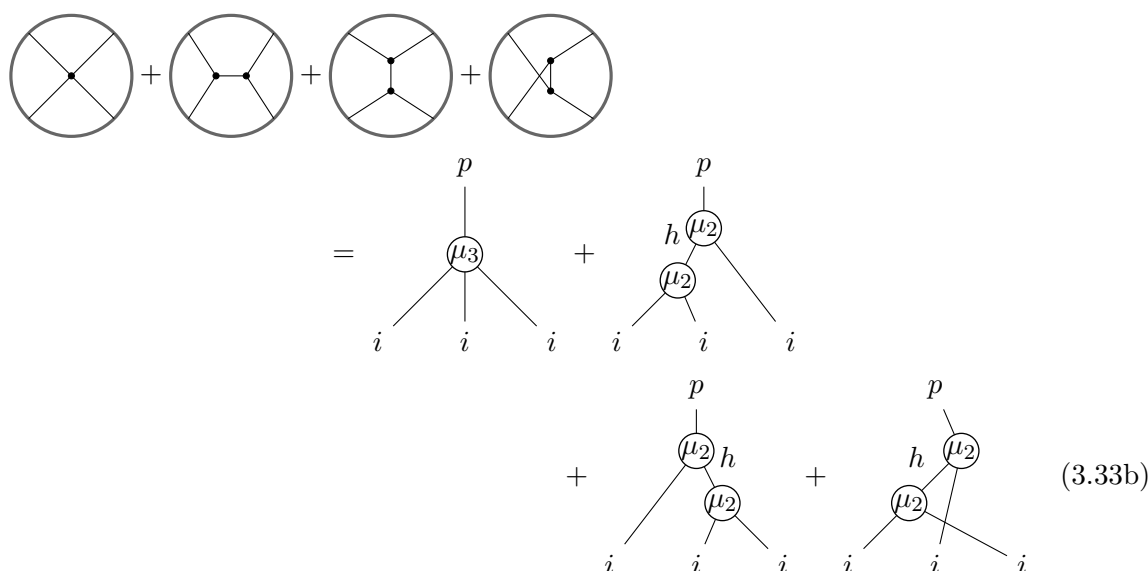
with $\overset{\circ}{\mu}_{n>1}$ given by the homological perturbation lemma as discussed in Section 2.4. Furthermore, the relative homotopy Maurer-Cartan action (2.22) for the minimal model is

$$S = \frac{1}{2} \int_{\mathbb{R}^{2d}} d\vec{y} d\vec{y}' \frac{\phi_{\delta_+}(\vec{y}) \phi_{\delta_+}(\vec{y}')}{|\vec{y} - \vec{y}'|^{2\delta_+}} - \int_0^\infty \frac{dz}{z^{1+d}} \int_{\mathbb{R}^d} d^d \vec{y} \frac{1}{3!} \lambda \phi^3(z, \vec{y}) + \dots \quad (3.32)$$

where the ellipsis encodes the higher-order $\overset{\circ}{\mu}_{n>1}$ generated by homotopy transfer, corresponding to evaluating the sum over connected Witten diagrams; these encode the connected $(n+1)$ -point correlation functions of the boundary CFT. Then, it is clear that the corresponding scattering amplitudes reproduce those of Witten diagrams:



$$\text{Circle with 3 internal lines} = \text{Tree diagram with 3 external legs } p, i, i \text{ and vertex } \mu_2 \quad (3.33a)$$

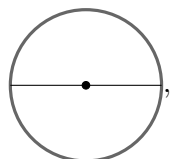


$$\begin{aligned}
 & \text{Sum of 4 circle diagrams} = \text{Tree diagram with 3 external legs } p, i, i \text{ and vertex } \mu_3 \\
 & + \text{Tree diagram with 3 external legs } p, i, i \text{ and two vertices } \mu_2 \text{ connected by line } h \\
 & + \text{Tree diagram with 3 external legs } p, i, i \text{ and two vertices } \mu_2 \text{ connected by line } h \\
 & + \text{Tree diagram with 3 external legs } p, i, i \text{ and two vertices } \mu_2 \text{ connected by line } h
 \end{aligned} \quad (3.33b)$$

and so on, where in the homological perturbation lemma we have the correspondence in Table 2.

The CFT two-point correlator is *not* given by the usual homotopy transfer but is instead given by $\langle \pi_1(-), \pi_1(-) \rangle$, that is, from the boundary term of the relative Maurer-Cartan action; this is essentially the classic derivation [56, section 2.4, section 2.5] that involves integration by parts of the bulk AdS action to reduce it to a boundary term.¹⁷ This seeming inhomogeneity is, in fact, natural: the two-point correlator is *not* part of the connected correlator, since the full correlator is obtained by ‘exponentiating’ the connected correlator, whose ‘identity’ component consists purely of Wick contractions involving the two-point correlator (and other Wick contractions).

In this sense, the leftmost diagram in [57, figure 1],


(3.34)

which is often used to represent the two-point function,

$$\langle O(\vec{y}_1), O(\vec{y}_2) \rangle_{\text{CFT}} = \int_{\text{AdS}} d\vec{y} \frac{dz}{z^{1+d}} \left\{ \partial K(\vec{y}, z; \vec{y}_1) \cdot \partial K(\vec{y}, z; \vec{y}_2) + m^2 K(\vec{y}, z; \vec{y}_1) K(\vec{y}, z; \vec{y}_2) \right\}, \quad (3.35)$$

is misleading in that the ‘vertex’, which looks like it should be μ_1 , in fact is not (since we have picked up a boundary term); it can, instead, be interpreted as the AdS boundary-to-boundary propagator.

3.2 Gauge theory on a manifold-with-boundary

Here, we consider the relative L_∞ -algebras for Chern-Simons and Yang-Mills theory on oriented compact Riemannian manifolds with boundary. See also [32] for an L_∞ -algebra approach to Yang-Mills theory on a manifold with boundary .

3.2.1 Chern-Simons theory

BV action. Consider an ordinary finite-dimensional metric Lie algebra $(V, [-, -]_V, \langle -, - \rangle_V)$. The naïve¹⁸ homotopy Maurer-Cartan Chern-Simons BV action on an oriented compact Riemannian manifold (M, g) with boundary ∂M is given by

$$S_{\text{hMC}}^{\text{CS}} := \int_M \left\{ \frac{1}{2} \langle A, d_M A \rangle_V + \frac{1}{3!} \langle A, [A, A] \rangle_V - \frac{1}{2} \langle A^+, d_M c \rangle_V - \frac{1}{2} \langle c, d_M A^+ \rangle_V - \langle A^+, [A, c] \rangle_V + \frac{1}{2} \langle c^+, [c, c] \rangle_V \right\}, \quad (3.36)$$

where $c \in \Omega^0(M, V)$ is the ghost and $A \in \Omega^1(M, V)$ the Chern-Simons gauge potential and $A^+ \in \Omega^2(M, V)$ and $c^+ \in \Omega^3(M, V)$ the corresponding anti-fields. This action is invariant

¹⁷In [56] this computation is done with a bulk-to-boundary propagator, which provides the required regularisation; the choice of the relative L_∞ -algebra here encodes an equivalent choice of regulator.

¹⁸In the sense that it is derived directly from the canonical symplectic Q -manifold $(\Omega^\bullet(M, V)[1], Q, \omega)$. See [14] for a detailed discussion of its L_∞ -algebra realisation.

under the corresponding BV transformations,

$$\begin{aligned}
 Q_{\text{BV}}c &:= -\frac{1}{2}[c, c]_V, \\
 Q_{\text{BV}}A &:= \nabla_M c, \\
 Q_{\text{BV}}A^+ &:= -F - [c, A^+]_V, \\
 Q_{\text{BV}}c^+ &:= \nabla_M A^+ - [c, c^+]_V,
 \end{aligned} \tag{3.37}$$

where $\nabla_M := d_M + [A, -]_V$ and $F := d_M A + \frac{1}{2}[A, A]_V$, up to a boundary term which is given by

$$Q_{\text{BV}}S_{\text{hMC}}^{\text{CS}} = \int_{\partial M} \left\{ \langle c, d_M A \rangle_V + \frac{1}{4} \langle [c, c]_V, A^+ \rangle_V + \frac{1}{4} \langle c, [A, A]_V \rangle_V \right\}. \tag{3.38}$$

Note that one can integrate by parts to write (3.36) as

$$S_{\text{hMC}}^{\text{CS}} = S_{\text{BV}}^{\text{CS}} - \frac{1}{2} \int_{\partial M} \langle c, A^+ \rangle_V, \tag{3.39a}$$

where

$$S_{\text{BV}}^{\text{CS}} = \int_M \left\{ \frac{1}{2} \langle A, d_M A \rangle_V + \frac{1}{3!} \langle A, [A, A]_V \rangle_V - \langle A^+, \nabla_M c \rangle_V + \frac{1}{2} \langle c^+, [c, c]_V \rangle_V \right\}. \tag{3.39b}$$

Note also that the inner product used to define the action is only cyclic up to a boundary term,

$$\int_M \langle A_1, d_M A_2 \rangle_V = \int_M \langle A_2, d_M A_1 \rangle_V - \int_{\partial M} \langle A_1, A_2 \rangle_V, \tag{3.40}$$

for all $A_{1,2} \in \Omega^1(M, V)$. This must be rectified by a boundary term deriving from the relative L_∞ -algebra. However, this additional term drops out of the relative homotopy Maurer-Cartan action, since $\int_{\partial M} \langle A, A \rangle_V = 0$, so it is only visible at the level of the relative L_∞ -algebra.

Relative L_∞ -algebra. The cochain complex of the relative L_∞ -algebra is given by V -valued p -forms on M and ∂M ,

$$\begin{array}{ccccccc}
 \overbrace{\Omega^0(M, V)}^{\in c} & \xrightarrow{\mu_1} & \overbrace{\Omega^1(M, V)}^{\in A} & \xrightarrow{\mu_1} & \overbrace{\Omega^2(M, V)}^{\in A^+} & \xrightarrow{\mu_1} & \overbrace{\Omega^3(M, V)}^{\in c^+} \\
 \downarrow \pi_1 & & \downarrow \pi_1 & & \downarrow \pi_1 & & \\
 \underbrace{\Omega^0(\partial M, V)}_{\in \gamma} & \xrightarrow{\mu_1^\partial} & \underbrace{\Omega^1(\partial M, V)}_{\in \alpha} & \xrightarrow{\mu_1^\partial} & \underbrace{\Omega^2(\partial M, V)}_{\in \alpha^+} & &
 \end{array} \tag{3.41a}$$

with

$$\begin{aligned}
 \mu_1(c) &:= d_M c, & \mu_1(A) &:= d_M A, & \mu_1(A^+) &:= d_M A^+, \\
 \mu_2(c, c') &:= [c, c']_V, & \mu_2(c, A) &:= [c, A]_V, & \mu_2(c, A^+) &:= [c, A^+]_V, \\
 \mu_2(c, c'^+) &:= [c, c'^+]_V, \\
 \mu_2(A, A') &:= [A, A']_V, & \mu_2(A, A'^+) &:= [A, A'^+]_V.
 \end{aligned} \tag{3.41b}$$

and

$$\pi_1(c) := c|_{\partial M}, \quad \pi_1(A) := A|_{\partial M}, \quad \pi_1(A^+) := A^+|_{\partial M} \tag{3.41c}$$

and

$$\begin{aligned}\mu_1^\partial(\gamma) &:= d_M \gamma, & \mu_1^\partial(\alpha) &:= d_M \alpha, & \mu_1^\partial(\alpha^+) &:= d_M \alpha^+, \\ \mu_2^\partial(\gamma, \gamma') &:= [\gamma, \gamma']_V, & \mu_2^\partial(\gamma, \alpha) &:= [\gamma, \alpha]_V, & \mu_2^\partial(\gamma, \alpha^+) &:= [\gamma, \alpha^+]_V, \\ \mu_2^\partial(\alpha, \alpha') &:= [\alpha, \alpha']_V.\end{aligned}\tag{3.41d}$$

In addition, we introduce the degree -2 (before grade-shifting) bilinear form has non-vanishing components

$$\langle \gamma, \alpha^+ \rangle_\partial := \int_{\partial M} \langle \gamma, \alpha^+ \rangle_V \quad \text{and} \quad \langle \alpha, \alpha' \rangle_\partial := \int_{\partial M} \langle \alpha, \alpha' \rangle_V.\tag{3.41e}$$

With these definitions, the relative homotopy Maurer-Cartan action (2.22) becomes

$$\begin{aligned}S_{\text{rhMC}}^{\text{CS}} &= \int_M \left\{ \frac{1}{2} \langle A, d_M A \rangle_V + \frac{1}{3!} \langle A, [A, A]_V \rangle_V \right. \\ &\quad \left. - \frac{1}{2} \langle A^+, d_M c \rangle_V - \frac{1}{2} \langle c, d_M A^+ \rangle_V - \langle A^+, [A, c]_V \rangle_V + \frac{1}{2} \langle c^+, [c, c]_V \rangle_V \right\} \Big|_{\partial M} \\ &\quad - \frac{1}{2} \int_{\partial M} \left\{ \langle c, A^+ \rangle_V - \langle A, A \rangle_V \right\} \\ &= \int_M \left\{ \frac{1}{2} \langle A, d_M A \rangle_V + \frac{1}{3!} \langle A, [A, A]_V \rangle_V \langle A^+, \nabla_M c \rangle_V + \frac{1}{2} \langle c^+, [c, c]_V \rangle_V \right\}.\end{aligned}\tag{3.42}$$

Relative minimal model. To construct the relative minimal model, we first consider the cohomology of the bulk cochain complex, $(\Omega^\bullet(M, V), d_M)$. By the Künneth formula

$$H_{\mu_1}^\bullet(\Omega^\bullet(M, V)) \cong \bigoplus_{p=0}^3 H_{\text{dR}}^p(M; \mathbb{R}) \otimes V,\tag{3.43}$$

where $H_{\text{dR}}^\bullet(M; \mathbb{R})$ is the de Rham cohomology and for M an oriented compact Riemannian manifold with boundary ∂M (and possibly corners) we have $H_{\text{dR}}^\bullet(M; \mathbb{R}) \cong H^\bullet(M; \mathbb{R})$, the real singular cohomology of M [58]. Thus, the minimal model absent interactions (higher L_∞ -brackets) computes the real singular cohomology of M .

To identify $H_{\text{dR}}^\bullet(M; \mathbb{R})$ explicitly, denote the closed, exact, co-closed, co-exact forms and their intersections by (leaving M implicit)

$$\begin{aligned}C^p &:= \{\omega \in \Omega^p(M) \mid d_M \omega = 0\}, \\ cC^p &:= \{\omega \in \Omega^p(M) \mid d_M^\dagger \omega = 0\}, \\ E^p &:= \{\omega \in \Omega^p(M) \mid \omega = d_M \eta\}, \\ cE^p &:= \{\omega \in \Omega^p(M) \mid \omega = d_M^\dagger \eta\}, \\ CcC^p &:= C^p \cap cC^p, \\ EcC^p &:= E^p \cap cC^p \subseteq CcC^p, \\ CcE^p &:= C^p \cap cE^p \subseteq CcC^p.\end{aligned}\tag{3.44}$$

We shall also need to impose Dirichlet (relative) D and Neumann (absolute) N boundary conditions on the space of p -forms. To do so, it is convenient to introduce local coordinates $x = (y, r)$ on M near ∂M , where y are local coordinates on ∂M and $r \geq 0$ is the normal

distance to the boundary so that for $p \in \partial M$ we have $r(p) = 0$. We denote forms at a boundary point p by $\omega|_{p \in \partial M}$,¹⁹ which decomposes into tangential and normal components,

$$\omega|_{p \in \partial M} = \omega_p^\parallel + \omega_p^\perp. \quad (3.45)$$

In local coordinates, this decomposition can be written

$$\omega|_{p \in \partial M} = \alpha_p^\parallel + \alpha_p^\perp \wedge dr \quad \text{and} \quad \alpha_p^\parallel, \alpha_p^\perp \in \Omega^\bullet(\partial M). \quad (3.46)$$

In a coordinate-free language, ω_p^\parallel is given by $\omega_p^\parallel(X_1, \dots, X_p) := \omega(X_1^\parallel, \dots, X_p^\parallel)$ for all $X_1, \dots, X_p \in \Gamma(M|_{\partial M}, TM)$ and $X = X^\perp + X^\parallel$ denotes the decomposition into tangential and normal parts. The normal component is then defined by $\omega_p^\perp := \omega_p^\parallel - \omega|_{p \in \partial M}$. For notational clarity we will henceforth write ω^\parallel and ω^\perp for the tangential and normal components at any boundary point.

The tangential and norm components define the D (relative) and N (absolute) boundary conditions,

$$\Omega_D^p(M) := \{\omega \in \Omega^p(M) \mid \omega^\parallel = 0\} \quad \text{and} \quad \Omega_N^p(M) := \{\omega \in \Omega^p(M) \mid \omega^\perp = 0\}, \quad (3.47)$$

where for (co-)exact forms the boundary conditions are applied to the pre-images

$$cE_N^p := d_M^\dagger \Omega_N^{p+1}(M) \quad \text{and} \quad E_D^p := d_M \Omega_D^{p-1}(M). \quad (3.48)$$

With these conventions, the Hodge decomposition for an oriented compact, connected, smooth Riemannian manifold with boundary is [59]

$$\Omega^p(M) \cong cE_N^p \oplus CcC^p \oplus E_D^p \cong cE_N^p \oplus CcC_N^p \oplus EcC^p \oplus E_D^p. \quad (3.49)$$

Since $EcC^p \oplus E_D^p \cong E^p$, from the above, CcC_N^p is the orthogonal complement of the exact forms inside the closed forms,

$$C^p \cong CcC_N^p \oplus E^p, \quad (3.50)$$

and we have $H_{\text{dR}}^p(M; \mathbb{R}) \cong CcC_N^p \cong H^p(M; \mathbb{R})$. See for example [60]. Note also that the relative D boundary condition applied to CcC^p gives the relative cohomology, $CcC_D^p \cong H^p(M, \partial M; \mathbb{R})$, cf. [60].

Recall that when the boundary is empty, $CcC^p \cong \ker(\Delta|_{\Omega^p(M)})$ by Poincaré duality and so, $\Omega^p(M) \cong CcC^p \oplus \Delta\Omega^p(M)$. Thus, the spaces of harmonic *fields*,²⁰ CcC^p , and harmonic *forms*, $\ker(\Delta|_{\Omega^p(M)})$, are isomorphic. However, when the boundary is non-empty there may be more harmonic *forms* than harmonic *fields* and $\Delta\Omega^p(M) \cong \Omega^p(M)$. See, e.g. [62]. Indeed, while CcC_N^p and CcC_D^p are finite dimensional, CcC^p and $\ker(\Delta|_{\Omega^p(M)})$ are infinite dimensional for $0 < p$.

The deformation retract data

$$h \begin{array}{c} \curvearrowright \\ \end{array} (\Omega^\bullet(M, V), d_M) \begin{array}{c} \xrightarrow{p_1} \\ \xleftarrow{i_1} \end{array} (CcC_N^\bullet, 0) \quad (3.51)$$

¹⁹Not to be confused with the restriction given by the pull-back of the inclusion, denoted by $\omega|_{\partial M} := \iota^* \omega$.

²⁰To use the nomenclature introduced by Kodaira in [61].

is given by the trivial embedding i_1 and canonical projection p_1 given by the Hodge decomposition.

We define the contracting homotopy by

$$h := d_M^{-1} P_E, \quad (3.52)$$

where P_E is the projector onto the image of d_M and d_M^{-1} is the inverse of the operator d_M restricted to the orthogonal complement of its kernel, cf. the definition of the Chern-Simons Green function in [63]. The Hodge decomposition (3.49) then implies

$$\text{id} - h \circ d_M - d_M \circ h = P_{CcC_N^\bullet}, \quad (3.53)$$

where $P_{CcC_N^\bullet}$ is the projector onto $CcC_N^\bullet \subseteq \Omega^\bullet(M)$. Explicitly, using (3.49) any p -form can be written

$$\omega = d_M \alpha + d_M^\dagger \beta + d_M \gamma + \theta, \quad (3.54)$$

where $d_M \alpha \in E_D^p$, $d_M^\dagger \beta \in cE_N^p$, $\gamma \in cC^{p-1}$, and $\theta \in CcC_N^p$. Consequently,

$$(d_M \circ h)(\omega) = d_M \alpha + d_M \gamma \quad \text{and} \quad (h \circ d_M)(\omega) = d_M^\dagger \beta \quad (3.55)$$

and

$$(\text{id} - h \circ d_M - d_M \circ h)(\omega) = \theta, \quad (3.56)$$

as required.

The relative minimal model is given by

$$\begin{array}{ccccccc} CcC^0(M, V) & \xrightarrow{0} & CcC_N^1(M, V) & \xrightarrow{0} & CcC_N^2(M, V) & \xrightarrow{0} & CcC_N^3(M, V) \\ \downarrow \circ \pi_1 & & \downarrow \circ \pi_1 & & \downarrow \circ \pi_1 & & \\ C^0(\partial M, V) & \xrightarrow{0} & CcC^1(\partial M, V) & \xrightarrow{0} & CcC^2(\partial M, V) & & \end{array}, \quad (3.57)$$

where $\circ \pi_1(-) = -|_{\partial M}$ which is uniquely determined by the tangential component ω^\parallel (although they strictly speaking belong to different spaces) and so for all $\omega \in CcC_N^p$ we may formally identify $\omega|_{p \in \partial M} = \omega^\parallel = \omega|_{\partial M}$. The higher products follow from the homological perturbation lemma as discussed in Section 2.4 and give a perturbative expansion of the classical solutions given specified boundary data.

3.2.2 Yang-Mills theory

Finally, let us discuss Yang-Mills theory in four dimensions, including a topological θ -term, in the framework of relative L_∞ -algebras. We work with the usual second-order formulation.²¹

²¹For first-order formulations see e.g. [3, 14, 33].

Relative L_∞ -algebra. We take the colour Lie algebra of the theory to be an ordinary finite-dimensional metric Lie algebra $(V, [-, -]_V, \langle -, - \rangle_V)$. Correspondingly, the relative L_∞ -algebra of Yang-Mills theory on an oriented compact four-dimensional Riemannian manifold (M, g) with boundary ∂M is given by

$$\begin{array}{ccccccc}
 \overbrace{\Omega^0(M, V)}^{\in c} & \xrightarrow{\mu_1} & \overbrace{\Omega^1(M, V)}^{\in A} & \xrightarrow{\mu_1} & \overbrace{\Omega^3(M, V)}^{\in A^+} & \xrightarrow{\mu_1} & \overbrace{\Omega^4(M, V)}^{\in c^+} \\
 \downarrow \pi_1 & & \downarrow \pi_1 & & \downarrow \pi_1 & & \\
 \underbrace{\Omega^0(\partial M, V)}_{\in \gamma} & \xrightarrow{\mu_1^\partial} & \underbrace{(\Omega^1(\partial M, V) \oplus \Omega^2(\partial M, V))}_{\in (\alpha, \beta)} & \xrightarrow{\mu_1^\partial} & \underbrace{\Omega^3(\partial M, V)}_{\in \alpha^+} & &
 \end{array} \quad (3.58a)$$

where the first row represents V and the second row V_∂ . Notice that there is the extra component $\Omega^2(\partial M, \mathfrak{g})$ in the boundary L_∞ -algebra V_∂ labelled by β . The reason for this is that only the gauge potential $A \in \Omega^1(M, V)$ appears with second-order terms in the Yang-Mills action. Furthermore, upon letting ‘ $*$ ’ be the Hodge operator with respect to the metric g , we have (see e.g. [11, 12, 14])

$$\begin{aligned}
 \mu_1(c) &:= d_M c, & \mu_1(A) &:= d_M * d_M A, & \mu_1(A^+) &:= d_M A^+, \\
 \mu_2(c, c') &:= [c, c']_V, & \mu_2(c, A) &:= [c, A]_V, & \mu_2(c, A^+) &:= [c, A^+]_V, \\
 \mu_2(c, c'^+) &:= [c, c'^+]_V, \\
 \mu_2(A, A') &:= d_M * [A, A']_V + [A, * d_M A']_V + [A', * d_M A]_V, & \mu_2(A, A'^+) &:= [A, A'^+]_V, \\
 \mu_3(A, A', A'') &:= [A, * [A', A'']_V]_V + \text{cyclic}
 \end{aligned} \quad (3.58b)$$

as well as

$$\begin{aligned}
 \mu_1^\partial(\gamma) &:= \begin{pmatrix} d_{\partial M} \gamma \\ 0 \end{pmatrix}, & \mu_1^\partial \begin{pmatrix} \alpha \\ \beta \end{pmatrix} &:= d_{\partial M} \beta, \\
 \mu_2^\partial(\gamma, \gamma') &:= [\gamma, \gamma']_V, & \mu_2^\partial \left(\gamma, \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \right) &:= \begin{pmatrix} [\gamma, \alpha]_V \\ [\gamma, \beta]_V \end{pmatrix}, & \mu_2^\partial(\gamma, \alpha^+) &:= [\gamma, \alpha^+]_V, \\
 \mu_2^\partial \left(\begin{pmatrix} \alpha \\ \beta \end{pmatrix}, \begin{pmatrix} \alpha' \\ \beta' \end{pmatrix} \right) &:= [\alpha, \beta']_V + [\alpha', \beta]_V
 \end{aligned} \quad (3.58c)$$

and

$$\begin{aligned}
 \pi_1(c) &:= c|_{\partial M}, & \pi_1(A) &:= \begin{pmatrix} A \\ * d_M A + \theta d_M A \end{pmatrix} \Big|_{\partial M}, & \pi_1(A^+) &:= A^+|_{\partial M}, \\
 \pi_2(A, A') &:= \begin{pmatrix} 0 \\ *[A, A']_V + \theta [A, A']_V \end{pmatrix} \Big|_{\partial M}.
 \end{aligned} \quad (3.58d)$$

In addition, we introduce the bilinear forms that have the non-vanishing components

$$\begin{aligned}\langle c, c'^+ \rangle &:= \int_M \langle c, c'^+ \rangle_V =: \langle c'^+, c \rangle_V, & \langle A, A'^+ \rangle &:= \int_M \langle A, A'^+ \rangle_V =: \langle A'^+, A \rangle_V, \\ \langle \gamma, \alpha^+ \rangle_\partial &:= \int_{\partial M} \langle \gamma, \alpha^+ \rangle_V, & \left\langle \begin{pmatrix} \alpha \\ \beta \end{pmatrix}, \begin{pmatrix} \alpha' \\ \beta' \end{pmatrix} \right\rangle_\partial &:= \int_{\partial M} \langle \alpha, \beta' \rangle_V.\end{aligned}\tag{3.58e}$$

Then, the relative homotopy Maurer-Cartan action (2.22) becomes

$$\begin{aligned}S &= \frac{1}{2} \int_M \left\{ \langle A, d_M * d_M A \rangle_V + \langle A^+, d_M c \rangle_V - \langle c, d_M A^+ \rangle_V \right\} \\ &\quad + \int_M \left\{ \frac{1}{3!} \langle A, d_M * [A, A]_V + 2[A, * d_M A]_V \rangle_V + \langle A^+, [A, c]_V \rangle_V + \frac{1}{2} \langle c^+, [c, c]_V \rangle_V \right\} \\ &\quad + \frac{1}{8} \int_M \langle A, [A, *[A, A]_V]_V \rangle_V \\ &\quad + \frac{1}{2} \int_{\partial M} \left\{ \langle c, A^+ \rangle_V + \langle A, * d_M A + \theta d_M A \rangle_V \right\} \Big|_{\partial M} \\ &\quad + \frac{1}{3!} \int_{\partial M} \langle A, *[A, A]_V + \theta [A, A]_V \rangle_V \Big|_{\partial M} \\ &= \int_M \left\{ \frac{1}{2} \langle F, * F \rangle_V + \langle \nabla_M A^+, c \rangle_V + \frac{1}{2} \langle c^+, [c, c]_V \rangle_V + \frac{\theta}{2} \langle F, F \rangle_V \right\},\end{aligned}\tag{3.59}$$

where, as before, $F := d_M A + \frac{1}{2}[A, A]_V$ and $\nabla_M c := d_M c + [A, c]_V$.

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