Dissecting the Role of Oxidative Stress in Spinal Muscular Atrophy (SMA)

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Submitted to the University of Hertfordshire in partial fulfilment of the requirements of the degree of Doctor of Philosophy

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Abstract

Spinal muscular atrophy (SMA) is a fatal inherited neurodegenerative disorder of childhood characterised by progressive motor neuron degeneration, extreme muscle weakness and atrophy. SMA results from biallelic mutations or deletions in the *survival motor neuron 1* (*SMN1*) gene, which lead to diminished levels of the survival motor neuron (SMN) protein. SMN is a ubiquitously expressed protein localised in the nucleus and cytoplasm of cells and plays an essential role in several cellular processes. Its canonical function lies in the biogenesis of small nuclear ribonucleoproteins (snRNPs) required for pre-mRNA splicing. In addition, SMN regulates neuron-specific processes such as mRNA trafficking, local translation at synaptic terminals, and synaptic vesicle dynamics. These specialised functions contribute to the heightened vulnerability of spinal cord motor neurons to SMN deficiency. The approval of three therapeutic interventions for SMA patients in recent years has markedly improved patient outcome by significantly extending their lifespan. However, variability in treatment response among patients and the limited evidence of long-term effects has indicated that current therapies, despite being beneficial, do not represent a definitive cure for SMA.

In recent years, mitochondrial dysfunction and oxidative stress have emerged as potential contributors to SMA pathology. Various *in vitro* and *in vivo* models of SMA have highlighted that SMN deficiency leads to a range of mitochondrial abnormalities, such as increased mitochondrial fragmentation and altered mitochondrial membrane potential, impairing energy production and elevating reactive oxygen species (ROS) levels. Consequently, markers of oxidative damage to proteins, lipids and DNA have been detected in both SMA patients and preclinical SMA models. Additionally, oxidative stress can destabilise SMN and interfere with its primary function in premRNA splicing, suggesting a bidirectional relationship between SMN depletion and oxidative stress that may accelerate SMA progression. Despite this evidence, the involvement of oxidative stress in SMA pathology remains poorly understood. This thesis investigates the role of oxidative stress in SMA by utilising a combination of targeted pharmacological interventions, genetic

manipulation and behavioural assays in the Caenorhabditis elegans (C. elegans) smn-1(ok355) SMA model, which is known to be characterised by neuromuscular defects and reduced lifespan. Reminiscent to mammalian studies, mutant smn-1(ok355) animals displayed transcriptional downregulation of mitochondrial energy metabolism pathways and elevated levels of cytoplasmic ROS. Interestingly, these mutants displayed increased sensitivity to oxidative stress, despite maintaining resistance to other types of stressors, suggesting a selective impairment of oxidative stress response mechanisms in SMA. To evaluate whether ROS reduction could improve neuromuscular function in SMA, five known antioxidant compounds were administered to smn-1(ok355) mutant animals. N-acetylcysteine was found to reduce ROS levels and improved motor phenotypes, as previously documented in the mammalian system. Interestingly, other chemical compounds unexpectedly increased ROS yet enhanced neuromuscular function (i.e. epigallocatechin gallate and melatonin). Additionally, SMN-1 protein levels were increased under both ROS-reducing and ROS-elevating conditions, indicating that redox state does not strictly govern SMN-1 abundance in vivo. Finally, the role of specific oxidative stress-response genes in SMA pathology was examined. A set of antioxidant genes from the *superoxide dismutase* (SOD) family were identified to be dysregulated in smn-1(ok355) animals. Pharmacological and behavioural analysis revealed that deletion of sod-1 and sod-2 increased smn-1 oxidative stress sensitivity, although only sod-1 deletion exacerbated neuromuscular defects. In contrast, loss of sod-4 or sod-5 improved both smn-1 oxidative stress resilience and motor function. These findings demonstrated that modulating specific ROS-detoxifying pathways can influence SMA phenotypes.

Overall, our study highlights the intricate regulation of ROS in SMA, emphasising the necessity for precise modulation of oxidative stress responses. Our findings may inform the development of more effective, targeted antioxidant therapies for SMA in the future.

Acknowledgements

I would like to express my deepest gratitude to my supervisor, Dr Maria Dimitriadi, for her unwavering support throughout this journey. When all I had was the dream to become a scientist, she trusted my potential and gave me the opportunity to pursue this PhD, providing me with the tools and encouragement to help me make my own path in academia. I would also like to extend my gratitude to my second and third supervisors for their support and insightful feedback. Dr Maria Braoudaki, always kind, approachable and with encouraging words; and Dr George Poulogiannis, his guidance and insights during key moments of my PhD have made a significant difference in my progress.

My sincere thanks to the all the members of the Dimitriadi Lab, for generously sharing their knowledge and offering words of encouragement: Toni, Amy, Fathama, Saman, Patricia, Ana, Coral, Lucia, Sandra, Ariadna, Marina, Lucia, Christine, and Emili. A special mention goes to Patricia Barnes and Sandra Hernández Sánchez, whom I have closely worked with and mentored; our work together have been particularly rewarding, and I genuinely admire their scientific rigour, and I have no doubt they will achieve great success in their careers. I would also like to thank the researchers and peers who provided constructive feedback during lab meetings, helping to shape this project through thoughtful discussions, especially Dr Caroline Formstone, Dr Lisa Lione, and Dr Helen Foster.

I am also thankful to the Society of Spanish Researchers in the UK, whose admirable efforts help to promote scientific collaborations between both British and Spanish institutions. I would like to extend my appreciation to the University of Hertfordshire, for providing funding support which has enabled me to attend and present my work at several conferences; and to the technical staff at the Science Building, whose dedicated work behind the scenes ensures that research runs smoothly. Finally, to my colleagues from the office and visiting lecturer team — thank you for your companionship and support throughout this journey.

I would like to express my heartfelt gratitude to my family and friends, who have stood by me with patience, love, and endless encouragement throughout this process. At times, I have felt

defeated when things have not worked out as I expected in the lab, but then I found Javi and Laura around the building, and they instantly brightened my day. Laura —free-spirited, talkative, kind, and loving —makes me feel at home whenever we are together. Javi —the coolest guy in Hatfield, the life of every social gathering —always knows how to reassure me; his optimism, laughter, and empathy have been invaluable during these years. Maria and Virginia —although your stay here was brief, I have missed you both since. Maria radiates peace and warmth simply through her presence. Virginia's passion and dedication are an infinite source of motivation, and a constant reminder of how much this career means to me.

Thank you to those who became my family in Hatfield, Alex and Ana. My initial plan was to stay in the UK for only one year, but then I met you. Our time here has been filled with challenges, celebrations, and so many crazy adventures that we could write a book about them. We have grown together through these experiences, and I will forever treasure them as some of the most special years of my life. Alex, you are more than my best friend; you're my brother. Words cannot express how much it has meant to me to count on your support during these years. Thank you for always being there for me —I'll always be here for you too.

To my boyfriend, Szilveszter. Your mere presence in my life makes the top at the list of "things that I am grateful for", every day. Thank you for believing in my dreams as if they were your own, for loving and taking care of me every day, and for being proud of me no matter what. I have my entire life to thank you for your unconditional support.

And finally, to my family. To my grandparents, Antonio and Faustina, thank you for dedicating your life to take care of our family. To my brother, Ismael, for being a role model for as long as I can remember. And to my parents, Paloma and Manuel, thank you for all the hard work and sacrifices you have made to help me achieve this long-held dream. To develop "the pill of immortality" has proven to be more challenging than what I imagined when I was kid, but I promise I will keep trying!

Dedicated to the memory of my grandfather, Antonio Torres.

List of Publications, Presentations and Awards

1.1. Publications

Published

Hoolachan, J. M., McCallion, E., Sutton, E. R., Çetin, Ö., Pacheco-Torres, P., Dimitriadi, M., Sari, S., Miller, G. J., Okoh, M., Walter, L. M., Claus, P., Wood, M. J. A., Tonge, D. P., & Bowerman, M. (2023). A transcriptomics-based drug repositioning approach to identify drugs with similar activities for the treatment of muscle pathologies in spinal muscular atrophy (SMA) models. Human Molecular Genetics, 33(5), 400. https://doi.org/10.1093/HMG/DDAD192. (Appendices 1B).

Under peer review

Sutton, E. R., McCallion, E., Hoolachan, J. M., Cetin, Ö., Pacheco-Torres, P., Bouhmidi, S., Churchill, L., Scaife, T., Chaytow, H., Huang, Y.-T., Duguez, S., Schneider, B. L., Gillingwater, T. H., Dimitriadi, M., & Bowerman, M. (2025). Mifepristone alone and in combination with scAAV9-SMN1 gene therapy improves disease phenotypes in Smn2B/- spinal muscular atrophy mice. BioRxiv, 2025.02.17.638672. https://doi.org/10.1101/2025.02.17.638672. (Appendices 1A).

1.2. Presentations

<u>Internal</u>

❖ Life and Medical School Annual Research Conference 2021 – 22 June 2021 – University of Hertfordshire (online), Hatfield, United Kingdom – Poster presentation – (Anexo II A1).

- ❖ Life and Medical School Annual Research Conference 2022 21 June 2022 University of Hertfordshire, Hatfield, United Kingdom – Poster presentation (Anexo II A2).
- ❖ Postgraduate Research Seminars 2022 10 Oct 2022 University of Hertfordshire, Hatfield,
 United Kingdom Presentation (Anexo II A3).
- ❖ 3 Minute Thesis Competition 5 May 2022 University of Hertfordshire, Hatfield, United Kingdom Presentation (Anexo II A4).
- ❖ Life and Medical School Annual Research Conference 2024 11 Jun 2024 University of Hertfordshire, Hatfield, United Kingdom – Presentation – (Anexo II A5).
- ❖ Postgraduate Research Seminars 2024 11 Nov 2024 University of Hertfordshire, Hatfield,
 United Kingdom Presentation (Anexo II A6).

National

- ❖ Worm Club Seminars 2021 3 Nov 2021 The London Worm Club (online), United Kingdom Presentation (Anexo II B1).
- ❖ 15th UK Neuromuscular Translational Research Conference (online) 26-27 Apr 2022 Muscular Dystrophy UK (online) Poster presentation (Anexo II B2).
- ❖ IX International Symposium SRUK 1-3 July 2022 Spanish Researchers in the UK, Oxford, United Kingdom – Poster presentation – (Anexo II B3).
- ❖ SRUK Ageing and Diseases Conference 9 Jun 2023 Spanish Researchers in the United Kingdom, Cambridge, United Kingdom Presentation (Anexo II B4).
- ❖ UK Worm Meeting 2023 13 Jan 2023 University of East Anglia, Norwich, United Kingdom Poster presentation (Anexo II B5).
- ❖ 16th UK Neuromuscular Translational Research Conference 29-30 Mar 2023 Muscular Dystrophy UK, University College London, London, United Kingdom Poster presentation (Anexo II B6).

International

- ❖ 3rd International Scientific Congress on Spinal Muscular Atrophy 21-23 October 2022 SMA Europe, Barcelona, Spain Poster presentation (Anexo II C1).
- ❖ 24th International C. elegans Conference 24-28 June 2023 Genetics Society of America, Glasgow, Scotland – Poster presentation – (Anexo II C2).
- ❖ 4th International Scientific Congress on Spinal Muscular Atrophy 14-16 March 2024 SMA Europe, Ghent, Belgum Poster presentation (Anexo II C3).

1.3. Awards

- ❖ IX International Symposium SRUK 1-3 July 2022 Spanish Researchers in the UK, Oxford, United Kingdom – Poster presentation – (Anexo II B3).
- ❖ SRUK Ageing and Diseases Conference 9 Jun 2023 Spanish Researchers in the United Kingdom, Cambridge, United Kingdom Presentation (Anexo II B4).

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List of Acronyms

 $\bullet HO_2 = Hydroperoxyl$

 \bullet OH = Hydroxyl

3NT = 3-nitrotyroside

4HNE = Protein Carbonylation

80HdG = Nucleic Acid Oxidation

AAV9 = Adeno-associated Vector 9

AB = Amyloid-B

ACOX = Acyl-CoA Oxidases

AD = Alzheimer's Disease

AGE-1 = Advanced Glycation End-products-1

AKT = Ak strain Transforming

ALS = Amyotrophic Lateral Sclerosis

AMPK = AMP-activated Protein Kinase

ANOVA = Analysis of Variance

AOX1 = Aldehyde Oxidase-1

ASI = Acidiogenic

ASO = Antisense Oligonucleotide

AT1 = angiotensin II receptor 1

ATP = Adenosine Triphosphate

ATPase = Adenosine Triphosphatase

AVI = Audio Video Interleave

BDM = 2,3-Butanedione Monoxime

C. elegans = Caenorhabditis elegans

CAT = Catalase

CGC = Caenorhabditis Genetics Center

COP1 = Coat Protein 1

COX2 = Cyclooxygenase-2

CP-SOH = Cysteine Sulfenic Acid

CR = Resolving Cysteine

CTL = Catalases

Cu = Copper

CUR = Curcumin

CYP = Cytochrome P450

Cys = Cystine

DAB = Diaminobenzidine

DAF-16 = Decay-accelerationg Factor-16

DEG = Differentially Expressed Genes

dH2O = Distilled water

DHCR24 = 24-Dehydrocholesterol Reductase

DHE = Dihydroethidium

DIC = Differential Interference Contrast

DIM = Dimitriadi Lab

DJR = Glutathione-independent Glyoxalase System

DMD = Duchenne Muscular Dystrophy

DMSO = Dimethyl Sulfoxide

DNA = Deoxyribonucleic acid

dNTPs = Deoxynucleoside Triphosphates

EGCG = Epigallocatechin Gallate

ER = Endoplasmic Reticulum

ErbB = Erythroblastic leukemia viral oncogene homologue

ERK = Extracellurar Regulated Kinase

ERK1/2 = Extracellular signal-regulated protein kinase 1/2

ERO1 = Endoplasmic Reticulum Oxidoreductin-1

ESE = Exon Splicing Enhancer

ESE2 = Exonic Splicing Enhancer 2

ETC = Electron Transport Chain

FDR = False Discovery Rate

FOXC1 = Forkhead box C1

FOXO = Forkhead box O

FUdR = 5-fluoro-2`-deoxyuridine

GABA = Gamma-Aminobutyric Acid

GATA2 = GATA-binding transcription factor-2

GFP = Green Fluorescent Protein

GO = Gene Ontology

GPx = Glutathione Peroxidases

GSEA = Gene Set Enrichment Analysis

GSH = Glutathione

GSSG = Oxidised Glutathione

GST = Glutathione S-transferease

H₂O₂ = Hydrogen Peroxide

HA = Hart Lab

HD = Huntington's Disease

HDAC = Histone Deacetylase

HDD = Hard Disk Drive

hESC = Human Embryonic Stem Cell

HMOX1 = Heme Oxygenase-1

HNE = 4-Hydroxynonenal

HOXA5 = Homeobox A5

HPLC = High-performance liquid chromatography

hT2 = Serotonin receptor

IGF-1 = Insulin-like Growth Factor-1

IIS = Insulin/IGF-1 signalling

ILP = Insulin-like Peptides

iPSC = inducted Pluripotent Stem Cells

ISTL1 = Internal Stem-Loop 1

JNK = c-Jun N-terminal kinase

KEGG = Kyoto Encyclopedia of Genes and Genomes

LC-MS = Liquid Chromatography-Mass Spectrometry

LMNs = Lower Motor Neurons

M9 = M9 minimal medium

MAPK = Mitogen-activated protein kinase

MCODE = Molecular Complex Detection Technology

MDA = Malondialdehyde

MEL = Melatonin

MF = Molecular Function

MitoSOX = Mitochondrial Superoxide Indicator

MMP = Matrix Metalloproteinases

Mn = Manganese

MNs = Motor Neurons

MO = Morpholino Oligonucleotides

MPP = 1-methyl-4-phenylpyridinium

MPTP = 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine

MS = Multiple Sclerosis

MSRA = Methionine Sulfoxide Reductase

mTOR = Mechanistic/Mammalian Target of Rapmycin

n = Sample size

NAC = N-acetyl cysteine

NaCl = Natrium Chloride

NADPH = Nicotinamide Adenine Dinucleotide Phosphate

NCALD = Neuroclaritin Delta

NES = Negative enrichment scores

NFE2L2 = Nuclear Factor Erythroid 2-like2

NFT = Neurofibriallary Tangles

 $NF-\kappa B = Nuclear$ factor kappa-light-chain-enhancer of activated B cells

NGM = Nematode Growth Medium

NMJ = Neuromuscular Junction

NO = Nitric Oxide

NOX = NADPH Oxidases

NPC = Neuronal Progenitor Stage

NRF2 = Nuclear factor erythroid 2-related factor 2

NRTK = Non-receptor tyrosine kinases

OL2 = OrthoList 2

OP50 E.coli = Escherichia coli

OxSR = Oxidative Stress Response

OxSR-DE = Oxidative stress related genes Differentially Expressed

p38 MAPK = p38 mitogen-activated protein kinase

P47-Phox = Neutrophil cytosolic factor-1

PC1 = First principal component

PC2 = Second principal component

PCA = Principal Component Analysis

PCR = Polymerase Chain Reaction

PD = Parkinson's disease

PDIs = Protein Disulphide Isomerases

PDK-1 = Phosphoinositide-dependent protein kinase-1

PGK1 = Phosphoglycerate Kinase-1

pH = potential of Hydrogen

PHA-4 = Pharynx-4

PI3K = Phosphoinositide 3-kinase

PIP = Phosphatidylinositol Phosphate

PLS3 = Plastin 3

PPI = Protein-Protein Interaction

PQ = Plastoquinone

PRDXs = Perxiredoxins

PRx = Peroxiredoxins

Prxs = Peroxiredoxin

PTEN = Phosphatase and TENsin homolog deleted on chomosome 10

PTPases = Protein-Tyrosine Phosphatases

qRT-PCR = Quantitative Reverse Transcription Polymerase Chain Reaction

RAC1 = Ras-related C3 botulinum toxin substrate-1

Ras-ERK = Extracellular signal-regulated kinase

RBPs = RNA-Binding Proteins

RELA = RELA protooncogene NF-kB subunit

RNA = Ribonucleic acid

RNA-seq = RNA sequencing

ROCK = Rho Kinase

ROS = Reactive Oxygen Species

rRNA = Ribosomal RNA

RTK = receptor tyrosine kinases

SBS = Sequencing by Synthesis

SEK-1 = Stress-Activated Protein Kinase-1

SEM = Standard Error of the Mean

-SeOH = Selenenic Acid

SEPP1 = Selenoprotein P

SIP1 = SMN-Interacting Protein

SKN-1 = Skinhead-1

SMA = Spinal Muscular Atrophy

SMA-OSRGs = SMA-Oxidative Stress-Related-Genes

SMN = Survival Motor Neuron

SMN1 = Survival Motor Neuron One

snoRNPs = Small nucleolar RNAs

SnRNPs = Small Nuclear Ribonucleoproteins

SOD = Superoxide Dismutase

TBARs = Thiobarbituric Acid Reactive Substances

TF = Transcription Factor

TPP = Triphenylphosphonium

tRNA = Transfer Ribonucleic acid

TRX = Thioredoxin

TSL2 = Terminal Stem-Loop 2

TSL3 = Terminal Stem-Loop 3

TTN = Transient Tachypnea of the Newborn

TVA = Transversus Abdominis

UPR = Unfolded Protein Response

UPS = Ubiquitin-proteasome system

UR = Urate Oxidase

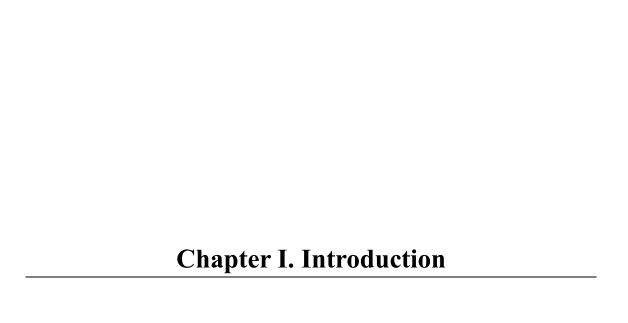
UV = UltraViolet

VITC = Vitamin C

VST = Variance-Stabilizing Transformation

 Wnt/β -catenin = Wingless-related integration site

XOR = Xanthine Oxidoreductase



1.1. Spinal muscular atrophy

Spinal Muscular Atrophy (SMA) is an inherited neuromuscular disease, caused by biallelic mutations or deletions in the *survival motor neuron one* (*SMN1*) gene (Burr and Reddivari, 2023). The main pathological marker of SMA is the progressive and irreversible loss of lower motor neurons in the spinal cord and the brain stem nuclei, which causes severe muscle weakness and atrophy (Burr and Reddivari, 2023). As consequence, patients suffering from SMA have difficulties in walking, sitting independently and controlling head movements, among others (Kolb and Kissel, 2015). As the disease progresses, the motor ability of these patients is subsequently affected, ultimately leading to mortality (mainly in infancy) as a consequence of multi systemic complications, such as cardiac arrest or respiratory failure (Burr and Reddivari, 2023). Population studies have estimated that approximately 1 in 50 individuals are carriers of SMA, with an incidence of one affected newborn per 10,000 live births for this debilitating disorder (Macdonald, Hamilton and Kuhle, 2014).

1.1.1. Clinical and physiological pathology

Before the advent of molecular diagnosis, clinicians classified SMA into different clinicals subtypes. Based on age of onset, severity and highest motor function achieved, SMA can be classified into five subtypes, from SMA type 0 to SMA type IV (Kolb and Kissel, 2015). SMA type 0 represents the most severe form of the condition, manifesting prenatally with significant muscular weakness, respiratory failure and mortality within the first month of life. SMA type I, which presents before six months of age, is characterised by hypotonia, an inability to sit, and early mortality due to respiratory complications, generally occurring before the age of two. SMA type II emerges between 6 and 18 months and is characterised by progressive muscular weakness and musculoskeletal complications. Patients can sit independently and, although they lose the ability to walk, many survive until adulthood. SMA type III, with onset after 18 months, initially permits ambulation but often progresses to wheelchair dependence. Clinical features include proximal leg weakness, tremor, and diminished reflexes. SMA type IV is the mildest form, with

adult onset and mild proximal weakness, is characterised by extreme fatigue without significant motor disability (Kolb and Kissel, 2015). Although supportive care can enhance quality of life, it does not arrest disease progression, rendering these phenotypes critical baselines for assessing the efficacy of new treatments.

The primary pathological hallmark of SMA, evident in all human patients, is the loss of lower motor neurons (MNs) and muscle atrophy (Burr and Reddivari, 2023) (**Figure 1.1**). However, in the most severe forms of the disease, the overall clinical manifestations can reflect the contribution from various cell types and tissues, including not only motor neurons but also other neuronal types, muscles, brain, cardiac tissue and liver, among others (Hamilton and Gillingwater, 2013).

To initiate movement, a signal begins in the primary motor cortex, where the upper motor neurons originate. Their axons descend through the brain to the anterior horn of the spinal cord, where they synapse with lower motor neurons (LMNs), which then transmit the signal to the muscle for movement (Zayia and Tadi, 2023). LMNs are classified into somatic motor neurons, branchial motor neurons, and visceral motor neurons. Somatic motor neurons, including alpha, beta, and gamma types, are located in the brainstem and spinal cord and innervate skeletal muscle fibres, coordinating precise and synchronous muscle contraction. Alpha motor neurons innervate extrafusal muscle fibres and are responsible for generating skeletal muscle contraction, while gamma motor neurons innervate intrafusal fibres within muscle spindles to regulate their sensitivity and fine-tune muscle tone. Beta motor neurons, which are less well characterised, innervate both extrafusal and intrafusal fibres and may contribute to integrating motor and sensory functions (Zayia and Tadi, 2023). Branchial motor neurons control head and neck muscles. Visceral motor neurons innervate ganglia, which in turn regulate organs such as the heart, lungs, kidneys, and digestive tract (Stifani, 2014).

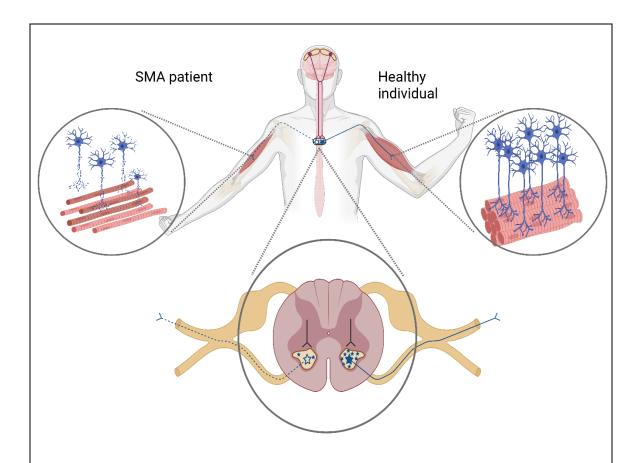


Figure 1.1. Pathophysiology of spinal muscular atrophy

Schematic illustration of spinal muscular atrophy (SMA) pathophysiology, highlighting neuromuscular differences between healthy individuals (right) and SMA patients (left).

The axons of the upper motor neurons (depicted in red) descend from the primary motor cortex in the brain to the anterior horn of the spinal cord, where they form synapses with lower motor neurons (depicted in blue). The central panel at the bottom illustrates a transversal section of the spinal cord, emphasising the abundance of motor neuron somas in healthy individuals (right), in contrast to the loss of motor neurons observed in this region in patients with SMA (left). The axons of these neurons extend through the spinal ganglion to the muscles they innervate, facilitating the maintenance of muscular tone in healthy individuals (upper panel, right). Conversely, in SMA patients, the loss and degeneration of motor neurons results in myofiber loss, degeneration and muscle atrophy/weakness (upper panel, left).

In postmortem examinations of infants who perished to SMA, quantitative analyses of neurons from the anterior horn of the spinal cord (i.e. LMNs) revealed a 73% reduction in neuronal count compared to healthy controls (Simic et al., 2000). Additionally, many of the surviving neurons displayed morphological changes, including swelling, peripheral displacement of the nucleus, and indicators of advanced degeneration, such as cytoplasmic vacuolisation. These morphological changes, in combination with specific methodologies for detecting apoptotic death, confirm that these neurons undergo apoptosis (Simic et al., 2000).

Notably, not all LMNs are equally affected in SMA, instead, specific pools of motor neurons show distinct vulnerability to degeneration. For instance, a study performed in Smn^{-/-};SMN2 and Smn^{-/-};SMN2; Δ 7 severe SMA mouse models demonstrated that MNs innervating the transversus abdominis (TVA) muscles were more severely affected than MNs innervating lumbrical muscles (Murray et al., 2008), suggesting that MN vulnerability might be related with the innervation of anatomically distinct muscle targets. Additionally, another study revealed that alpha motor neurons within the spinal cord of a Smn^{-/-};SMN2 severe SMA mouse model where uniquely vulnerable to degeneration, while gamma motor neurons and other cholinergic populations where largely spared (Powis and Gillingwater, 2016). However, a later study in a Smn^{-/-};SMN2 severe SMA mouse model demonstrated that this vulnerability does not depend on gross morphological features, such as function subtype, nerve length, motor unit size or branching pattern (Thomson et al., 2012). Instead, researchers suggested that specific vulnerability may arise from subtle molecular distinction, such as different dependence on SMN related functions by different neuronal populations (See 1.3.The survival motor neuron protein) (Tu et al., 2017) or increased bioenergetic demands (Boyd et al., 2017).

Other neuronal cell types have also demonstrated to be implicated in SMA pathology. For instance, a study that used human SMA spinal cord cells found an abnormal increase in the number of astrocytes, as well as signs of astrocyte activation and consequently elevated levels of proinflammatory cytokines (Rindt et al., 2015). Astrocytes are a crucial type of glial cells in the central nervous system (CNS) responsible for various critical functions, including maintaining

blood-brain barrier integrity, regulating neuron differentiation, supporting, nourishing, protecting, insulating, and repairing neurons (Cheng et al., 2019). Importantly, astrocyte activation has been found in nearly all neurodegenerative diseases (Rama Rao and Kielian, 2015), suggesting that astrocyte pathology might play a role in accelerating neurodegeneration in SMA.

The degeneration of MNs contributes to the observed defects at the levels of the neuromuscular junction (NMJ) in SMA. NMJs are specialised synapses that connect motor neurons and skeletal muscle fibres, thereby facilitating the transfer of electrical signals that initiates muscle contraction (Khalil, Marwaha and Bollu, 2025). Defects at the NMJ represent some of the earliest pathological changes in SMA. In prenatal and postnatal human samples, as well as SMA mouse models, changes in acetylcholine receptor clustering and abnormal presynaptic accumulation of vesicles without proper contact with muscle cells were identified (Kariya et al., 2008; Martínez-Hernández et al., 2013). Additionally, studies have shown that axons of SMA motor neurons exhibit massive accumulation of neurofilaments in the synaptic terminals, which is associated with lack of axonal sprouting and dramatic axonal degeneration (Rafii et al., 2002). All these defects contribute to NMJ immaturity and to the disruption of the establishment and maintenance of muscle innervation.

Motor neuron survival and skeletal muscle development are tightly interdependent, requiring continuous cell-cell contact. Histological and morphometric analysis from type I SMA fetuses demonstrated that SMA myotubes (i.e. cells that compose the muscle fibres), are smaller than those of healthy controls and present impaired myogenesis (i.e. fusion of myotubes that precedes muscle fibre formation) (Martínez-Hernández et al., 2009; Mutsaers et al., 2011). Additionally, abnormal cluster of nicotinic acetylcholine receptors that was associated with impaired NMJ maturation were also observed (Mutsaers et al., 2011). A later study using the Smn^{-/-};SMN2 severe SMA mice found evidence of myofiber degeneration and atrophy and that these alone were sufficient to trigger NMJ abnormalities, motor function impairment and premature death (Kim et al., 2020). These findings demonstrated that muscle atrophy in SMA is not only a consequence of motor neuron degeneration, but also an active contributor to SMA pathology.

Notably, SMA is increasingly recognised as a multi-system disorder, affecting not only the neuromuscular system but also other organs such as the brain, heart, vasculature, bone, pancreas, liver, lung and intestine, particularly in severe cases (Hamilton and Gillingwater, 2013). The involvement of multiple organ systems in SMA may gain relevance in treated SMA patients, particularly those receiving intrathecal therapies that do not address peripheral tissues, underscoring the complexity of the disease and the need for a comprehensive approach to patient care, treatment strategies, and long-term prognosis.

1.1.2. Molecular genetics

In 1995, Lefebvre et al. identified the survival motor neuron one (SMN1) gene, located on chromosome 5q13, as the gene responsible for SMA (Lefebvre et al., 1995). The SMNI gene encompasses 27 kb (i.e. 27,000 base pairs) and contains 9 exons that encode a 294-amino acid protein, known as the survival motor neuron (SMN) protein (Chen et al., 1998; Lefebvre et al., 1995). Lefebvre et al., found that 93% of SMA patients lacked both copies of SMNI, while 5.6% of patients presented a mutated version of SMN1 that lacked exon 7 in both gene copies. The remaining 1.3% of patients exhibited other intragenic mutations in one or both SMN1 copies. Other studies demonstrated that SMA patients can carry several types of mutations within the SMN1 gene, the majority of which affected exons 7 and 8, although other mutations have been reported in exons 1, 2a, 2b, 3, 4, 5 and 6, as well as introns 6 and 7 (Lefebvre et al., 1995). These mutations include large-scale deletions, nonsense mutations (nucleotide changes that introduce a premature stop codon), frameshift mutations (addition or loss of nucleotides that changes the gene's reading frame), missense mutations (nucleotide changes that alter the translation of one amino acid) and splice site mutations (nucleotide changes that disrupt normal splicing) (Wirth, 2000). Approximately 95% of all SMA cases are caused by deletions or mutations in the SMN1 gene. The remaining 5% of patients present SMA-like clinical features but have no identifiable deletions or mutations in SMNI and are therefore classified as non-SMNI-related SMA patients (Burr and Reddivari, 2023). Currently, there are more than 20 genes that have been associated with non-SMN1-related SMA, including UBE1 (associated with X-linked infantile SMA type 2), SCO2 (associated with SMA due to mitochondrial dysfunction), or IGHMBP2 (associated with SMA with respiratory distress) (Farrar and Kiernan, 2014). This thesis focuses exclusively on SMN1-related SMA.

The 5q region, where the *SMN1* gene is located, is particularly unstable and contains multiple duplications and repetitive sequences. The arrangement of these repetitive gene motifs is polymorphic between individuals, which means that different individuals have different patterns or numbers of these repeated gene sequences, which some researchers associate with the high incidence and worldwide distribution of SMA (Theodosiou et al., 1994; Crawford, 1996). Notably, the *SMN1* gene is part of a 500 kb inverted duplication region within the 5q13 chromosome, which means that humans possess two nearly identical copies of the *survival motor neuron* gene: a telomeric copy, designated as *SMN1*, and a centromeric copy, designated as *SMN2* (Lefebvre et al., 1995). There are only 5 single nucleotide differences between *SMN1* and *SMN2* genes, localised in intron 6 (G-to-A substitution), exon 7 (C-to-T substitution), intron 7 (A-to-G substitution), intron 7 (another A-to-G substitution 114 bp upstream of the previous one) and exon 8 (G-to-A substitution) (Lorson et al., 1999) (**Figure 1.2**).

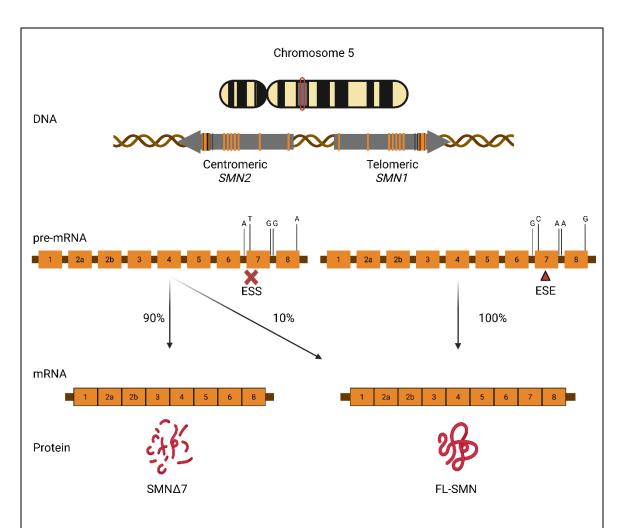


Figure 1.2. SMN expression in healthy individuals and SMA patients

Schematic representation illustrating the genomic and splicing differences between the SMNI and SMN2 genes located on chromosome 5. There are only five nucleotides differences between SMNI (telomeric) and SMN2 (centromeric), located around exons 7 and 8. During pre-mRNA splicing, SMNI generates a full-length SMN (FL-SMN) protein due to efficient inclusion of exon 7, which is facilitated by an exonic splicing enhancer (ESE), depicted as a red arrow, in exon 7. Conversely, a single nucleotide substitution (C>T) in SMN2 disrupts the ESE and creates an exonic splicing silencer (ESS), depicted as a red cross, resulting in exon 7 skipping in approximately 90% of SMN2 transcripts. This process generates a truncated, unstable protein (SMN Δ 7) that is rapidly degraded.

The functional divergence between SMN1 and SMN2 stems from the C-to-T substitution located at the position +6 of exon 7. Despite being a translationally silent mutation that does not alter the amino acid sequence of the protein, this mutation alters the pre-mRNA splicing patter of SMNI by disrupting the proper functioning of a nearby exon splicing enhancer (ESE) situated at the centre of exon 7 (Lorson et al., 1999; Monani et al., 1999). ESEs are sequences within pre-mRNA molecules that are required for the efficient recognition of exons by the splicing machinery, facilitating the incorporation of the exon in the mature mRNA, which is later translated into a protein (Blencowe, 2000). In SMN1, the cytosine (C+6) creates an efficient ESE that facilitates the binding of splicing activators. Conversely, in SMN2 the thymine (T+6) disrupts this ESE and instead, creates an exonic splicing silencer (ESS), which enhances the binding of inhibitory splicing factors that promote the exclusion of exon 7 from the mature mRNA (Kashima and Manley, 2003). These mature mRNA transcripts lacking exon 7 are translated into a truncated SMN isoform, known as SMN Δ 7, that is highly unstable and is marked for degradation by the ubiquitin proteasome system (UPS) (Gray et al., 2018). Consequently, the levels of functional SMN protein produced by SMN2 are significantly lower than those produced by SMN1 (Lorson et al., 1999; Monani et al., 1999). This finding was pivotal in understanding why SMN1, but not SMN2, is the primary gene responsible for determining SMA.

Although *SMN1* is the gene responsible for determining SMA, there is a strong correlation between the number of *SMN2* copies and the severity of the disease. This correlation arises because, as calculated using the blood data of patients with SMA, *SMN2* produces about 10% to 20% full-length *SMN* mRNA (Crawford et al., 2012; Wadman et al., 2016). Consequently, a higher *SMN2* copy number is associated with increased levels of SMN protein in patients, thereby reducing disease severity. A cohort analysis conducted in 2018, which included 2834 SMA patients worldwide, indicated that the majority of type I SMA patients possess between 2 and 3 *SMN2* copies (69% and 23%, respectively), the majority of type II patients have between 2 and 3 copies (75% and 17%, respectively), while the majority of type III SMA patients have between 3 and 4 copies (47% and 46%, respectively) (Calucho et al., 2018). Moreover, several studies have

compared the levels of full-length SMN protein in patients with varying severity and found that while type I SMA patients exhibit a 60% reduction in total SMN protein levels compared to controls, type II SMA patients show a 44.4% reduction in functional SMN protein levels, and type III SMA patients demonstrate a 41.7% reduction (Crawford et al., 2012). Altogether, these findings led to a shift in focus from gene presence to protein expression, highlighting that the disease severity is largely determined by how much full-length SMN protein a patient can produce.

1.1.3. The survival motor neuron protein

The full-length human SMN protein (hereafter referred as SMN) is a 294-polypeptide translated from full-length SMN mRNA. The translation stop codon occurs within exon 7, leaving exon 8 untranslated. SMN adopts a three-dimensional structure comprising distinct functional domains essential for its diverse cellular roles. At its N-terminus, a lysine-rich domain mediates interactions with RNA molecules. The central Tudor domain facilitates protein-protein interactions crucial for assembling the cellular splicing machinery. Adjacent to this, a proline-rich domain engages proteins involved in actin dynamics, influencing cytoskeletal organisation. Finally, the YG box at the C-terminal end is vital for SMN oligomerisation, a property essential for the protein's stability and activity, and notably impaired in the truncated SMNΔ7 variant (Singh et al., 2017).

In humans, the SMN protein is ubiquitously expressed throughout the body and is localised in both the cytoplasm and nucleus of cells. Despite its widespread expression, the levels of SMN protein vary significantly across different tissues. Post-mortem analyses comparing tissues from healthy individuals and patients with type I SMA revealed that SMN is highly expressed in the brain, spinal cord, and liver of healthy individuals, whereas skeletal and cardiac muscles exhibited a more moderate expression (Coovert et al., 1997). Additionally, the same study examined those tissues from type I SMA patients and found that the SMN protein was moderately reduced in muscle tissue but profoundly decreased (~100-fold) in the spinal cord, aligning with the selective

vulnerability of MNs observed in SMA pathology (Coovert et al., 1997). More recently, Ramos et al., 2019 demonstrated that SMN expression also varies greatly with developmental stage, showing a pronounced physiological decline during the perinatal period and low, stable levels after early infancy in both healthy and SMA tissues. These findings highlight the importance of tissue specificity and developmental timing in determining therapeutic efficacy.

The SMN protein is involved in several cellular processes critical for maintaining protein homeostasis, including the assembly of small nuclear ribonucleoproteins (snRNPs) essential for pre-mRNA splicing (Gubitz, Feng and Dreyfuss, 2004), RNA trafficking (Rossoll et al., 2003; Fallini et al., 2011), translation (Bernabò et al., 2017a; Fallini et al., 2016) and protein degradation pathways (Wishart et al., 2014). Moreover, SMN indirectly affects other critical cellular processes, such as endocytosis (Dimitriadi et al., 2016), autophagy (Rashid & Dimitriadi, 2024a), and mitochondrial function (James et al., 2021). These indirect roles have been identified through observations that demonstrate that SMN reduction disrupts these processes, although precise mechanisms by which SMN deficiency causes such disruptions are not yet fully elucidated (Chaytow et al., 2018; Singh et al., 2017; Wirth, 2021).

The ubiquitous expression of SMN and its involvement in numerous essential cellular processes underscore its critical biological significance. Nonetheless, some researchers have suggested that SMN has MN-specific functions, such as axonal mRNA transport and local translation, which are crucial for proper MN development and function (Monani, 2005; Chaytow et al., 2018; Wirth, 2021). The disruption of these functions disproportionately affects motor neurons, contributing to their increased vulnerability to degeneration, highlighting why specific SMN-dependent processes become particularly relevant in the context of SMA.

1.1.3.1. Ribonucleoprotein assembly

The SMN protein plays an essential role in the biogenesis of small nuclear ribonucleoproteins (snRNPs), which are critical components of the cellular splicing machinery that catalyse the excision of introns and the ligation of exons to form mature mRNA (Zhang et al., 2013). The

critical role of SMN in snRNP biogenesis is underscored by findings that link decreased snRNP availability directly with increased disease severity in SMA (Gabanella et al., 2007).

Each snRNPs consist of a uridylic acid-rich small nuclear RNA (U1, U2, U4, U5 and U6 snRNAs) and Sm proteins that are post-transcriptionally modified by methylation and then imported to the nucleus, where they assist with mRNA splicing (Patel and Bellini, 2008). The critical role of SMN in snRNP biogenesis involves the facilitation of snRNPs assembly and transport to the nucleus. Briefly, SMN assembles into a multiprotein complex in the cytoplasm, known as the SMN complex, constituted by SMN and eight other proteins, known as Gemin 2-8 and Unrip (Gubitz, Feng and Dreyfuss, 2004). The SMN complex facilitates the binding of Sm proteins onto small nuclear RNAs (snRNAs) (Gubitz, Feng and Dreyfuss, 2004). Following this, snRNAs are modified through methylation, enabling their transport into the nucleus via interaction with nuclear transport proteins (Friesen et al., 2001; Narayanan et al., 2004; Henriksson and Farnebo, 2015). Within the nucleus, snRNPs are targeted to specialised structures termed Cajal bodies or Gems, where they dissociate from the SMN complex and undergo final maturation steps (Henriksson and Farnebo, 2015).

SMN has been directly involved in the biogenesis of U1 and U7 snRNPs (Pillai et al., 2003; So et al., 2016). SMN depletion causes widespread splicing perturbations that affect the transcription of hundreds of genes in different tissues, including brain, spinal cord, and skeletal muscle among others (Zhang et al., 2008). Importantly, some of these genes play critical roles in MN function. For example, stasimon and neuroxin2a were found strongly downregulated and displayed changes in alternative splicing patterns in fruit fly, zebrafish and mouse models of SMA, were they play an essential role in synaptic transmission (Lotti et al., 2012; See et al., 2014). These findings could elucidate the relationship between the role of SMN in snRNPs biogenesis and increased motor neuron vulnerability in SMA pathology.

1.1.3.2. RNA trafficking and cytoskeleton dynamics

SMN plays a crucial role in RNA trafficking, a process of particular significance in MNs, which, due to their long and complex axonal projections, require efficient intracellular transport mechanisms for metabolic and functional maintenance (Wirth, 2021). Research indicates that the depletion of SMN leads to significant reduction in the localisation of mRNA transcripts along MN axons (Rossoll et al., 2003; Fallini et al., 2011). Specifically, a study conducted in SMNdeficient MNs found that the location of β-actin mRNA within axons was particularly decreased, despite no alterations in overall protein expression levels (Rossoll et al., 2003). Notably, β-actin plays a role in axon elongation and maturation and contributes to the dynamic nature of the axonal cytoskeleton (Moradi et al., 2017). Additionally, SMN deficiency has been demonstrated to impair mitochondrial transport, thereby reducing mitochondrial presence in MN axons, which rely on mitochondrial ATP for proper functioning (Xu et al., 2016). These findings highlight the critical role of SMN in maintaining synaptic transmission at the NMJs (Fallini et al., 2011, 2013, 2016). SMN facilitates RNA transport by interacting with various RNA-binding proteins (RBPs) through its Tudor domain (Fallini et al., 2011). Subsequently RBPs interact with specific mRNA transcripts and cytoskeletal structures, facilitating their transport through the axon (Chaytow et al., 2018). Among the various RBPs that interact with SMN, IMP1 and HuD are particularly noteworthy, as they have been identified to bind mRNAs essential for axonal growth, such as βactin and GAP-43 (Fallini et al., 2013). Furthermore, SMN has been shown to interact with the coat protein I (COPI), a family of vesicle proteins involved in the intracellular transport of proteins between the endoplasmic reticulum (ER) and Golgi apparatus within cells (Beck et al., 2009). In spinal cord MNs, SMN facilitates the interaction between COPI and β-actin mRNA, thereby aiding its transport across the axon (Peter et al., 2011).

SMN has also demonstrated to play a role in regulating cytoskeleton dynamics. In neurons, the maintenance of cytoskeleton dynamics is crucial for axon formation and axonal transport (Kevenaar and Hoogenraad, 2015). The extensive evidence of axonal growth defects in neuronal cultures with depleted SMN expression (Rafii et al., 2002), as well as evidence of direct

interaction between SMN and components of the cytoskeleton, such as actin proteins (Peter et al., 2011; Fallini et al., 2013) and profilin (Nölle et al., 2011), suggests that SMN may contribute to the maintenance of cytoskeleton dynamics within neurons. However, it remains unclear whether this is an SMN-independent role or if it is associated with its involvement in mRNA trafficking (Chaytow et al., 2018).

1.1.3.4. Regulation of local translation

SMN has been implicated in the spatial regulation of protein synthesis, a critical function in neurons where local translation at distal axonal sites is necessary for axonal integrity and synaptic function (Chaytow et al., 2018). Comparative analyses of transcriptomes and translatomes in SMA models revealed extensive translation defects, notably impacting ribosome biogenesis (Bernabò et al., 2017a). Notably, studies in SMN-deficient neurons demonstrated that somatic translation remains largely unaffected, whereas axonal translation is significantly impaired, underscoring a specialised role for SMN in the spatial control of translation (Fallini et al., 2016). SMN's role in local translation is believed to be mediated through its interactions with RBPs such as HuD and IMP1, which regulate the localisation of mRNAs involved in axon growth (Fallini et al., 2016). Furthermore, biochemical assays have demonstrated that SMN associates with polyribosomes, directly influencing translation efficiency in a dose-dependent manner (Sanchez et al., 2013).

1.1.3.5. Regulation of the ubiquitin pathway

SMN also contributes to cellular protein homeostasis through its interactions with components of the ubiquitin-proteasome system (UPS). SMN itself undergoes ubiquitination and subsequent proteasomal degradation via direct interaction with UBA1, an E1 ubiquitin-activating enzyme, and Itch, a type of E3 ubiquitin ligase (Han et al., 2012). Conversely, interactions with deubiquitinating enzymes, such as UCHL1, has shown to increase SMN stability (Hsu et al.,

2010). Interestingly, SMN reduction led to the reduction of UBA1, which subsequently affected the ubiquitination of other cellular proteins (Wishart et al., 2014), reflecting the broader impact of SMN depletion on cellular protein homeostasis beyond its own degradation.

1.1.3.6. Regulation of endocytosis

SMN plays a crucial role in regulating endocytosis, a cellular process vital for synaptic vesicle recycling and neurotransmission, particularly at the NMJ (Chaytow et al., 2018; Wirth, 2021). Research has demonstrated that depletion of SMN results in the disruption of endocytic function in various SMA models. For example, in a severe *C. elegans* SMA model, reduction of SMN-1 led to the altered localisation of presynaptic endocytic proteins in motor neurons and a reduction of presynaptic vesicles near the presynaptic region, indicating impaired endosomal trafficking in SMA (Dimitriadi et al., 2016). These findings were corroborated in a severe SMA mouse model, where SMN depletion was associated with reduced endocytosis (Hosseinibarkooie et al., 2016). Notably, these endocytic defects were linked to the disruption of the NMJ and impaired synaptic transmission (Dimitriadi et al., 2016; Hosseinibarkooie et al., 2016). The role of SMN in endocytosis could be mediated through interactions with cytoskeletal regulators such as Plastin 3 (PLS3) and Neurocalcin Delta (NCALD), which will be further elaborated in following sections (See 1.4. Genetic modifiers).

1.1.3.7. Modulation of autophagy

SMN regulates autophagy, a conserved process within cells that breaks down and recycles damaged components through lysosomal degradation. SMN deficiency has been associated with increased autophagosome formation across different SMA models, suggesting a blockage in lysosomal degradation (Rashid & Dimitriadi, 2024a). However, it is not yet elucidated whether increased autophagosome formation is protective or deleterious in SMA and further research is required to fully elucidate the direct relationship between SMN and the autophagy pathway. (Rashid & Dimitriadi, 2024a).

1.1.3.8. Mitochondrial function

Emerging evidence indicates that SMN plays a significant role in mitochondrial trafficking, function and homeostasis, as demonstrated by the significant mitochondrial dysfunction, increased oxidative stress, impaired mitochondrial transport, among others, observed after SMN depletion (See 4.2.Mechanisms triggering oxidative stress in). Although SMN does not localise to mitochondria directly (Acsadi et al., 2009), its effects on mitochondrial function are thought to be indirect, possibly through affecting the splicing, translation, or mRNA transport of genes fundamental to mitochondrial homeostasis (James et al., 2021).

1.1.4. Genetic modifiers

Although it is well-established that homozygous deletions or mutations within the *SMN1* gene lead to SMA, there are rare, documented cases of discordant families where siblings of SMA patients are unaffected despite identical homozygous absence of *SMN1* (Cobben et al., 1995; Hahnen et al., 1995; Wang et al., 1996). This observation indicates that SMA is influenced by additional factors that modify *SMN1* activity or expression, known as protective modifiers. Since then, several SMA protective modifiers in humans have been reported (Lamar and McNally, 2014). From those, *SMN2* constitutes the clearest example of a genetic modifier in SMA. As discussed in previous sections, the number of *SMN2* copies inversely correlates with SMA severity, due to its limited production of functional SMN protein. This relationship has been corroborated in a severe SMA mouse model with homozygous deletion of the endogenous *Smn* gene, where the expression of 8 *SMN2* copies completely rescued the SMA mice-associated phenotypes (Monani et al., 2000).

While numerous genetic modifiers have been identified (Lamar and McNally, 2014), each exhibiting distinct mechanisms of action, this section will focus on two genetic modifiers found in SMA discordant families that illustrate either positive protective effect (i.e. increased gene

expression or presence of specific gene variants confers protection against SMA), or negative protective effect (i.e. reduced gene expression or complete absence of the gene confers protection against SMA).

In 2008, a study identified six SMA discordant families, where female siblings of SMA patients were unaffected by the disease despite carrying the same SMN1 and SMN2 alleles than their affected siblings (Oprea et al., 2008). Researchers selected one of these discordant families and conducted a transcriptome-wide differential expression analysis, which included two males with SMA and two females that were fully asymptomatic. The analysis revealed 18 transcripts that were differentially expressed between affected and unaffected individuals (Oprea et al., 2008). Subsequent examination of the expression of those genes in the other five discordant families indicated that only one gene was consistently differentially expressed between affected and unaffected individuals. This gene, identified as Plastin 3 (PLS3), was significantly overexpressed in all unaffected females with homozygous SMN1 deletion, whereas all related males with SMA exhibited strong downregulation (Oprea et al., 2008). Additionally, the study included unrelated type I, II, and III patients and found that some individuals also expressed elevated PLS3 levels, which was associated with decreased severity only in female patients (Oprea et al., 2008; Yanyan et al., 2013), demonstrating that *PLS3* functions as a gender-specific protective modifier in SMA. PLS3 is located on the X chromosome and encodes a calcium-sensitive actin-binding protein, that is predominantly expressed in the spinal cord (Oprea et al., 2008). PLS3 plays a critical role in organising and stabilising the actin cytoskeleton by facilitating the cross-linking of actin filaments into bundles, ensuring proper actin dynamics (Delanote, Vandekerckhove and Gettemans, 2005). In neurons, this process is critical for axon growth and branching, as well as for vesicle trafficking and endocytosis (Dent & Gertler, 2003; Engqvist-Goldstein & Drubin, 2003). In severe SMA mouse models, overexpression of PLS3 restored several defects depending on actin dynamics, such as reduced axon length and outgrowth, thereby enhancing neuronal connectivity at the NMJ (Oprea et al., 2008; Ackermann et al., 2013). Furthermore, in a severe C. elegans SMA model,

PLS3 overexpression improved endocytic function and mitigated the neuromuscular defects associated with SMN-1 depletion (Walsh et al., 2020).

Another SMA modifier was identified in a USA discordant family, where from seven individuals carrying homozygous deletions in *SMNI*, two were affected by SMA type I, whereas five were completely asymptomatic (Riessland et al., 2017). While the two SMA type I patients expressed 2 *SMN2* copies, unaffected individuals carried 4 *SMN2* copies (Riessland et al., 2017), which is usually associated with type III SMA (Calucho et al., 2018). Researchers performed a genomewide linkage analysis that included 14 family members, with the aim to identify chromosomal regions that may contain genetic variations that explain the SMA differential phenotypes. This analysis yielded 8 regions of interest (Riessland et al., 2017). Additionally, in a transcriptomewide differential expression analysis, researchers found 17 transcripts that were significantly differentially expressed in asymptomatic individuals. From the 17 transcripts that were differentially expressed, only one of them localised to one of the eight regions of interest, making it a highly likely SMA genetic modifier (Riessland et al., 2017). This gene was significantly downregulated in asymptomatic patients and was identified as the neuronal calcium sensor *Neurocalcin delta* (*NCALD*) (Riessland et al., 2017).

NCALD encodes a calcium (Ca²⁺)-dependent molecular sensor highly abundant in axonal growth cones of motor neurons (Iino, Kobayashi and Hidaka, 1998; Braunewell and Gundelfinger, 1999). Upon binding to Ca²⁺, NCALD undergoes conformational changes that facilitate its attachment to the plasma membrane when intracellular Ca²⁺ concentrations are elevated, and its release into the cytoplasm when Ca²⁺ levels are decreased (Hoareau et al., 2019). In the cytoplasm, NCALD directly interacts with clathrin molecules, thereby interfering with their proper trafficking and localisation to endocytic sites at the neuronal membrane (Riessland et al., 2017). Importantly, clathrin is a crucial protein involved in the coating of endocytic vesicles, which allow synaptic vesicle recycling and neurotransmission (Slepnev and De Camilli, 2000). In the pathological context of SMA, synaptic Ca²⁺ influx is significantly reduced (Jablonka et al., 2007), resulting in an increased proportion of cytoplasmic NCALD that binds to clathrin, thereby impairing clathrin-

mediated formation of endocytic vesicles. Consequently, neurotransmitter recycling at the synapse is disrupted, leading to impaired synaptic transmission (Riessland et al., 2017). However, the downregulation of NCALD in SMA diminishes its inhibitory interaction with clathrin, facilitating clathrin redistribution to the plasma membrane and restoring endocytic vesicle formation. This mechanism elucidates why the reduction of NCALD levels effectively rescued endocytic and synaptic deficits observed in SMA models (Riessland et al., 2017). These findings were further validated in four well-characterised SMA models, including *C. elegans*, zebrafish and intermediate and severe SMA mice. In all cases, *NCALD* downregulation ameliorated the neuromuscular dysfunction exhibited in these SMA models (Riessland et al., 2017). Additionally, NCALD downregulation restored synaptic maturation at the NMJ and the muscle response in severe and intermediate SMA mouse models (Riessland et al., 2017), positioning NCALD a strong SMA modifier candidate.

The discovery of protective modifiers not only enhanced our understanding of SMA pathogenesis, but also opened new avenues for developing targeted interventions that could potentially ameliorate the devastating effects of this neurodegenerative disorder.

1.1.5. Approved therapies

Spinal muscular atrophy represents one of the most common genetic causes of death in infants (Wojcik et al., 2019), however, advancements in SMA therapeutics are significantly shifting the disease's natural progression. Currently, three treatments have been approved for SMA: Nusinersen (Spinraza), Risdiplam (Evrysdi), and Onasemnogene abeparvovec (Zolgensma).

Nusinersen, commercially known as Spinraza, is an antisense oligonucleotide (ASO) drug that rectifies the splicing defects of *SMN2* pre-mRNA transcripts (Finkel et al., 2017). Due to the inability of ASOs to cross the intact blood-barrier, this drug is injected directly into the spinal fluid, achieving elevated concentrations in the spinal cord over a period of four months (Biliouris et al., 2018), at which point the next dose is administered. Notably, its distribution to peripheral

tissues has been demonstrated to be limited (Biliouris et al., 2018). Once within cells, Nusinersen rectify the splicing defects in *SMN2* pre-mRNA by binding to an intronic splicing silencer (ISS) located in the intron 7 (position 10-27) of *SMN2*. Some researchers believe that this interaction directly inhibits the binding of the splicing repressor hnRNP-A1, which promotes exon 7 exclusion (Singh et al., 2011). Additionally, others researchers suggest that Nusinersen's binding to *SMN2* pre-mRNA modifies the structure of the pre-mRNA molecule, disrupting inhibitory structures such as the Terminal Stem-Loop 3 (TSL3) and the Internal Stem-Loop 1 (ISTL1), which normally interfere with exon 7 inclusion, thereby increasing the accessibility of the 5' splice site of exon 7 and facilitating the recruitment of U1 snRNP to exon 7, which promotes exon 7 inclusion (Singh et al., 2015). In either case, increased SMN protein levels have been observed in mice and non-human primates following Nusinersen treatment (Rigo et al., 2014).

Nusinersen effects can vary significantly depending on the severity of the disease and the stage at which the treatment is administered. Notably, a study including 122 SMA infants showed that patients who received the treatment were more likely to be alive and show improvements in motor function than in the control group (Finkel et al., 2017). Additionally, another clinical study including patients with type II and III SMA reported that those who received Nusinersen had also significant and clinically meaningful improvement in their motor function (Mercuri et al., 2018). Notably, when Nusinersen was administered to pre-symptomatic infants with type I or II SMA, all participants remained alive without requiring constant ventilation, and many achieved significant motor milestones including independent walking (De Vivo et al., 2019).

Risdiplam, commercially known as Evrysdi, is a small-molecule compound developed to treat SMA by correcting the splicing of *SMN2* pre-mRNA, thereby increasing the production of full-length SMN protein (Sivaramakrishnan et al., 2017). Risdiplam is administered orally in a daily basis and has a broad distribution throughout the body, including both central and peripheral tissues (Sturm et al., 2019). Risdiplam facilitates the inclusion of exon 7 in mRNA transcripts from *SMN2* by two different mechanisms. First, it facilitates the recognition of exon 7 by the splicing machinery through the binding to the terminal stem-loop 2 (TSL2) structure at the 5'

splice site of exon 7, facilitating its interaction with snRNPs (Sivaramakrishnan et al., 2017). Additionally, Risdiplam alters the stem-loop structure (TSL1) around the exonic splicing enhancer 2 (ESE2) region of exon 7, preventing the binding of hnRNPG, a splicing repressor that typically inhibits exon 7 inclusion (Sivaramakrishnan et al., 2017). In either case, Risdiplam promotes the inclusion of exon 7 in *SMN2* mRNA, increasing the levels of full-length SMN transcripts.

Risdiplam has shown significant clinical benefits for SMA patients across various age groups and disease severity. Clinical studies have demonstrated that type I, II and III SMA patients achieved notable motor milestones after 12 months of treatment (Baranello et al., 2021; Servais et al., 2023). Significantly, 29% of type I SMA patients were able to sit independently at the end of the study, a milestone that is rarely achieved without treatment (Baranello et al., 2021). Notably, clinical studies in pre-symptomatic patients show that early treatment is associated with better outcomes, and that improvements in motor function are sustained or increase over time with continued use (Finkel et al., 2022).

Onasemnogene abeparvovec (commercially known as Zolgensma), is a revolutionary gene replacement medicine approved for the treatment of SMA. The European Medicine Agency states that Zolgensma is indicated for the treatment of patients with bi-allelic mutation in *SMN1* and a clinical diagnosis of SMA type 1, or bi-allelic mutations in *SMN1* and up to 3 copies of *SMN2*. Zolgensma utilises a non-replicating, self-complementary adeno-associated vector 9 (AAV9) where the viral DNA is replaced by a functional copy of the human *SMN1* gene (Dominguez et al., 2011). The self-complementary feature of the vector combined with a hybrid cytomegalovirus enhancer–chicken beta-actin promoter enables rapid and sustained expression of SMN. AAV9 can cross the blood-brain barrier, allowing the delivery of the *SMN1* gene through the body, reaching motor neurons as well as other peripheral tissues, enabling the production of full-length, functional SMN protein (Dominguez et al., 2011).

The first clinical trial with Zolgensma found that all 15 SMA type I patients included in the study survived at 20 months without requiring permanent mechanical ventilation (Mendell et al., 2017),

in contrast with only 8% survival at the same age in previously reported studies with SMA patient that did not received treatment (Finkel et al., 2014). Other clinical trials have shown that patients treated with Zolgensma demonstrated improved swallowing and speaking abilities, with reduced need for pulmonary and nutritional support. Additionally, patients also achieved significant milestones such as sitting unassisted rolling over, and in some cases, even walking independently (Al-Zaidy et al., 2019; Day et al., 2021). The most significant side effect of Zolgensma is the potential for liver toxicity. A clinical study including 325 patients demonstrated that 90% of patients presented elevated liver function enzymes, and more than 40% needed medications to treat hepatotoxicity (Chand et al., 2021); its early administration (less than 8 months of age) significantly reduced the risk of hepatic complications (Waldrop et al., 2020).

While SMN-targeted therapies have demonstrated significant clinical benefits, data regarding their long-term efficacy and safety remain limited (Varone, Esposito and Bitetti, 2025). Additionally, clinical studies indicate that the effectiveness of these treatments is highly contingent upon the timing of intervention, with earlier administration producing more favourable outcomes (De Vivo et al., 2019; Waldrop et al., 2020; Finkel et al., 2022). Importantly, once motor neuron degeneration has advanced, SMN restoration alone is inadequate to reverse certain pathological changes, suggesting that some disease mechanisms become irreversible over time (Hensel, Kubinski and Claus, 2020). This underscores the necessity for comprehensive newborn genetic screenings to identify genetic mutations that cause SMA before the onset of symptoms, thereby enhancing the response to treatments (Hensel, Kubinski and Claus, 2020). Additionally, this situation leaves a significant number of patients with suboptimal responses to these therapies, who may require additional therapies (combinatorial therapy approach) targeting the remaining symptoms, which include respiratory issues, feeding difficulties, loss of mobility, communication impairments, and distress, among others (Cruz et al., 2018). To address these pathologies, researchers are developing SMN-independent therapies aimed at modifying altered pathways downstream of SMN deficiency, such as the Rho kinase (ROCK), and the extracellular regulated kinase (ERK) (Hensel et al., 2014, 2017). However, the application of combinatorial therapies in SMA is still under investigation and must be approached with caution due to the potential risks and complexities associated with combining treatments (Proud et al., 2023).

1.2. Spinal muscular atrophy models

Several experimental strategies, ranging from *in vitro* cell cultures to *in vivo* animal systems, have been developed to investigate how depletion of the SMN protein gives rise to SMA. This section provides a brief description of different strategies employed to study SMA, with special emphasis on the suitability of *C. elegans* as an animal model for investigating the disease.

1.2.1. Cell-culture

Human *in vitro* systems are attractive because they eliminate the interspecies differences in SMN processing. Earlier SMA cell culture studies relied on immortal HeLa cells (Wan et al., 2008), skin-derived fibroblasts from patients (Köstel, Bora-Tatar and Erdem-Yurter, 2012), and murine NSC-34 motor neuron cultures (Acsadi et al., 2009), where SMN was depleted by DNA- or RNA-mediated knockdown. However, these systems lack either authentic lower-motor neuron physiology or the patient's native genetic context, limiting their translational relevance. These limitations have been overcome with the emergence of pluripotent stem cell technologies, most notably induced pluripotent stem cells (iPSC) (Ng and Rubin, 2017). More specifically, iPSCs are immortal, can be generated from routine skin or blood samples, and retain the donor's precise *SMN1/SMN2* genotype, capturing the full clinical spectrum of SMA, making iPSCs a powerful tool for modelling SMA and studying relevant disease-mechanisms *in vitro* (Corti et al., 2012; Ebert et al., 2008; Liu et al., 2015). Additionally, they differentiate efficiently into spinal motor neurons, astrocytes, skeletal muscle fibres, and other lineages and therefore, iPSC-based models permit researchers to differentiate the effect of SMN disease mechanism in different cell types (Ng and Rubin, 2017).

1.2.2. Mice

Mouse models constitute an invaluable tool for understanding SMA pathogenesis and developing potential treatments. Unlike humans, mice possess a single survival motor neuron gene, termed Smn. The mouse Smn gene encodes an SMN protein with approximately 83% amino acid identity to human SMN. Homozygous deletion of Smn in mice ($Smn^{-/-}$) results in embryonic lethality (Schrank et al., 1997), confirming the conserved essential role of SMN in cell survival across species. Similar to humans, SMA severity in mice directly correlates with SMN protein levels. Heterozygous $Smn^{+/-}$ mice exhibit a 46% reduction in the SMN protein within the spinal cord. Yet, at one year old, $Smn^{+/-}$ animals do not show signs of muscle weakness, and display either mild lumbar spinal motor neuron loss (Jablonka et al., 2000; Simon et al., 2010) or no detectable degeneration (Bowerman et al., 2014).

Researchers have employed different genetic strategies to modulate the levels of full-length SMN protein, generating various SMA mouse models that display different severity. For example, the severe Taiwanese SMA mouse model (Smn^{-/-}SMN2^{+/+}) was generated by inserting a genomic fragment containing the human SMN2 gene into an Smn-null background (Hsieh-Li et al., 2000). Another severe SMA model, the SMNΔ7, was developed by introducing a transgene encoding the truncated SMNΔ7 protein into the Taiwanese model, producing Smn^{-/-};SMN2^{+/+};SMNΔ7^{+/+} animals (Le et al., 2005). The main difference between the Taiwanese and the SMNΔ7 mouse models is that while SMN2 gives rise to both full-length SMN and predominantly exon 7-skipped SMNΔ7 protein, the SMNΔ7 transgene produces only the truncated isoform. To model SMA of intermediate severity, the endogenous Smn gene was modified with point mutations in exon 7, generating the Smn2B allele, which was then introduced into the Smn-null background. The Smn2B allele promotes exon 7 skipping, resulting in only 15% expression of full-length SMN protein and increased levels of SMNΔ7 isoform.

As with any model system, findings in SMA models should be interpreted within the context of differences in genetic background, and specific individual responses. Nonetheless, the availability of SMA mouse models with varying degrees of severity continues to provide valuable insights

into disease mechanisms and therapeutic efficacy, complementing data obtained from other model organisms and human studies.

1.2.3. Zebrafish

Zebrafish constitute a useful animal model for investigating neurodegenerative diseases, such as SMA, due to their well-defined motor neuron circuits and optical transparency during larval stages (Bandmann and Burton, 2010). Zebrafish have an ortholog of the human *SMN1* gene termed Smn, which render it as a useful model to study the impact of SMN reduction on motor neuron development *in vivo* (McWhorter et al., 2003). Different strategies have been utilised to mimic SMA in zebrafish.

Early SMA studies were performed by knocking down the levels of the endogenous SMN protein by injecting antisense morpholino oligonucleotides (MO) in embryos. MO are designed to bind complementary sequences of the target mRNA, inhibiting its translation (Nasevicius and Ekker, 2000). In zebrafish, higher doses of SMN mRNA-targeted MO correlated with lower SMN protein expression, which was associated with increased embryonic lethality, and defects in motor axon development, demonstrating the essential role that Smn plays on early development (McWhorter et al., 2003).

Additionally, transgenic SMA zebrafish models have been developed by introducing various genetic mutations on their endogenous Smn gene, allowing the evaluation of phenotypes associated with later stages of SMA. For example, the transgenic alleles smnY262stop and smnL265stop introduced an anticipated stop codon at exon 6 or exon 7, respectively, resulting in a truncated SMN protein that gets rapidly degraded. The presence of maternal Smn contribution allow those mutants to survive an average of 12 days, which allowed researchers to associate SMN depletion with a decrease in the synaptic vesicle protein SV2 at the NMJ (Boon et al., 2009). Additionally, a more recent study generated an intermediate zebrafish SMA model called smnA6Tind27, which introduced an amino acid substitution in exon 7 of zebrafish Smn mimicking

human *SMN2*. The smnA6T^{ind27} mutants presented with normal SMN protein levels in early developmental stages but showed a gradual decline in SMN as animals developed into juvenile stages. Notably, as SMN protein levels decrease, by day 21 motor neurons and NMJ formed initially progressively degenerate, until mutants eventually die at 39 days port fertilization (Tay et al., 2021).

The zebrafish models offer different levels of SMN deficiency and disease severity, providing complementary platforms for dissecting SMA pathogenesis and testing genetic or pharmacological modifiers in a transparent vertebrate.

1.2.4. Drosophila melanogaster

Drosophila melanogaster is known for its high conservation of genes associated with human diseases, which are expressed in analogous tissues (Chintapalli et al., 2007; Yamamoto et al., 2014). This characteristic facilitates the investigation of conserved cellular pathways within a complex nervous system, thereby providing an effective model for human diseases, including neurodegenerative disorders such as SMA (Liguori, Pandey and Digilio, 2023).

Drosophila encodes a single ortholog of the SMN gene, called Smn (Miguel-Aliaga et al., 2000). Initial studies replicated the most severe aspect of SMA by introducing point mutations into the endogenous Drosophila Smn gene, analogous to those observed in SMA patients (Chan et al., 2003). These mutations, known as Smn^A and Smn^B alleles, led to the disruption of the SMN protein, which was believed to undergo rapid degradation, resulting in reduced postsynaptic transmission, NMJ degeneration, and motor dysfunction. Although these mutants can survive beyond embryogenesis due to maternal SMN contribution, they ultimately perish as early third instar larvae (Chan et al., 2003). A later study generated a zygotic protein null Smn allele, Smn^{x7}, by removing the entire Smn coding region (Chang et al., 2008). Homozygous Smn^{x7} mutants displayed a 94% depletion of SMN expression at third instar larval stage, reduced muscle size, defective NMJ neurotransmission, defective locomotion and lethality at the third instar larvae

(Chang et al., 2008), similar to the previous loss-of-function alleles Smn^A and Smn^B (Chan et al., 2003).

To replicate more intermediate SMA phenotypes, several research groups have developed hypomorphic alleles of Smn with varying degrees of SMN knockdown in specific tissues. For instance, animals carrying the homozygous Smn^E mutation, which leads to a depletion of SMN expression exclusively in the thoracic segment, were completely viable and fertile but exhibited signs of neuromuscular degeneration and motor dysfunction in adulthood (Rajendra et al., 2007). Another study employed RNAi for SMN knockdown and discovered that while both muscle and neuronal knockdown affected NMJ morphology, muscle-specific knockdown resulted in more severe lethality compared to neuronal knockdown (Chang et al., 2008).

These *Drosophila* models span a spectrum of SMN reduction, from complete absence to tissue-limited depletion, thereby reproducing severe through mild SMA phenotypes and enabling dissection of cell-autonomous versus non-autonomous disease mechanisms within a genetically tractable, highly conserved system.

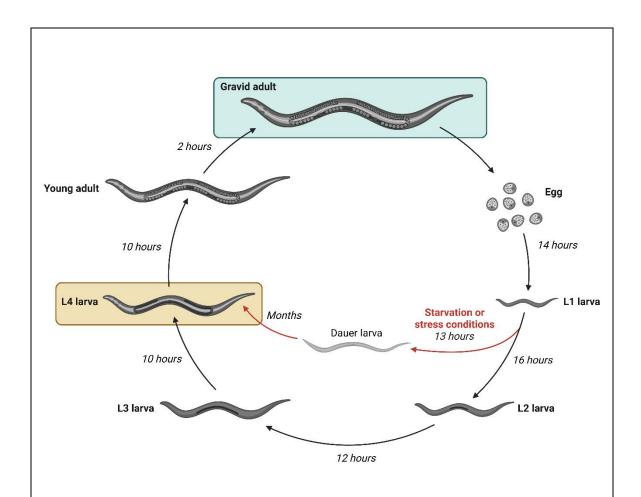
1.2.5. Caenorhabditis elegans

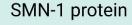
Caenorhabditis elegans (C. elegans) is a free-living, non-parasitic nematode found worldwide in temperate, nutrient-rich environments, such as rotting vegetal substrates (Félix and Duveau, 2012). In laboratory settings, this nematode is easily maintained using agar plates or liquid medium supplemented with Escherichia coli, at 15 °C to 25 °C (Brenner, 1974a).

The nematode *C. elegans* exist predominantly as a self-fertilising hermaphrodite, with a wildtype animal producing approximately 300 embryos encased in impermeable eggshells (Corsi, Wightman and Chalfie, 2015). Typically, embryos are retained within the hermaphrodite until reaching the 24-cell stage, after which they are laid. The development of *C. elegans* from embryo to self-fertilising adult encompasses four larval stages, designated L1 to L4, with each stage lasting approximately 13 h (**Figure 1.3**). Upon reaching adulthood, these nematodes commence the production of self-progeny and can survive for several additional weeks (Raizen et al., 2008).

The capacity for self-fertilisation and a rapid life cycle are among the most distinguished features of *C. elegans*, as it facilitates the maintenance of stocks and enable faster experimental cycles. Notably, *C. elegans* body is transparent throughout all developmental stages, which provides several significant advantages for researchers, including the observation of internal structures and use of fluorescent markers *in vivo* (Corsi, Wightman and Chalfie, 2015).

The use of *C. elegans* in research has led to important discoveries, including the incorporation of the green fluorescent protein (GFP) into the genomes of different organisms through genetic engineering to track biological processes within cells, (Chalfie et al., 1994), the discovery of RNA interference (RNAi) (Fire et al., 1998), and the discovery of microRNAs (Lee et al., 1993). Notably, C. elegans was the first animal model in which the entire neuronal connectome was characterised at the level of individual neurons and synapses. This connectome comprises 302 neurons, 5000 chemical synapses, 2000 neuromuscular junctions and 600 gap junctions in the adult hermaphrodite (White et al., 1986). In addition, C. elegans is equipped with a total of 75 motor neurons, each innervating a specific group of muscle cells. For instance, while muscles in the head receive innervation for motor neurons in the nerve ring, the rest of the body-wall muscles are innervated by motor neurons of the ventral cord (White et al., 1986; Smith et al., 2024). The precise identification and connectivity patterns of each neuron facilitate the indirect assessment of their functional state through behavioural assays. For instance, the pharyngeal pumping behaviour is indicative of the activity of a well-defined neuronal circuit comprising 20 neurons that coordinate the excitation and inhibition of the pharyngeal muscles, including key motor neurons such as MC, M2 and M4 (Trojanowski et al., 2014). Since this pioneering work, C. elegans has become an essential model for investigating neurobiological questions through the application of a diverse array of assays that assess neuronal function, such as locomotion, feeding, and chemosensation among others (Hart, 2006).







Reaches fertile adulthood

Retains typical intestinal pigmentation

Regular swimming, feeding, and coordinated locomotion.

Diminished SMN-1 protein



Slow growth, fails to reach adulthood

Loss of intestinal pigmentation

Reduced swimming and feeding behaviours due to synaptic defects

Figure 1.3. Developmental lifecycle of *Caenorhabditis elegans* and phenotypic consequences of SMN-1 depletion

Schematic representation illustrating the developmental lifecycle of *C. elegans* at 20°C, progressing from embryonic state (egg) to a gravid adult through four larval stages (L1–L4). In response to starvation or stress, nematodes enter the dauer diapause stage, characterised as a stress-resistant condition, where the animal is capable of surviving for several months. The bottom panels provide a comparative analysis of the animals' phenotypes under normal (left) or depleted (right) SMN-1 protein levels. Wildtype animals progress through all developmental stages, exhibiting characteristic intestinal pigmentation and coordinated motor behaviours. Conversely, SMN-1-depleted animals demonstrate delayed development, larval arrest, loss of pigmentation, and reduced swimming and feeding capabilities, indicative of neuromuscular dysfunction.

Another important feature of *C. elegans* is its significant genetic conservation with humans. It is estimated that approximately 70% of human genes have orthologs within the *C. elegans* genome (Kaletta and Hengartner, 2006), and around 40% of genes implicated in human diseases possess clear orthologs in *C. elegans*. Importantly, the role that many of those genes fulfil in the nematode are similar or nearly identical to those in humans (Carrol, Grenier and Weatherbee, 2002), thereby enabling researchers to explore complex biological processes in a more simplified organism.

Due to its well-characterised nervous system and genetic conservation, *C. elegans* has emerged as a powerful tool for investigating neurodegenerative diseases, including SMA. *C. elegans* possesses a single ortholog of the human *SMNI* gene, known as *smn-1*, with an overall sequence similarity of 36% between the human and nematode sequences (Miguel-Aliaga et al., 1999). This conservation is particularly pronounced in three critical regions: the N-terminus, involved in RNA binding and interaction with SMN-interacting protein (SIP1); the central Tudor domain, involved in RNA binding and metabolism; and the C-terminal end, involved in binding to Sm proteins and oligomerisation (Miguel-Aliaga et al., 1999). The conservation of these regions indicates that the *C. elegans* SMN protein possess a significant homology to its human counterpart, retaining similar functional properties, thereby making *C. elegans* a powerful model for studying SMA. Since then, different SMA models have been engineered utilising *C. elegans*.

The first approach employed to mimic SMA in *C. elegans* involved the injection of RNAi to decrease endogenous SMN transcript levels (Miguel-Aliaga et al., 1999). While this approach did not produce noticeable effects in the injected animals, significant impacts were observed in their progeny. Most of the offspring exhibited lethality during late embryonic stages, and the few survivors displayed various deficiencies affecting neuronal, muscular, and reproductive tissues (Miguel-Aliaga et al., 1999), highlighting the critical role of SMN as an essential protein during embryonic development.

The first *smn-1* loss-of-function allele described, designated as *smn-1* (*ok355*), involves a 975 bp deletion that abrogates most of the *C. elegans* endogenous *smn-1* gene (Briese et al., 2008). This deletion includes the 246 bp upstream of the *smn-1* coding sequence, including the start codon,

leaving only 87 bp at the 3' end of the gene, including the stop codon. The strain is maintained as a heterozygous line that presents no phenotypic differences with wildtype C. elegans. Conversely, homozygous smn-1(ok355) animals, which appear overtly normal in early developmental stages, exhibit a progressive decline in health, characterised by slow growth, arrest at the late larval developmental stage, loss of intestinal pigmentation, and sterility (Briese et al., 2008) (Figure 1.3). These animals also displayed significant neuromuscular defects by day 2 post-L1, especially for behaviours that require rhythmic and constantly contraction of muscles, such as decreased swimming and feeding behaviours (Briese et al., 2008; Dimitriadi et al., 2016). Interestingly, these functional deficits occur without overt motor-neuron loss (Briese et al., 2008), despite the observed defects in synaptic transmission and altered localisation of presynaptic proteins (Dimitriadi et al., 2016). The absence of phenotypic manifestations in early stages, followed by a progressive health decline, is attributed to the maternal loading of smn-1 mRNA transcripts from heterozygous parental nematodes to their progeny (Miguel-Aliaga et al., 1999). Consequently, as maternal SMN levels diminish, defects begin to manifest in smn-1(ok355) nematodes, replicating core features observed in severe SMA patients. The severe C. elegans SMA model is particularly well-suited for studying postembryonic roles of SMN-1, identifying genetic modifiers and developing potential therapeutic strategies through genetic or drug screens.

Researchers have also developed an additional severe *C. elegans* SMA model, known as *smn-1(rt248)* (Dimitriadi et al., 2016). The *smn-1(rt248)* allele was generated by utilising CRISPR-Cas9 technology and involves an 8 bp deletion in exon 2 of the endogenous *C. elegans smn-1* gene. This mutation introduces a premature stop codon at the 19th amino acid position within the RNA binding region, thereby skipping the translation of the Tudor and oligomerisation domains (Dimitriadi et al., 2016). The truncated protein encoded is hypothesised to undergo rapid degradation by the proteasome, thereby losing its function. Consequently, homozygous *smn-1(rt248)* animals, similar to *smn-1(ok355)* nematodes, appear phenotypically normal during early larval stages. However, exhibit a progressive decline in health as maternal SMN-1 is diminished, characterised by arrest at the late larval stage, loss of intestinal pigmentation and sterility

(Dimitriadi et al., 2016). Additionally, *smn-1(rt248)* animals showed significant neuromuscular impairment by day 2 post-L1, defects in synaptic transmission and altered localization of presynaptic proteins (Dimitriadi et al., 2016). The *smn-1(rt248) C. elegans* SMA model is particularly useful for studying the effects of SMN-1 depletion in *C. elegans*, complementing the existing *smn-1(ok355)* model and allowing researchers to confirm that observed defects are indeed caused by *smn-1* loss.

Milder phenotypes of SMA have also been developed in C. elegans. For instance, the smn-1(cb131) allele harbours a single-nucleotide substitution in exon 2 of the endogenous gene, converting codon 27 from aspartic acid to asparagine (D27N) (Sleigh et al., 2011a). This allele was designed to mimic a mutation found in a type III SMA patient, which destabilises the SMN complex and impairs its function in snRNP assembly (Ogawa et al., 2007). Unlike the most severe C. elegans SMA models, homozygous smn-1(cb131) nematodes reach adulthood and remain fertile, although their progeny exhibited increased embryonic lethality (Sleigh et al., 2011a). Notably, adult nematodes displayed selective neuromuscular deficits that progress with age. For example, while swimming behaviour is impaired from day 1 post-L1, feeding behaviour remains indistinguishable from wildtype until day 9 post-L1. Interestingly, despite the neuromuscular behavioural impairments, these nematodes do not exhibit overt structural abnormalities in bodywall muscle or motor neurons, although they present impaired synaptic transmission (Sleigh et al., 2011a). The milder phenotype of smn-1(cb131) nematodes make this C. elegans SMA model particularly well-suited for performing large-scale chemical compound screening to identify potential therapeutic agents for SMA. Notably, N-acetylneuraminic acid was identified in a chemical screen as a potential therapeutic agent for SMA, improving several features of the smn-1(cb131) phenotype, including egg-laying defects, and enhancing the pharyngeal pumping rate in the more severe smn-1(ok355) C. elegans SMA model. Mechanistically, N-acetylneuraminic acid has been shown to act as a scavenger of hydrogen peroxide, suggesting that its beneficial effects may derive from reducing oxidative damage (Sleigh et al., 2011).

Another intermediate C. elegans SMA model, known as smn-1(gk118916), was generated by inducing a C-to-T nucleotide change in the C. elegans endogenous smn-1 gene, resulting in the conversion of glutamate 167 to lysine (E167K). This mutation affects the central helical domain of SMN-1, yielding a protein with only partial loss of function (Doyle et al., 2021). Homozygous smn-1(gk118916) nematodes complete larval development, reach adulthood and remain fertile. However, the nematodes exhibited signs of muscle degeneration by day 3 of adulthood, followed by a decline in motor function by day 5 of adulthood and degeneration of GABAergic motor neurons, but not cholinergic motor neurons, by day 9 of adulthood (Doyle et al., 2021). While GABAergic motor neurons use gamma-aminobutyric acid (GABA) as their neurotransmitter and are typically involved in the transmission of inhibitory signals, cholinergic motor neurons use acetylcholine as their neurotransmitter and are involved in stimulating muscle contraction (Smith et al., 2024). These findings suggest that muscle dysfunction, which in this study precedes neurodegeneration, could be a critical factor for the observed motor function decline, while the degeneration of GABAergic motor neurons, which play a crucial role in coordinating movement, could be a contributing factor. The late onset of SMA-like phenotypes in smn-1(gk118916) animals make this C. elegans SMA model particularly useful for studying the onset and progression of SMA phenotypes.

Finally, SMN knockdown in specific tissues in *C. elegans* have been utilised to understand cell-autonomous roles of SMN-1. For example, pan-neuronal expression, but not muscle-specific expression, of SMN in a null *smn-1* background rescues both motility and pharyngeal defects (Briese et al., 2008). Additionally, specific knockdown of SMN in *C. elegans* in D-type motor neuron, a subclass of GABAergic neurons in the ventral nerve cord of *C. elegans* that play a specific role in regulating the nematode's backward movement (Cooper et al., 1993), was enough to mimic some of the key features of SMA, such as impaired locomotion and neuron degeneration (Gallotta et al., 2016). These tissue-specific studies in *C. elegans* highlight the importance of SMN function in neurons for proper neuromuscular activity and development, while also revealing roles in other tissues such as muscle and the germline.

1.3. Oxidative stress

Oxygen is an abundant and highly reactive element essential for life. Yet, akin to a double-edged sword, it is capable of inducing great cellular damage. This duality was described by Kelvin Davies, who termed it as "the paradox of aerobic life" (Davies, 1995). Since its discovery in 1775 by Joseph Priestley (Priestley, 1775; De Sequeira and Hancock, 2022), our understanding of oxygen and its role on physiological and pathological processes has been shaped by the integration of key discoveries in the fields of chemistry and biology, which will be summarised in the following section.

1.3.1. Evolution of the scientific knowledge of free radicals and oxidative agents

In the late 19th century, Henry John Horstman Fenton demonstrated that hydrogen peroxide, in the presence of ferrous salts, promotes the oxidation of organic compounds, a reaction now termed the Fenton reaction (Fenton, 1894). Initially, chemists attributed these oxidative transformations to highly reactive, unstable atom groups within molecules, referred to as "radicals," which were thought to exist only transiently during chemical reactions (Villamena, 2013). This perception shifted dramatically in 1900 when Moses Gomberg isolated, for the first time, the stable organic radical triphenylmethyl, providing unequivocal evidence that radicals could exist as independent chemical entities (Gomberg, 1900). Gomberg's landmark discovery led directly to the introduction of the term "free radical". Applying the emerging knowledge in free radicals, Fritz Haber and Joseph Weiss revisited the mechanism of the original Fenton reaction. They proposed that the oxidation of organic substrates observed by Fenton was specifically due to the formation of hydrogen peroxide-derived free radicals, namely, hydroxyl (•OH) and hydroperoxyl (•HO2) radicals (Haber et al., 1934). The resulting Haber–Weiss reaction offered a mechanistic explanation for the biological generation of highly reactive hydroxyl radicals, thereby elucidating their potential to cause substantial cellular damage.

Building upon these foundations, Denham Harman later hypothesised that intracellular iron ions could catalyse the production of oxygen-derived radicals during enzymatic reactions, particularly aerobic respiration. According to Harman's theory, these radicals initiate chain reactions that result in cellular deterioration, genetic mutations, and degenerative processes associated with biological aging, a concept known today as the "free radical theory of aging" (Harman, 1956).

Subsequent research revealed that oxidative damage arises not only from free radicals but also from certain non-radical oxidants, such as hydrogen peroxide (H₂O₂). Recognizing the broader spectrum of chemically reactive species, Weiss introduced the collective term "reactive oxygen species (ROS)" in 1977 (Weiss, King and LoBuglio, 1977). Later, in 1985, Helmut Sies coined the term "oxidative stress" to describe conditions in which an imbalance favouring pro-oxidants over antioxidants occurs, resulting in oxidative damage to proteins, lipids, and DNA (Sies, 1985).

1.3.2. Definitions

Biological free radicals are atoms or molecules present in living organisms, characterised by a chemically unstable configuration due to the presence of one or more unpaired electrons (Villamena, 2013) (**Figure 1.4**). This instability causes free radicals to rapidly react with surrounding molecules through electron-transfer reactions, either accepting electrons (oxidation) or donating electrons (reduction), to achieve a stable electron-paired state (Villamena, 2013). These oxidation-reduction (redox) reactions are essential for the proper function of a wide arrange of physiological processes, such as cellular respiration (**See 3.3.1. Cellular sources of ROS and its role**).

Among the various reactive species generated during cellular redox reactions, oxygen-derived molecules play particularly prominent roles due to their involvement in multiple physiological processes (Sies and Jones, 2020). The generic term "reactive oxygen species" (ROS) collectively describes diverse molecules derived from oxygen formed through redox reactions or electronic excitation. It is important to emphasise that "ROS" is not a defined chemical compound, but a

group of diverse atoms or molecules with vastly different properties and biological functions (Sies, Berndt and Jones, 2017).

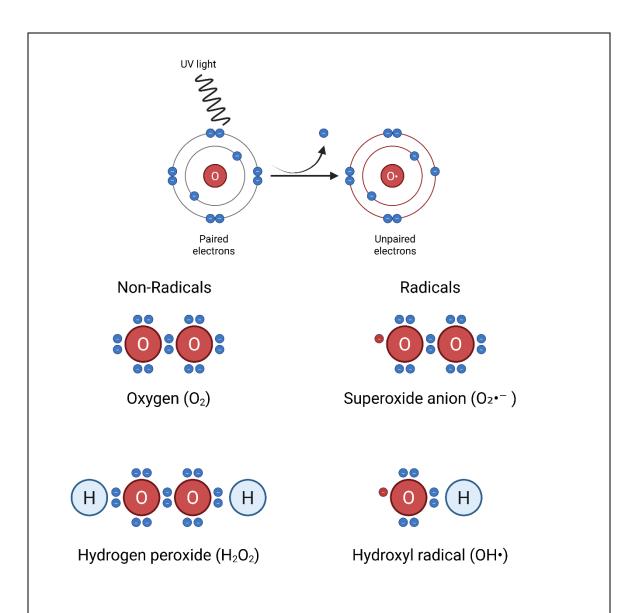


Figure 1.4. Free radicals and non-radicals derived from oxygen

Atoms or molecules with paired electron configurations exhibit chemical stability. However, this stability can be disrupted by external factors such as ultraviolet (UV) radiation or the presence of highly electronegative molecules that attract electrons. The loss of an electron results in the formation of a molecule with an unpaired electron configuration, referred to as free radical. Reactive oxygen species (ROS) encompass both non-radical and radical oxygen-derived compounds. Non-radicals, such as molecular oxygen (O2) and hydrogen peroxide (H2O2), maintain paired electrons, whereas radical species, such as superoxide anion (O2•¯) and hydroxyl radical (•OH), possess one or more unpaired electrons.

ROS include radical species, such as the superoxide radical (O₂•¯) and hydroxyl radical (OH•), as well as non-radical species, like hydrogen peroxide (H₂O₂) and singlet oxygen (¹O₂), formed sequentially from molecular oxygen (O₂) (Weiss, King and LoBuglio, 1977). Each of these chemical entities exhibit a varying degree of reactivity with neighbouring molecules and different biological significance, ranging from signalling to pathological damage, which are summarised in **Table 1.1**. Among those molecules, hydrogen peroxide (H₂O₂) and the superoxide anion radical (O₂•¯), stand out due to their carefully controlled production within cells and their interactions with critical metabolic and signalling pathways (Sies and Jones, 2020).

Table 1.1. Reactive oxygen species: origin, reactivity and biological impact

UV= ultraviolet light, ETC = electron transport chain, NADPH = , SOD= superoxide dismutase.

Name	Free radical / Reactivity	Main generation pathways	Biological significance
Singlet oxygen (1O2)	No / Highly reactive	Excitation of O ₂ by UV	Cellular damage
Superoxide anion $(O_2^{\bullet^-})$	Yes / Weak oxidant	ETC NADPH oxidase	Cellular signalling
Hydrogen peroxide (H ₂ O ₂)	No / Mild oxidant	SOD NADPH oxidase	Cellular signalling
Hydroxyl radical (HO•)	Yes / Extremely reactive	Fenton reaction	Cellular damage
Peroxyl radicals (ROO•)	Yes / Moderate reactivity	Lipid peroxidation	Cellular signalling and cellular damage
Alkoxyl radicals (RO•)	Yes / Highly reactive	Lipid peroxidation	Cellular damage

Early studies emphasised the implication of ROS in cellular damage and pathological processes, such as aging, oxygen toxicity and radiation-induced damage (Harman, 1956). However, under physiological conditions, the concentration of these molecules within cells is meticulously

controlled by distinct mechanisms, including regulated expression of ROS-producing enzymes, endogenous antioxidants, and molecules facilitating inter-compartment ROS transport (Sies and Jones, 2020). Such tightly regulated ROS levels result in specific and reversible oxidation of protein targets integral to a wide range of cellular processes (i.e. cell proliferation and differentiation). The maintenance of physiological levels of oxidants essential for redox signalling is defined as "oxidative eustress" (Sies, Berndt and Jones, 2017). Conversely, oxidative stress occurs when there is an imbalance between oxidative stress production and removal, favouring oxidants over antioxidants, leading to a disruption of redox signalling and causing molecular damage (Sies, Berndt and Jones, 2017).

1.3.3. ROS in physiology: oxidative eustress

1.3.3.1. Cellular sources of ROS and its role

The intracellular distribution of ROS within cells is not homogeneous. Instead, each cell maintains a distinct redox gradient, shaped by subcellular compartmentalisation, differential enzyme expression, and localized physiological processes that contribute to the generation of ROS (Snezhkina et al., 2019) (**Figure 1.5**).

Mitochondria are one of the primary sources of ROS within cells due to their high oxygen consumption utilised for cellular respiration (Mori et al., 2021). Within mitochondria, the electron transport chain (ETC) engages in a series of electron transfer reactions to generate cellular ATP via oxidative phosphorylation (Nolfi-Donegan, Braganza and Shiva, 2020). In this process, the mitochondrial complex IV catalyses the four-electron reduction of O₂ to H₂O (Faxén et al., 2005). However, leak of electrons from complexes I, II, and III into the mitochondrial matrix or intermembrane space results on the reduction of oxygen into superoxide anion (O₂•-) (Henderson et al., 2009; Zhang et al., 1998). Superoxide dismutase enzymes located in these compartments rapidly catalyse the conversion of O₂•- into H₂O₂ (Nolfi-Donegan et al., 2020; Zhao et al., 2019). Importantly, ROS production in mitochondria is an essential element of mitochondrial physiology,

functioning as an important regulator of various cellular processes, including differentiation, autophagy, metabolic adaptation, and immune cell activation (Sena and Chandel, 2012).

The endoplasmic reticulum (ER), recognised for its involvement in protein synthesis, modification and transport, among other functions (Snezhkina et al., 2019), is also a significant source of cellular ROS. Notably, during protein folding, each disulphide bond introduced by protein disulphide isomerases (PDIs) and endoplasmic reticulum oxidoreductin-1 (ERO1) generates one molecule of H₂O₂ (Tu & Weissman, 2002; Zito, 2015). Additional sources of ROS, including NADPH oxidases (NOX) and cytochrome P450 (CYP) enzymes localised in the ER membrane, also contribute to ROS generation within the ER (Roscoe and Sevier, 2020; Sies and Jones, 2020). ROS generation in the ER plays an important role in redox signalling, protein folding and the regulation of calcium storage (Roscoe & Sevier, 2020; Zhang et al., 2019). For instance, increased ROS production due to the activation of NOX can activate ROS dependent branches of the unfolded protein response, which in turn, activate apoptotic pathways (Zeeshan et al., 2016; Bhattarai et al., 2021).

Peroxisomes are cell organelles that play a central role in lipid and protein metabolism, generating ROS as a byproduct of those metabolic processes. For instance, during fatty acid β-oxidation, acyl-CoA oxidases (ACOX) enzymes catalyse the initial step of β-oxidation, which generates H₂O₂ as secondary products (Del Río and López-Huertas, 2016; Sies and Jones, 2020). Furthermore, enzymes such as xanthine oxidoreductase (XOR) and urate oxidase (UR), are involved in the generation of uric acid within peroxisomes during purine metabolism, producing O2• – and H₂O₂ during this process (Schrader and Fahimi, 2006). ROS produced in peroxisomes can diffuse out of this organelle, functioning as signalling molecules that influence mitochondrial function of modulating transcription factors such as NF-κB that affects various cellular processes including inflammation, immunity, and cell growth (Fransen et al., 2012).

Lastly, the plasma membrane itself contributes to the production of both extracellular and intracellular ROS. The primary sources of ROS at the plasma membrane are NOXs enzymes (Brandes, Weissmann and Schröder, 2014; Sies and Jones, 2020; Vermot et al., 2021). The NOX

family constitute a family of transmembrane proteins that transfer electrons from NADPH to oxygen, reducing it to O₂• or to H₂O₂ (Brandes, Weissmann and Schröder, 2014). ROS generated in the plasma membrane play a crucial role in several physiological processes such as host defence, inflammation, cell growth, redox signalling and cell death (Vermot et al., 2021).

In summary, while uncontrolled production of ROS results in oxidative damage, the regulated generation of ROS from cellular organelles plays essential roles in redox regulation. This suggests the importance of maintaining ROS at basal levels for normal physiological function.

1.3.3.2. Antioxidant defence

The term antioxidant is defined as any substance that delays, prevents or removes oxidative damage to a target molecule (Halliwell and Gutteridge, 2007). In biological systems, there are three lines of antioxidant defence that work together to protect against oxidative stress and free radical damage. A first line of antioxidant defence is constituted by endogenous antioxidant enzymes, a second line of antioxidant defence involves exogenous low-molecular-weight antioxidants derived from diet, and a third line of antioxidant defence involves the repair or removal of damaged biomolecules (Jomova et al., 2024). This section focusses on the first line of antioxidant defence, endogenous enzymatic antioxidants, as they constitute the most powerful protective barrier against oxidative stress. These enzymatic antioxidants include superoxide dismutase (SOD), catalase (CAT), glutathione peroxidase (GPx), and peroxiredoxins (PRx) (Table 1.2) (Figure 1.5).

Superoxide dismutases (SOD) constitute the first line of defence against ROS. SOD enzymes utilise either copper (Cu) or manganese (Mn) as catalytic cofactors in their active sites and perform their antioxidant function through a mechanism of action that involves the alternate reduction and reoxidation of the metal cofactor (Fukai and Ushio-Fukai, 2011). During the reduction phase, O₂• reduces the metal cofactor from Cu²⁺ or Mn²⁺ to Cu⁺ or Mn⁺, generating an oxygen molecule. During the reoxidation phase, the reduced SOD donates the exceeding electron

and proton to O₂•-, producing H₂O₂ and reverting Cu⁺ or Mn⁺ to its active form (Jomova et al., 2024). Through this process, SOD facilitates the conversion of two superoxide anions into hydrogen peroxide and molecular oxygen (Hsu et al., 1996; McCord & Fridovich, 1969). The specific metal cofactor varies among different SOD isoforms, which are localised to distinct cellular compartments. While SOD1 (CuZnSOD), is found in the cytoplasm, mitochondrial intermembrane space and nucleus (Chang et al., 1988; Sturtz et al., 2001); SOD2 (MnSOD), is located in the mitochondrial matrix (Weisiger and Fridovich, 1973); and SOD3 (Cu/ZnSOD) is present on cell surface or secreted extracellularly (Marklund, 1984).

Catalase (CAT) is a tetrameric heme protein that facilitates the conversion of hydrogen peroxide into water and molecular oxygen (Karnati et al., 2013). This reaction occurs in two distinct phases. In the first phase, the heme group of CAT is oxidised by a hydrogen peroxide molecule, resulting in the formation of a hypervalent iron intermediate, known as compound I, and the release of water. Subsequently, compound I is reduced back to its original state by another hydrogen peroxide molecule, culminating in the production of two water molecules and oxygen (Kettle and Winterbourn, 2001; Alfonso-Prieto et al., 2009). CAT is predominantly found in peroxisomes, although some studies have also observed its presence in cytosol as cells age (Walton and Pizzitelli, 2012; Karnati et al., 2013).

Glutathione peroxidases (GPxs) constitute a family of enzymes that catalyse the reduction of hydrogen peroxide or organic hydroperoxides to water or corresponding alcohols, utilising glutathione (GSH) as a reductant (Maiorino et al., 1995). There are eight human isoforms of GPxs, classified into two distinct groups: selenium-containing GPxs (GPx1-4 and GPx6) and non-selenium congeners (GPx5, 7, and 8) (Brigelius-Flohé and Maiorino, 2013). The mechanisms of action for these two groups differ slightly. Selenium-containing GPxs participate in a cyclic reaction wherein the selenenic group reacts with H₂O₂ to form selenenic acid (-SeOH). This selenenic acid is subsequently reduced back to selenol by two GSH molecules, resulting in the formation of oxidised glutathione (GSSG) and water, thereby enabling GPx to continuously reduce hydroperoxides as long as GSH is available (Flohà et al., 1972; Takebe et al., 2002).

Conversely, in cysteine-containing GPxs, the peroxidatic cysteine in their catalytic site is oxidised to sulfenic acid by H₂O₂, which is then reduced by a redoxin, typically thioredoxin (Trx) (Flohé et al., 2011; Maiorino et al., 2007). The cellular compartments where these enzymes perform their antioxidant functions also vary for each isoform. For instance, GPx1 and GPx4 are predominantly located in the cytoplasm and mitochondria (Asayama et al., 1994; Brigelius-Flohé et al., 1994). Additionally, GPx3 and GPx4 can be found in the extracellular space and cell membrane, respectively (Maiorino et al., 2007; Wei et al., 2024). Moreover, GPx7 and GPx8 are situated in the lumen of the endoplasmic reticulum (Nguyen et al., 2011).

Peroxiredoxins (PRx) enzymes facilitate the conversion of peroxide into water, utilising cysteine (Cys) as an acceptor of electrons (Zeida et al., 2014). This cysteine is referred to as peroxidatic cysteine (CP) and a characteristic shared by all PRx isoforms. Additionally, certain isoforms contain a second Cys, termed resolving cysteine (CR), which contributes to their catalytic activity (Wood et al., 2003). Based on the presence or absence of CR and its specific location within the protein, PRx can be classified into distinct categories. PRx1-4 are designated as 2-Cys PRx and contain both CP and CR, which are located in different subunits of the protein. PRx5 is an atypical 2-Cys PRx isoform, also containing both CP and CR, with the distinction that these residues are in close proximity within the same subunit. In contrast, PRx6 is classified as a 1-Cys PRx, containing only CP (Wood et al., 2003). PRx isoforms are distributed across various cellular compartments, including cytoplasm (PRx1, 2, 4, 5, and 6), mitochondria (PRx3), plasma membrane (PRx1 and 2), nucleus (PRx1,2 and5), and extracellular location (PRx4 and6), among others (Heo, Kim and Kang, 2020).

The mechanism of action of PRx enzymes is characterised by a redox cycle that includes two separate oxidations by H₂O₂ and a subsequent reduction by a reducing agent that restores PRx to its active form. The first round of oxidation occurs similarly in all isoforms. The CP residue is oxidised by H₂O₂ resulting in the formation of cysteine sulfenic acid (CP-SOH) (Zeida et al., 2014). During the second round of oxidation by another H₂O₂ molecule, typical 2-Cys PRx interacts with the resolving cysteine (CR) of the adjacent PRx subunit, forming a disulfide bond

between both subunits (Wood et al., 2002). In contrast, in atypical 2-Cys PRx, with a nearby CR residing close to the CP-SOH, the disulfide bond is intramolecular, occurring within the same PRx subunit (Choi et al., 2003). Conversely, in 1-Cys PRx, there is no disulfide bond formation, due to the absence of CR (Monteiro et al., 2007). The reduction reaction that completes the cycle also differ among the different classes of PRx. While both typical and atypical 2-Cys isoforms are reduced by TRx, 1-Cys PRx utilize GSH as an electron donor (Montemartini et al., 1999; Manevich, Feinstein and Fisher, 2004). In all instances, the oxidised CP-SOH is reverted to its active CP form, enabling the initiation of a new cycle. The main biological implication of this structural variations among isoforms is that 2-Cys PRx are more susceptible to hyperoxidation due to their higher affinity to react with H₂O₂, whereas hyperoxidation of atypical 2-Cys and 1-Cys PRx is considerably less frequent (Montemartini et al., 1999; Hyun et al., 2005). Notably, hyperoxidation can lead to enzyme inactivation, which can be reversed by sulfiredoxin in an ATP-dependent mechanism (Biteau, Labarre and Toledano, 2003).

Table 1.2. Cofactors, subcellular locations, and overall reaction of antioxidants enzymes

Enzyme	Cofactor(s)	Location	Overall reaction	
SOD1	Cu, Zn	Cytoplasm, mitochondrial intermembrane space, nucleus	$2 O_2 \bullet^- + 2 H^+ \longrightarrow H_2 O_2 + O_2$	
SOD2	Mn	Mitochondrial matrix		
SOD3	Cu, Zn	Extracellular space, cell surface		
CAT	Fe	Peroxisomes	$2~\mathrm{H_2O_2} \rightarrow 2~\mathrm{H_2O+O_2}$	
GPx1	Sec, GSH	Cytoplasm, mitochondria	H_2O_2+2 GSH \rightarrow 2 $H_2O+GSSG$	
GPx3	Sec, GSH	Extracellular space		
GPx4	Sec, GSH	Cytoplasm, mitochondria, cell membrane		
GPx7/8	Cys, Trx	Endoplasmic reticulum lumen		

PRx1	2-Cys, Trx	Cytoplasm, plasma membrane, nucleus	
PRx2	2-Cys, Trx	Cytoplasm, plasma membrane, nucleus	
PRx3	2-Cys, Trx	Mitochondria	II O - Pare CII - II O - Pare COII
PRx4	2-Cys, Trx	Cytoplasm, extracellular space	$H_2O_2+Prx-SH \rightarrow H_2O+Prx-SOH$
PRx5	2-Cys, Trx	Cytoplasm, nucleus	
PRx6	1-Cys, GSH	Cytoplasm, extracellular space	

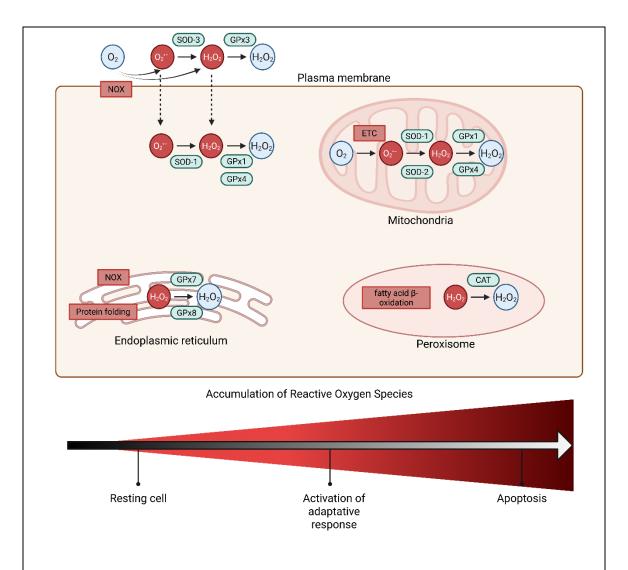


Figure 1.5. Cellular sources and fates of reactive oxygen species

Within cells, reactive oxygen species (ROS) are primarily produced at the plasma membrane by NADPH oxidases (NOX), within mitochondria through electron leakage from the electron transport chain (ETC), in the endoplasmic reticulum during protein folding, and in peroxisomes through fatty acid β-oxidation. The superoxide anion (O₂•¬) is rapidly converted to hydrogen peroxide (H₂O₂) by superoxide dismutases (SOD-1, SOD-2, SOD-3), and subsequently detoxified by glutathione peroxidases (GPx1–4, GPx7–8) or catalase (CAT). The gradient at the bottom illustrates the physiological consequences of ROS accumulation, ranging from maintenance of cellular homeostasis in resting cells, to the activation of adaptive stress responses at moderate ROS levels, and ultimately to apoptosis under conditions of excessive oxidative stress. This balance highlights the dual role of ROS as both signalling molecules and potential inducers of cellular damage.

1.3.4. ROS in pathology: oxidative stress

1.3.4.1. Non-specific oxidation of biomolecules

When the rate of ROS production exceeds the cellular antioxidant capacity, the excessive production of ROS causes cellular damage through non-specific oxidation of biological molecules such as lipids, proteins and DNA, which compromise cellular integrity (Sies, Berndt and Jones, 2017).

Lipid peroxidation

ROS, particularly hydroxyl radicals, can attack the carbon chains of fatty acids through hydrogen abstraction, initiating the so-called lipid peroxidation (Tejero et al., 2007). During lipid peroxidation, lipid radicals and peroxyl radicals are generated, further propagating the damage to other biological molecules (Ayala, Muñoz and Argüelles, 2014). Accumulation of lipid peroxidation-derived radicals can alter the structure of the membranes, perturbing physiological processes that depend on it, such as channels, or electron transport activities (Yadav et al., 2019). Furthermore, fragmentation of the membrane can generate other toxic products such as malondialdehyde (MDA) and 4-hydroxynonenal (HNE) (Ayala, Muñoz and Argüelles, 2014; Juan et al., 2021). The accumulation of toxic byproducts as a consequence of lipid peroxidation has been associated with various pathological conditions, such as diabetes (Slatter, Bolton and Bailey, 2000), cardiovascular diseases (Uchida, 2000) and cancer (Cai et al., 2012), among others (Juan et al., 2021).

Protein oxidation

Oxidation of proteins can be reversible, in normal conditions as part of signalling processes; or irreversible, in pathological conditions (Spickett and Pitt, 2012). There are different types of irreversible protein modification, depending on the amino acids that are target of the oxidation or the oxidant molecules, such as oxidation of sulfur-containing or aromatic amino acids,

glycoxidation, lipoxidation, carbonylation and nitration (Kehm et al., 2021). Those molecules can be measured in lab settings as a biomarker of oxidative damage (Spickett and Pitt, 2012; Kehm et al., 2021). Irreversible oxidation of protein causes changes in its conformation that lead them to lose their function. As such, protein oxidation is sometimes related with the ethology of diseases such as autoimmune rheumatic diseases (Smallwood et al., 2018), skin diseases (Bickers and Athar, 2006), or neurological disorders (Uttara et al., 2009).

Nucleic-acid oxidation

ROS can inflict damage on DNA and RNA by directly attacking different structures of the molecule. For instance, ROS can attack guanine bases in DNA generating 8-OH-Gua (Ock et al., 2012). Furthermore, ROS can target the sugar molecules of DNA through hydrogen abstraction, potentially resulting in strand breaks (Sharma et al., 2016). Additionally, they can create DNA cross linking, impairing processes such as DNA replication or transcription (Kühbacher and Duxin, 2020). The accumulation of DNA damage over time is associated with the aging process (Van Remmen, Hamilton and Richardson, 2003), as well as several pathologies, such as autoimmune diseases (Bashir et al., 1993), and cancer (Tubbs and Nussenzweig, 2017).

One of the primary consequences of increased oxidative damage to biomolecules is the activation of programmed cell death, known as apoptosis (Redza-Dutordoir and Averill-Bates, 2016). For instance, ROS lipid peroxidation in the mitochondrial membrane leads to the release of cytochrome c, which triggers the initiation of the mitochondrial apoptosis pathway (Petrosillo et al., 2001). Additionally, oxidative modifications to proteins, leading to misfolding or loss of function, trigger the unfolded protein response (UPR) in the endoplasmic reticulum, which if prolonged, can lead to apoptosis (Tabas and Ron, 2011). Additionally, studies have shown that oxidative damage to both nuclear and mitochondrial DNA can trigger p53 activation, which activate the transcription of pro-apoptotic genes (Yoshida and Miki, 2010). These mechanisms underscore the critical role of oxidative stress in the pathogenesis of numerous diseases.

1.3.4.2. Oxidative stress in neurodegeneration

Oxidative stress has the potential to impact every tissue of the body, depending on the site of ROS production, and may either cause or contribute to the pathogenesis of both acute and chronic diseases (Kruk et al., 2019). Notably, research indicates that neuronal tissue is particularly susceptible to oxidative stress. This vulnerability is attributed to the high metabolic demands of neurons and glial cells, which primarily depend on mitochondrial oxidative phosphorylation for energy, a process intrinsically linked to ROS generation (Bélanger, Allaman and Magistretti, 2011; Friedman, 2011; Lundgaard et al., 2015). Additionally, the brain's elevated content of lipids, which make it especially prone to lipid peroxidation (Shichiri, 2014), and limited regenerative capacity of neuronal tissue (Kvistad et al., 2024), further exacerbates this vulnerability. As oxidative damage escalates with aging (Mecocci et al., 1993), and given that advanced age is the primary risk factor for neurodegenerative diseases (Hou et al., 2019), oxidative stress has been proposed as a significant contributor to both aging and neurodegenerative diseases. This concept is encapsulated in the free radical theory of aging (Harman, 1956). Consequently, the role that oxidative stress plays on neurodegeneration has been investigated in various neurodegenerative diseases, such as Alzheimer's disease, Parkinson's disease (PD), Huntington's disease (HD), and amyotrophic lateral sclerosis (ALS) (Singh et al., 2019).

Alzheimer's disease (AD) exemplifies the profound role of oxidative stress in the pathogenesis of neurodegenerative disorders. AD is neuropathologically defined by the formation of amyloid plaques, constituted by amyloid-beta (Aβ) peptides, and neurofibrillary tangles (NFT), formed by hyperphosphorylated tau protein aggregates, within neurons of the hippocampus (Serrano-Pozo et al., 2011). This pathological accumulation of proteins leads to progressive neurodegeneration, initially observed on the hippocampus and ultimately to widespread brain atrophy (De-Paula et al., 2012). As a consequence, AD patients manifest with progressive memory loss, disorientation, and impaired judgment (De-Paula et al., 2012). Notably, several markers demonstrated the

presence of oxidative stress in the brain of AD patients (Zhao & Zhao, 2013). There are several mechanisms that explain the presence of oxidative stress in AD. For instance, the accumulation of Aβ peptides within mitochondria disrupt mitochondrial function, reduce ATP production and enhance ROS generation (Wang et al., 2014). Additionally, the interaction of both Aβ plaques and NFTs with hydrogen peroxide led to the generation of hydroxyl radicals, via Fenton-type reaction (La Penna et al., 2013). Excessive production of ROS is thought to contribute to the pathogenesis of AD by propagating oxidative damage to lipids, proteins, and nucleic acids. This results in impaired signal transduction, compromised protein functionality, and both genetic and epigenetic alterations that disrupt normal gene expression (Zhao & Zhao, 2013). These alterations create a self-perpetuating cycle of oxidative damage and cellular dysfunction, accelerating neurodegeneration and cognitive decline.

Parkinson's disease (PD) further illustrates the detrimental consequences of oxidative stress within the brain. PD is characterised by the accumulation of α -synuclein aggregates within neurons of the substantia nigra, a specific region of the midbrain. This pathological accumulation leads to progressive loss of dopaminergic neurons in this region of the brain with a central role in motor control (Cai et al., 2011). Consequently, PD patient manifest motor impairments such as slow movements and freezing, resting tremor and muscular rigidity (La Penna et al., 2013). Notably, there is wide evidence of oxidative stress and increased oxidative damage in PD (Dias, Junn and Mouradian, 2013). The presence of oxidative stress in PD is mechanistically linked to disturbances in dopamine metabolism. For instance, dopamine is susceptible to auto-oxidation, which together with mitochondrial dysfunction leads to the overproduction of dopamine quinones and ROS, generating the observed oxidative damage to biomolecules (Muñoz et al., 2012). Importantly, oxidative stress has been observed to play a direct role in the physiopathology of PD, promoting α-synuclein misfolding and aggregation and exacerbating mitochondrial dysfunction (Dias, Junn and Mouradian, 2013). These interconnected mechanisms perpetuate a cycle of oxidative damage, cellular dysfunction, and neurodegeneration, which collectively drive disease progression.

Notably, numerous antioxidants have demonstrated neuroprotective effects in a wide range of preclinical models of AD and PD. In both conditions, antioxidant compounds have consistently enhanced endogenous antioxidant defences, mitigated oxidative stress, reduced protein aggregation, and improved neuronal outcomes (**Table 1.3**). However, despite substantial evidence supporting the involvement of oxidative stress and the consistent efficacy of antioxidants in preclinical studies, their performance in clinical trials has been inconsistent (Kim et al., 2015). These inconsistencies are attributed to factors such as insufficient dosage, inappropriate timing, or inadequate duration of treatments, highlighting the challenges in translating promising preclinical findings into effective clinical interventions. This emphasises the necessity for a more comprehensive understanding of antioxidant mechanisms, the development of reliable biomarkers of oxidative stress, and more targeted therapeutic strategies before clinical translation can be fully implemented (Kim et al., 2015). Nonetheless, acknowledging the role of oxidative stress in the pathology of neurodegenerative diseases provides a valuable framework for developing combinatorial or adjunctive therapies and continues to inform the design of more refined and effective treatment approaches.

Table 1.3. Effect of antioxidant treatment in Alzheimer's Disease and Parkinson's Disease pre-clinical models

MDA= Malondialdehyde (lipid peroxidation derivate), TBARs= Thiobarbituric acid reactive substances (lipid peroxidation derivate), GSH= Glutathione (antioxidant), SOD= Superoxide dismutase (antioxidant), NO= Nitric oxide (reactive nitrogen Specie), CAT= Catalase (Antioxidant).

	Antioxidant	References	↓ oxidative stress	↓ protein aggregation	↓ apoptosis	Improved neuronal function
	NAC	(1) Fu et al., 2006 (2) Tchantchou et al., 2005	↓ MDA (1), ↓ TBARs (2), ↑ GSH (1,2)		Reduced neuronal loss (1)	Improved cognitive function and memory retention (1,2)
	CUR	(1) Kawamoto et al., 2012 (2) Shao et al., 2023 (3) Lim et al., 2001 (4) Liu et al., 2016 (5) Wang et al., 2014	↓ MDA (2), ↓ inflammatory markers (2,4), ↓ protein carbonylation (3)	\downarrow Aβ deposition (2,3,5)	↓ markers of apoptosis in brain (↑ Bax, ↓ Bcl-2)	Improved cognitive function (1,2,5), spatial memory (2,4,5), motor skills (1)
AD	VITC	(1) Murakami et al., 2011	↓ protein carbonylation (1) and ↑ GSH (1)	↓ Aβ deposition (1) and ↓ tau phosphorylation (1)		Behavioural improvements (1)
	EGCG	(1) Rezai-Zadeh et al., 2005 (2) Rezai-Zadeh et al., 2008 (3) (Lee et al., 2009) (4) Lee et al., 2013	↓ inflammatory markers (3,4)	\downarrow A β deposition (1,2,3,4) and \downarrow tau phosphorylation (2)	↓ markers of apoptosis (3,4)	Improved cognitive function (2) and memory retention (3,4)
	MEL	(1) Li et al., 2005 (2) Feng & Zhang, 2004 (3) Matsubara et al., 2003 (4) Feng et al., 2004 (5) Rosales-Corral et al., 2003	\downarrow MDA (1,5), \downarrow inflammatory markers (5),↑ SOD (1), \downarrow NO (2,5)	↓ Aβ deposition (3,4) and ↓ tau phosphorylation (1)	↓ markers of apoptosis (2,4)	Improved memory and learning (1,2)
PD	NAC	(1) Offen et al., 1996 (2) Cocco et al., 2005	↓ protein carbonylation (2), ↑ GSH (2)		Reduced markers of apoptosis (1)	

CUR	(1) Siddique et al., 2014 (2) Chakraborty et al., 2017 (3) He et al., 2022	↓ MDA (1,3), ↓ protein carbonylation (1), ↓ ROS (2,3)		Reduced markers of apoptosis (1)	Improved motor skills (1,3) and spatial memory (3)
VITC	(1) Sil et al., 2016		↓ α-synuclein aggregation (1)		
EGCG	(1) Levites et al., 2001 (2) Xu et al., 2017 (3) Zhou et al., 2018 (4) Tseng et al., 2020	↓ protein carbonylation (2), ↓ inflammatory markers (3), ↑ SOD (1), ↑ CAT		Reduced markers of apoptosis (4)	Improved motor skills (2,3)
MEL	(1) Sharma et al., 2006 (2) Dabbeni-Sala et al., 2001 (3) Mayo et al., 1998	↑ SOD (3), ↑ GSH (3)		Reduced markers of apoptosis (3)	Improved motor skills (1,2)

1.4. Oxidative stress in spinal muscular atrophy

A growing body of evidence supports a central role for oxidative stress in the pathophysiology of SMA as demonstrated by the accumulation of ROS, oxidative damage to biomolecules, and mitochondrial dysfunction across diverse *in vitro* and *in vivo* models.

1.4.1. Increased ROS and elevated oxidative damage in spinal muscular atrophy

Elevated levels of ROS in SMA have been documented by *in vitro* and *in vivo* models of SMA, as summarised in **Table 1.4**. The first research that observed increased ROS production in SMA employed siRNA-reduced *Smn* expression in NSC-34 (mouse neuron-like cultured cells) and demonstrated that the extent of SMN depletion directly correlates with an increase in ROS generation (Acsadi et al., 2009). These findings were later replicated in cell cultures from SMA patients. For instance, the presence of ROS in fibroblasts from patients with SMA type I (null *SMNI*), healthy family carriers (possessing only one copy of *SMNI*), and healthy control individuals (possessing two *SMNI* copies) were evaluated. The study identified an inverse correlation between the number of *SMNI* copies and ROS levels, with SMA type I patients exhibiting the highest ROS content, and SMA carriers displaying higher ROS levels than healthy subjects (although not statistically significant), yet lower than those in SMA type I patients (Köstel, Bora-Tatar and Erdem-Yurter, 2012).

This research was later extended to human neuronal lineages. A study compared human embryonic stem cell (hESC)-derived spinal motor neurons with either full-length SMN knockdown or SMNΔ7 knockdown (lacking exon 7) revealed that elevated mitochondrial ROS levels were observed exclusively in the full-length SMN-deficient neurons (Wang et al., 2013). In this study, FL-SMN motor neurons showed a reduction of the FL-SMN protein to approximately 20% compared to the control group, while SMNΔ7 motor neurons did not

demonstrate differences in FL-SMN protein levels. Consequently, FL-SMN motor neurons, and not SMNΔ7, exhibited neurodegeneration, suggesting that only FL-SMN depletion triggers both neurodegeneration and increased ROS production. Notably, mitochondrial ROS levels were only elevated in motor neurons, but not in forebrain neuron cultures, indicating that the increased ROS production may be cell-specific rather than systemic (Wang, Zhang and Li, 2013). These differences in ROS among different cells could be behind the particular vulnerability of SMA motor neurons to degeneration. Another study in pluripotent stem cells corroborates these findings, as it was observed that increased ROS levels in both mitochondria and cytoplasm of induced pluripotent stem cell (iPSC)-derived motor neurons from skin fibroblasts of a patient with SMA type III (Ando et al., 2017).

Additionally, studies in SMA mouse model have also demonstrated elevated ROS production within motor neurons (Miller et al., 2016; Thelen, Wirth and Kye, 2020) and muscle tissue (Chemello et al., 2023), demonstrating that although increased ROS might not apply to all cell types, it is not constricted to motor neurons only.

Table 1.4. Evidence of elevated reactive oxygen species in spinal muscular atrophy

NPS= neuronal progenitor stage, E= embryonic, P= postnatal, H₂DCFDA= unspecific cellular ROS, MitoSOX= mitochondrial O₂•-, DHE= cytoplasmic O₂•-, mito-roGFP= mitochondrial H₂O₂, CellRox= unspecific cellular ROS, Amplex Red= cytoplasmic H₂O₂.

Study	SMA Model	Cell type	ROS detection method	ROS levels	Stage at what measurements took place
Acsadi et al., 2009	Mouse NSC- 34 SMN knockdown	Motor neuron- like cells	H₂DCFDA	Increased ROS with decreasing SMN levels	48 and 72 h after <i>Smn</i> knockdown
Köstel et al., 2012	Fibroblasts from SMA type I patients	Fibroblast	H₂DCFDA	Increased	NA

Wang et al., 2013	hESC with SMN-FL or SMN-Δ7 knockdown	Culture was differentiated to motor neurons	MitoSOX	Increased	Day 26 post differentiation (NPS)
Ando et al., 2017	iPSC from SMA type III patients	Culture was differentiated to motor neurons	H ₂ DCFDA, MitoSOX	Increased	Day 42-56 post differentiation (complete differentiation)
Patitucci & Ebert, 2016	iPSC from SMA type I patients	Culture was differentiated to astrocytes and motor neurons	DHE	Decreased	Day 28-42 post differentiation (NPS)
Miller et al., 2016	Δ7 SMA mouse	Spinal cord motor neurons	mito- roGFP	Increased	Post- symptomatic (P9)
Thelen et al., 2020	Taiwanese SMA mouse	Spinal cord motor neurons	CellROX	Increased	Pre- symptomatic (E 13)
Chemello et al., 2023	Muscle- specific <i>Smn1</i> knockout mouse model	Skeletal muscle	Amplex Red	Increased	Post- symptomatic (3 months old)
El Khoury et al., 2023	Taiwanese SMA mouse	Spinal cord motor neurons	DHE	Increased at P8	Pre- symptomatic (P 6) and disease onset (P8)

Altogether, those findings demonstrate that the extent of SMN depletion correlates with the observed increase in ROS levels, which could be suggestive of a role for oxidative damage as mechanism leading or exacerbating neurodegeneration. Supporting this idea, histopathological and cellular studies have documented oxidative damage in motor neurons and peripheral tissues in SMA (**Table 1.5**). For instance, in type I SMA patient autopsy samples, Hayashi et al., (2002) reported elevated protein carbonylation (4HNE) in spinal motor neurons, and elevated nucleic acid oxidation (8OHdG) in other tissues of the central nervous system such as precentral cortex,

thalamus and cerebellum, indicating that oxidative damage does not occur only in motor neurons. Another study confirmed the presence of oxidative damage in motor neurons utilising a severe SMA mouse model, which displayed increased protein carbonylation (Thelen, Wirth and Kye, 2020). Notably, the evidence for oxidative damage is not restricted to the central nervous system. For example, increased levels of 3-nitrotyrosine (3NT) and the cardiac oxidative stress marker angiotensin II receptor 1 (AT1) have been detected in the hearts of intermediate and severe SMA mouse models, respectively (Shababi et al., 2012; Maxwell et al., 2018).

Table 1.5. Evidence of oxidative damage in spinal muscular atrophy

4HNE= 4-hydroxynonenal, 8OHdG= 8-hydroxydeoxyguanosine, 3NT= 3-nitrotyrosine, AT-1= angiotensin II receptor 1, E= embryonic, P=postnatal.

Study	SMA Model	Cell Type	Evidence of Oxidative Damage	Stage
Hayashi et al., 2002	Type I SMA patient	Motor neurons	Increased lipid peroxidation (4HNE) and nucleic acid oxidation (8OHdG)	Post-mortem
Shababi et al., 2012	Δ7 SMA mouse	Cardiomyocytes	Increased protein carbonylation (3NT)	Post- symptomatic (P9)
Patitucci & Ebert, 2016	Δ7 SMA mouse	Spinal cord motor neurons	No difference in nucleic acid oxidation (8HOdG)	Pre and post symptomatic (P4, 6, and 8)
Maxwell et al., 2018	Taiwanese SMA mouse	Cardiomyocytes	Increased cardiac oxidative damage markers (AT-1 and ATP-synthase FO) from P3	Pre- symptomatic (P1 and P3) and early onset (P5)
Thelen et al., 2020	Taiwanese SMA mouse	Spinal cord motor neurons	Increased protein carbonylation	Pre- symptomatic (E3)

1.4.2. Mechanisms triggering oxidative stress in spinal muscular atrophy

The presence of increased ROS production and oxidative damage in SMA support a model of interaction where oxidative stress may participate in the neurodegeneration observed on SMA. Studies have highlighted that oxidative damage to biomolecules, including lipids, proteins and DNA, can induce apoptosis, which could contribute to the observed cell death in SMA.

This idea is nicely described in research conducted utilising in motor neurons derived from pluripotent stem cells mimicking SMA. In a 2013 study employing human embryonic stem cell (hESC)-derived motor neurons with FL-SMN knockdown, researchers demonstrated that SMN depletion was associated with increased oxidative stress and elevated apoptosis, as indicated by heightened caspase-3/7 activity (Wang et al., 2013), key executors of apoptotic cell death (Porter & Jänicke, 1999). These changes were accompanied by impaired axonal outgrowth and motor neuron loss, hallmarks of spinal muscular atrophy (Wang et al., 2013). Notably, treatment with the antioxidant N-acetylcysteine (NAC) led to the complete restoration of the caspase-3/7 activity to control levels and a significant increase in motor neuron survival, although it did not rescue the impaired axonal outgrowth observed in SMA motor neuron cultures (Wang et al., 2013). Furthermore, another study performed in SMA-iPSCs derived spinal motor neurons (Ando et al., 2017) found evidence of increased mitochondrial ROS accompanied of increased apoptotic markers, such as caspase-3 and increased DNA fragmentation, which resulted from apoptotic signalling cascades (Majtnerová and Roušar, 2018). Importantly, treatment with the antioxidant edaravone decreased mitochondrial ROS levels and reduced the presence of apoptotic markers (Ando et al., 2017), supporting the idea that antioxidants can alleviate neurodegeneration in SMA. Additionally, this work focused on elucidating the factors that triggered apoptosis, excluding the involvement of endoplasmic reticulum stress signalling and the mitogen-activated protein kinase (MAPK) family signalling pathway. The findings suggest that the increased apoptosis observed in untreated cultures likely originates from mitochondria, and that the mitochondria targetedantioxidant effect of edaravone is the responsible for reducing this oxidative stress-induced apoptosis (Ando et al., 2017).

Notably, mitochondrial dysfunction is a recurring feature in SMA (**Table 1.6**), which could explain the elevated levels of ROS and oxidative damage in SMA. Whole proteome and transcriptomic analyses have shown widespread mitochondrial protein dysregulation (Miller et al., 2016; Thelen, Wirth and Kye, 2020; Chemello et al., 2023), which could explain the observed mitochondrial defects, such as abnormal morphology (Schultz et al., 2017; Xu et al., 2016) and increased fragmentation (Miller et al., 2016). Additionally, decreased complex I and IV activity (Thelen, Wirth and Kye, 2020; Chemello et al., 2023), reduced oxygen consumption and respiration (Miller et al., 2016; Chemello et al., 2023), altered membrane potential (Acsadi et al., 2009; Miller et al., 2016; Xu et al., 2016) and decreased ATP production (Acsadi et al., 2009; Thelen et al., 2020) have indicated that mitochondria are dysfunctional in SMA. Importantly, it has been demonstrated that, in SMA mice, the presence of mitochondrial defects precede neurodegeneration suggesting a role for mitochondria in initiating SMA (Miller et al., 2016).

Other studies have also attempted to bridge the knowledge gap between SMN depletion and mitochondrial defects. For instance, in a *C. elegans* severe SMA model, muscle mitochondria were noticed to abnormally positioned throughout the body (Schultz et al., 2017), while in motor neurons from intermediate SMA mice and SMA type I iPSC there was evidence of reduced transport of mitochondria through the axons (Miller et al., 2016; Xu et al., 2016). This aberrant mitochondrial localisation may provide direct evidence linking SMN protein function in intracellular transport to the observed mitochondrial dysfunction and the associated increase in ROS. Furthermore, other studies have shown that treatment with the antioxidant NAC showed promising results in alleviating various mitochondrial defects, such as the impaired mitochondrial dynamics, reduced mitochondrial potential and ATP production, as well as reducing motor neuron degeneration (Thelen et al., 2020; Xu et al., 2016). Collectively, these findings support a model in which SMN deficiency leads to mitochondrial dysfunction, resulting in elevated ROS production and oxidative damage. This cascade contributes to neurodegeneration and may partially explain cell-type specific vulnerability in SMA, as the nervous system is particularly reliant on mitochondrial.

Table 1.6. Evidence of mitochondrial defects on spinal muscular atrophy

 $\Delta\Psi$ m= mitochondrial membrane potential, CcO= cytochrome C oxidase, E=embryonic.

Study	SMA model	Cell type	Evidence of Mitochondrial Defects	Stage
Acsadi et al., 2009	Mouse NSC- 34 SMN knockdown	Motor neuron- like cells	Reduced ATP levels, increased ΔΨm, increased CcO activity,	48 and 72 h post <i>Smn</i> knockdown
Xu et al., 2016	iPSC from SMA type I patients	Culture was differentiated to motor neurons	Reduced axonal transport, number of mitochondria in axons, and ΔΨm	Day 24 post differentiation
Patitucci & Ebert, 2016	iPSC from SMA type I	Culture was differentiated to astrocytes	No difference in mitochondrial respiration	Day 14-52 post differentiation
Miller et al., 2016	Δ7 SMA mouse	Spinal cord motor neurons	Dysregulation of mitochondrial genes, reduced mitochondrial respiration, reduced ΔΨm, reduced axonal transport; increased fragmentation	Day 7 post differentiation
Thelen et al., 2020	Taiwanese SMA mouse	Spinal cord motor neurons	Dysregulation of mitochondrial proteins, reduced number of mitochondria in axons, reduced mitochondrial respiration, decreased complex I activity	Pre-symptomatic (E13)

Chemello et al., 2023	Muscle- specific Smn1 knockout mouse model	Skeletal muscle	Dysregulation of mitochondrial genes, ultrastructural alterations, reduced mitochondrial respiration, reduced complex I and IV activity	Post- symptomatic (3 months old)
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A recent study has associated the increased presence of ROS with the overexpression of NOX4 in a severe SMA mouse model (El Khoury et al., 2023). NOX4 is expressed in endoplasmic reticulum, plasma membrane, and nucleus and contribute to the generation of H₂O₂ (Sies and Jones, 2020). In this study, it was demonstrated that pharmacological inhibition of NOX4 in SMA mice resulted in decreased ROS levels and provided significant neuroprotection for motor neurons, evident by reduced motor neuron degeneration, reduced NMJ atrophy, improved motor behaviour and extension of lifespan (El Khoury et al., 2023). NOX4 constitutes an alternative mechanism independent of mitochondria that could explain elevated ROS and oxidative damage in SMA.

Interestingly, El Khoury et al., (2023) not only demonstrated that NOX4 inhibition reduced ROS levels, but also increased SMN protein expression in the spinal cord and motor neurons. Moreover, it was found that antioxidant treatment in SMA fibroblasts led to the concentration dependent increase in the number of Gemini that are nuclear aggregates that contain concentrated SMN protein levels (Ando et al., 2017). We have already explored that ROS can directly attack nucleic acid (Ock et al., 2012; Sharma et al., 2016), and that there is evidence of oxidative damage to DNA in SMA (Hayashi et al., 2002). Importantly, there are studies that have explored the specific interplay between oxidative stress and SMN expression. For instance, an *in vitro* study performed in HeLa cells highlighted that, due to the presence of cysteine residues within its amino acid sequence, the SMN protein might be particularly vulnerable to oxidation (Wan et al., 2008). It was demonstrated that inducing oxidative stress can promote the formation of disulfide crosslinks between SMN proteins *in vitro*, potentially reducing their functionality and facilitating its degradation by the proteosome system (Wan et al., 2008). Additionally, a later report suggested

that induction of oxidative stress can decrease exon 7 inclusion in SMN2 transcripts, potentially diminishing the levels of full-length functional SMN protein (Seo et al., 2016). Notably, this effect can be reverted by some antioxidant compounds, such as curcumin (CUR), epigallocatechin gallate (EGCG), and resveratrol. Studies have shown that treatment with the aforementioned antioxidants promoted exon 7 inclusion in the SMN2 gene of SMA type I patient's fibroblasts, which lacked SMN1 but retained two intact copies of SMN2, increasing SMN protein levels (Dayangac-Erden et al., 2011; Sakla & Lorson, 2008a). Although SMA type I fibroblasts have been shown to exhibit elevated levels of ROS (Köstel, Bora-Tatar and Erdem-Yurter, 2012), and a reduction in ROS could plausibly account for the observed therapeutic effects — given the capacity of oxidative stress to diminish SMN protein levels (Wan et al., 2008; Seo et al., 2016) — the authors did not select the compounds based on their antioxidant properties, nor did they attribute the observed benefits to a reduction in ROS. Instead, these compounds were proposed to act as histone deacetylase (HDAC) inhibitors, which act by relaxing the chromatin structure and enabling the binding of the transcriptional machinery to targeted genes (Kelly, O'Connor and Marks, 2002). Nevertheless, these studies indicated that increased oxidative stress can reduce SMN protein levels in vitro, highlighting the relevance of antioxidant treatment, which could potential increase SMN protein levels. Overall, these findings underscore the importance of evaluating the off-target effects of antioxidant compounds and caution against drawing definitive conclusions regarding their mechanisms of action solely based on observed therapeutic benefits.

1.4.3. Oxidative stress in spinal muscular atrophy: cause or consequence?

All the studies reviewed until now support a model in which increased ROS levels lead or exacerbate neurodegeneration, highlighting the importance of antioxidant treatment as an effective therapeutic avenue for SMA. However, few studies have challenged that interpretation. Despite the extensive evidence of increased ROS production in SMA (**Table 1.4**), Patitucci & Ebert (2016) found that neither SMA iPSC-derived motor neurons nor astrocytes exhibited

increased ROS levels; in fact, they displayed a reduction in ROS compared to control cells. Although measurements were taken at the neuronal progenitor stage (NPC), when cells are not fully differentiated into motor neurons or astrocytes, at this stage motor neurons already exhibited neurodegeneration, and astrocytes showed altered morphology and dysfunction (Patitucci and Ebert, 2016). Notably, this observation contradicts the results obtained in other studies, which can be attributed to differing methodologies or SMA models employed.

For instance, although both Patitucci & Ebert (2016) and Ando et al., (2017) utilised SMA-iPSCs, the former study measured ROS levels at the NPC stage when the cells were not fully differentiated, whereas the later assessed ROS levels when cells were fully differentiated. This could indicate that ROS does not occur before neurodegeneration, but it is produced afterwards as a secondary consequence of SMN depletion. Another study in pluripotent stem cells, however, found that ROS was increased at the NPC stage (Wang et al., 2013). Researchers employed RNAi SMN knockdown in human hESCs, which may have resulted in a more pronounced generation of ROS that the one observed in iPSC cultures at the same stage. The discrepancies between studies highlight the necessity of employing different SMA models to confirm the results before moving to clinical trials, as small differences in the genetics of methodologies can lead to different results. Nevertheless, research conducted by Patitucci & Ebert (2016) suggested that, at least in the context of iPSC cultures, the generation of ROS does not precede neurodegeneration in SMA, suggesting a different relationship between oxidative stress and SMA than the one suggested by other studies. However, these findings do not rule out the potential role of ROS in exacerbating SMA physiopathology.

These findings raise important considerations regarding previously reported beneficial effects of antioxidants in SMA (**Table 1.7**). A significant limitation in many studies is the use of a single antioxidant, typically NAC or edaravone, making it difficult to conclusively attribute therapeutic benefits solely to ROS modulation. For instance, Thelen et al., (2020) demonstrated that NAC treatment reduced ROS, increased ATP, and improved protein synthesis in SMA cells. Interestingly, treatment with pyruvate similarly reduced ROS and increased ATP but failed to

restore protein synthesis, suggesting that NAC's beneficial effects on protein synthesis might be independent of its antioxidant capacity (Thelen, Wirth and Kye, 2020). If researchers had exclusively focused on NAC, they might have erroneously concluded that the enhancement in protein synthesis was attributable solely to the reduction in oxidative stress. Consequently, employing a diverse array of antioxidants is essential to ascertain whether the observed benefits are genuinely reliant on ROS or if they involve alternative molecular targets. The existing variability in ROS measurement methodologies, experimental models, developmental stages assessed, and limited use of multiple antioxidants complicates comparisons between studies. Therefore, distinguishing whether oxidative stress contributes causally to SMA or occurs merely as a downstream consequence remains challenging.

Table 1.7. Effect of reactive oxygen species modulation in spinal muscular atrophy

Study	SMA model	Cell type	Pro- oxidative/Antioxidant compound used	Observed Effects
Wan et al., 2008	NA	HeLa cells	Pro-oxidant β- lapachone	SMN disulfide crosslinks
Seo et al., 2016	Mild SMA mouse	Brain, heart, kidnely, liver, lung, muscle, spinal cord	Pro-oxidant Paraquat	Skipping of exons 7 and 5 in SMN2
Köstel et al., 2012	Fibroblasts from SMA type I patients	Fibroblasts	Antioxidants Curcumin, Vitamin E, NAC	Reduced ROS
Wang et al., 2013	hESC with SMN-FL or SMN- $\Delta 7$ knockdown	Culture was differentiated to motor neurons	Antioxidant NAC	Prevented motor degeneration; did not rescue axonal outgrowth defects
Xu et al., 2016	iPSC from SMA type I patients	Culture was differentiated to motor neurons	Antioxidant NAC	Reduced mitochondrial defects; rescued neurodegeneration
Ando et al., 2017	iPSC from SMA type III patients	Culture was differentiated to motor neurons	Edaravone	Reduced apoptosis

Thelen et al., 2020	Taiwanese SMA mouse	Spinal cord motor neurons	Antioxidants NAC, and Pyruvate	NAC reduced ROS, increased ATP, improved protein synthesis; pyruvate reduced ROS but did not affect protein synthesis
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To address this complexity, Van Raamsdonk & Hekimi, (2010) proposed a clear experimental framework to differentiate between causality (contribution) and association (consequence) of oxidative stress in neurodegeneration (Figure 1.6). According to their model, if oxidative stress directly contributes to disease pathology, increasing ROS levels by utilising pharmacological or genetic approaches should accelerate the disease onset or exacerbate its severity, whereas reducing ROS should mitigate or delay symptoms. Conversely, if oxidative stress arises solely as a consequence of neurodegeneration, without a direct impact on the disease, altering ROS levels would have minimal or no impact on disease progression. To robustly test this hypothesis in SMA, experiments must evaluate multiple antioxidants simultaneously as well as identifying the main dysregulated pathways that contribute to increased ROS levels. If oxidative stress truly drives SMA pathology, diverse antioxidants should yield consistent beneficial effects, as observed in Alzheimer's and Parkinson's disease models (Table 1.3).

Conclusively determining whether oxidative stress is causative or consequential in SMA pathogenesis is fundamental to elucidate the underlying mechanisms of neurodegeneration in SMA and develop targeted therapies that improve beneficial effects for patients.

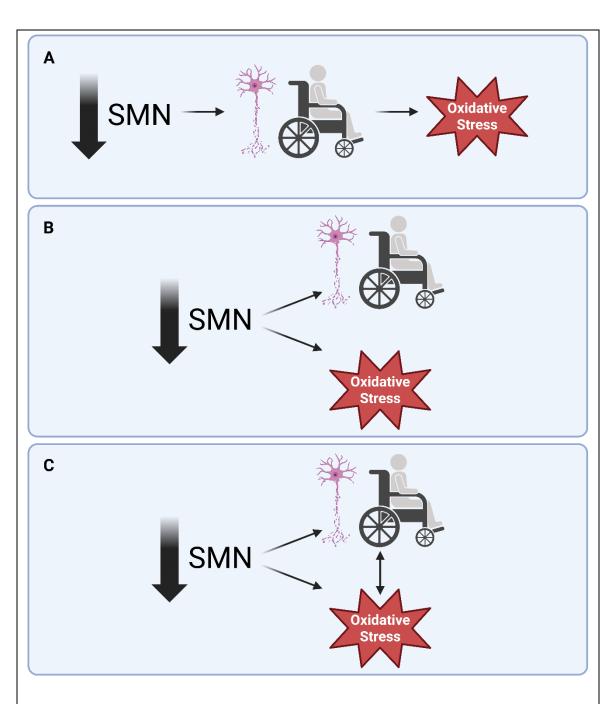


Figure 1.6. Hypothetical models illustrating the interplay between SMN depletion and oxidative stress in the pathophysiology of spinal muscular atrophy

- (A) Oxidative stress emerges as a downstream effect of motor neuron degeneration caused by SMN deficiency. In this scenario, antioxidant treatment is likely to be ineffective in alleviating SMA neuromuscular phenotypes.
- (B) Oxidative stress and neurodegeneration are parallel outcomes independently triggered by SMN deletion, but without direct correlation. In this scenario, antioxidant treatment is likely to be ineffective in alleviating SMA neuromuscular phenotypes.
- (C) Oxidative stress is both a parallel consequence of SMN deficiency and an exacerbating factor that worsens neurodegeneration and muscular dysfunction, thereby contributing to disease progression. In this scenario, antioxidant treatment could ameliorate SMA neuromuscular phenotypes.

1.5. Aims and objectives

The overall aim of this thesis is to investigate the role of oxidative stress in SMA pathology with the ultimate goal to elucidate whether antioxidant therapies hold a genuine therapeutic promise.

The project is structured around three experimental chapters, each addressing a key aspect of the relationship between oxidative stress and SMA.

- Evaluate whether oxidative stress can be identified in the *smn-1(ok355)* severe *C. elegans* SMA model and evaluate its potential contribution to disease pathology.
- To determine whether antioxidant treatment can mitigate SMA neuromuscular phenotypes.
- To identify whether precise modulation of endogenous redox pathways alters disease severity, thereby identifying potential redox-based therapeutic targets specifically relevant to SMA.

Altogether, the integrated objectives of this thesis aim to clarify whether oxidative stress is a causative factor, a contributing amplifier, or a downstream consequence in SMA pathology. By combining physiological, pharmacological, and genetic approaches in a tractable model organism, this work seeks to resolve key scientific questions regarding the role of redox imbalance in SMA and to inform the design of targeted therapeutic strategies that go beyond SMN restoration.



2.1. General solutions and media

Solutions used throughout this project were prepared and stored as followed.

1. 1X M9 buffer.

Chemicals (for 1 L):

 $\begin{array}{c} KH_2PO_4 & 3\ g \\ Na_2PO_4 & 6\ g \\ NaCl & 5\ g \end{array}$

Deionised H₂O Top up to 1 L

After adding the listed chemicals, the solution was sterilized by autoclaving. Then, the following sterilized solution was added:

1 M MgSO4 1mL

Store at room temperature.

2. Lysis Buffer

Chemicals (for 10 mL):

1 M KCl	500 μL
1 M Tris (pH 8.2)	100 μL
$MgCl_2$	1000 μL
20% NP-40	225 μL
20% Tween 20	225 μL
10% Gelatine	10 μL
Sterile Milli-Q water	7940 μL

Aliquot 250mL of the lysis buffer solution into 1.5 mL Eppendorf tubes and store at -20°C.

3. Freezing solution.

Chemicals (for 1L):

NaCl	5.85 g
KH_2PO_4	6.8 g
1M NaOH	5.6 mL

Deionised H_2O 800 mL Glycerol 300 g

Deionised H₂O Top up until 1 L

Store at room temperature.

4. Freezing solution agar.

Chemicals (for 100 mL):

Freezing solution 100 mL Agar 0.4 g

Store at room temperature.

5. Nematode Growth Media (NGM) agar.

Chemicals (for 500 mL):

 $\begin{array}{ll} \text{Agar bacteriological} & 8.5 \text{ g} \\ \text{Peptone} & 1.25 \text{ g} \\ \text{NaCl} & 1.5 \text{ g} \\ \text{Deionised H}_2\text{O} & 487.5 \text{ mL} \end{array}$

The media was sterilized by autoclaving, after which the following sterilized solutions were added:

 $\begin{array}{lll} 1 \text{M Potassium phosphate pH6} & 12.5 \text{ mL} \\ 1 \text{M MgSO}_4 & 0.5 \text{ mL} \\ 1 \text{M CaCl}_2 & 0.5 \text{ mL} \\ 5 \text{ mg/mL cholesterol} & 0.5 \text{ mL} \end{array}$

NGM agar was poured into 55 mm triple-vented Petri dishes while still hot. Agar plates were left to dry on the bench for 24 h, covered with filter paper. The following day, plates were seeded with 200 µL of OP50 *Escherichia coli* (*E. coli*) in a sterile environment. Seeded plates were left on the bench, covered with filter paper, for three days until the bacterial lawn was completely dry. Plates were stored at room temperature in plastic boxes and used within one week, with a fresh batch of plates prepared weekly.

2.2. Strains and maintenance

Caenorhabditis elegans (C. elegans) strains listed in **Table 2.1** were maintained on Nematode Growth Media (NGM) plates seeded with OP50 E. coli and incubated at 20°C as previously described (Brenner, 1974). To prevent starvation, four early L4 animals of each strain were transferred to freshly seeded plates every few days using a dissection microscope, and a platinum wire sterilised with an ethanol burner.

Table 2.1. List of *C. elegans* strains used in this project

The 'strain' column lists the designated *C. elegans* strain name, the 'identifier' column highlights the name used in this thesis to refer to each strain and the 'genotype' column specifies the genetic modification(s) that characterise each strain, including allele names and chromosome location.

Strain	Identifier	Genotype
N2	N2	Wildtype
LM99	smn-1(ok355)	smn-1(ok355) I /hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III)
HA1981	smn-1(+)	(+)/hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III)
HA2824	smn-1(rt248)	smn-1(rt248)
HA2452	smn-1(ok355); rtSi9	smn-1(ok355)
HA2454	smn-1(ok355); [smn-1(+)]	smn-1(ok355)
GA187	sod-1(tm776)	sod-1(tm776) II
DIM17	sod-1(tm776)	(+)/hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III); sod-1(tm776) II
DIM18	smn-1(ok355); sod-1(tm776)	smn-1(ok355) I/hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III); sod-1(tm776) II
RB1072	sod-2(ok1030)	sod-2(ok1030) I

DIM42	sod-2(ok1030)	sod-2(ok1030) I/hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP;
	, ,	ges-lp::GFP]] (I;III)
PHX6174	smn-1(ok355); sod-2(ok1030)	sod-2 (ok1030) smn-1(ok355) I/hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III)
GA186	sod-3(tm760)	sod-3(tm760) X
DIM13	sod-3(tm760)	(+)/hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III); sod-3(tm760) X
DIM14	smn-1(ok355); sod-3(tm760)	smn-1(ok355)
GA416	sod-4(gk101)	sod-4(gk101) III
DIM15	sod-4(gk101)	sod-4(gk101) III/hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III)
DIM16	smn-1(ok355); sod-4(gk101)	smn-1(ok355) I;sod-4(gk101) III/ hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III)
GA503	sod-5(tm1146)	sod-5(tm1146) II
DIM19	sod-5(tm1146)	(+)/hT2[bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III); sod-5(tm1146) II
DIM20	smn-1(ok355); sod-5(tm1146)	smn-1(ok355)
РНХ8399	smn-1(ok355) I/hT2[smn- 1(syb8399)]	smn-1(ok355) I/hT2 [smn-1(syb8399); bli-4(e937) qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]] (I;III)

Strains used in this study were sourced by the *Caenorhabditis* Genetics Center (CGC), the Hart Lab (designated by "HA") or the Dimitriadi Lab (designated by "DIM") PHX6174 and PHX8399 strains were generated by Suny Biotech (Zhejiang University Sunny Technology Co.,Ltd). The heterozygous PHX8399 (*smn-1(ok355) I/hT2[smn-1(syb8399)*) strain carries an endogenous wild-type copy of *smn-1* tagged to *wrmScarlet* using CRISPR/Cas9-mediated genome editing to allow for the visualisation of the endogenous SMN-1 protein. All strains were confirmed by PCR-genotyping and/or sequencing prior to experimental procedures.

Maintenance of homozygous smn-1(ok355) animals is restricted by their lethality at the L4 stage (Briese et al., 2008). To overcome this we used the well characterised LM99 strain where smn-1(ok355) animals are the progeny of heterozygous hermaphrodites; the latter carry the smn-1(ok355) mutation in one allele of chromosome I and an $hT2[bli-4(e937) \ qIs48[myo-2p::GFP; pes-10p::GFP; ges-1p::GFP]]$ (I;III) balancer chromosome on the other allele (Briese et al., 2008). To maintain a consistent genetic background, all strains used throughout the project were crossed into the hT2 (I;III) balancer chromosome. Therefore, homozygous animals used in all experimental procedures were first-generation offspring from hT2 parents (Figure 2.1). All stains carrying hT2 (I;III) integrated transgene were maintained based on their pharyngeal GFP fluorescence (myo-2p::GFP) (Briese et al., 2008).

Throughout this thesis, control animals were always the non-GFP progeny of HA1981 animals (smn-1(+)), with the only exception in the antioxidant drug treatment experiments, where heterozygous smn-1(ok355)/hT2 animals (LM99) were used instead of smn-1(+). Previous work by (Briese et al., 2008) has demonstrated that N2 (wild type) and heterozygous smn-1(ok355)/hT2 animals look identical and behave similarly in various neuromuscular assays used herein such as pharyngeal pumping and locomotion. The same applies for other behavioural assays tested in C. elegans such as swimming (thrashing) and lifespan (Briese et al., 2008). Furthermore, our own data have also indicated that the non-GFP progeny of HA1981 (smn-1(+)) and smn-1(ok355)/hT2 heterozygous animals both exhibit no significant difference in their cytoplasmic ROS content. Therefore, smn-1(ok355)/hT2 heterozygous animals were considered suitable controls for the drug treatment experiments. It should also be noted that as these experiments required the use of NGM plates containing drugs, using smn-1(ok355)/hT2 animals as controls allowed for a more efficient use of resources, as both smn-1(ok355)/hT2 controls and smn-1(ok355) mutant animals could be derived from the same strain (i.e. LM99), reducing the amount of chemical substance needed to carry out the experiments.

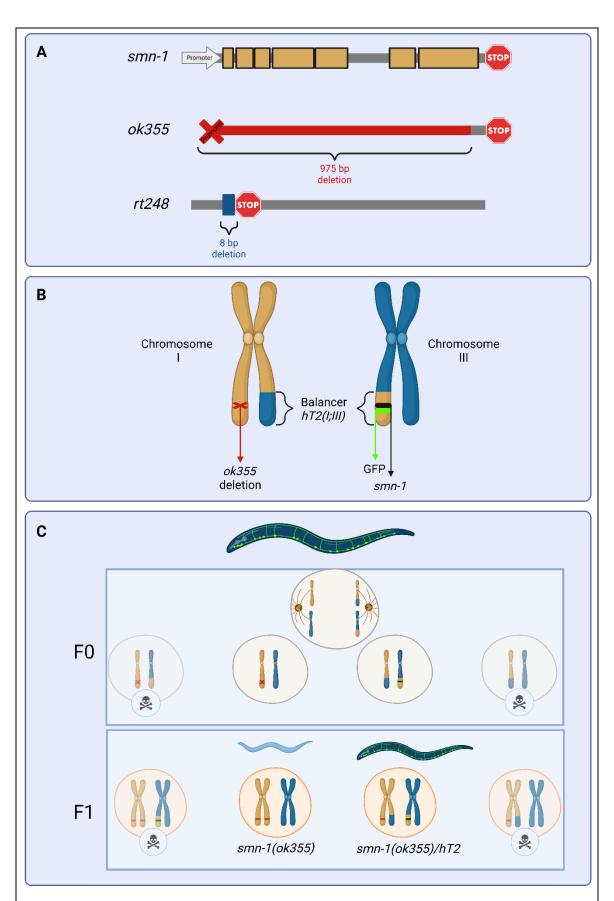


Figure 2.1. The *Caenorhabditis elegans smn-1* endogenous gene and the *C. elegans* SMA severe models and maintenance

- (A) Schematic representation of the *smn-1* gene and the mutations used in this study. The *ok355* allele consists of a 975 bp deletion that abrogates the majority of the *C. elegans smn-1* gene, including the promoter and initial coding region, and leaving only 87 bp at the 3' end of the gene, including the stop codon. The *rt248* allele contains an 8bp deletion in exon 2, introducing a premature strop codon.
- (B) Schematic representation of the hT2(I;III) genetic balancer utilised to maintain the lethal smn-1(ok355) allele. The ok355 deletion is located on chromosome I. The hT2 (I;III) balancer compromise a reciprocal translocation of chromosomal segments between chromosomes I and III. A GFP marker located within the chromosomal segment of the hT2 balancer allows the distinction of homozygous smn-1(ok355) (no GFP) and heterozygous smn-1(ok355)/hT2 animals (GFP).
- (C) Genetic crosses illustrating the maintenance strategy for *smn-1(ok355)* animals. During meiosis in heterozygous *smn-1(ok355)/hT2* hermaphrodites (F0 generation), homologous chromatids segregate randomly, generating haploid gametes with only one copy of each chromosome. Following fertilization, the resulting F1 embryos are diploid. Only two genotypes are viable: *smn-1(ok355)/hT2* animals (green fluorescent) and homozygous *smn-1(ok355)* mutants (non-fluorescent), which exhibit SMA-like phenotypes.

2.3. Freezing and thawing stocks

C. elegans strains can be frozen and preserved for long-term storage with minimal impact on their fitness (Stiernagle, 2006; Stastna et al., 2020). The nematodes were cultivated on five to ten NGM plates at 20°C until the bacterial lawn was fully consumed, resulting in a population primarily consisting of L1 larvae since these animals have an increased survival rate (Brenner, 1974). Nematodes were then washed off the plates using 1x M9 buffer and collected in a Falcon tube. The suspension was centrifuged at 1000 rpm for 1 min to pellet the animals, and the supernatant was then carefully removed. A 0.4% agar-freezing solution was added to the nematode suspension in a 1:1 ratio, and gently mixed. The glycerol contained in the freezing solution acts as a cryoprotectant, minimising osmotic shock and ice crystal formation, thereby enhancing the survival rate of the frozen strains (Murray & Gibson, 2022).

The nematode-freezing solution mixture was transferred to 1mL cryovials, which were then placed in styrofoam rack to facilitate gradual cooling at -80 °C. To verify the success of the freezing process, a small aliquot from a cryovial was thawed onto a seeded NGM plate and incubated at 20 °C one week after freezing. If nematodes are observed crawling on the plate within a few days, the freezing process is deemed successful. When using a thawed strain, it is essential to decontaminate the animals upon reaching adulthood.

2.4. Decontamination of stocks

Although aseptic technique must be always maintained to prevent the contamination of *C. elegans* stocks, strains may occasionally become contaminated with bacteria, yeast or mould. Contaminated strains cannot be used for experiments, as contamination can alter various scientific parameters, such as gene expression, survival rates, and behavioural assays (O'Donnell et al., 2020; Ahamefule et al., 2021).

Most contaminants can be effectively eliminated by treating with a hypochlorite solution (Stiernagle, 2006). A 10% hypochlorite solution was prepared by adding 10 µL of commercially

available hypochlorite (1x bleach solution) to 90 µL of 1x M9 buffer. A drop of 10% hypochlorite (10 µL) was placed on the edge of a NGM plate away from food, before adding seven to ten gravid contaminated adults to the hypochlorite solution. Plates are subsequently incubated at 20°C overnight. The eggshell of *C. elegans* is impermeable (Stein and Golden, 2018), allowing eggs to remain unaffected, while contaminants and live nematodes are eliminated by the bleach treatment. Once eggs hatch and the larvae emerge into the clean OP50 food, a single L1 animal was transferred to a freshly seeded NGM plate (one L1/plate) and allowed to grow at 20°C to confirm the removal of any subsequent contamination.

2.5. Synchronisation of *Caenorhabditis elegans* populations

All experiments detailed in this thesis were performed using *smn-1(ok355)* animals at day-3 post hatching, as previously described (Dimitriadi et al., 2010, 2016) (**Table 2.2**). Briefly, gravid adults were placed onto seeded NGM plates and allowed to lay eggs for 5 h while incubating at 25°C. Adult animals were then removed, and the egg-containing plates were placed at 25°C for 48 h. Lastly, plates were shifted to 20°C for 24 h prior to selecting day 3 animals for assaying.

Table 2.2. Standard egg-laying procedure to synchronise *C. elegans* populations at day-3 post-hatching, as described by Dimitriadi et al., 2010

All genotypes used in the experiments were obtained following incubation for three days at 25 °C, 25 °C and 20 °C on day one, two and three of development, respectively.

Procedure A	Parental strain genotype	Day 0			Day 1	Day 2	Day 3 offspring genotype	Stage
	smn- 1(ok355)/hT2	Egg- lay 25°C for 5	Remove adults	25°C	25°C	20°C	smn-1(ok355)	L4
Standard egg-laying (Dimitriadi et al., 2010)							smn- 1(ok355)/hT2	Adult
	smn-1(+)/hT2	h					smn-1(+)	Adult

An additional an egg laying protocol was optimised for experimental procedures to match the developmental stage observed in smn-1(ok355) animals at day-3. The egg-laying protocol was optimised with a different temperature paradigm to slow down the growth of control animals, so they would match the growth rate of smn-1(ok355) nematodes (**Table 2.3**). All animals were incubated at 20° C 24h prior to experiments.

Table 2.3. Egg-laying experimental procedure for obtaining synchronous *C. elegans* L4-stage populations

smn1-(ok355) nematodes were obtained by standard egg-laying procedures as described above (25°C, 25°C, 20°C). Day-3 L4-stage smn-1(ok355)/hT2 nematodes were obtained by lowering the temperature paradigm of incubation to 15°C, 20°C, 20°C on day one, two and three of development, respectively. L4-stage smn-1(+) animals were obtained after two days of incubation at 20°C.

Procedure B	Parental strain genotype	Day 0		Day 1		Day 2	Day 3 offspring genotype	Stage		
Egg-laying for	smn-	Egg- lay 25°C	Remove	25°C		25°C		20°C	smn- 1(ok355)	L4
synchronous L4 populations,	1(ok355)/hT2	for 5	e adults	15 °C	20°C		20°C	smn- 1(ok355)/hT2	L4	
adapted from						Day 0		Day 1	Day 2 Genotype	Stage
Dimitriadi et al., 2010.	smn- 1(+)/hT2				Egg- lay 25°C	Remove adults	20°C	20°C	smn-1(+)	L4

2.6. Genotyping

2.6.1. DNA extraction

For DNA extraction from single nematodes a lysis solution was prepared by gently mixing 10 μ L of 10mg/ml proteinase K into 250 μ L of lysis buffer. Aliquots of 5 μ L of lysis solution were dispensed into each PCR tube. Single nematodes were picked and placed into the 5 μ L of lysis solution in the appropriate labelled tube, ensuring minimal transfer of OP50 bacteria.

PCR-genotyping was then performed using a thermal cycler program (add the make of the PCR machine here) set to 60°C for 1 hour, followed by 95°C for 15 min, and then cooling at 4°C (**Table 2.4**). At this point, *C. elegans* lysates could be used directly for PCR or stored at -20 °C for future analysis.

Table 2.4. Thermal cycler programs for *C. elegans* lysis and PCR

Program	No of cycles	Temperature	Time
	1	60°C	1 h
Nematode lysis	1	95°C	15 sec
	1	4°C	Hold
	1	95°C	2 sec
		95°C	30 sec
PCR for smn-1, sod-2	30	61°C	30 sec
and sod-4 genes		68°C	1 min
	1	68°C	5 min
	1	4°C	Hold
	1	95°C	2 min
		95°C	30 sec
PCR for sod-1, sod-3 and	30	63°C	30 sec
sod-5 genes		68°C	1 min
	1	68°C	5 min
	1	4°C	Hold

2.6.2. Polymerase chain reaction (PCR)

Each PCR-genotyping reaction included 1x PCR buffer, 200 μM dNTPs and 0.05 units/μL Taq polymerase to a final volume of 20 μL per PCR tube by using autoclaved distilled dH₂O. **Table** 2.4 highlights the thermal cycling conditions used, whereas primer sequences for each gene investigated are outlined in **Table 2.5**.

Table 2.5. Primers used for PCR genotyping

Gene	Primers				
	Forward 1	5'- geteaaaettaaaeteegeete			
smn-1	Forward 2	5' - tgaagaagctattgcggacg			
	Reverse	5'- agectecaaatategtetee			

	Forward 1	5'- cetteteegteagaacttgttg			
sod-1	Forward 2	5' - ccctcgcctggaaagtttac			
	Reverse	5'- ctatcgactgtgatctgcctg			
	Forward 1	5' - agaagagatgggctaaggac			
sod-2	Reverse	5' - gtagtaagcgtgctcccaga			
	Forward 1	5'- tetaetgetegeaetgette			
sod-3	Forward 2	5' - ccaagcacactctcccagatc			
	Reverse	5'- cagageettgaacegeaatag			
	Forward 1	5'- ggtggaatcgaacttcggagtg			
sod-4	Forward 2	5'- accagcacttagacaaccatttcc			
	Reverse	5'- agccgcttccgaaggtaaac			
	Forward 1	5'- gttgttgttgttggtcgttgagc			
sod-5	Forward 2	5'- ctcgttaggtattggcgacag			
	Reverse	5'- gagcaatgactccacaagcag			

Table 2.6. PCR master mix for genes utilised in this thesis

Master mix	Components	μL per tube
	dH ₂ O	15.025
	Buffer (1x)	2.5
	dNTPs (200 μM)	0.25
smn-1, sod-2 and sod-4	F1 (10 μM)	0.7
	F2 (10 μM)	0.7
	R1 (10 μM)	0.7
	Taq Polymerase (0.05 units/μL)	0.125
	dH ₂ O	14.325
	Buffer (10x)	2.5
	dNTPs (25 mM)	0.25
sod-1, sod-3 and sod-5	F1 (10 μM)	0.7
	F2 (10 μM)	1.4
	R1 (10 μM)	0.7
	Taq Polymerase (0.05 units/μL)	0.125

All reagents were stored at -20 °C. The PCR buffer, dNTPs and primers were thawed at room temperature and briefly vortexed before added to the PCR master mix (**Table 2.6**). Taq polymerase was collected from the freezer briefly prior to adding it to the master mix and returned to -20 °C. The PCR master mix was gently mixed and aliquoted at 20 μL per PCR tube used, on top of the 5 μL C. *elegans* lysate that contained the genomic DNA.

PCR-genotyping was conducted using a thermal cycler program set to 1 cycle at 95°C for 2 min for DNA denaturation, 30 cycles at a sequence of 95°C for 30 seconds, 61°C o 63°C for 30 seconds (depending on primers used) and 68°C for 1 min, followed by a final extension of 1 cycle at 68°C for 5 min with a hold at 4°C.

2.6.3. Agarose gel DNA electrophoresis

PCR products were mixed with 10 μL of 6x orange gel loading dye and loaded onto a 1% agarose gel containing 0.01% GelRed® Nucleic Acid Gel Stain (Biotium). A 100 bp DNA ladder (Quick-Load®, New England Biolabs) was loaded in the first lane for size reference. Samples were electrophoresed at 120V for 40 min and visualised under a SyngeneTM UV transillumination (Ingenius3). All strains were confirmed by PCR genotyping prior to their use in experiments.

2.7. Dihydroethidium assay

C. elegans intracellular ROS levels were detected using the commercial dye dihydroethidium (DHE) (ThermoFisher #D23107). Upon receipt from the supplier, DHE was transferred into 0.5 mL Eppendorf tubes in 3 µl aliquots (5mM), ensuring minimal exposure to air and light. Tubes were sealed with parafilm and wrapped with foil to protect them from humidity and light, and stored at -20°C, according to the manufacturer's guidelines.

DHE oxidation assay was performed as previously described (Roux et al., 2016; Aspernig et al., 2019). Briefly, 25-30 L4-stage nematodes were transferred to unseeded NGM plates and allowed to crawl for 15 min to remove any bacteria adhering to their bodies. Animals were washed off the plates with 1x M9 buffer and transferred to 1 mL Eppendorf tubes. Three additional washing steps with 1x M9 were performed to eliminate any remaining bacterial debris, resulting in a final pellet of $100 \mu L$ containing the nematodes after the last washing step.

A 3 μ l DHE aliquot of 5mM was retrieved from the freezer and diluted with 900 μ l of 1x M9 buffer, resulting in a 30 μ M DHE solution. Thereafter, 50 μ L from this DHE solution was

immediately added to the Eppendorf tubes containing the animals, achieving a final working concentration of $10 \mu M$ DHE. Then, the nematodes were incubated in a thermomixer at 20° C for 2 h while shaking at 300rpm. DHE tubes were protected from light throughout the procedure.

Following incubation, DHE was carefully removed from the Eppendorf tubes with a glass Pasteur pipette. Animals were then washed three times with 1ml 1x M9 buffer and transferred to unseeded plates, where they were allowed to crawl for 15 min prior to imaging. Whole-body fluorescence of stained animals was captured at a total magnification of 50X. Final data represent a minimum of three independent trials, with each replicate including a minimum of 10 nematodes and with at least one trial blinded for each genotype or condition assessed.

2.8. MitoSOX assay

C. elegans mitochondria ROS was detected using the commercial dye MitoSOX (ThermoFisher #M36008). MitoSOX was provided in vials of 50 µg dye and was stored at -20°C protected from light and humidity, as per the manufacturer's guidelines.

MitoSOX oxidation assay was optimised following previously described protocols (Aspernig et al., 2019; Coppa et al., 2020; Hsiung et al., 2020). Briefly, 25-30 L4-stage nematodes were transferred to unseeded NGM plates and allowed to crawl for 15 min to remove any bacteria adhering to their bodies. Similarly to DHE, animals were washed off the plates with 1x M9 buffer and transferred to 1 mL Eppendorf tubes. Three additional washing steps with 1x M9 were performed to eliminate any remaining bacterial debris, resulting in a final pellet of 100 μL containing the nematodes after the last washing step.

A MitoSOX vial was retrieved from the freezer and dissolved in 3 μl DMSO, creating a 5 mM MitoSOX stock solution that was stored at -20°C for a maximum of 2 days, as per manufacturer's instructions. During the day of the assay, the 5mM MitoSOX stock solution was further diluted at 133.3 μM with 146 μl DMSO and added to three Eppendorf tubes containing the nematodes at a final working concentration of 5 μM, 10 μM or 20 μM, respectively. The nematodes were then

incubated with MitoSOX solution at 20°C in a thermomixer while shaking at 300rpm for 30 min, 1 hour or 2 h for each concentration used. MitoSOX tubes and stained animals were protected from light throughout the procedure.

Following incubation, MitoSOX was carefully removed from the Eppendorf tubes. Animals were then washed three times with 1ml 1x M9 buffer and transferred to unseeded plates, where they were allowed to crawl for 15 min prior to imaging. Whole-body fluorescence of stained animals was captured at a total magnification of 50X, while fluorescence concentrating in the head region only was captured at a total magnification of 200X. Final data represent a minimum of two independent trials, with each replicate including a minimum of 10 nematodes.

2.9. Fluorescence imaging

For fluorescence microscopy 5–10 L4-stage nematodes were transferred to a drop of 30 mg/mL 2,3-Butanedione monoxime (BDM; ≥98%, Sigma-Aldrich) on a coverslip and incubated at room temperature for approximately 3 min till nematodes were fully immobilised. Agar pads were prepared by pipetting 120 µL of 2% melted agar on the centre of a 75 mm × 25 mm glass slide and immediately placing a second one on top to spread the agar. After cooling for 2 min, the slides were carefully separated by sliding one over the other, leaving the agar pad on one of the slides. The agar pad was then carefully placed on top of the coverslip with the immobilised nematodes, minimising air bubbles and ensuring gentle contact to avoid harming the animals.

C. elegans fluorescence imaging acquisition was performed using a ZEISS AxioImage.M2 microscope attached to an Axiocam 503 mono camera, operated by the ZEN 3.3 software (blue edition). The microscope features eyepieces allowing for 10X magnification, and a set of objectives offering 5X, 10X or 20X magnification. Images were captured using the Texas Red filter (Ex λ 335-383 nm, Em λ 593-668 nm) at a 75% light source intensity and 1.6-second exposure under 50X or 100X total magnification (5X and 10X objectives, respectively), or at a 20% light intensity and 150-millisecond exposure under 200X total magnification (20X

objective). The following settings were used to measure the fluorescence emitted by DHE (Ex λ 370 nm, Em λ 420 nm), MitoSOX (Ex λ 396, Em λ 610 nm) and wrmScarlet (Ex λ 569 nm, Em λ 594 nm).

2.10. Fluorescence quantification

Fluorescence images were processed using the imaging processing tab included in the Zeiss ZEN 2.3 Lite (blue edition) software. This approach enables the experimenter to outline manually each animal's silhouette and subsequently, quantify the fluorescence intensity of each pixel contained within the area selected. The *C. elegans* whole-body 'mean fluorescence intensity' is yielded as a result and can be directly used in statistical analysis without any further adjustments.

It should be noted that Zeiss ZEN 2.3 Lite software possesses a built-in range indicator function that highlights pixels within the images captured that fall outside the optimal detection range. When this function is enabled, overexposed areas (i.e. pixels that exceed the maximum detectable signal) are automatically marked in red. Because these values are no longer accurately quantifiable, images with pixels exceeding the range indicator were excluded from the analysis to ensure data reliability. For each image included in the analysis, the 'mean fluorescence intensity' value for each animal was exported to Excel for statistical analysis in GraphPad.

2.11. Survival assays

To determine *C. elegans* lifespan, synchronised day-3 animals were placed on seeded NGM plates. For each genotype, experimental plates were prepared in duplicate with 30 animals per plate. Animals were incubated and maintained at 20°C throughout the assay. Survival was scored daily until all animals were assigned as dead and/or censored. More specifically, each animal was observed individually at 30x magnification without disruption for 5 seconds. If body or grinder activity was detected, animals were scored as alive. In the absence of visible movement, the

nematode was gently pocked with a platinum wire on one side of the body, just below the head. Following a 5 second observation period, nematodes were scored as dead and removed from the plate if no body or grinder movement was detected. Nematodes that died due to (a) picking, (b) internal hatching of progeny, (c) vulva protrusion or (d) escaped on the walls of the plate were removed from the assay and were censored. The aforementioned animals were included the assay up to the day prior to censoring.

In survival assays, 5-fluoro-2'-deoxyuridine (FUdR) has been used to control animal population and minimise their handling, as nematodes fed on FUdR are known to lay eggs which are defective in hatching (Gandhi et al., 1980). The use of this chemical compound was avoided herein, as recent studies have shown that FUdR can affect mitochondrial function and activate *C. elegans* stress response pathways (Anderson et al., 2016; Rooney et al., 2014). To prevent strain starvation during survival assessment, animals were transferred onto new NGM plates every two days until egg laying was ceased. Final data represent three independent trials, with each replicate including a minimum of 30 nematodes and with at least one trial blinded per genotype.

2.12. Juglone sensitivity assay

A 12mM juglone (5-Hydroxy-1,4-naphthoquinone 97%; Sigma-Aldrich #H47003) stock solution was prepared in 100% ethanol. NGM plates containing juglone were prepared at a final concentration of 60 μM. Plates were allowed to solidify before being seeded with 40 μl of 5x concentrated OP50 to minimise animals crawling off the plates during the assay (Van Remmen et al., 2004). Importantly, plates were prepared on the day of the assay and always protected from light, as juglone is light-sensitive and losses its toxicity over time (Senchuk et al., 2017). To measure juglone sensitivity, day-3 nematodes were transferred to juglone-containing plates and their survival was assessed hourly for up to 4 h. Final data represent four independent trials, with each replicate including a minimum of 10 nematodes and with at least one trial blinded for each genotype assessed.

2.13. Paraquat sensitivity assay

A 500 mM paraquat (Methyl viologen dichloride hydrate 98%; Sigma-Aldrich #856177) stock solution was prepared in autoclaved distilled water. NGM plates containing paraquat were then prepared to a final concentration of 4 mM. The plates were allowed to solidify before being seeded with 200 µl OP50. Plates were allowed to dry for three days before use. Although it is generally accepted that paraquat plates can be stored at 4°C for up to a month (Senchuk et al., 2017), plates were freshly prepared three days before the assay and were kept away from light to increase the consistency of the assays.

Synchronised day-3 animals were transferred to paraquat-containing plates, and their survival was scored every 24 h, until all animals were dead or censored. Final data represent four independent trials, with each replicate including a minimum of 30 nematodes and with at least one trial blinded per each genotype assessed.

2.14. Heat stress sensitivity assay

L4-stage nematodes maintained at 20°C were transferred to seeded NGM plates. Importantly, animals were selected at L4-stage to match the developmental stage of *smn-1(ok355)* mutants, as previous literature has shown that *C. elegans* thermotolerance varies markedly depending on the life cycle (Zevian and Yanowitz, 2014). Experimental plates were placed individually, not stacked, within a pre-heated mini incubator at 35°C, and animals were incubated for 4, 5, 6 or 7 h, respectively. The incubator door remained closed throughout the experiment to maintain a stable temperature, only being opened to retrieve experimental plates at the specified time-points. Following heat exposure, animals were allowed to recover at 20°C for 24 h prior to assessing their survival. Final data represent the percentage of animals alive (±SEM) from three independent trials, with each replicate consisting of a minimum of 10 nematodes and including at least one trial blinded for each genotype studied.

2.15. Osmotic stress sensitivity assay

Day-3 animals were transferred from standard isotonic NGM plates (50 mM NaCl) to hyperosmotic plates (450 mM NaCl) and incubated at 20°C for 24 h. Animals were then collected into Eppendorf tubes and washed three times with hyperosmotic 1x M9 solution (300 mM NaCl). Nematodes were returned to standard isotonic plates, ensuring minimal transfer of M9 solution, and allowed to recover at 20°C for 24 h prior to evaluating their survival. Final data represent the percentage of animals alive (±SEM) from three independent trials, with each replicate consisting of a minimum of 10 nematodes and including at least one trial blinded for each genotype used.

Hyperosmotic NGM was prepared by modifying the standard NGM protocol and by increasing the salt concentration of NaCl from 1.5 g/L to 26.3 g/L. Similarly, hyperosmotic 1x M9 was prepared by increasing NaCl from 5 g/L to 17.5 g/L. The concentration of NaCl in M9 was chosen to be intermediate between isotonic and hypertonic to avoid osmotic shock (Wheeler and Thomas, 2006).

2.16. Pharyngeal pumping

Pharyngeal pumping rates were assessed in day-3 nematodes using the Zen Pro software on a Discovery.V8 SteREO microscope attached to an AxioCam ICc5 camera, as previously described (Dimitriadi et al., 2010). Briefly, animals were filmed for 10 seconds at 17.5 frames per second to produce a slow-motion video for manual counting of pumps. A pumping event was defined as any grinder contraction along any axis. Since pumping rates are influenced by food availability (Scholz et al., 2016), only animals placed on the food were recorded.

2.17. WormLab® locomotion assay

2.17.1. Locomotion assay

Day 3 animals were allowed to crawl on unseeded NGM plates for 3 min to remove any bacteria adhering to their bodies. Meanwhile, experimental plates were set up by embedding a metallic ring onto the NGM agar. Eight to ten animals were transferred within the metallic ring/filming area and allowed to acclimate to the microscope light for 2 min. Animals were then filmed while crawling for 5 min at 15 frames per second using the Zen Pro software on a Discovery.V8 SteREO microscope equipped with an AxioCam ICc5 camera (Figure 2.2A). Each video was converted into an AVI format movie at 15 frames per second for later analysis.

2.17.2. WormLab® detection and tracking system

Locomotion movies were processed utilising the individually imported into WormLab software (MBF Bioscience Version 2020.1.1). Each movie was uploaded into the software individually. Before the analysis, the software was calibrated to set the spatial scale to $14 \mu m/pixel$.

To ensure the accurate recognition of all the animals within the image, the software was configured to detect dark nematodes against a light background. Then, the image parameters (i.e. brightness and contrast) were manually adjusted to ensure a clear contrast between the nematodes in the image and the background (Figure 2.2B). Following this, the "Detect worms" option was selected, enabling the software to automatically recognise all the animals in the image based on the applied settings. In instances where a nematode was not automatically detected, the software allows manual identification by selecting the silhouette of the animal with the cursor, training the software to recognise that silhouette as a nematode. Importantly, animals that are colliding (i.e. in contact with each other) are not recognised by the software and should not be selected, as this would lead to the erroneous identification of both animals as a single individual. At this point, the video was advanced to a different timeframe, and the "Detect worm" option was selected again, to ensure that the software had learnt to accurately recognise the nematodes. This procedure was

repeated at various timeframes of the video to ensure consistent recognition of all the animals throughout the locomotion movie (**Figure 2.2C**).

Following the detection process, each movie was saved as a WormLab project file. Subsequently, all videos were selected for tracking under the "Batch tracking" option to facilitate the automatic tracking of nematode movement.

2.17.3. Evaluation of automatic tracking quality

During the automatic tracking process, each nematode was assigned a unique tracking number. WormLab quantifies the locomotory movement of each animal using various parameters displayed under each tracking number. However, automatic tracking can introduce errors, such as a) incorrect head/tail orientation or b) tracking interruptions when animals collide. To address this, WormLab allows users to 'repair' tracks by manually correcting head/tail orientation or merging split trajectories. At the end each animal's tracking was reviewed and errors were manually rectified (Figure 2.2D).

2.17.4. WormLab® data processing

WormLab provides a quantitative data for each animal tracked under the 'Track Summary' tab. This data was exported into Microsoft Excel for statistical analysis. To ensure a robust analysis, data were manually filtered based on the "Track Duration" parameter, excluding animals tracked for less than 285 seconds (95% of the 5 min video). Parameters of interest were exported to GraphPad for statistical analysis and data visualisation (**Figure 2.2E**).

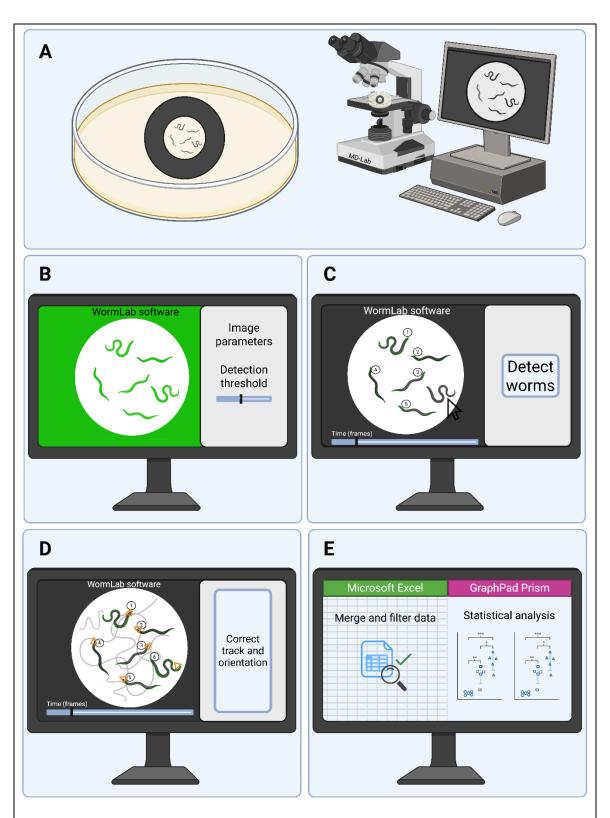


Figure 2.2. Experimental workflow utilised to quantify nematode locomotion using using the WormLab® software

(A) Animals were placed within a metallic ring embedded on unseeded NGM plates, to limit the movement of the animals within the field of view. Videos were captured using a Discovery.V8 SteREO microscope equipped with an AxioCam ICc5 camera.

- (B) Locomotion movies were imported into WormLab® software, where image parameters and detection thresholds were adjusted to optimise contrast between animals and background.
- (C) Animals were detected automatically by the software, or selected manually when automatic recognition was unsuccessful.
- (D) Locomotion tracks were reviewed and corrected for head/tail orientation and trajectory continuity.
- (E) Tracking results were exported to Excel for data merging and filtering. Processed datasets were subjected to statistical analysis in GraphPad Prism to compare locomotion metrics across different experimental conditions.

2.18. Antioxidant treatment

C. elegans were cultured on NGM plates containing the desired antioxidant drug. Compounds were purchased from Sigma-Aldrich and were stored according to manufacturer's recommendations. Three concentrations per drug treatment were selected for each C. elegans genotype assessed. Each antioxidant compound was dissolved in either autoclaved dH₂O or DMSO before adding it to unsolidified NGM agar solution. It should be noted that the maximum DMSO concentration used herein was set to 0.7% since previous studies indicated that DMSO concentrations greater than 1% can alter C. elegans developmental stage and behaviour (AlOkda and Van Raamsdonk, 2022). Drug plates were prepared to maintain a constant percentage of the solvent across the different concentrations selected for each drug. Vehicle plates were prepared by adding only the solvent (autoclaved dH₂O or DMSO) to the media. Each antioxidant treatment was completed within a week, otherwise, plates were stored at 4°C wrapped in parafilm till further use. Table 2.7 summarises the antioxidants used, including information on store stability, solvents, and concentrations.

Table 2.7. Antioxidant compounds used for C. elegans drug treatment

Antioxidant compounds, storage conditions, drug solubility and drug concentrations (low, medium, high) are listed below. Storage conditions provide information on temperature and light sensitivity; solubility indicates the recommended solvent to be used; the three drug concentrations tested are also depicted in mM.

	Sigma- Aldrich catalogue no		a	Concentration		
Antioxidant		Store stability	Solubility	Low	Medium	High
L-Ascorbic acid	A4403	Room temperature Light sensitive	H ₂ O: 10 mg/mL	0.1 mM	1 mM	10 mM
N-Acetyl-L- cysteine	A9165	4°C	H ₂ O: 100 mg/mL (with heating)	3 mM	6 mM	9 mM
(–)- Epigallocatechin gallate	E4143	4°C Light sensitive	H ₂ O: 5 mg/mL	50 μΜ	100 μΜ	200 μΜ
Melatonin	M5250	-20°C Light sensitive	DMSO: 30 mg/mL	10 μΜ	100 μΜ	1000 μΜ
Curcumin	C1386	-20°C	DMSO: 11 mg/mL	5 μΜ	10 μΜ	20 μΜ

2.19. RNA isolation

RNA isolation was performed by a previous member of the Dimitriadi Lab (Amy Yong). Prior to RNA isolation, the entire working surface was sprayed with industrial methylated spirit (IMS) and RNase Decontamination Solution (RNaseZap RNase Decontamination Solution; Invitrogen #12122000). Approximately 1000 stage-matched nematodes were picked for each biological replicate and washed three times with sterile dH₂O to remove bacterial debris. Total RNA was extracted by adding Trizol (InvitrogenTM) into the nematode-containing Eppendorf tubes in a 5:1 ratio. Animals were subjected to at least five cycles that involved freezing-thawing in liquid nitrogen followed by a 37°C incubation. RNA was subsequently isolated with chloroform and ethanol. Eppendorf tubes containing 20 ng/μL RNA were prepared and shipped on dry ice to Novogene (Cambridge Science Park, UK) for sequencing.

2.20. RNA sequencing

RNA sequencing was outsourced to Novogene, where it was performed using Illumina platforms based on the sequencing by synthesis (SBS) mechanism, following the next steps:

- Data Acquisition: raw data was generated by high-throughput sequencing processed by CASAVA base recognition (Base Calling) and stored in FASTQ format files. These files contain the sequences of reads and corresponding base quality scores.
- Quality Control: to ensure high quality data, Novogene filtered the raw reads to remove low-quality reads and adaptor sequences, resulting in clean reads suitable for further analysis.
- Alignment and gene expression quantification: the clean reads were aligned to the reference genome using the HISAT2 algorithm. Gene expression levels were then quantified based on the number of mapped reads.

2.21. Bioinformatic analysis

A detailed summary of the software, packages, and specific parameters used for each step in the analysis is provided in **Table 2.8**.

Table 2.8. List of software packages and parameters utilised for transcriptomic analysis.

Analysis	Software	Package	Function	Parameters
Exploratory analysis (pca)		DESeq2 version 1.42.0	plotPCA	ntop = nrow(assay(vsd))
Differential expression analysis		DESeq2 version 1.42.0	DESeq	Default
Functional Analysis		clusterProfiler version 4.10.1	enrichGO enrichKEG G	pAdjustMethod = "BH" qvalueCutoff = 0.01
	R (R-studio) version 4.3.2	AnnotationDbi version 1.64.1	org.Ce.eg	WORMBASE
GSEA		clusterProfiler version 4.10.1	gseGO	minGSSize = 15 exponent = 1 pAdjustMethod = "BH" pvalueCutoff = 0.05 seed = 149 by = "fgsea" nPermSimple = 1000
		AnnotationDbi version 1.64.1	org.Ce.eg	WORMBASE
	STRING version 12.0	-	-	medium confidence (0.4)
Protein-Protein Interaction Network	Cytoscape version 3.10.1	MCODE version 2.0.3	-	Degree Cutoff: 2 Node Score Cutoff: 0.2 K-Core: 2 Max. Depth: 100
	Ortholist2	-	-	Default
Transcriptor factor network	NetworkAnalyst	JASPAR version 10	-	Default
HCLWOIK	Cytoscape version 3.10.1	-	-	Default
Plot	D (D studio)	ggplot2 version 3.5.1	ggplot	geom_bar geom_point
	R (R-studio) version 4.3.2	enrichplot version 1.22.0	gseaplot2	Default

	stats version 4.3.2	pheatmap	Default
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2.21.1. Principal component analysis

Principal component analysis (PCA) was performed to visualise differences in gene expression profiles between samples, projecting the data into two dimensions that highlight the main sources of variance in the raw RNA-seq data. RNA-seq data variance typically increases with the mean, resulting in plots that highlight genes with high counts due to large absolute differences between samples (Love et al., 2015). To mitigate this effect and obtain a more balanced representation of gene expression, we applied a variance-stabilising transformation (VST) using the DESeq2 package in R, as previously described (Anders and Huber, 2010). A PCA plot was then constructed and visualised using the DESeq2 and ggplot2 packages in R.

2.21.2. Differentially expressed genes analysis.

Differentially expressed genes (DEG) analysis was performed to assess individual differences in gene expression between two samples. For each gene, the change in expression between samples was expressed as a log2 fold change (|log2FC|). The statistical significance of each change was determined by calculating the p-value, which was adjusted using the Benjamini and Hochberg (BH) method to control the False Discovery Rate (FDR). The threshold for significant differential expression was set at an adjusted p-value (Padj) of less than 0.001 and an absolute |log2FC| greater than 1. DEG analysis and visualisation were performed using the DESeq function from the DESeq2 package and ggplot function from ggplot2 package, respectively.

2.21.3. Functional analysis

Functional analysis was performed to investigate the biological functions and pathways associated with the identified DEGs. The DEGs were categorised into functional terms obtained from the Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) datasets

(Ashburner et al., 2000; Kanehisa et al., 2007; Aleksander et al., 2023). The *C. elegans* gene annotation database was obtained from the AnnotationDbi package in R, which is updated every six months to ensure accurate and up-to-date mapping of gene identifiers (Carlson, 2024).

Functional analysis was performed using the enrichGO and enrichKEGG functions included in the clusterProfiler R package (Wu et al., 2021; Yu et al., 2012), with a significance threshold of padj < 0.01, adjusted by BH method. Upregulated and downregulated genes from the DGE list were analysed separately. Enriched functional terms were ranked according to their Padj value, and the top 10 enriched terms were plotted using ggplot2.

2.21.4. Gene Set Expression analysis

Gene Set Enrichment Analysis (GSEA) was performed on the complete RNA-seq dataset to evaluate the correlation between genes groups that shared common biological functions with a specific phenotype (i.e. *smn-1(+)* or *smn-1(ok355)*) (Mootha et al., 2003; Subramanian et al., 2005). GSEA was performed on a gene list ranked by a signal-to-noise metric, which allows to stablish a correlation between gene expression levels and the two phenotypes under study. This ranked gene list is then compared against reference gene sets from the GO and KEGG databases to assess whether genes from these sets are randomly distributed or concentrated at the top or bottom of the ranked list. Concentration at either end indicates this gene set is strongly correlated with a phenotype, measured by an Enrichment Score (ES) value.

GSEA was performed using the gseGO and gseKEGG functions included in the clusterProfiler R package, with a significance threshold of padj < 0.01, adjusted by BH method. Analysis parameters were set to 1000 permutations and 149 seed value to assure reproducibility, as recommended by GSEA (MSigDB 2024). Enriched terms were ranked by their Normalised Enrichment Score (NES), and the top 10 enriched terms were plotted using ggplot2. Running enrichment score for the most significant terms from each genotype were plotted using the gseaplot2 function included on the enrichplot package.

2.21.5. Identification of oxidative stress hub genes in SMA

Candidate genes potentially influencing the oxidative stress (OS) response in SMA were identified as previously described (Zhang & Kiryu, 2023). A list of SMA-oxidative stress-related genes (SMA-OSRGs) was constructed by the intersecting DEGs with oxidative stress-related genes (OSRGs) obtained from the GO database (GO:0006979). Then, the resulting SMA-OSRGs list was uploaded to STRING database to construct a protein-protein interaction (PPI) network, with an interaction score threshold > 0.4 for confident interactions. This network was exported to Cytoscape and filtered using the molecular complex detection technology (MCODE) plug-in to identify the most densely connected cluster. Gene expression levels for these clustered genes were visualised in a heatmap generated using the R package stats (version 4_4.3.2).

To further investigate gene regulation, a gene-transcription factor (TF) regulatory network was constructed using the JASPAR database in NetworkAnalyst. *C. elegans* genes were first converted to predicted human orthologs via OrthoList 2 (OL2). The TF network was exported to Cytoscape for visualization, where only TFs targeting at least two hub genes were included to enhance clarity.

2.22. Statistical analysis

The statistical analysis of the data was performed in GraphPad Prism (version 10.1.2). Statistical tests were applied only to biological replicates once outliers were identified and excluded via Grubb's test (alpha = 0.05).

For experiments involving one experimental variable across more than two groups (i.e., four C. elegans genotypes under the same conditions), one-way ANOVA was employed. Dunnett's post hoc test was performed to compare the mean of multiple genotypes against a control group, while Sidak's post hoc test was utilised for specific comparisons among experimental groups. When only two genotypes were assessed (smn-1(+) versus smn-1(ok355)), data were analysed using the nonparametric Mann-Whitney test. This approach was chosen instead of a t-test because no

normality testing was performed, and the Mann-Whitney test does not require the assumption of normally distributed data.

For experiments with two independent variables (i.e., genotype and drug concentration), two-way ANOVA was applied, followed by Dunnett's post hoc test to compare the mean of multiple genotypes against a control group. The overall interaction P value, which reflects whether the effect of a given treatment differs across genotypes, was reported in figure legends.

To analyse the survival rate over time of a population of nematodes under standard conditions or exposed to drug treatments (i.e., lifespan and juglone/paraquat), a Kaplan-Meier survival curve was constructed and analysed using log-rank test. Individual comparisons between genotypes were performed with separated log-rank tests applied to the two genotypes compared. Survival rate at a specific time point of a population of nematodes exposed to heat or osmotic stress was evaluated with one-way ANOVA, followed by Dunnett's post hoc test to compare multiple genotypes against the control group.

All graphs display mean values from biological replicates with error bars representing SEM. Statistical significance is indicated as follows: ns = not significant, *P < 0.05; **P < 0.01; ***P < 0.001). Specific n values for each experiment are noted in figure legends.

Chapter III. Investigating oxidative stress involvement in the *Caenorhabditis elegans* spinal muscular atrophy model.

3.1. Introduction.

As discussed in Chapter I, oxidative stress is perturbed in SMA patients and mammalian models of SMA. This study aimed to investigate the potential involvement of oxidative stress in SMA pathophysiology by utilising the *smn-1(ok355) C. elegans* SMA model. However, prior to exploring the effects of oxidative stress on SMA, it is imperative to ascertain whether the oxidative stress indicators observed in other SMA models are also evident in *C. elegans*.

3.1.1. ROS induction in Caenorhabditis elegans

The induction of ROS in *C. elegans* serves as a powerful experimental approach for investigating the organism's capacity to maintain homeostasis between ROS generation, detoxification, and cellular response mechanisms (Senchuk et al., 2017). When applied to *C. elegans* disease models, this methodology facilitates the determination of whether oxidative stress actively contributes to disease progression or is merely a byproduct of cellular dysfunction and metabolic imbalance (Van Raamsdonk & Hekimi, 2010). For example, in a *C. elegans* model of amyotrophic lateral sclerosis (ALS), exposure to ROS-inducing agents exacerbates neuronal degeneration, thus highlighting the pathogenic role of oxidative stress in the disease progression (Baskoylu et al., 2018a).

To elucidate the contribution of oxidative stress to SMA pathology, we investigated the sensitivity of SMN-depleted *C. elegans* to oxidative stress utilising paraquat (1,1'-dimethyl-4,4'-bipyridinium dichloride) and juglone (5-hydroxy-1,4-naphthoquinone), two widely employed chemical agents for ROS induction in *C. elegans* (Keith et al., 2014).

Paraquat and juglone are widely studied compounds known for their capacity to induce cytotoxicity via ROS generation. Both compounds differ in their origin and application. While paraquat is a synthetically produced di-cation used worldwide as an herbicide (Sharma et al., 2019), juglone is a natural compound studied for its therapeutic potential as an antimicrobial agent (Clark, Jurgens and Hufford, 1990) and promising anticarcinogenic properties (Fang et al., 2018;

Sugie et al., 1998; Zhang et al., 2012). However, both compounds share a common mechanism of toxicity involving redox cycling, which results in the excessive production of ROS and subsequent oxidative stress induction (Bus and Gibson, 1984; Dinis-Oliveira et al., 2008; Ahmad and Suzuki, 2019).

Upon cellular entry, paraquat and juglone undergo one-electron reduction by enzymatic systems, resulting in highly reactive intermediate radicals. In the presence of oxygen, these intermediates are rapidly re-oxidised, generating superoxide anions (O₂·⁻) and restoring the parent compound to its original state, allowing for continuous cycles of ROS production (Bus and Gibson, 1984; Ollinger and Brunmark, 1991; Dinis-Oliveira et al., 2008). For paraquat, enzymatic systems such as NADPH cytochrome P450 reductase (Reczek et al., 2017), mitochondrial complex I (Fukushima et al., 1993), xanthine oxidase (Winterbourn, 1981), and thioredoxin reductase (Gray et al., 2007), play key roles in its reduction, suggesting that ROS can be generated in both the cytoplasm and mitochondria. Similarly, juglone relies on systems such as NADPH cytochrome P450 reductase (Muto et al., 1987), mitochondrial complex I (Anderson et al., 1993), xanthine oxidase (Lewis and Shibamoto, 1989), glutathione, and Fe²+ ions (Zhang et al., 1994) for its reduction, with ROS formation consequently occurring in both cytoplasm and mitochondria.

Although both compounds induce oxidative stress via redox cycling, they also have additional distinct indirect mechanisms of ROS production that contribute to their cytotoxicity. Paraquat can indirectly increase ROS by critically consuming cellular reductants such as NADPH, which have their own relevance within the cell (Keeling and Smith, 1982). In addition, juglone exhibits nucleophilic reactivity, forming covalent bonds with thiol-containing molecules such as glutathione (GSH). This depletes intracellular antioxidants, exacerbating oxidative stress and cytotoxicity (Doherty, Rodgers and Cohen, 1987).

The ROS generated by paraquat and juglone lead to a variety of cellular damages, including lipid peroxidation (Kappus and Sies, 1981; Kumbhar et al., 1997), protein carbonylation or inhibition (Doherty, Rodgers and Cohen, 1987; Chao, Greenleaf and Price, 2001; Suyal et al., 2024), DNA damage (Paulsen and Ljungman, 2005; Kviecinski et al., 2012), mitochondrial dysfunction, and

apoptosis (Mesalam et al., 2020; Erkoc-Kaya et al., 2024). Both chemicals are known to modulate oxidative stress response pathways, although this encompasses some of the critical differences between the two compounds. Paraquat activates signalling cascades such as MAPK (Cui et al., 2019), PI3K/Akt/mTOR (Jiang et al., 2021; Liu et al., 2019), and Wnt/β-catenin (Sun et al., 2020) signalling among others (Liu et al., 2022). Juglone also induces changes in several pathways (Ahmad and Suzuki, 2019; Tang et al., 2022). For example, it activates MAPK signalling (Liu et al., 2017; Wang et al., 2018; Wu et al., 2017), while downregulating the PI3K/Akt/mTOR pathway (Fang et al., 2018; El-Sheikh et al., 2023), among others.

Interestingly, the effects of antioxidants on paraquat and juglone toxicity are not entirely consistent. For paraquat, antioxidants such as superoxide dismutase (SOD) enzymes mitigate toxicity and improve survival rates (Krall et al., 1988; Da Silva et al., 2018; Hu et al., 2018) in cell culture and preclinical models. Juglone, however, interacts with antioxidants in a more complex manner. Although certain antioxidants, such as *N*-acetyl cysteine (NAC), can reverse its cytotoxic effects (Sun et al., 2020), others, such as ascorbate (Vitamin C), may enhance ROS production and apoptosis when combined with juglone (Sajadimajd, Yazdanparast and Roshanzamir, 2016; Erkoc-Kaya et al., 2024). Interestingly, juglone itself possesses the capacity to neutralise free radicals and functions as an antioxidant at low concentrations (Leopoldini et al., 2004; Chobot and Hadacek, 2009), although its antioxidant properties are reportedly weak (Olszowy-Tomczyk and Wianowska, 2024).

Another critical difference between the two compounds lies in their application when used to induce oxidative stress in research settings. While paraquat is used for chronic studies, juglone is usually utilised for acute increases of oxidative stress, owing to its loss of toxicity over time (Cooper et al., 2016). Wild-type *C. elegans* chronically exposed to high concentrations of paraquat (4 mM) exhibited a severely decreased lifespan (Dues et al., 2016). Similarly, acute exposure of *C. elegans* to high concentrations of juglone (300 μM) resulted in significantly decreased lifespan (Baskoylu et al., 2022).

Despite the fact that both compounds induce ROS production, paraquat and juglone are molecules with distinct chemical properties, resulting in differential effects beyond ROS production, which may provide additional insights into the disease mechanism. For example, although *clk-1* mutants exhibit resistance to chronic oxidative stress induced by 4 mM paraquat, they demonstrate sensitivity to acute oxidative stress induced by 180 µM juglone (Schaar et al., 2015). Consequently, some researchers advocate for the use of both compounds to obtain a more comprehensive understanding of ROS effects in the mutants under investigation (Senchuk et al., 2017).

3.1.2. ROS detection in Caenorhabditis elegans

ROS are highly reactive and short-lived molecules, which makes measuring ROS levels *in vivo* extremely challenging. Nevertheless, several indirect methodologies have been developed to estimate ROS levels, each with their own advantages and limitations (Labuschagne and Brenkman, 2013). In this study, we measured cytoplasmic and mitochondrial ROS levels in *C. elegans* utilising dihydroethidium (DHE) and MitoSOX, respectively, two small-molecule fluorescent probes commonly used for the detection of superoxide.

Dihydroethidium (DHE) and its mitochondria-targeted derivative, MitoSOX Red, are fluorescent probes that are extensively used for the detection of superoxide anions (O₂·⁻) within cells. Both probes share the same chemical core, a blue non-fluorescent dihydroethidium molecule (Ross et al., 2005; Robinson et al., 2006). Upon interaction with O₂·⁻, DHE and MitoSOX undergo one-electron oxidation, resulting in the formation of 2-hydroxyethidium (2-OH-E⁺), which emits red fluorescence. Notably, 2-OH-E⁺ is specific for superoxide anion oxidation and is not generated by any other biologically relevant oxidant (Zhao et al., 2003; Zielonka et al., 2008).

Due to its neutral charge, DHE is a membrane-permeable dye that enables it to distribute uniformly across cellular membranes and accumulate in the cytoplasm (Bucana, Saiki and Nayar, 1986; Zielonka and Kalyanaraman, 2018). Conversely, MitoSOX possesses a

triphenylphosphonium (TPP) cation attached to its core, facilitating its selective accumulation within the mitochondria, which is substantially more negative than other cellular compartments. Under physiological conditions, this results in several hundred-fold enrichment of MitoSOX in the mitochondrial matrix, thus enabling targeted detection of mitochondrial superoxide (Ross et al., 2005; Funk and Scholkmann, 2023).

However, oxidation of DHE is not limited to superoxide anions. In the presence of metal catalysts, such as peroxidases or cytochrome c, DHE and MitoSOX can react with H₂O₂ to form ethidium (E⁺) and its dimeric product E⁺-E⁺ (Zielonka et al., 2008). Importantly, while E⁺-E⁺ is nonfluorescent, E⁺ emits red fluorescence with a spectrum similar to that of 2-OH-E⁺. Furthermore, DHE and MitoSOX oxidation products intercalate into the nucleus and react with DNA, further increasing their fluorescence intensity (Michalski et al., 2012). Consequently, non-specific oxidation products and DNA interactions complicate the identification of 2-OH-E⁺. Some studies have reported that excitation at 396 nm (Robinson et al., 2006) or 405 nm (Nazarewicz, Bikineyeva and Dikalov, 2012) allows for the distinction between 2-OH-E⁺ and E⁺; however, these approaches are limited to cell-free systems and intact cells and fail to capture the complexity of reactions occurring *in vivo*. Therefore, analytical techniques, such as high-performance liquid chromatography (HPLC) and liquid chromatography—mass spectrometry (LC-MS), are considered the only techniques for distinguishing different DHE and MitoSOX oxidation products, with the limitation that those are not feasible for real-time imaging (Murphy et al., 2022; Zielonka & Kalyanaraman, 2018).

Altogether, although DHE and MitoSOX are versatile tools for visualising superoxide in *C. elegans*, their results must be interpreted with caution. Although these probes were designed for the quantification of superoxide anions, in practice, when utilised for the *in vivo* visualisation of ROS in *C. elegans*, they are more suitable for providing an indication of the redox status within cells. Consequently, the results should be interpreted qualitatively, rather than quantitatively.

3.1.3. Research Objectives

The specific objectives of Chapter III were as following:

- 1. To evaluate whether dysregulated pathways in *smn-1(ok355)* contribute to the hypothesis that oxidative stress plays a significant role in SMA pathophysiology.
- 2. To assess the ability of *smn-1(ok355)* animals to maintain redox homeostasis under oxidative stress.
- 3. To assess the ability of *smn-1(ok355)* animals to maintain cellular homeostasis in response to various stressors.
- 4. To investigate the production and distribution of reactive oxygen species in the *smn-1(ok355)* SMA model.

Altogether, the primary objective of this chapter was to characterise oxidative stress in the *smn-1(ok355) C. elegans* SMA model, providing initial insights into its relevance to SMA pathology. To facilitate interpretation of the results presented in this chapter, we first delineated the key assays employed to assess oxidative stress during this project.

3.2. Results

3.2.1. SMN-1 depletion induces changes in gene expression in *Caenorhabditis elegans*

To identify dysregulated pathways in SMA, we investigated variations in gene expression between the *smn-1(ok355) C. elegans* SMA model and control nematodes by performing RNA sequencing on three biological replicates per condition.

We initially conducted Principal Component Analysis (PCA) to identify clusters of samples with similar gene expression profiles and to detect outliers. The PCA plot demonstrated a clear separation between the *smn-1(ok355)* and control groups along the first principal component (PC1) (**Figure 3.1A**) accounting for 95% of the variance in the data. This distinct clustering indicated substantial differences in the overall gene expression profiles between control and SMA

nematodes. Notably, the three biological replicates within each group (SMN and control) clustered in close proximity, with only 2% variation along the second principal component (PC2), reflecting the consistency and reliability of our RNA-seq data.

Using a threshold of Padj < 0.01 and $|log_2fold\text{-change}| > 1$, we identified a total of 7358 differentially expressed genes (DEGs), including 2774 downregulated and 4584 upregulated (**Figure 3.1B**) genes, demonstrating widespread alterations in gene expression in smn-1(ok355) mutants. These findings suggest further investigation of the specific genes and pathways affected in the smn-1(ok355) C. elegans SMA model.

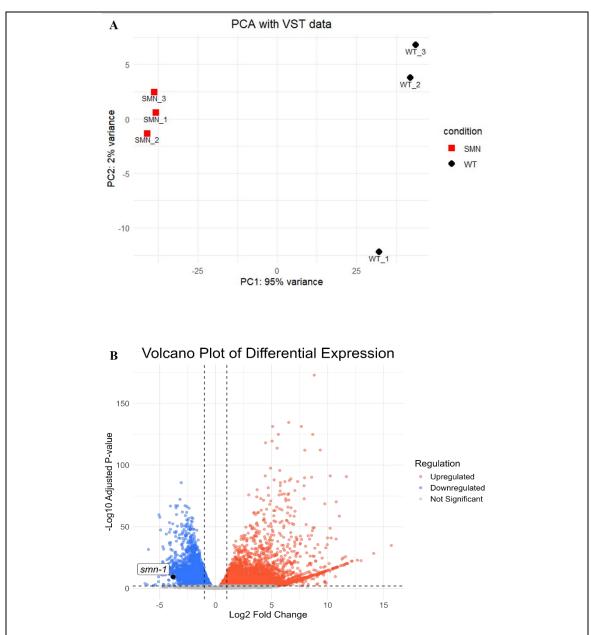


Figure 3.1. SMN-1 depletion induces widespread changes in gene expression of the *smn-1(ok355) C. elegans* SMA model

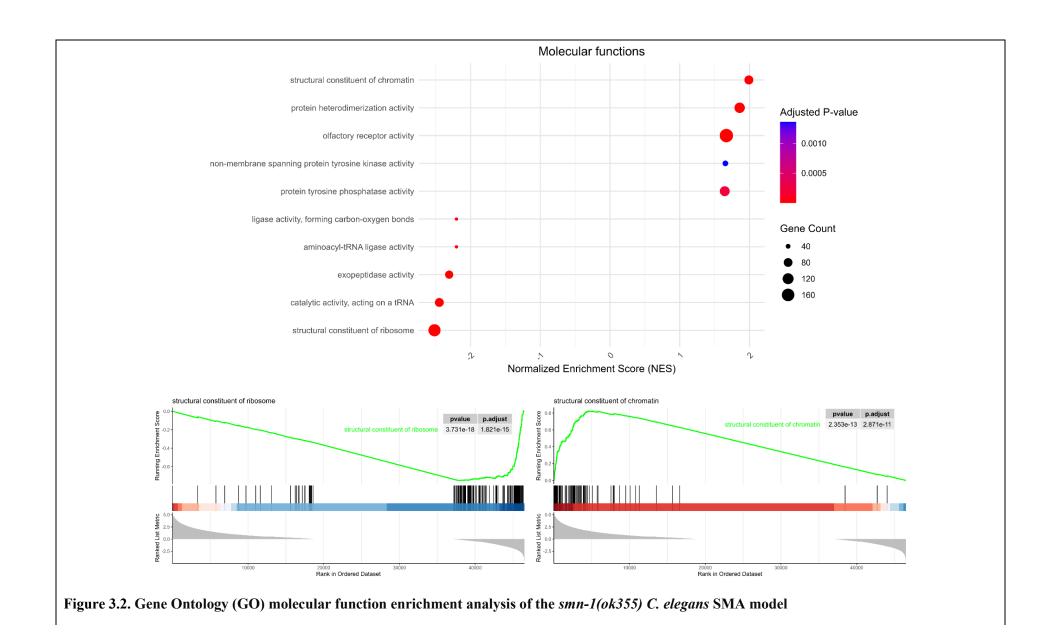
- (A) PCA of VST RNA-seq data from three biological replicates of *smn-1(ok355)* animals (SMN_1, SMN_2, SMN_3) and *smn-1(+)* controls (WT_1, WT_2, WT_3) are plotted on the first two PCs and coloured according to their genotype. Samples that cluster together indicate similar gene expression.
- (B) Volcano plot showing differential gene expression analysis between smn-1(+) and smn-1(ok355) animals. Genes significantly upregulated (red) and downregulated (blue) were identified based on a threshold of an adjusted P-value ≤ 0.05 and log2 fold change $\geq |1|$. Non-significant genes are shown in grey. The smn-1 gene, which was downregulated in our analysis, is highlighted as a black circle and labelled.

We next aimed to identify which specific functional categories and biological pathways are significantly altered in response to the *smn-1(ok355)* mutation to gain a comprehensive understanding of the molecular mechanisms driving SMA pathology. To achieve this, we performed Gene Set Enrichment Analysis (GSEA), a powerful method that captures subtle coordinated changes in expression across predefined gene sets.

Our analysis revealed that SMN depletion in *smn-1(ok355)* animals led to significant dysregulation of enzymatic activities associated with tRNA catalytic activity and ribosome structure (**Figure 3.2**). Specifically, we observed strong negative enrichment scores (NES) for "structural constituent of ribosome" (NES = -2.52, Padj = 1.82e-15), "catalytic activity, acting on a tRNA" (NES = -2.45, Padj = 3.05e-10), and "aminoacyl-tRNA ligase activity" (NES = -2.20, Padj = 2.89e-05). These findings highlight the complex relationship between the SMN protein and various aspects of RNA metabolism, such as the biogenesis of snoRNPs (Wehner et al., 2002) and rRNA processing (Singh et al., 2017). Notably, recent evidence indicates that SMN directly associates with ribosomes, forming tissue-specific SMN-ribosome complexes that positively regulate translation (Lauria et al., 2020). Collectively, these findings suggest that SMN deficiency may compromise translation both indirectly, through impaired RNA metabolism, and directly, by altering ribosome composition and function, ultimately disrupting protein homeostasis.

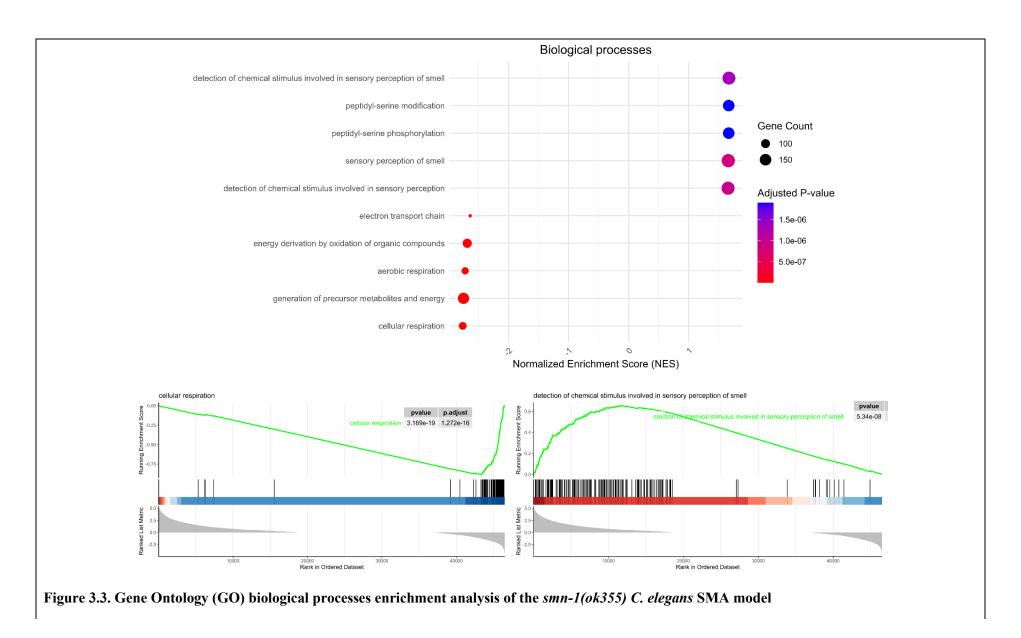
While our analysis revealed significant dysregulation in RNA-related processes, we also observed intriguing changes in DNA-associated functions (**Figure 3.2**). The overexpression of the term "structural constituent of chromatin" (NES = 1.99, Padj = 2.87e-11) suggests a shift in the cellular focus from RNA metabolism to DNA stability. These alterations may indicate a broader cellular stress response triggered by SMN depletion, as changes in chromatin structure often occur in response to various cellular stresses including hypoxia, DNA damage, and oxidative stress (Johnson and Barton, 2007). Furthermore, we identified upregulation in "non-membrane spanning protein tyrosine kinase activity" (NES = 1.65, Padj = 0.00136). This term encompasses a group of proteins that play crucial roles in regulating numerous signalling pathways that govern cell proliferation, migration, differentiation, and apoptosis (Östman and Böhmer, 2001). Notably, the

"protein tyrosine phosphatase activity" category, which inhibits tyrosine kinases, also showed increased activity (NES = 1.65, Padj = 0.00021). Although both processes can be modulated by various stimuli, their simultaneous upregulation may suggest that the cells are experiencing an internal conflict between adapting to the changes in their environment due to SMN reduction and the risk of undergoing apoptosis.



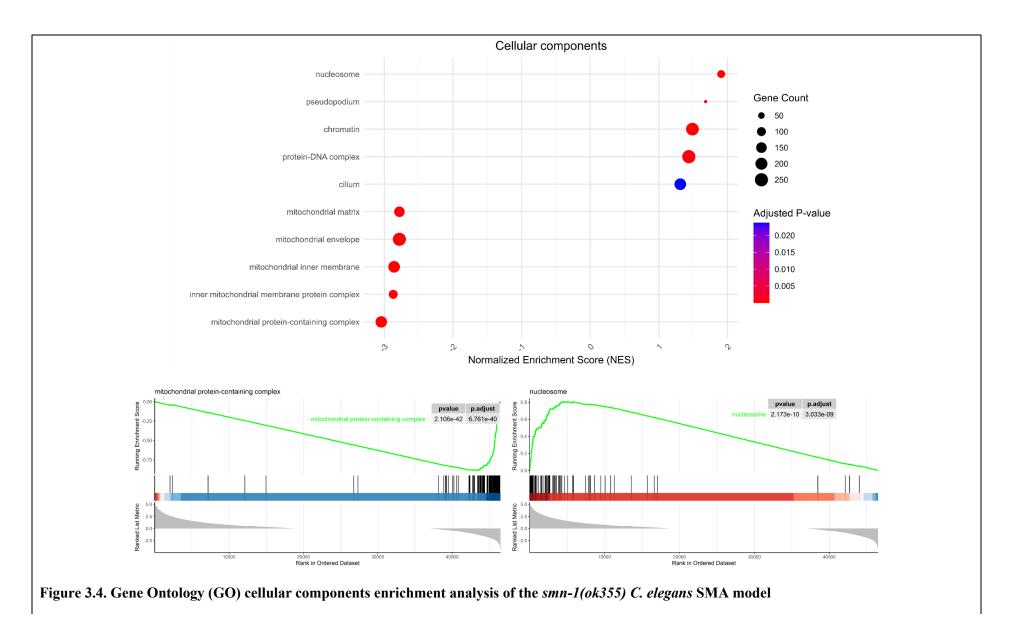
- (A) Dot plot illustrating the five most upregulated and five most downregulated molecular function terms in *smn-1(ok355)* animals. The x-axis represents the normalised enrichment score (NES), and the y-axis represents the nomenclature of the enriched GO terms. The size of each dot indicates the number of dysregulated genes in each category, and the dot colour represents the adjusted p-value (Padj) of the enrichment analysis.
- (B) Running enrichment score plot for the most upregulated GO molecular function term in smn-1(ok355).
- (C) Running enrichment score plot for the most downregulated GO molecular function term in smn-1(ok355).

Considering the cellular processes that could be contributing towards this 'stressed' cellular state, we found that smn-1(ok355) animals are immersed in a profound energetic crisis (**Figure 3.3**). Main biological processes controlling energy production, including "cellular respiration" (NES = -2.76, Padj = 1.27e-16), "aerobic respiration" (NES = -2.72, Padj = 2.67e-16) and "electron transport chain" (NES = -2.64, Padj = 2.17e-12), were strongly inhibited in our *C. elegans* SMA model. Collectively, these findings indicate a severe impairment in energy production pathways, which could ultimately lead to a significant reduction in ATP synthesis in smn-1(ok355) animals, as has been observed in other SMA models (Acsadi et al., 2009).



- (A) Dot plot illustrating the five most upregulated and five most downregulated biological process terms in *smn-1(ok355)* animals. The x-axis represents the normalised enrichment score (NES), and the y-axis represents the nomenclature of the enriched GO terms. The size of each dot indicates the number of dysregulated genes in each category, and the dot colour represents the adjusted p-value (Padj) of the enrichment analysis.
- (B) Running enrichment score plot for the most upregulated GO biological process term in smn-1(ok355).
- (C) Running enrichment score plot for the most downregulated GO biological process term in smn-1(ok355).

Not unexpectedly, upon examination of the major cellular components affected in smn-1(ok355) animals, mitochondria emerged as the most significantly affected (Figure 3.4). The five most downregulated cellular component terms in SMN-1 depleted animals belonged to mitochondrial protein categories, including "mitochondrial protein-containing complex" (NES = -3.04, Padj = 6.76e-40), "inner mitochondrial membrane protein complex" (NES = -2.87, Padj = 1.12e-23), "mitochondrial inner membrane" (NES = -2.86, Padj = 8.08e-31), "mitochondrial envelope" (NES = -2.78, Padj = 1.91e-33), and "mitochondrial matrix" (NES = -2.78, Padj = 1.12e-24). The extent of mitochondrial involvement aligns with previous studies that have identified mitochondrial defects as critical elements in SMA pathogenesis (Acsadi et al., 2009; Chemello et al., 2023; Miller et al., 2016; Thelen et al., 2020; Xu et al., 2016; Zilio et al., 2022). Moreover, the scarcity of mitochondrial proteins, particularly in the inner mitochondrial membrane, could impair the meticulous transfer of electrons between mitochondrial complexes during the electron transport chain (ETC) agrees with our previous findings. Prior research has shown that electron leakage in ETC complexes is a recognised source of ROS generation (Henderson et al., 2009; Zhang et al., 1998). If this is the case in our model, the overproduction of ROS in the mitochondria could account for the activation of stress signalling cascades, as observed in our analysis.



- (A) Dot plot illustrating the five most upregulated and five most downregulated biological process terms in *smn-1(ok355)* animals. The x-axis represents the normalised enrichment score (NES), and the y-axis represents the nomenclature of the enriched GO terms. The size of each dot indicates the number of dysregulated genes in each category, and the dot colour represents the adjusted p-value (Padj) of the enrichment analysis.
- (B) Running enrichment score plot for the most upregulated GO biological process term in smn-1(ok355).
- (C) Running enrichment score plot for the most downregulated GO biological process term in *smn-1(ok355)*.

Building on previous observations, we investigated the specific metabolic pathways affected in our *C. elegans* SMA model. We found that smn-1(ok355) animals exhibited significant downregulation of catabolic and anabolic pathways, indicating a widespread metabolic shutdown (**Figure 3.5**). This extensive metabolic disruption was evidenced by the downregulation of terms such as "carbon metabolism" (NES = -2.51, Padj = 1.68e-14) and the mitochondrial "citrate cycle (TCA cycle)" (NES = -2.30, Padj = 1.49e-06). The most pronounced negative enrichment was observed in the "oxidative phosphorylation" pathway (NES = -2.79, Padj = 3.71e-21), the final step of aerobic respiration following ETC, and a major source of cellular ATP. These findings highlight the severe impairment in energy production in the smn-1(ok355) SMA model.

Notably, in the cellular context of SMA where neurons undergo neurodegeneration, there is a notable disruption in protein homeostasis and overall metabolic deficiency, the terms "erbb signalling pathway" (NES = -1.42, Padj = 0.048) and its downstream target "mtor signalling pathway" (NES = -1.46, Padj = 0.011) were found downregulated in *smn-1(ok355)* animals (**Figure 3.5**). These pathways are known to enhance lipid metabolism, protein synthesis, and cell survival (Wang & Proud, 2006), which are disrupted in SMA. Interestingly, although evidence suggests that oxidative stress can stimulate the ErbB signalling pathway (Savino et al., 2022), another study found that chronic oxidative stress exposure can inhibit ErbB2 activation (Dang et al., 2016). Furthermore, oxidative stress often inhibits the downstream mTor signalling pathway (Rieker et al., 2011).

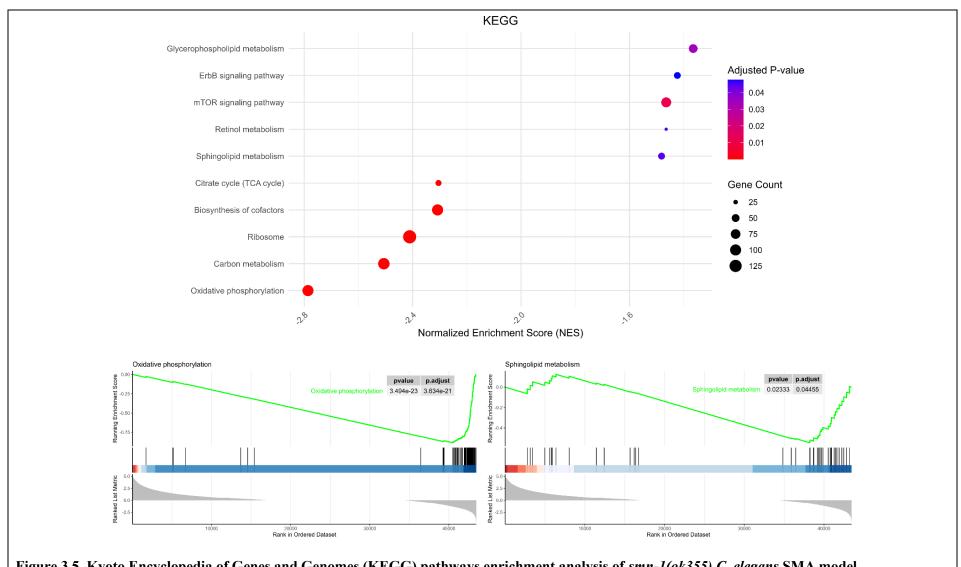


Figure 3.5. Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways enrichment analysis of smn-1(ok355) C. elegans SMA model

- (A) Dot plot illustrating the five most upregulated and five most downregulated KEGG pathways terms in *smn-1(ok355)* animals. The x-axis represents the normalised enrichment score (NES), and the y-axis represents the nomenclature of the enriched KEGG terms. The size of each dot indicates the number of dysregulated genes in each category, and the dot colour represents the adjusted p-value (padj) of the enrichment analysis.
- (B) Running enrichment score plot for the most upregulated KEGG pathway term in smn-1(ok355).
- (C) Running enrichment score plot for the most downregulated KEGG pathway term in *smn-1(ok355)*.

In conclusion, our comprehensive evaluation of gene expression in the *smn-1(ok355) C. elegans* SMA model revealed widespread alterations in gene expression and cellular pathways, underscoring the diverse functions of the SMN-1 protein across various cellular processes. The observed downregulation of key mitochondrial proteins suggests an increased ROS generation, implying that oxidative stress may be a critical factor in the observed metabolic disruptions and activation of stress signalling cascades. These findings highlight the necessity for further research on the potential involvement of oxidative stress in SMA.

3.2.2. SMN-1 depletion increases oxidative stress sensitivity in *Caenorhabditis elegans*

Elevated ROS levels have been associated with various neurodegenerative diseases, including SMA, suggesting that disrupted oxidative stress homeostasis may contribute to the progression of this disorder (El Khoury et al., 2023; Köstel et al., 2012; Thelen et al., 2020; Wang et al., 2013). Evaluating the sensitivity of *smn-1(ok355)* animals to oxidative stress can provide valuable insights into the role of the SMN-1 protein in maintaining the cellular equilibrium between baseline ROS levels, ROS detoxification capacity and the ability to repair ROS-induced damage (Senchuk et al., 2017). To validate and extend our findings beyond a single genetic model, we used an additional SMN-1 loss-of-function allele, the *smn-1(rt248)* mutation (Dimitriadi et al., 2016). This approach allowed us to assess whether the observed results were due to the loss of the SMN-1 protein. Furthermore, we employed the *smn-1(ok355)*;[*smn-1(+)*] rescue strain, previously generated by reintroducing one wild-type copy of the *smn-1* gene into the *smn-1(ok355)* background (Dimitriadi et al., 2016), to elucidate whether defects associated to SMN-1 depletion could be rescued when SMN-1 protein levels are restored.

To gain a comprehensive understanding of the involvement of the SMN-1 protein on ROS homeostasis, we evaluated the sensitivity to oxidative stress of SMN-1-depleted animals and respective controls by exposing day-3 adult animals to well-known ROS generators and assessing the impact on survival. To address this, we first examined the lifespan of SMN-1-depleted animals

under normal conditions. We observed that upon SMN-1 depletion, smn-1(ok355) nematodes exhibited a markedly decreased in lifespan in comparison to healthy controls, in agreement with findings from previous studies (Briese et al., 2008) (**Figure 3.6A**, P < 0.0001). Analogous to their smn-1(ok355) counterparts, smn-1(rt248) mutants exhibited a significantly reduced lifespan (**Figure 3.6A**, P < 0.0001). Notably, introducing a wild type copy of smn-1 in smn-1(ok355); [smn-1(+)] nematodes significantly increased the lifespan of smn-1(ok355) mutants (**Figure 3.6A**, P < 0.0001), although it remained substantially lower than that of the smn-1(+) control animals (**Figure 3.6A**, P < 0.0001).

Having established baseline lifespan differences, we investigated the response of these nematodes to chronic oxidative stress induced by 4 mM paraquat. We observed that the survival of healthy smn-1(+) animals drastically decreased from over 20 days, in normal conditions, to 7 days upon paraquat exposure, highlighting the detrimental effects of elevated ROS levels on C. elegans lifespan (**Figure 3.6B**, P < 0.0001). The survival of smn-1(ok355) animals was more severely affected, with only 27% of the initial population surviving by day 2 and a maximum lifespan of only 5 days, which was significantly lower than that of control animals (**Figure 3.6B**, P < 0.0001)). To confirm that these effects were not specific to the ok355 allele only, we examined the survival of smn-1(rt248) mutants in paraquat and found that these animals also exhibited a significant decline in survival compared to controls (**Figure 3.6B**, P < 0.0001), further indicating that the increased ROS sensitivity is attributable to the depletion loss of the SMN-1 protein. The introduction of a single copy of the smn-1 gene in smn-1(ok355); [smn-1(+)] animals partially rescued oxidative stress sensitivity, as these animals survived better than their smn-1(ok355) counterparts (**Figure 3.6B**, P < 0.0001). However, their survival did not fully return to control levels (**Figure 3.6B**, P = 0.0019).

To gain a better understanding of the sensitivity of the *smn-1(ok355) C. elegans* SMA model to oxidative stress, we modified the experimental design from a chronic to an acute assay, exposing the animals to 60 μ M juglone for 4 h. We observed that *smn-1(+)* animals remained unaffected for the duration of the assay, indicating that this concentration of juglone was insufficient to

disrupt their internal ROS homeostasis. However, the response of smn-1(ok355) animals was markedly different, with a progressive decrease in survival throughout the experiment (**Figure 3.6C**, P < 0.0001). Similarly, smn-1(rt248) mutants also exhibited a significant decline in survival (**Figure 3.6C**, P < 0.0001), indicating that sensitivity to acute oxidative stress was likely caused by SMN depletion. Notably, the upregulation of SMN-1 protein levels in smn-1(ok355); [smn-1(+)] animals fully rescued the sensitivity of smn-1(ok355) nematodes to that of control levels (**Figure 3.6C**, P > 0.9999).

Overall, our findings demonstrate that SMN-1 depletion in C. elegans significantly increases its sensitivity to oxidative stress, as evidenced by reduced survival in both chronic and acute ROS exposure. This heightened vulnerability was consistently observed across different SMN-1 loss-of-function alleles, confirming that the observed effects are attributable to the depletion of the SMN-1 protein. The partial rescue of oxidative stress resistance in smn-1(ok355); [smn-1(+)] animals further supports the critical role of the SMN-1 in maintaining cellular redox homeostasis.

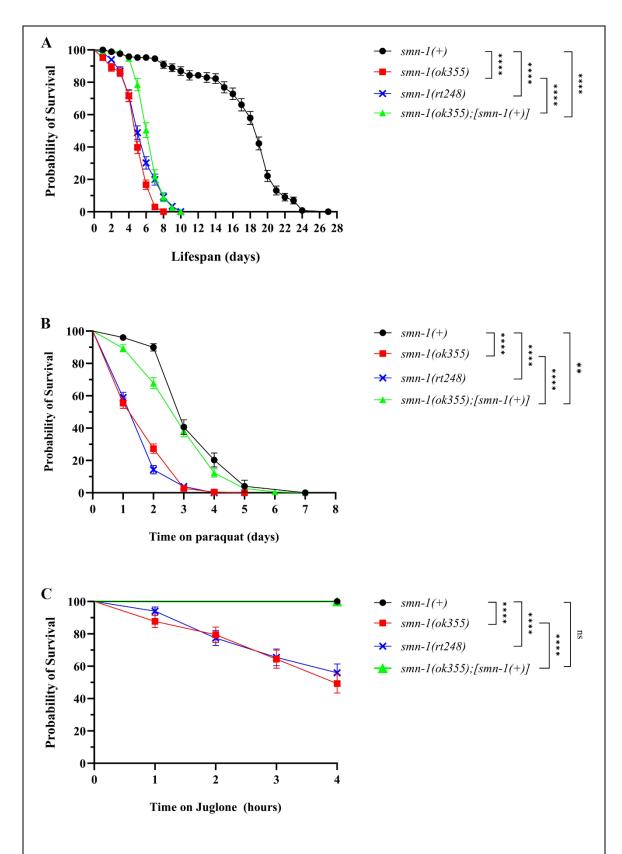


Figure 3.6. Depletion of the SMN-1 protein increases sensitivity to oxidative stress in *C. elegans*

(A) The lifespan of C. elegans is reduced in the SMN-1-depleted strains smn-1(ok355) (red) and smn-1(rt248) (blue). An extrachromosomal single-copy insertion of the smn-1 gene markedly extended the lifespan of smn-1(ok355); [smn-1(+)] mutants (green), yet failed to fully restore it

- to the level of smn-1(+) controls (black). smn-1(+) (n = 147), smn-1(ok355) (n = 151), smn-1(rt248) (n = 139), smn-1(ok355); [smn-1(+)] (n = 111).
- (B) Depletion of the SMN-1 protein increased sensitivity to chronic oxidative stress induced by 4 mM paraquat in both smn-1(ok355) and smn-1(rt248) mutants. The smn-1(ok355); [smn-1(+)] strain showed a significant improvement in resistance to chronic oxidative stress compared to smn-1(ok355), yet to the extent observed in smn-1(+) controls. smn-1(+) (n = 95), smn-1(ok355) (n = 231), smn-1(rt248) (n = 193), smn-1(ok355); [smn-1(+)] (n = 171).
- (C) Depletion of the SMN-1 protein increased sensitivity to acute oxidative stress induced by 60 μ M juglone in both smn-1(ok355) and smn-1(rt248) mutants. The reintroduction of the smn-1 gene in smn-1(ok355); [smn-1(+)] animals completely rescued their sensitivity to acute oxidative stress to smn-1(+) control levels. smn-1(+) (n = 81), smn-1(ok355) (n = 73), smn-1(rt248) (n = 84), smn-1(ok355); [smn-1(+)] (n = 85).
- (A-C) All assays were performed utilising synchronised day-3 *C. elegans* populations. Probability of survival over time is presented in a Kaplan-Meier survival curve. Each time point represents the mean \pm SEM of three independent trials. Statistical significance was determined using separate log-rank test applied to pairwise genotype comparisons. ns P > 0.05, ** P < 0.01 and **** P < 0.0001.

To determine whether the increased oxidative stress sensitivity in the smn-1(ok355) C. elegans SMA model was specific to oxidative stress or whether these organisms exhibited a generalised heightened sensitivity to various types of stressors, we evaluated their ability to withstand two additional types of stress: heat stress and osmotic stress. If the increased sensitivity of smn-1(ok355) animals to oxidative stress was caused by an overall inability to cope with stress, we would anticipate that smn-1(ok355) animals would also display enhanced sensitivity to heat and osmotic stress.

Prior to assessing the sensitivity of smn-1(ok355) animals to heat stress, we sought to determine the appropriate exposure time to heat that would yield a balanced survival-to-death ratio, enabling us to observe differences in survival between strains more effectively. Young adult wildtype (N2) nematodes were incubated at 35°C for 3, 4, 5, 6, and 7 h and, after 24h of recovery at 20°C, their survival rates were evaluated. The results indicated that exposure to 35°C for 3, 4, or 5 h did not significantly affect the survival of wildtype animals (**Figure 3.7A**, P = 0.9902, P = 0.6683, P = 0.5167, respectively). However, survival rates significantly decreased to 71% after 6 h of exposure (**Figure 3.7A**, P = 0.0034) and further declined to 10% after 7 h of exposure (**Figure 3.7A**, P = 0.0001). Based on these findings, a 6-hour exposure at 35°C was selected for subsequent experiments.

To our surprise, we observed that smn-1(ok355) animals not only failed to exhibit increased heat stress sensitivity but also demonstrated enhanced resistance to heat stress compared to smn-1(+) control animals (**Figure 3.7B**, P = 0.0058). Notably, the survival rate of smn-1(rt248) mutants was not significantly different from that of control animals (**Figure 3.7B**, P = 0.1642). Moreover, following osmotic stress induction with 450mM NaCl, while the survival rate of control animals substantially decreased, smn-1(ok355) animals again exhibited partial resistance to osmotic stress, with a significantly higher survival rate than control nematodes (**Figure 3.7C**, P = 0.0219). In contrast, smn-1(rt248) animals showed a survival rate that was not significantly different from that of smn-1(+) controls (**Figure 3.7C**, P = 0.5739). While these findings revealed unexpected stress response patterns in different SMN-1 depleted mutants, they demonstrated that the

increased oxidative stress sensitivity exhibited after SMN-1 depletion did not extent to other forms of stress.

Overall, our findings suggest that SMN-1 depletion in *C. elegans* selectively impairs pathways related to ROS regulation, without affecting other stress responses. These results underscore the essential role of the SMN-1 protein in maintaining cellular redox homeostasis and highlight the need for further investigation of oxidative stress as a crucial factor in SMA progression.

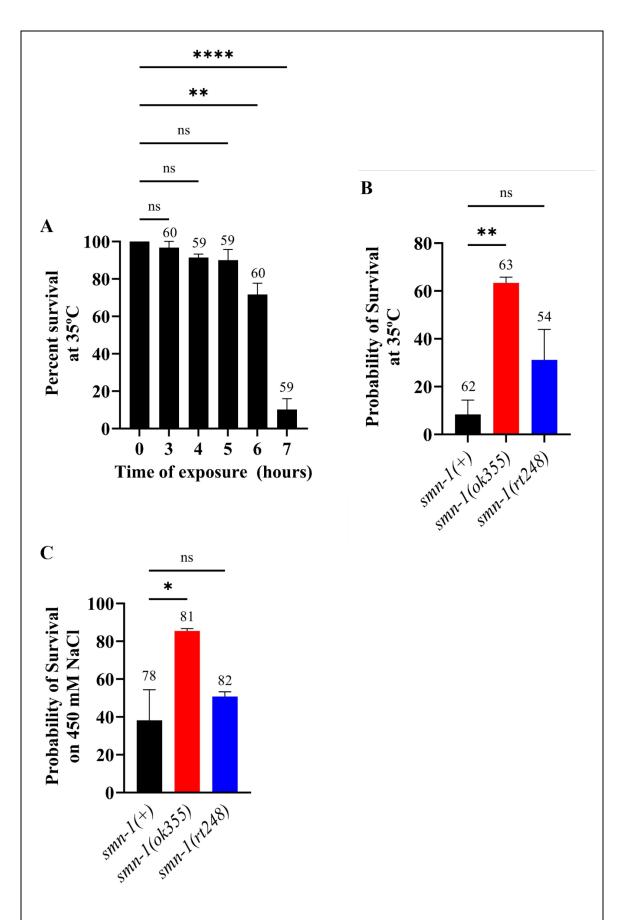


Figure 3.7. SMN-1 depletion modifies the stress response in the *smn-1(ok355) C. elegans* SMA model following exposure to heat and osmotic stress

- (A) The probability of survival of *C. elegans* wildtype animals in the young adult state exposed to 35°C decreases as the duration of heat exposure increases. Notably, animals exhibited significantly different survival rates compared to non-exposed controls after 6 and 7 h at 35°C.
- (B) The *smn-1(ok355) C. elegans* SMA model exhibited increased resistance to heat stress induced by exposure to 35°C for 6 h, while their SMN-1 depleted *smn-1(rt248)* counterparts showed no significant difference compared to *smn-1(+)* controls.
- (C) The *smn-1(ok355)* C. *elegans* SMA model exhibited increased resistance to osmotic stress induced by exposure to 450 mM NaCl for 24h, while their SMN-1 depleted *smn-1(rt248)* counterparts showed no significant difference compared to *smn-1(+)* controls.
- (A-C) Data are presented as the mean \pm SEM from three independent trials. Statistical significance was determined using one-way ANOVA test (P < 0.0001, P = 0.0093, and P = 0.0298, for figures A, B and C, respectively), followed by Dunnett's multiple comparison test. ns P > 0.05, ** P < 0.01 and **** P < 0.0001. The total number of nematodes assessed for each condition is shown above of the corresponding error bar.

3.2.3. SMN-1 depletion increases cytoplasmic ROS in *Caenorhabditis elegans*

Having established that the smn-1(ok355) C. elegans SMA model exhibits altered stress response pathways and increased sensitivity to oxidative stress, we next aim to investigate the underlying cause of this phenotype. Since mitochondria are a major source of cellular ROS, and considering the notable disruption of proteins involved in mitochondrial structure and function in smn-1(ok355) animals (**Figure 3.4**), we hypothesised that elevated mitochondrial ROS levels may contribute to the observed oxidative stress susceptibility. To examine this hypothesis, we utilised MitoSOX, a mitochondrial-specific blue dye that emits red fluorescence upon encountering oxidised products, allowing for a direct measure of mitochondrial ROS (Murphy et al., 2022).

Unexpectedly, our analysis revealed no significant difference in mitochondrial ROS levels in *smn-1*(ok355) mutants in comparison with those in controls after 2 h of incubation with 5 μ M MitoSOX (**Figure 3.8A-D**, P = 0.9999). Likewise, introduction of a wildtype copy of the *smn-1* gene in smn-1(ok355); [smn-1(+)] animals did not alter ROS production (**Figure 3.8A-B,G-H,** P = 0.5904). However, the additional smn-1 loss-of-function allele, smn-1(rt248), resulted in significantly elevated mitochondrial ROS levels compared to controls (**Figure 3.8A-B, E-F,** P < 0.0001), highlighting the differences between the SMN-1 depleted C. elegans strains. We included sod-1(tm776) mutants as a positive control in our experimental design, which lack the primary cytoplasmic and intermembrane space superoxide dismutase enzyme in C. elegans. As expected, sod-1(tm776) mutants exhibited significantly elevated MitoSOX fluorescence intensities (**Figure 3.8A-B, I-J,** P = 0.0002), validating the reliability of our assay.

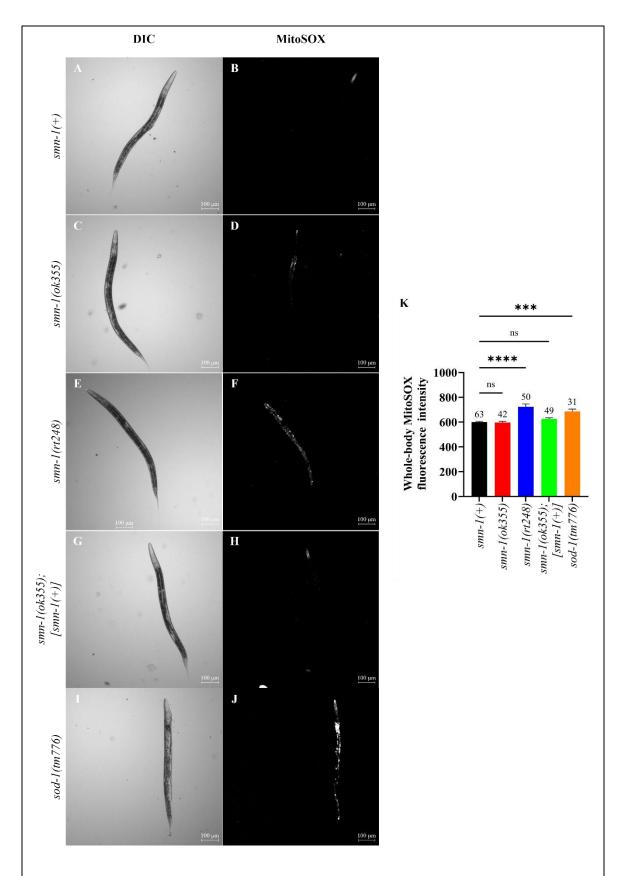


Figure 3.8. Depletion of the SMN-1 protein does not alter whole-body MitoSOX fluorescence in the *smn-1(ok355) C. elegans* SMA model

(A–H) Differential interference contrast (DIC) (A, C, E, G, and I) and fluorescence (B, D, F, H, and J) representative images of *C. elegans* stained with 5 μ M MitoSOX for 2 h. *smn-1(rt248)*

mutants (E and F) exhibited elevated mitochondrial ROS levels, whereas smn-1(ok355) (C and D) and smn-1(ok355); [smn-1(+)] (G and H) animals showed no significant difference compared to smn-1(+) controls (A and B). The positive control sod-1(tm776) (I and J) displayed significantly elevated mitochondrial ROS levels. Scale bars: 100 μ m.

(I) Quantification of the MitoSOX fluorescence signal. Data are presented as the mean \pm SEM of three independent trials. Statistical significance was determined using one-way ANOVA (P < 0.0001) followed by Šídák's multiple comparisons test. ns P > 0.05, *** P < 0.001 and **** P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

Notably, previous studies have shown that the head of *C. elegans* is particularly rich in mitochondria (Altun and Hall, 2009), suggesting that examining this region may enhance the sensitivity of the MitoSOX assay to detect mitochondrial ROS (Dingley et al., 2010). Thereby, to refine our analysis, we decided to focus on the MitoSOX fluorescence emitted specifically in the head region of animals. However, similar to the whole-body fluorescence analysis, this approach did not reveal any significant changes in the fluorescence emitted by the head region of *smn-1(ok355)* (**Figure 3.9A-B, C-D**, P = 0.0567) or *smn-1(ok355)*;[*smn-1(+)*] (**Figure 3.9A-B, G-H**, P = 0.9462) nematodes in comparison to *smn-1(+)* controls. Interestingly, while *smn-1(rt248)* animals exhibited elevated mitochondrial ROS in comparison to *smn-1(+)* controls under whole-body fluorescence, they did not display elevated ROS in the head region (**Figure 3.9A-B, E-F**, P = 0.8692). Notably, the internal control *sod-1(tm776)* exhibited elevated mitochondrial ROS fluorescence in their head-region (**Figure 3.9A-B, I-J**, P = 0.0122), consistently with the previous whole-body analysis, validating the sensitivity of the assay.

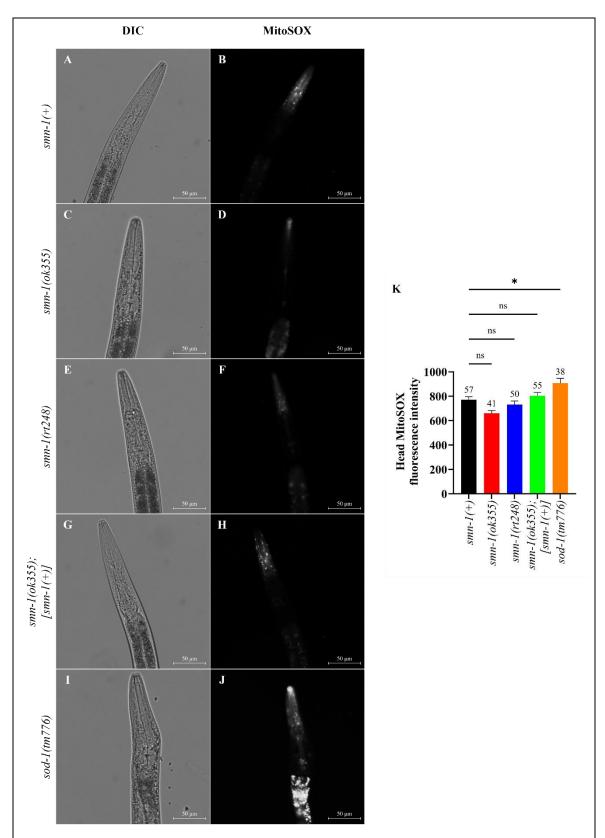


Figure 3.9. Depletion of the SMN-1 protein does not alter head-specific mitochondrial MitoSOX fluorescence in the *smn-1(ok355) C. elegans* SMA model.

(A–H) Differential interference contrast (DIC) (A, C, E, G, and I) and fluorescence (B, D, F, H, and J) representative images of the head region in *C. elegans* stained with 5 μ M MitoSOX for 2 h. None of the SMN- 1 depleted mutants, smn-1(ok355) (C and D) and smn-1(rt248) (E and F), or the smn-1 rescue strain smn-1(ok355); [smn-1(+)] (G and H) displayed elevated mitochondrial

ROS levels. The positive control sod-1(tm776) (I and J) demonstrated significantly elevated mitochondrial ROS levels. Scale bars: 50 μm

(K) Quantification of MitoSOX fluorescence signal in the head region. Data are presented as the mean \pm SEM of three independent trials. Statistical significance was determined using one-way ANOVA (P < 0.0001) followed by Šídák's multiple comparisons test. ns P > 0.05, * P < 0.05. The sample size (n) for each condition is shown above of the corresponding error bar.

While our findings suggest that mitochondrial ROS levels are not elevated in SMN-1 depleted C. elegans, previous studies have indicated that the effectiveness of MitoSOX staining can be affected by mitochondrial membrane potential (Robinson et al., 2006). Considering that our previous analysis revealed a downregulation of electron transport chain proteins in smn-1(ok355) nematodes, it is plausible that C. elegans SMA animals might experience decreased mitochondrial membrane potential, which could lead to inaccuracies when comparing their MitoSOX fluorescence intensity with that of smn-1(+) animals. To address this limitation and to gain a more comprehensive understanding of ROS regulation in the smn-1(ok355) C. elegans SMA model, we measured their levels of cytoplasmic ROS using dihydroethidium (DHE).

Our results demonstrated, for the first time, that ROS accumulate in the cytoplasm of *smn-1(ok355) C. elegans* (**Figure 3.10A-B, C-D,** P < 0.0001). To further confirm that the elevated ROS levels displayed by smn-1(ok355) mutans were due to SMN-1 depletion, we examined another smn-1 loss-of-function allele, smn-1(rt248). We found that, similar to smn-1(ok355), cytoplasmic ROS levels were also elevated in smn-1(rt248) mutants (**Figure 3.10A-B, E-F,** P < 0.0001). Importantly, a single wildtype copy of smn-1 in smn-1(ok355); [smn-1(+)] completely ameliorated the ROS burden in smn-1(ok335) mutants (**Figure 3.10A-B, G-H,** P = 0.2132).

Overall, our results establish a relationship between SMN-1 depletion and oxidative stress burden in *C. elegans* SMA. In this context, the dysregulation of stress pathways and the heightened oxidative stress sensitivity observed in the *smn-1(ok355) C. elegans* SMA model appears to be primarily driven by ROS accumulation. These findings support the utilisation of *smn-1(ok355) C. elegans* as a model for studying oxidative stress-related mechanisms dysregulated in SMA and highlights the potential of targeting those pathways as a promising therapeutic strategy.

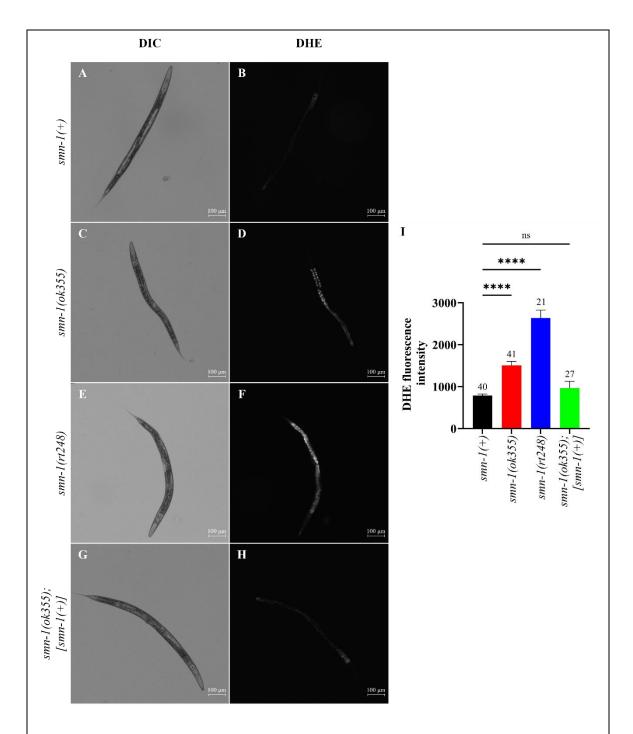


Figure 3.10. The SMN-1 protein prevents the cytoplasmic accumulation of ROS in *C. elegans*

- (A–H) Differential interference contrast (DIC) (A, C, E, and G) and fluorescence (B, D, F, and H) representative images of *C. elegans* stained with 10 μ M DHE for 2 h. Depletion of SMN-1 protein levels in *smn-1(ok355)* (C and D) and *smn-1(rt248)* (E and F) induced the cytoplasmic accumulation of ROS in *C. elegans*. A single copy of the *smn-1* gene in *smn-1(ok355)*;[*smn-1(+)]* (G and H) was sufficient to sustain normal cytoplasmic ROS levels. Scale bars: 100 μ m.
- (I) Quantification of the DHE fluorescence signal. Data are presented as mean \pm SEM of four independent trials. Statistical significance was determined using one-way ANOVA (P < 0.0001) followed by Šídák's multiple comparisons test. ns P > 0.05 and **** P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

3.3. Discussion

This chapter investigates the molecular and physiological consequences of SMN-1 depletion in the *C. elegans* SMA model. Through transcriptomic analysis, survival assays under various stress conditions, and ROS detection techniques, we aimed to characterise changes in gene expression, response to oxidative stress and ROS levels following SMN-1 depletion in *C. elegans*, with the goal to determine to whether *smn-1(ok355) C. elegans* constitute a suitable model for studying oxidative stress in SMA.

3.3.1. Gene expression changes in the *Caenorhabditis* elegans spinal muscular atrophy model

Our RNA sequencing analysis revealed significant gene expression changes in the *smn-1(ok355) C. elegans* SMA model, highlighting the broad molecular and cellular effects upon SMN-1 depletion. The genetic profile of *smn-1(ok355)* animals was consistent with a complex cellular stress response. We found that, in *smn-1(ok355)* nematodes, there was upregulation of chromatin remodelling, non-receptor protein tyrosine kinases and protein tyrosine phosphatase activities, processes that has been associated with cellular stress responses (Johnson and Barton, 2007; Solouki, August and Huang, 2019). These results are consistent with RNA-seq studies conducted in cell culture and SMA mouse models, which demonstrated upregulation of histones and DNA binding transcription factors (i.e. proteins that regulate cellular responses under stress) following SMN depletion (Gao et al., 2019; Kumar et al., 2024; Ren et al., 2024).

Additionally, our analysis revealed downregulation of the mTOR pathway, which could be indicative of overall protein synthesis defects (Wang & Proud, 2006), a finding previously observed in severe SMA mouse models (Bernabò et al., 2017b; Thelen et al., 2020). Notably, the literature contains conflicting findings regarding the activation or deactivation of mTOR in SMA. For example, Sansa et al., (2021) reported decreased mTOR phosphorylation in SMA patients' fibroblasts and in protein extracts from spinal cord and muscle of a severe SMA mouse model. Conversely, the same study observed increased mTOR phosphorylation in human iPCS-derived

MNs and isolated mouse MNs. Under normal conditions, the mTOR pathway is activated during energetic stress conditions, such as that induced by the inactivation of mitochondrial complexes, leading to the activation of pro-survival cellular signals (Kazyken et al., 2019). Given the extensive dysregulation of mitochondrial proteins observed in our analysis (**Figure 3.4**), as well as the evidence of mitochondrial dysfunction and reduced ATP levels found in various SMA models (Acsadi et al., 2009; Miller et al., 2016; Thelen, Wirth and Kye, 2020), it appears that the activation of the mTOR pathway could be crucial for stimulating cell survival in SMA pathological conditions. The downregulation of mTOR in our *C. elegans* SMA model may suggest defects in critical upstream pathways, what demands further investigation.

Our analysis further identified that *smn-1(ok355)* animals are experiencing a profound energetic crisis. This was evidenced by the strong downregulation of processes controlling cellular respiration and ATP production, such as proteins of the ETC, and further emphasised by the significant downregulation of terms related to mitochondrial structure and function. These findings are consistent with previous studies identifying mitochondrial gene dysregulation along with mitochondrial dysfunction (**Table 1.6. Evidence of mitochondrial defects on spinal muscular atrophy**) as a critical features of SMA.

Overall, our findings suggest that mitochondrial dysfunction, combined with impaired energy production provides an ideal environment for ROS accumulation. The overproduction of ROS likely triggers the activated stress responses observed, creating a feedback loop that exacerbates cellular damage.

3.3.2. Dissecting stress vulnerabilities in spinal muscular atrophy

The role of the SMN-1 protein in oxidative stress sensitivity was investigated by utilising oxidative stress-induction assays, wherein ROS levels are chemically elevated to assess the organism's capacity to maintain redox balance, detoxify ROS, and repair ROS-induced damage (Senchuk et al., 2017). Under normal conditions, both *smn-1(ok355)* and *smn-1(rt248)* loss-of-

function alleles exhibited significantly reduced lifespan (**Figure 3.6**). The partial rescue of lifespan observed in *smn-1*(*ok355*);[*smn-1*(+)] mutants, which harbours a single copy insertion of the *smn-1* gene, suggests that increasing SMN-1 protein levels can mitigate, though not fully restore, organismal survival. Although the *smn-1* plasmid inserted in *smn-1*(*ok355*);[*smn-1*(+)] animals comprised the *smn-1* promoter, coding region and 3' untranslated region (Dimitriadi et al., 2016), it might be possible that other regulatory unknown elements of the *smn-1* gene are missing, which may be critical for proper SMN-1 expression and function.

Notably, our results demonstrate that SMN-1 depletion significantly increases sensitivity to oxidative stress under both chronic (paraquat) and acute (juglone) ROS exposure. The consistent findings across two independent loss-of-function alleles (*ok355* and *rt248*) confirm that the heightened ROS sensitivity is a direct consequence of SMN-1 depletion. Notably, introducing a single wildtype copy of *smn-1* in *smn-1(ok355)*; [*smn-1(+)*] animals partially rescued survival under chronic oxidative stress conditions and fully restored survival under acute stress, suggesting that SMN-1 is particularly critical for responding to short-term oxidative insults. However, prolonged oxidative stress may induce cumulative cellular damage that cannot be completely mitigated, even with SMN-1 restoration.

Interestingly, SMN-1 depleted animals did not show sensitivity to either heat or osmotic stress, revealing that their heightened sensitivity to juglone and paraquat was oxidative stress specific. Notably, both *smn-1* loss-of-function alleles behaved differently under heat and osmotic stresses.

After heat and osmotic stress induction, *smn-1(ok355)* mutants showed increased survival rates compared to control animals. This unexpected resistance suggests that the heightened sensitivity of *smn-1(ok355)* animals to oxidative stress is not due to a general defect in cellular stress responses, but to an oxidative stress-specific vulnerability. A consideration that could explain that differential response is that SMN-1 depletion results in elevated ROS levels, a finding that we have confirmed (**Figure 3.10**). Elevated ROS levels in *smn-1(ok355)* animals would make them more vulnerable to further increases in ROS, but not necessarily to other stressors. This case is exemplified by the *C. elegans* mitochondrial mutant *sod-2*, which lacks the enzyme SOD-2, the

primary responsible for superoxide detoxification in mitochondria (Gems and Doonan, 2008; Suthammarak et al., 2013; Dues et al., 2017). Research has demonstrated that these mutants display increased sensitivity to chronic and acute oxidative stress induction, but not to heat or osmotic stress (Van Raamsdonk & Hekimi, 2009). This finding highlights that while the responses to oxidative, heat and osmotic stress share some molecular components, they are also partly independent from one another (**Supplementary Figure 1**). Notably, studies in *C. elegans* have shown that different stress responses cannot be activated simultaneously to the same extent (Crombie et al., 2016; Deng et al., 2020). For instance, the activation of SKN-1, a key regulator of the oxidative stress response in *C. elegans*, have been shown to impair the response to heat stress. Conversely, reduced SKN-1 activity, enhance heat stress resistance (Crombie et al., 2016). Therefore, if SKN-1 activity is reduced in *smn-1(ok355)* animals, which would explain their increased sensitivity to oxidative stress, those animals might also exhibit enhanced resistance to other stressors, as observed in our results.

Interestingly, under heat and osmotic stress conditions smn-1(rt248) mutants exhibited survival rates that were comparable to those of control animals. This distinct behaviour compared to smn-1(ok355) mutants could be due to differences in the genetic nature of their mutations. The ok355 allele is a complete deletion of the smn-1 gene, which may remove important regulatory sequences upstream of the gene, potentially affecting the differential expression of other genes involved in stress management. By contrast, the rt248 allele is a point mutation that introduces a premature stop codon in exon 2, resulting in a truncated SMN-1 protein. Although this protein isoform is expected to be rapidly degraded by the proteosome, this has not been experimentally confirmed, which means that smn-1(rt248) mutants may retain residual SMN-1 protein or partially preserve regulatory elements required for specific stress responses. This difference may explain why smn-1(rt248) mutants do not show enhanced resistance to heat or osmotic stress like smn-1(ok355) animals. Despite these differences, both smn-1(ok355) and smn-1(rt248) mutants did not exhibit increased sensitivity to heat or osmotic stress relative to controls, which strengthens the conclusion that the SMN-1 depletion selectively impairs pathways that manage ROS production,

clearance, and defence mechanisms without broadly compromising other cellular stress responses.

3.3.3. ROS imbalance in the *Caenorhabditis elegans* spinal muscular atrophy model

To further investigate the potential link between SMN-1 depletion and oxidative stress, we directly visualised ROS levels in SMN-1 depleted mutants, smn-1(ok355) and smn-1(rt248) animals, using small fluorescence probes for superoxide detection in cytoplasm and mitochondria (DHE and MitoSOX, respectively). Our results revealed significantly increased cytoplasmic ROS levels, supporting the hypothesis of elevated baseline ROS levels in SMN-1 deficient animals. These findings are consistent with previous studies that have reported ROS levels in patientderived cell lines and SMA mouse models (Acsadi et al., 2009; Köstel, Bora-Tatar and Erdem-Yurter, 2012; Ando et al., 2017; El Khoury et al., 2023). The alignment between our findings in C. elegans and results from mammalian systems indicates that the association between SMN depletion and increased ROS is evolutionary conserved across different species, highlighting the suitability of *C. elegans* to study the relationship between oxidative stress and SMA pathogenesis. However, attempts to visualise mitochondrial ROS using MitoSOX, a mitochondria-targeted probe, did not yield detectable signals in SMN-1 depleted mutants. This raises intriguing questions about the specific cellular compartments contributing to ROS production in SMN-1 depleted mutants. One possibility is that the observed ROS increase does not originate from mitochondria. While this interpretation is difficult to reconcile given the previous evidence implicating mitochondria as a source of ROS in SMA patient-derived cell and SMA mouse models (Ando et al., 2017; Miller et al., 2016; Wang et al., 2013), it remains a consideration. Another possible explanation is that increased ROS levels in SMN-1 depleted mutants did stem from mitochondria but MitoSOX staining just failed to target mitochondrial ROS in SMA-like C. elegans models. Previous studies have shown that the ability to visualise mitochondrial ROS with MitoSOX depends heavily on the mitochondrial membrane potential ($\Delta \Psi m$) (Ross et al., 2005).

Numerous studies have shown that mitochondria of SMA patients and SMA mouse models exhibit several defects, including abnormal morphology and reduced $\Delta\Psi$ m (**Table 1.6. Evidence of mitochondrial defects on spinal muscular atrophy**). Although $\Delta\Psi$ m has not been directly evaluated in *smn-1(ok355)* animals, research indicates that, similar to mammalian SMA models, *smn-1(ok355)* mitochondria exhibit abnormal morphology (Schultz et al., 2017). Additionally, our RNA-seq analysis has uncovered extensive dysregulation of mitochondrial proteins and processes (**Figure 3.4** and **Figure 3.5**), which might be indicative of decreased $\Delta\Psi$ m. It should also be noted that MitoSOX is not specifically targeted to mitochondria, but to the cellular compartment with the most negative electric charge. In normal conditions, this would be mitochondria, but in case of heavily reduced $\Delta\Psi$ m, MitoSoX would target the endoplasmic reticulum or nucleus (Funk and Scholkmann, 2023). Therefore, the functional state of mitochondria, especially the extent of mitochondrial membrane potential loss, may vary between *C. elegans* and mammalian SMA models, potentially explaining the discrepancies in our results.

While we cannot conclusively confirm mitochondria as the primary source of elevated ROS in SMN-1 depleted *C. elegans*, our results clearly demonstrate a significant increase in overall ROS levels. Taken together, our findings indicate that increased ROS is an evolutionarily conserved hallmark of SMN depletion, and that further elevation of ROS levels has detrimental effects on SMA-like *C. elegans*. This establishes a robust foundation for elucidating the interplay between oxidative stress and SMA pathogenesis in the *smn-1(ok355) C. elegans* SMA model.

Chapter IV. Therapeutic potential of antioxidant treatment in spinal muscular atrophy

4.1. Introduction

In Chapter III it was established that SMN-1 depletion in *C. elegans* leads to increased oxidative stress sensitivity and elevated cytoplasmic ROS levels. These findings are consistent with previous observations in SMA pre-clinical models and patient-derived cell lines, as discussed in Chapter I, reinforcing the hypothesis that oxidative stress plays an active role in SMA pathogenesis. Building on this evidence, in Chapter IV we explore whether targeting oxidative stress could serve as a viable therapeutic strategy for SMA.

In this study, we evaluated the therapeutic potential of five antioxidants, N-acetylcysteine (NAC), curcumin (CUR), vitamin C (VITC), epigallocatechin gallate (EGCG), and melatonin (MEL), in ameliorating neuromuscular defects in the smn-1(ok355) C. elegans SMA model. These compounds were selected based on their established antioxidant efficacy and extensive research evidence, highlighting their beneficial effects in neurodegenerative diseases. Furthermore, we measured the efficacy of each of the antioxidants tested in decreasing the ROS levels in smn-1(ok355) animals, with the aim of establishing a relationship between oxidative stress burden and neuromuscular dysfunction in SMA.

It is noteworthy that while these compounds are widely recognised for their antioxidant properties, their effect on cellular physiology extend beyond ROS modulation and is, in many cases, highly context dependent. For example, melatonin promotes apoptosis in human colorectal cancer cell lines (Yun et al., 2018), while it inhibits apoptosis in Alzheimer's Disease mouse models (Feng and Zhang, 2004; Feng et al., 2004). Thus, to better understand the mechanism(s) of action of these antioxidants and their relevance as potential therapeutic agents for SMA, this chapter focuses on elucidating the physiological effects of these compounds in the context of neurodegenerative diseases, discussing when available, their beneficial effects associated with improved neuromuscular function.

4.1.1. Antioxidant treatment in neurodegenerative diseases: mechanisms of action and therapeutic potential

N-acetylcysteine

N-acetylcysteine (NAC) is a potent antioxidant with a broad therapeutic potential. NAC can exert its antioxidant properties through direct ROS scavenging via electron or hydrogen subtraction (Winterbourn and Metodiewa, 1999; Trujillo, Alvarez and Radi, 2016). However, studies *in vitro* indicate that NAC does not demonstrate specificity to react with ROS at a higher rate than other endogenous antioxidant enzymes or molecules present in the environment. For instance, NAC can react with superoxide anions, albeit not as rapidly as superoxide dismutase (SOD), peroxiredoxin (Prxs), or glutathione peroxidase (GPxs) (Winterbourn and Metodiewa, 1999; Benrahmoune, Thérond and Abedinzadeh, 2000). Consequently, although this mechanism is expected to occur *in vivo* and contribute to natural antioxidant processes in cells, it is not considered to be the primary source of NAC's antioxidant efficacy.

The principal mechanism responsible for NAC's antioxidant properties is the replenishment of intracellular glutathione (GSH) reserves (Aldini et al., 2018; Pedre et al., 2021; Tenório et al., 2021), which are often downregulated in pathologies with increased oxidative stress, such as diabetes, cancer, and neurodegenerative diseases (Ballatori et al., 2009). GSH is synthesised through the combination of its precursors glutamate, cysteine, and glycine (Deponte, 2013). NAC possesses the intrinsic ability to break disulfide bonds, a mechanism that increases the amount of free cysteine, which in turn enhances the synthesis of GSH (Radtke et al., 2012). This mechanism is particularly relevant to the therapeutic effect of NAC in neurodegenerative diseases, as cystine has been identified as a limiting factor in the synthesis of GSH in neurons (Aoyama, Watabe and Nakaki, 2012). For example, in a Parkinson's Disease-like cell culture, NAC treatment led to reduced DNA fragmentation and apoptosis, which was associated with the antioxidant effect mediated by the increase in GSH biosynthesis (Offen et al., 1996b). Similarly, in mice models of Alzheimer's Disease (AD), NAC improved cognitive function and memory retention by increasing GSH levels, preventing oxidative damage, and reducing neuronal loss (Tchantchou et al., 2005; Fu, Dong and Sun, 2006).

Importantly, some of the most notable therapeutic physiological effects of NAC in cells are also mediated through its effect on the GSH reserve pool. In a rat model of Huntington's disease (HD), NAC contributed to the restoration of endogenous antioxidants, including GSH and mitochondrial SOD, which led to reduced mitochondrial ROS generation and mitochondrial swelling, reduced mitochondrial release of apoptotic factors, such as cytochrome c, and prevention of apoptosis. This enhanced mitochondrial health is believed to be responsible for the improvements observed in the disease phenotype, such as reduced neuronal degeneration and improved motor and cognitive deficits (Sandhir et al., 2012).

In addition to its direct interaction with cellular physiology, NAC has been shown to influence several cellular signalling pathways. For example, in pre-clinical models of PD and focal cerebral ischaemia, NAC inhibits the NF-κB pathway, thereby reducing the expression of pro-inflammatory genes (Sekhon et al., 2003; Aoki et al., 2009; Sha, Chin and Li, 2009). Furthermore, NAC can activate the Ras-ERK pathway in neuron-like cell cultures, thereby inhibiting apoptosis (Yan and Greene, 1998). Notably, a recent study conducted on motor neurons using an SMA mouse model highlighted the ability of NAC to reduce mitochondrial ROS and increase protein synthesis, specifically SMN, through activation of the mTOR pathway (Thelen, Wirth and Kye, 2020).

Overall, NAC regulates oxidative stress, improves mitochondrial function, and influences key cellular pathways, making it a promising therapeutic agent for oxidative stress-related diseases such as SMA.

Curcumin

Curcumin, a polyphenolic compound belonging to the ginger family, exhibits potent antioxidant and neuroprotective properties across various cellular and animal models. Its antioxidant activity is attributed to both electron transfer and hydrogen atom donation mechanisms, making it highly effective against intracellular free radicals including hydrogen peroxide, hydroxyl radicals, and peroxyl radicals (Barzegar and Moosavi-Movahedi, 2011). These antioxidant properties are

evident in *C. elegans*, where curcumin reduced juglone-induced intracellular ROS accumulation in a concentration-dependent manner (Yu et al., 2014).

Nevertheless, in whole-cell or organismal contexts, the antioxidant effects of curcumin cannot be solely attributed to direct free-radical scavenging, as it also modulates intracellular antioxidant responses through key molecular pathways. For instance, curcumin administration increased the expression of oxidative stress response genes in C. elegans, suggesting activation of the SKN-1 pathway, the C. elegans ortholog of the NRF2 transcription factor (Yu et al., 2014). Furthermore, curcumin mitigates 1,2-diacetylbenzene (DAB)-induced oxidative stress and neuroinflammation in microglial cells by stimulating the NRF2 antioxidant pathway and inhibiting the proinflammatory NF-kB pathway (Nguyen et al., 2022). Moreover, studies in mouse models of Multiple Sclerosis (MS) and AD have reported reductions in neuroinflammatory markers, such as TNF-α, IL-1, and IL-6, as well as suppression of NF-κB signalling. These effects contributed to lower oxidative stress levels, delayed disease onset, and improved neuronal function, which are reflected in enhanced cognitive performance and locomotor activity (Boyao et al., 2019; Kawamoto et al., 2012; Lim et al., 2001; Liu et al., 2016; Shao et al., 2023). Another significant molecular target of curcumin is the PI3K/Akt/mTOR signalling pathway, which regulates autophagy and cell survival. In in vitro and in vivo models of AD, PD, and MS, curcumin treatment inhibits the expression and phosphorylation of PI3K, Akt, and mTOR, resulting in increased autophagy, reduced protein aggregation, lower cell death rates, and enhanced neuronal function (Boyao et al., 2019; He et al., 2022; Wang et al., 2014).

In addition to its role in reducing oxidative stress and inflammation, curcumin plays a crucial role in the regulation of mitochondrial homeostasis and apoptosis. In an MPP⁺-induced PD cell model, where MPP⁺ inhibited mitochondrial complex I, characterised by elevated ROS levels, impaired metabolism, and reduced cell viability, curcumin treatment partially restored mitochondrial function and improved cell survival (Chakraborty et al., 2017). Furthermore, in motor neuron-like cell cultures, curcumin enhanced mitochondrial membrane potential, preserved

mitochondrial function, and reduced cytoplasmic levels of pro-apoptotic proteins, including cytochrome c and caspase-3 (Chang et al., 2014; Dong et al., 2014).

In summary, curcumin's capacity to mitigate oxidative stress, modulate stress-response pathways, maintain mitochondrial function, and regulate apoptosis renders it as a promising antioxidant candidate for investigating its therapeutic potential in SMA.

Vitamin C

Vitamin C (VITC) is a potent water-soluble antioxidant, whose capacity to scavenge ROS depends on its ability to undergo one-electron oxidation (Beyer, 1994; Njus et al., 2020). Upon ROS encounter, VITC is oxidised to a relatively stable ascorbate radical, which can be recycled back to VITC by cellular reductants such as GSH and thioredoxin (Trx) in an NADPH-dependent manner (Li et al., 2004). This redox cycle is also responsible for its ability to regenerate vitamin E (tocopherol), an efficient radical scavenger in membranes, demonstrating the importance of VITC's synergistic effect with other antioxidants in enhancing its antioxidant capacity (Niki, 1987; Ruiz-Ramos et al., 2010; Im et al., 2014). VITC antioxidant effects are evident in a mouse model of AD; VITC administration reduced hallmarks of the disease such as AB oligomer formation and tau phosphorylation while decreased oxidative stress markers, such as protein carbonylation, and increased the levels of GSH, which altogether led to AD behavioural improvements (Murakami et al., 2011).

This redox recycling also elucidates the relationship between VITC and mitochondria. In isolated mitochondria derived from kidney, fibroblast, myeloid, and liver human cell lines, it was demonstrated that VITC can enter the mitochondria via the glucose transporter Glut1 localised in the mitochondrial membrane. Following this, VITC mediated its protective effect in mitochondria by reducing mitochondrial ROS, protecting mitochondrial DNA from oxidative damage and preserving mitochondrial membrane potential (KC, Càrcamo and Golde, 2005). This mechanism is often associated with VITC's anti-apoptotic effects. For instance, in an AD study performed using neuroblastoma cells, VITC significantly reduced the aggregation of β-amyloid-induced apoptosis, as reflected by the depletion of mitochondrial caspase-3 activity. This was related to

the ability of VITC to protect against oxidative stress (Huang and May, 2006). However, the efficacy of VITC as an antioxidant is dependent on its recycling, and some of the reductants involved in this process are within the mitochondria, such as thioredoxin reductase or mitochondrial complex III (Li et al., 2002). Therefore, this could indicate that defects in those elements have the potential to limit VITC's mitochondrial antioxidant capacity. For example, in a *C. elegans* mitochondrial complex I mutant, *gas-1*, characterised by a reduced lifespan and increased mitochondrial ROS, VITC ameliorated the reduced lifespan, while increasing the already elevated mitochondrial burden (Polyak et al., 2018), challenging the relationship between ROS levels and aging/lifespan.

VITC has also been demonstrated to have beneficial effects on motor performance and cognitive function in pre-clinical models of neurodegenerative diseases, such as HD, MS, and ALS (Nagano et al., 2003; Rebec, Conroy and Barton, 2006; Babri et al., 2015). Notably, VITC exhibited capacity in regulating neuroinflammation through inhibition of the NF-kB pathway in astrocytes (Zeng et al., 2020) and in mouse models of AD (Frontiñán-Rubio et al., 2018), and PD (De Nuccio et al., 2021), resulting in improvements in behavioural and locomotor deficits that were associated with these neurodegenerative diseases. Although interactions with other known oxidative stress pathways have also been reported, notably the stimulation of the antioxidant NRF2 pathway (Li et al., 2019; Somade et al., 2022; Xu et al., 2016) and the inhibition of the autophagy regulator mTOR (Somade et al., 2022; Xu et al., 2016; Yang et al., 2018; Zhang et al., 2022), these have not yet been demonstrated in the context of neurodegenerative diseases.

In conclusion, vitamin C exhibits diverse biological effects through its antioxidant properties, redox recycling mechanisms, mitochondrial health, and regulation of key signalling pathways.

Epigallocatechin gallate

Epigallocatechin gallate (EGCG), the most abundant catechin in green tea, is recognised for its potent antioxidant effects and neuroprotective properties. EGCG directly scavenges ROS via electron or hydrogen donation, such as superoxide anions and hydroxyl radicals, and exhibits the

capacity to chelate metal ions, thereby preventing oxidative damage to biological membranes (Anderson et al., 2001; Nanjo et al., 1999; Procházková et al., 2011). Notably, in a mouse model of PD, EGCG demonstrated neuroprotective properties by inhibiting 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP)-induced neurodegeneration, restoring dopamine levels, and upregulating ferroportin expression to mitigate iron accumulation (Levites et al., 2001; Xu et al., 2017).

EGCG plays a crucial role in regulating mitochondrial function and apoptosis. In an *in vitro* model of PD, EGCG increased the mitochondrial membrane potential and decreased the activation of mitochondrial pro-apoptotic proteins such as caspase-3 and Smac (Hou et al., 2008). Moreover, in an *in vivo* rat model of PD, EGCG reduced oxidative stress markers, including lipid peroxidation and NO, and increased the activity of mitochondrial enzymes such as ATPase. These effects contributed to the prevention of mitochondrial dysfunction and motor function decline associated with the disease (Tseng et al., 2020).

The protective effect of EGCG in neurodegenerative diseases is not solely mediated by the maintenance of mitochondrial health and reduction of ROS, but also by the regulation of key molecular pathways. Specifically, EGCG activates the nuclear translocation of NRF2, upregulating the expression of antioxidants, and modulates the phosphorylation of upstream targets, such as AKT and ERK1/2 (Na and Surh, 2008; Na et al., 2008), contributing to the observed protective effect against protein aggregation in animal models. For example, in a *C. elegans* model of AD, EGCG treatment upregulated the nuclear translocation of DAF-16 (*C. elegans* ortholog of human FOXO), which is downstream of AGE-1/AKT-1/2 (*C. elegans* orthologs of human PI3K/AKT), thereby decreasing Aβ amyloid aggregates and increasing lifespan (Abbas and Wink, 2010). Furthermore, EGCG demonstrated efficacy in preventing the formation of huntingtin protein aggregates in *in vitro* and *in vivo* models of HD, which elucidated the improvement of locomotor activity in a *Drosophila melanogaster* model of HD (Ehrnhoefer et al., 2006). Moreover, in a transgenic mouse model of ALS, treatment with EGCG led to the downregulation of the inflammatory transcription factor NF-kB and decreased oxidative stress-

induced apoptosis markers, which were associated with motor neuron preservation, improved motor function, and extended lifespan (Xu et al., 2006).

Overall, EGCG represents a promising therapeutic candidate for SMA because of its well-documented neuroprotective effects in neurodegenerative diseases, driven by its antioxidant properties, regulation of mitochondrial function, and modulation of key stress-response pathways.

Melatonin

Melatonin (MEL), a hormone naturally produced in humans, is a potent antioxidant effective in scavenging a wide range of ROS via electron donation, including superoxide anions, hydroxyl radicals, peroxyl radicals and peroxynitrite anions (Reiter et al., 1998, 2000). Notably, through the donation of electrons, MEL initiates a process known as the melatonin free radical scavenging cascade. In each step of this series of reactions, a melatonin derivate with substantial antioxidant capacity is produced. This phenomenon accounts for a considerable share of MEL's antioxidant power (López-Burillo et al., 2003; Tan et al., 2005).

MEL's ROS scavenging activities have been demonstrated to enhance mitochondrial health. In a study conducted utilising *in vitro* and *in vivo* mouse brain tissue, MEL administration prevented lipid peroxidation, thereby protecting mitochondrial DNA from oxidative damage (Yamamoto & Mohanan, 2002). Moreover, melatonin was effective in stimulating mitochondrial complex I activity in a rat model of PD, which was associated with the amelioration of the animals' neuromuscular defects (Dabbeni-Sala et al., 2001b). Additionally, in *C. elegans* Duchenne muscular dystrophy (DMD) and PD models, MEL treatment significantly improved mitochondrial network integrity and decreased oxidative stress burden, while improving the animal's locomotion (He et al., 2022; Hewitt et al., 2018).

Closely associated with its amelioration of oxidative stress and mitochondrial function conservation properties, MEL has demonstrated efficacy as a modulator of apoptosis. For instance, in a rat-derived cell line model of PD, melatonin reduced oxidative stress and apoptosis

(Mayo et al., 1998). Moreover, in an AD pre-clinical model, melatonin treatment decreased β-amyloid aggregates, while increasing choline acetyltransferase activity, resulting in a reduced number of apoptotic neurons (Feng and Zhang, 2004; Feng et al., 2004).

Beyond its direct effects on ROS and mitochondria, melatonin's antioxidant properties are enhanced by its capacity to modulate key molecular pathways. For example, in AD and PD preclinical derived cell culture lines, MEL therapeutic effects included decreased oxidative stress and reduced protein aggregation and these were associated with NRF2 increased activity and consequently endogenous antioxidant defences (Li et al., 2005; Mayo et al., 1998). This activation is hypothesised to occur through the PI3K/AKT axis (Reddy et al., 2015; Wen et al., 2024). For example, in a neuron cell culture, MEL was able to stimulate mTOR activation, reduce autophagy and protect from neurotoxicity (Kongsuphol et al., 2009). MEL has also shown to play a crucial role in regulating neuroinflammation and, thereby decreasing oxidative stress burden, through the inhibition of the NF-κB pathway, which has been confirmed in various studies using AD preclinical models (Rosales-Corral et al., 2003; Shen et al., 2007).

Overall, MEL's antioxidant properties and therapeutic potential in neurodegenerative diseases, through preservation of mitochondrial function and regulation of apoptosis, render MEL as promising candidate to determine whether ROS amelioration can serve as a therapeutic target for complementary therapies in SMA.

4.1.2. Research objectives

In this chapter, the therapeutic potential of each antioxidant compound in SMA was evaluated using two primary outcome measures in the *smn-1(ok355) C. elegans* SMA model: neuromuscular function and ROS levels. Neuromuscular function was assessed using the pharyngeal pumping and WormLab locomotion assays, both well-established methods for assessing motor-function in *C. elegans*. Furthermore, intracellular ROS levels in *C. elegans* were quantified using the dihydroethidium (DHE) staining assay described in Chapter III. Moreover, as previous *in vitro*

studies have shown that increased ROS can diminish the levels of functional SMN, we also explored the impact of ROS on SMN protein levels *in vivo*.

The specific objectives of chapter IV were as following:

- 1. To determine whether treatment with antioxidant compounds can ameliorate the neuromuscular defects observed in smn-1(ok355) mutants.
- 2. To assess the efficacy of the antioxidant compounds tested on reducing the cytoplasmic ROS levels in *smn-1(ok355)* nematodes.
- 3. To investigate the relationship between intracellular ROS, endogenous SMN-1 protein levels and neuromuscular function in *smn-1(ok355)* animals.

Overall, we aim to elucidate whether there is a direct correlation between oxidative stress and neuromuscular function in the *smn-1*(*ok355*) *C. elegans* SMA model, opening the avenue for new therapeutic strategies in other pre-clinical SMA models and ultimately in patients.

4.2. Results

4.2.1. Characterisation of the *Caenorhabditis elegans* locomotion.

To evaluate the impact of SMN-1 depletion on the neuromuscular function of the *smn-1(ok355) C. elegans* SMA model, we utilised the WormLab© software, a multi-worm tracker system that characterises and quantifies *C. elegans* locomotion. Among the 24 parameters generated by the software, 23 were significantly altered in *smn-1(ok355)* animals, reflecting the profound impact of SMN-1 depletion on *C. elegans* locomotion (detailed statistical analyses of all parameters are shown in **Supplementary Figures 2-6**).

However, analysing all parameters under various experimental conditions (i.e. assessing different drug treatments or specific mutations) generates a large dataset that make it difficult to detect meaningful changes in animal movement. To simplify further analyses, we initially examined

Figure 2), movement-behaviour (Supplementary Figure 3), distance-travelled Supplementary Figure 4), time-based (Supplementary Figure 5), and speed-measurements (Supplementary Figure 6). Based on their connection to neuromuscular function and minimal redundancy with other parameters, we identified a set of three key parameters that could be utilised as a reflection of *C. elegans* locomotion for posterior analysis. In this selection, we omitted shape-based parameters, as they are not directly related to neuromuscular function, and distance-travelled parameters, as these are already accounted for in speed calculations.

From the movement-behaviour parameters, we selected the number of body bends because prior research has shown a direct relationship between this measure and the effort exerted by *C. elegans* during movement (Hart, 2006). Our results indicated that the number of body bends in *smn-1(ok355)* animals was significantly lower than those of healthy smn-1(+) nematodes (**Figure 4.1A**; P < 0.0001). Among the time-based parameters we chose the inactivity time, which measures the total amount of time that the animals spent inactive during the five-minute recording, as it serves as a reliable indicator of inactivity pertinent to neuromuscular diseases such as SMA. As expected, smn-1(ok355) animals spent a significantly higher time inactive than healthy smn-1(+) nematodes (**Figure 4.1C**; P < 0.0001). The final parameter examined was speed the later calculates the total distance travelled by the nematodes during the duration of the film, which was again significantly reduced in smn-1(ok355) animals (**Figure 4.1B**; P < 0.0001).

This refined approach allowed us to focus on the parameters most relevant to locomotion in the *smn-1(ok355) C. elegans* SMA model, thereby establishing a robust framework for assessing neuromuscular function in subsequent analyses.

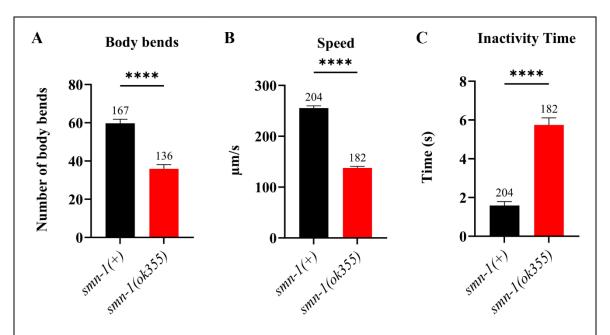


Figure 4.1. WormLab parameters selected to measure differences in locomotion among *smn-1(ok355)* and control animals

Day-3 *C. elegans* were placed on unseeded NGM plates and filmed for 5 min while crawling. SMN-1 depleted *smn-1(ok355)* animals are characterised by an impaired locomotion evidenced by the reduced number of body bends (A), speed (B) and increased inactivity time (C).

Data are presented as the mean \pm SEM from > three independent trials. Statistical significance was determined using Mann-Whitney unpaired t-test. ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

4.2.2. Evaluation of antioxidant treatment efficacy in modulating neuromuscular activity in SMA

To investigate the therapeutic potential of different antioxidant treatments in ameliorating *smn-1(ok355)* neuromuscular defects, the *smn-1(ok355)* C. *elegans* SMA model and *smn-1(ok355)/hT2* corresponding control animals were cultivated for three days on plates containing the pertinent drug (**Table 2.7. Antioxidant compounds used for C. elegans drug treatment**). Neuromuscular function was assessed using the pharyngeal pumping and WormLab locomotion assays, while intracellular ROS levels were quantified using the DHE staining assay.

4.2.2.1. *N*- acetyl cysteine

Our results indicated that NAC did not alter the pharyngeal pumping rates of control animals at any of the concentrations utilised (**Figure 4.2A**; P = 0.9224, P = 0.7917, P = 0.9389, for 3 mM, 6 mM and 9 mM, respectively). However, it significantly increased the pharyngeal pumping rate of *smn-1(ok355)* animals at 6 mM, although not at 3 mM or 9 mM (**Figure 4.2A**; P = 0.2804, P = 0.0110, P = 0.0541, for 3 mM, 6mM and 9 mM, respectively).

In terms of locomotion, NAC significantly reduced the rate of body bends in control animals at 3 mM but not at higher concentrations (**Figure 4.2B**; P = 0.0016, P = 0.7256, P = 0.0857, for 3, 6 and 9 mM respectively). However, no significant differences were found in the rate of body bends in smn-1(ok355) animals treated with NAC (**Figure 4.2B**; P = 0.8531, P = 0.7504, P = 0.9701, for 3, 6 and 9 mM, respectively). Furthermore, NAC significantly reduced speed of control animals at 3 mM (P = 0.0276) and 9 mM (P = 0.0147), but not at 6 mM (**Figure 4.2C**; P = 0.4120). Conversely, no differences were observed in the speed of smn-1(ok355) animals at any NAC concentration (**Figure 4.2C**; P = 0.4545, P = 0.7681, P = 0.5573, for 3, 6 and 9 mM, respectively). Moreover, analysis of the inactivity time revealed no significant differences between control animals (**Figure 4.2D**; P = 0.9974, P = 0.9998, P = 0.8748, for 3, 6 and 9 mM, respectively) nor smn-1(ok355) mutants (**Figure 4.2D**; P = 0.9528, P = 0.0558, P = 0.9347, for 3, 6 and 9 mM, respectively) at any of NAC concentrations tested.

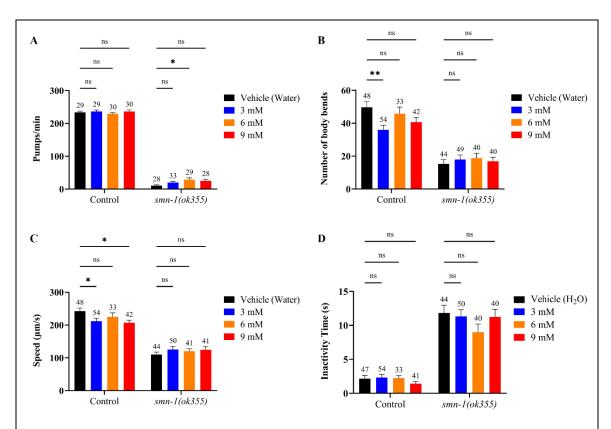


Figure 4.2. N-acetylcysteine partially ameliorates neuromuscular defects in the *smn-1(ok355) C. elegans* SMA model

C. elegans were cultivated on plates containing 0 mM (vehicle dH_2O), 3 mM, 6 mM or 9 mM NAC, and neuromuscular function was assessed through pharyngeal pumping (A), body bends (B), crawling speed (C) and inactivity time (D). In control animals, NAC treatment significantly reduced the number of body bends (B; 3 mM) and speed (C; 3 mM and 9 mM). In smn-1(ok355), NAC significantly increased the pharyngeal pumping rate (A; 6 mM).

Data are presented as the mean \pm SEM from at least two independent trials. Statistical significance was determined using two-way ANOVA (P = 0.0634, P = 0.0374, P = 0.0204, and P = 0.3026 for figures A, B, C, and D, respectively) followed by Dunnett's multiple comparison test. ns P > 0.05; * $P \le 0.05$; * $P \le 0.01$. The sample size (n) for each condition is shown above of the corresponding error bar.

Next, we evaluated the efficacy of NAC in reducing intracellular cytoplasmic ROS levels in control and smn-1(ok355) animals. While NAC treatment did not modify intracellular ROS content in control animals (**Figure 4.3Q**; P = 0.8651, P = 0.7695, P = 0.5747, for 3, 6, and 9 mM, respectively), it demonstrated the ability to decrease ROS in smn-1(ok355) mutants at all concentrations tested (**Figure 4.3Q**; P = 0.8651, P = 0.7695, P = 0.5747, for 3, 6 and 9 mM, respectively).

In summary, evaluation of *smn-1(ok355)* neuromuscular defects and ROS content after NAC administration revealed that NAC improved *smn-1(ok355)* pharyngeal pumping defects at 6 mM, while it effectively decreased intracellular ROS content at all concentrations tested.

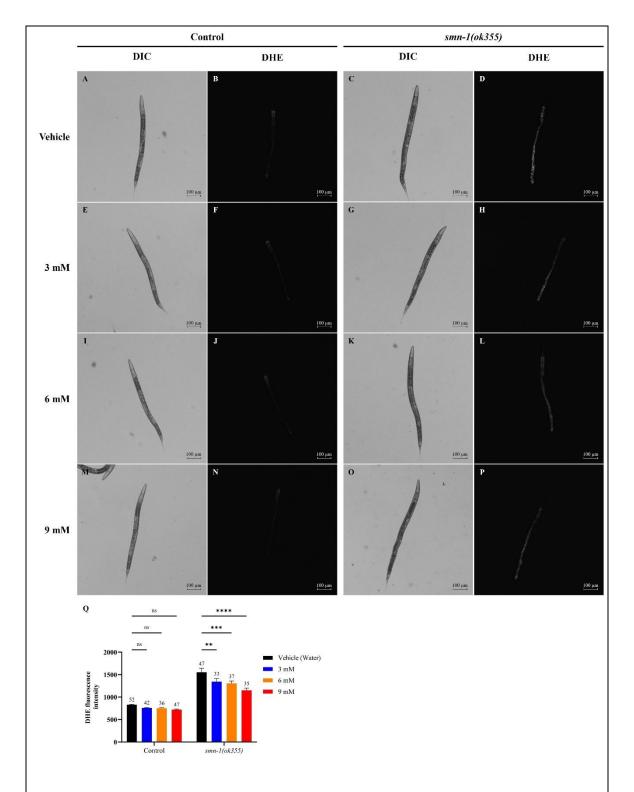


Figure 4.3. N-acetyl cysteine prevents the accumulation of cytoplasmic ROS in the *smn-1(ok355) C. elegans* SMA model

- (A-P) Differential interference contrast (DIC) and fluorescence (DHE) representative images of control and smn-l(ok355) C. elegans stained with 10 μ M DHE for 2 h. Animals were cultivated on plates containing 0 mM (vehicle) (A-D), 3 mM (E-H), 6 mM (I-L) and 9 mM (M-P) NAC. Scale bars: 100 μ m.
- (Q) Quantification of the DHE fluorescence intensity in control and *smn-1(ok355)* nematodes across all NAC concentrations tested. NAC treatment did not significantly alter DHE fluorescence

intensity in control animals at any of the concentrations utilised, but significantly reduced DHE fluorescence intensity in smn-1(ok355) mutants at 3 mM, 6 mM and 9 mM. Data are presented as mean \pm SEM from at least two independent trials. Statistical significance was determined using two-way ANOVA (P=0.0168) followed by Dunnett's multiple comparisons test. ns P>0.05; ** P<0.01; *** P<0.001; **** P<0.001; **** P<0.001. The sample size (n) for each condition is shown above of the corresponding error bar.

4.2.2.2. Curcumin

Our results indicated that CUR did not modify the pharyngeal pumping rate of control animals at any of the concentrations utilised (**Figure 4.4A**; P = 0.5524, P = 0.8569, P = 0.4671, for 5, 10 and 20 μ M, respectively). Similarly, no differences were observed in the pharyngeal pumping rates of *smn-1(ok355)* mutants after CUR administration (**Figure 4.4A**; P = 0.9711, P = 0.4081, P = 0.5607, for 5, 10 and 20 μ M, respectively).

In terms of locomotion, CUR treatment significantly reduced the number of body bends in control animals at 10 μ M, although these remained unaffected at 5 and 20 μ M (Figure 4.4B; P=0.4357, P=0.0124, P=0.1234, for 5, 10 and 20 μ M, respectively). However, no significant differences were found in the rate of body bends in smn-1(ok355) animals treated with CUR at any of the concentrations utilised (Figure 4.4B; P=0.7268, P=0.1164, P=0.9409, for 5, 10 and 20 μ M, respectively). Furthermore, CUR significantly reduced the speed at which control animals moved throughout the five-minutes recording, particularly at 10 μ M and 20 μ M (Figure 4.4C; P=0.0564, P=0.0001, P=0.0046, for 5, 10 and 20 μ M, respectively). Conversely, no significant differences were found in the speed of smn-1(ok355) animals treated with CUR (Figure 4.4C; P=0.1947, P=0.9373, P=0.1562, for 5, 10 and 20 μ M, respectively). Moreover, our results demonstrated that CUR treatment did not affect the inactivity time of control animals (Figure 4.4D, P=0.9998, P=0.9992, P=0.9999, for 5 μ M, 10 μ M and 20 μ M, respectively) nor smn-1(ok355) mutants (Figure 4.4D, P=0.1414, P=0.5401, P=0.4947, for 5, 10 and 20 μ M, respectively) at any of the concentrations tested.

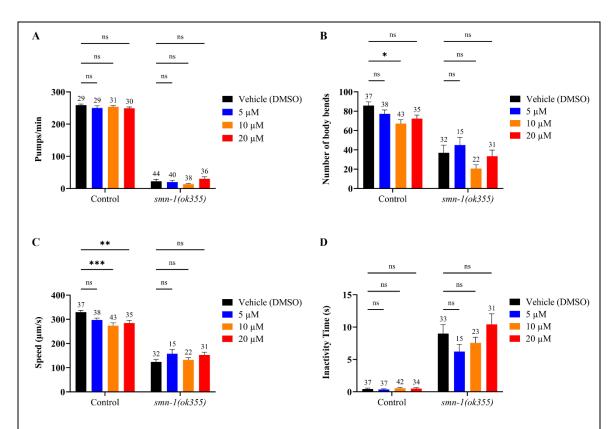


Figure 4.4. Curcumin does not ameliorate neuromuscular defects in the *smn-1(ok355) C. elegans* SMA model

C. elegans were cultivated on plates containing 0 mM (vehicle DMSO), 5 μ M, 10 μ M or 20 μ M CUR, and neuromuscular function was assessed through pharyngeal pumping (A), body bends (B), crawling speed (C) and inactivity time (D). In control animals, CUR treatment significantly reduced the number of body bends (B; 10 μ M) and speed (C; 10 μ M and 20 μ M). However, no significant differences were observed in pharyngeal pumping, body bends, crawling speed, or inactivity time in smn-1(ok355) mutants at any CUR concentration tested.

Data are presented as mean \pm SEM from at least two independent trials. Statistical significance was determined using two-way ANOVA (P = 0.2023, P = 0.4446, P = 0.0014, and P = 0.1135 for figures A, B, C, and D, respectively) followed by Dunnett's multiple comparisons test. ns P > 0.05; *P < 0.05; *P < 0.01; ***P < 0.01. The sample size (n) for each condition is shown above of the corresponding error bar.

We next evaluated CUR's efficacy to reduce intracellular ROS levels in control and smn-1(ok355) animals. CUR treatment marginally reduced cytoplasmic ROS content in control animals when administered at 5 μ M, the lowest concentration tested but showed no significant effect at higher concentrations (**Figure 4.5Q**; P = 0.0432, P = 0.1527, P = 0.8488, for 5 μ M, 10 μ M and 20 μ M, respectively). Conversely, CUR demonstrated the ability to mitigate oxidative stress in smn-1(ok355) mutants at all concentrations utilised (**Figure 4.5Q**; P = 0.0237, P = 0.0011, P < 0.0001, for 5 μ M, 10 μ M and 20 μ M, respectively).

In summary, evaluation of smn-1(ok355) neuromuscular defects and ROS content after CUR administration revealed that CUR did not modify smn-1(ok355) neuromuscular pathology at any of the concentrations utilised. However, its antioxidant properties were highlighted, as it effectively ameliorated smn-1(ok355) ROS burden at all concentrations tested.

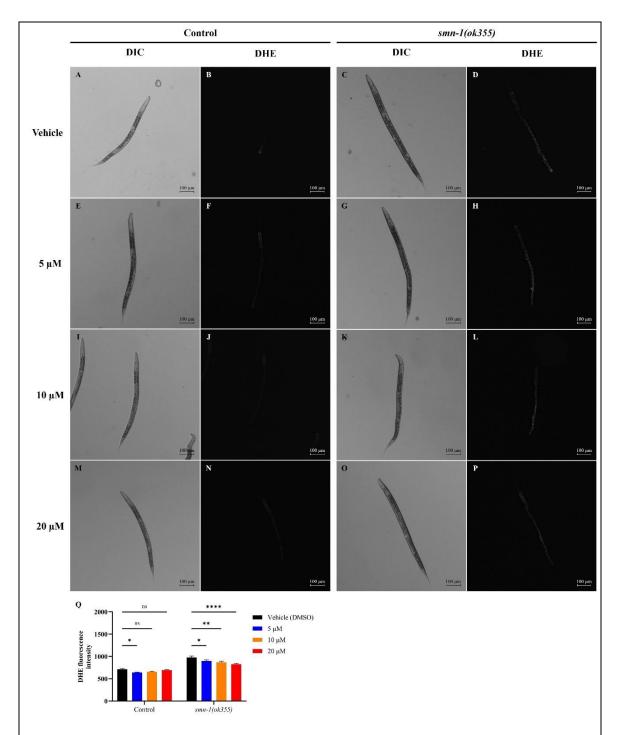


Figure 4.5. Curcumin prevents the accumulation of cytoplasmic ROS in the *smn-1(ok355) C. elegans* SMA model

- (A-P) Differential interference contrast (DIC) and fluorescence (DHE) representative images of control and smn-1(ok355) C. elegans stained with 10 μ M DHE for 2 h. Animals were cultivated on plates containing 0 μ M (vehicle) (A-D), 5 μ M (E-H), 10 μ M (I-L) and 20 μ M (M-P) CUR. Scale bars: 100 μ m.
- (Q) Quantification of the DHE fluorescence intensity in control and smn-1(ok355) nematodes across all CUR concentrations tested. CUR treatment significantly reduced DHE fluorescence intensity in control animals at 5 μ M, although showed no significant effect at 10 or 20 μ M. In smn-1(ok355) mutants CUR treatment significantly reduced DHE fluorescence intensity at 5, 10 and 20 μ M. Data are presented as mean \pm SEM from at least two independent trials. Statistical

significance was determined using two-way ANOVA (P = 0.0044) followed by Dunnett's multiple comparisons test. ns P > 0.05; * P < 0.05; ** P < 0.01; **** P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

4.2.2.3. Vitamin C

To investigate the therapeutic potential of VITC in ameliorating neuromuscular defects and oxidative stress in the *C. elegans* SMA model, smn-1(ok355) mutants and smn-1(ok355)/hT2 control animals were cultivated for three days on plates containing 0 μ M (vehicle H₂O), 100 μ M, 1000 μ M or 10000 μ M of VITC. However, as control animals reared at 10000 μ M presented a significant developmental delay compared to those cultivated on vehicle plates, this concentration was excluded from further analysis.

Our results indicated that VITC did not affect the pharyngeal pumping rate of control animals at any of the concentrations utilised (**Figure 4.6A**; P = 0.9829 and P = 0.8134, for 100 μ M and 1000 μ M, respectively). Similarly, no changes were observed in the pharyngeal pumping rate of *smn-1(ok355)* mutants after VITC treatment (**Figure 4.6A**; P = 0.9080 and P = 0.3153 for 100 μ M and 1000 μ M, respectively).

In terms of locomotion, VITC significantly increased the number of body bends in control animals at 100 μ M, although it had no significant effect at 1000 μ M (**Figure 4.6B**; P = 0.0031, P = 0.8799, for 100 and 1000 μ M, respectively). No significant differences were observed in the rate of body bends in *smn-1(ok355)* animals treated with VITC at any of the concentrations utilised (**Figure 4.6B**. P = 0.2527, P = 0.3804, for 100 and 1000 μ M, respectively). Furthermore, VITC significantly increased the speed of control animals at 100 μ M, although not at 1000 μ M (**Figure 4.6C**; P = 0.0126, P = 0.9254 for 100 and 1000 μ M, respectively). Conversely, no significant differences in speed were observed in *smn-1(ok355)* animals after VITC administration (**Figure 4.6C**; P = 0.9948 and P = 0.4265 for 100 μ M and 1000 μ M, respectively). Moreover, our analysis revealed that VITC did not affect the inactivity time of control animals (**Figure 4.6D**; P = 0.9680, P > 0.9999, for 100 and 1000 μ M, respectively) nor *smn-1(ok355)* mutants (**Figure 4.6D**; P = 0.8791, P = 0.6537, for 100 and 1000 μ M, respectively) at any of the concentrations utilised.

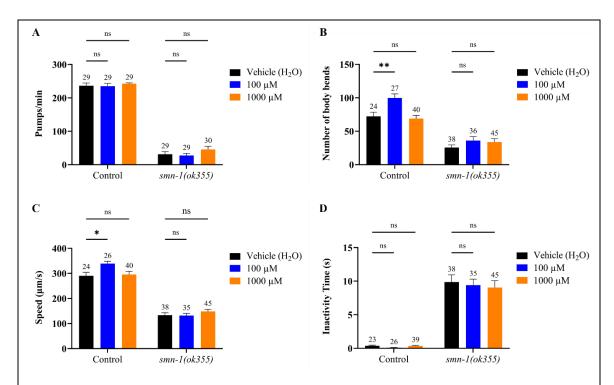


Figure 4.6. Vitamin C does not ameliorate neuromuscular defects in the *smn-1(ok355) C. elegans* SMA model

C. elegans were cultivated on plates containing 0 mM (vehicle), 100 μ M or 1000 μ M VITC, and neuromuscular function was assessed through pharyngeal pumping (A), body bends (B), crawling speed (C) and inactivity time (D). In control animals, VITC treatment significantly increased the number of body bends (B; 100 μ M) and speed (C; 100 μ M). However, no significant differences were observed in pharyngeal pumping, body bends, crawling speed, or inactivity time in smn-1(ok355) mutants at any VITC concentration tested.

Data are presented as mean \pm SEM from at least two independent trials. Statistical significance was determined using two-way ANOVA (P = 0.7626, P = 0.0228, P = 0.0146, and P = 0.8682 for figures A, B, C and D, respectively) followed by Dunnett's multiple comparisons test. ns P > 0.05; *P < 0.05; *P < 0.05. The sample size (n) for each condition is shown above of the corresponding error bar.

We next evaluated the efficacy of VITC in reducing intracellular ROS levels in control and *smn-1(ok355)* animals. Interestingly, VITC treatment did not reduce cytoplasmic ROS content in either control nematodes (**Figure 4.7Q**; P = 0.9360 and P = 0.1801, for 100 and 1000 μ M, respectively) or *smn-1(ok355)* animals (**Figure 4.7Q**; P = 0.2438 and P = 0.3092, for 100 and 1000 μ M, respectively) at any concentration tested.

In summary, VITC administration did not modify *smn-1(ok355)* neuromuscular defects nor intracellular ROS burden at any of the concentrations utilised.

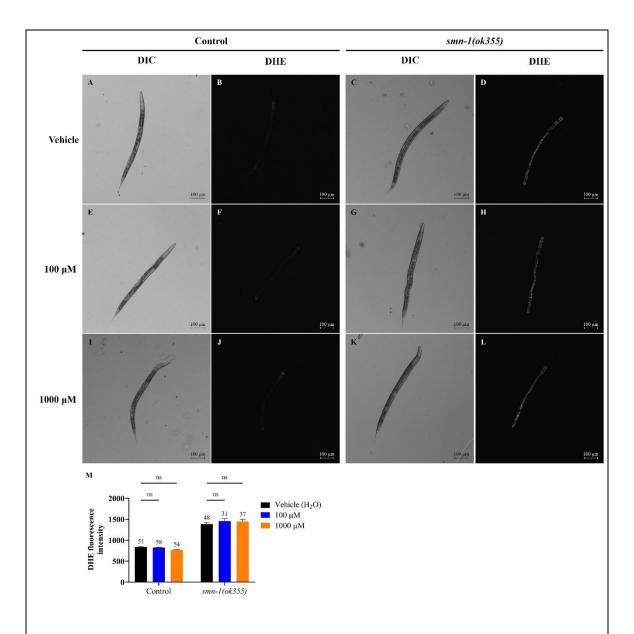


Figure 4.7. Vitamin C does not prevent the accumulation of cytoplasmic ROS in the *smn-1(ok355) C. elegans* SMA model

(A-L) Differential interference contrast (DIC) and fluorescence (DHE) representative images of control and smn-l(ok355) C. elegans stained with 10 μ M DHE for 2 h. Animals were cultivated on plates containing 0 μ M (vehicle) (A-D), 100 μ M (E-H) and 1000 μ M (I-L) VITC. Scale bars: 100 μ m.

(M) Quantification of the DHE fluorescence intensity in control and smn-1(ok355) nematodes across all VITC concentrations tested. VITC treatment did not significantly alter DHE fluorescence intensity in control animals or smn-1(ok355) mutants. Data are presented as mean \pm SEM from at least two independent trials. Statistical significance was determined using two-way ANOVA (P=0.1047) followed by Dunnett's multiple comparisons test. ns P>0.05. The sample size (n) for each condition is shown above of the corresponding error bar.

4.2.2.4. Epigallocatechin gallate

Our results indicated that treatment with EGCG did not significantly affect the pharyngeal pumping rate of control animals at any of the concentrations utilised (**Figure 4.8A**; P = 0.9732, P = 0.7447, P = 0.4481, for 50, 100 and 200 μ M, respectively). Similarly, no changes were observed in the pharyngeal pumping rate of *smn-1(ok355)* mutants after EGCG administration (**Figure 4.8A**; P = 0.9997, P = 0.1391, P = 0.4619, for 50, 100 and 200 μ M, respectively).

In terms of locomotion, EGCG did not affect the number of body bends in either control animals (**Figure 4.8B**; P = 0.5015, P = 0.0506, P = 0.7645, for 50, 100 and 200 μ M, respectively) or *smn-1(ok355)* mutants (**Figure 4.8B**; P = 0.4167, P = 0.9778, P = 0.5304, for 50, 100 and 200 μ M, respectively) at any of the concentrations utilised. Furthermore, EGCG significantly increased the speed at which control animals crawled during the five-minutes recording at the second concentration administered (100 μ M), but not at the lower or higher concentrations (50 or 200 μ M) (**Figure 4.8C**; P = 0.0679, P = 0.0013, P = 0.1225, for 50, 100 and 200 μ M, respectively). Conversely, no differences were found in the speed of *smn-1(ok355)* animals after EGCG treatment at any concentration (**Figure 4.8C**; P = 0.5075, P = 0.2338, P = 0.8848, for 50, 100 and 200 μ M, respectively). Moreover, our analysis revealed that while EGCG treatment did not modify the inactivity time of control animals (**Figure 4.8D**; P > 0.9999, P = 0.9981, P = 0.9986, for 50, 100, and 200 μ M, respectively), it significantly decreased the time that *smn-1(ok355)* mutants remained inactive when EGCG was administered at 200 μ M (**Figure 4.8D**; P = 0.0759, P = 0.4003, P = 0.0240, for 50, 100 and 200 μ M, respectively).

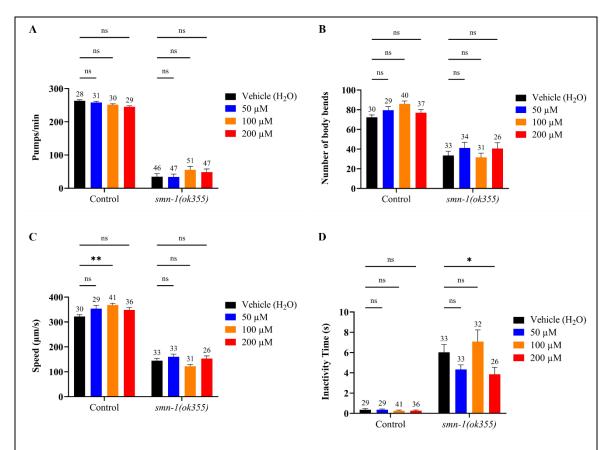


Figure 4.8. Epigallocatechin gallate partially ameliorates neuromuscular defects in the *smn-1(ok355) C. elegans* SMA model

C. elegans were cultivated on plates containing 0 μ M (vehicle), 50 μ M, 100 μ M or 200 μ M EGCG, and neuromuscular function was assessed through pharyngeal pumping (A), body bends (B), crawling speed (C) and inactivity time (D). In control animals, EGCG treatment significantly increased the crawling speed (C; 100 μ M). In *smn-1(ok355)* mutants, EGCG significantly decreased the inactivity time (D; 200 μ M).

Data are presented as mean \pm SEM from at least two independent trials. Statistical significance was determined using two-way ANOVA (P = 0.1270, P = 0.1070, P = 0.0018, and P = 0.0108 for figures A, B, C and D, respectively) followed by Dunnett's multiple comparisons test. ns P > 0.05; *P < 0.05; *P < 0.05. The sample size (n) for each condition is shown above of the corresponding error bar.

We next evaluated the efficacy of EGCG in reducing intracellular ROS levels in control and *smn-1(ok355)* animals. We found that EGCG administration did not modify the ROS content in control animals (**Figure 4.9Q**; P = 0.9343, P = 0.4568, P = 0.9083, for 50, 100 and 200 μ M, respectively). Interestingly, after EGCG administration, *smn-1(ok355)* intracellular ROS levels were increased at 50 μ M, although returned to vehicle-treated levels at 100 μ M and 200 μ M ECGC (**Figure 4.9Q**; P < 0.0001, P > 0.9999, P = 0.7308, for 50, 100 and 200 μ M, respectively).

Overall, while EGCG did not significantly ameliorate ROS levels in control animals, it slightly increased their locomotion speed at the medium concentration tested. In *smn-1(ok355)* animals, EGCG promoted ROS generation at the lower concentration tested, yet it had minimal impact on neuromuscular function at this concentration. However, higher EGCG levels ameliorated inactivity time in *smn-1(ok355)* mutants.

In summary, evaluation of smn-1(ok355) neuromuscular defects and ROS content following EGCG administration revealed that EGCG possessed the ability to partially ameliorate smn-1(ok355) neuromuscular defects at high concentrations, however, such improvements did not correlate with a reduction of intracellular ROS levels in smn-1(ok355) animals.

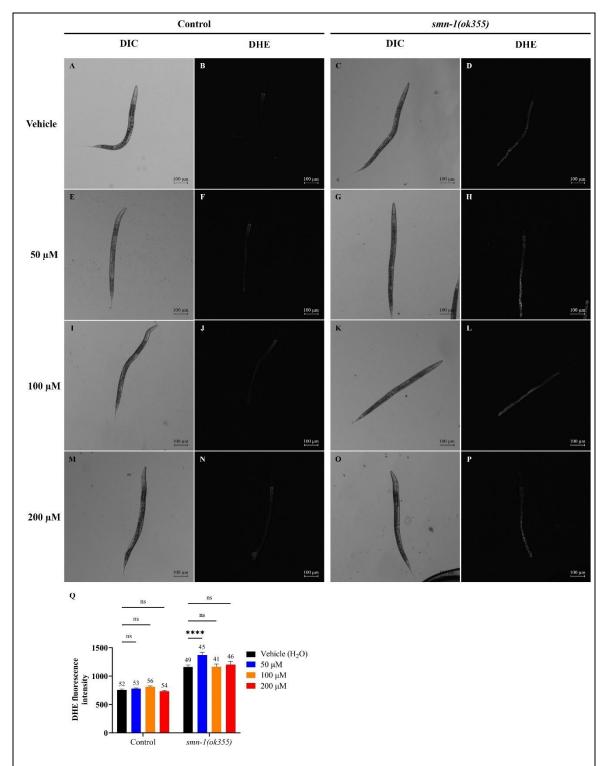


Figure 4.9. Epigallocatechin gallate might induce accumulation of cytoplasmic ROS in the *smn-1(ok355) C. elegans* SMA model at low concentrations

- (A-P) Differential interference contrast (DIC) and fluorescence (DHE) representative images of control and smn-1(ok355) C. elegans stained with 10 μ M DHE for 2 h. Animals were cultivated on plates containing 0 μ M (vehicle) (A-D), 50 μ M (E-H), 100 μ M (I-L) and 200 μ M (M-P) EGCG. Scale bars: 100 μ m.
- (Q) Quantification of the DHE fluorescence intensity in control and *smn-1(ok355)* nematodes across all EGCG concentrations tested. EGCG treatment did not significantly alter DHE fluorescence intensity in control animals. In *smn-1(ok355)* mutants EGCG treatment significantly

increased DHE fluorescence intensity at 50 μ M, although it did not have any significant effect at 100 μ M and 200 μ M. Data are presented as mean \pm SEM from at least two independent trials. Statistical significance was determined using two-way ANOVA (P=0.0023) followed by Dunnett's multiple comparisons test. ns P>0.05; **** P<0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

4.2.2.5. Melatonin

Our results indicated that MEL did not significantly affect the pharyngeal pumping rate of control animals at any of the concentration utilised (**Figure 4.10A**; P = 0.6359, P = 0.9996, P = 0.9990, for 10 μ M, 100 μ M and 1000 μ M, respectively). However, it significantly ameliorated *smn-1(ok355)* pharyngeal pumping defects at 100 and 1000 μ M (**Figure 4.10A**; P = 0.0896, P = 0.0150, P = 0.0114, for 10 μ M, 100 μ M and 1000 μ M, respectively).

In terms of locomotion, MEL significantly reduced the number of body bends in control animals at 100 μ M but had no effect at 10 and 1000 μ M (**Figure 4.10B**; P=0.4696, P=0.0005, P=0.5054, for 10, 100 and 1000 μ M, respectively). However, no significant differences were found in the number of body bends of *smn-1(ok355)* animals treated with MEL at any concentration (**Figure 4.10B**; P=0.9590, P=0.5842, P=0.7774, for 10, 100 and 1000 μ M, respectively). Furthermore, our results revealed that MEL significantly reduced the speed of control animals at 100 μ M, although not at 10 or 1000 μ M (**Figure 4.10C**; P=0.2188, P<0.0001, P=0.0718, for 10, 100 and 1000 μ M, respectively). Conversely, no differences were observed in the speed of *smn-1(ok355)* mutants at any of the MEL concentration utilised (**Figure 4.10C**; P=0.1769, P=0.4885, P=0.4169, for 10, 100 and 1000 μ M, respectively). Moreover, analysis of the inactivity time revealed that MEL increased the inactivity time of control animals at 100 μ M but had no effect at 10 μ M and 1000 μ M (**Figure 4.10D**, P=0.9300, P=0.0122, P=0.6362, for 10, 100 and 1000 μ M, respectively). In contrast, MEL did not significantly alter the inactivity time of *smn-1(ok355)* mutants at any concentration tested (**Figure 4.10D**; P=0.6376, P=0.9974, P=0.3976, for 10 μ M, 100 μ M and 1000 μ M, respectively).

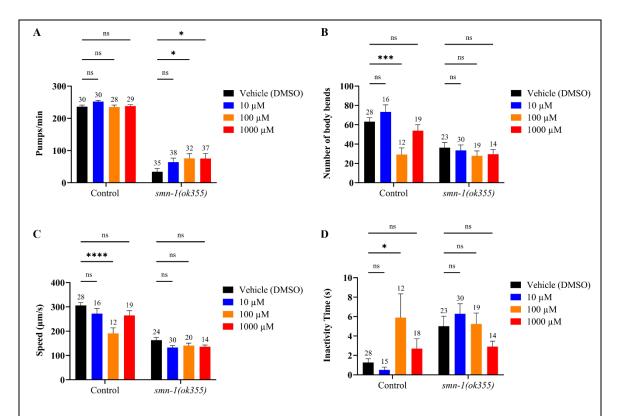


Figure 4.10. Melatonin partially ameliorates neuromuscular defects in the *smn-1(ok355) C. elegans* SMA model

C. elegans were cultivated on plates containing 0 μ M (vehicle DMSO), 10 μ M, 100 μ M or 1000 μ M MEL, and neuromuscular function was assessed through pharyngeal pumping (A), body bends (B), crawling speed (C) and inactivity time (D). In control animals, MEL treatment significantly reduced the number of body bends (B; 100 μ M) and crawling speed (C; 100 μ M), while increasing the inactivity time (D; 100 μ M). In smn-1(ok355) mutants, MEL significantly increased pharyngeal pumping rate (A; 100 μ M and 1000 μ M).

Data are presented as mean \pm SEM of from at least two independent trials. Statistical significance was determined using two-way ANOVA (P = 0.1429, P = 0.0219, P = 0.0057, and P = 0.8282 for figures A, B, C, and D, respectively) followed by Dunnett's multiple comparisons test. ns P > 0.05; *P < 0.05; ***P < 0.001; **** P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

We next evaluated MEL's efficacy to reduce intracellular ROS levels in control and smn-1(ok355) animals. We found that while MEL did not significantly modify intracellular ROS content in control animals at any of the concentration utilised (**Figure 4.11Q**; P = 0.9671, P = 0.2411, P = 0.0959, for 10 μ M, 100 μ M and 1000 μ M, respectively), MEL treatment significantly increased ROS levels in smn-1(ok355) mutants at 10 μ M and 100 μ M. Notably, ROS levels returned to baseline vehicle-treated levels at 1000 μ M MEL (**Figure 4.11Q**; P = 0.0020, P < 0.0001, and P = 0.9927 for 10 μ M, 100 μ M, and 1000 μ M, respectively).

In summary, evaluation of smn-1(ok355) neuromuscular defects and ROS content after MEL administration revealed that MEL possessed the ability to partially ameliorate smn-1(ok355) neuromuscular defects at 100 μ M and 1000 μ M. However, such improvements did not correlate with an amelioration of smn-1(ok355) intracellular ROS burden.

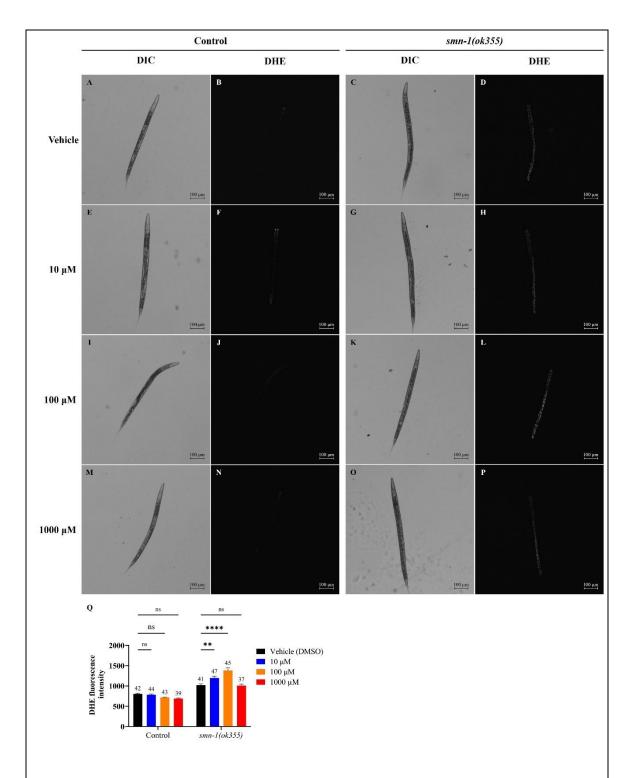


Figure 4.11. Melatonin induces the accumulation of cytoplasmic ROS in the *smn-1(ok355) C. elegans* SMA model

- (A-P) Differential interference contrast (DIC) and fluorescence (DHE) representative images of control and smn-1(ok355) C. elegans stained with 10 μ M DHE for 2 h. Animals were cultivated on plates containing 0 μ M (vehicle DMSO) (A-D), 10 μ M (E-H), 100 μ M (I-L) and 1000 μ M (M-P) MEL. Scale bars: 100 μ m.
- (Q) Quantification of the DHE fluorescence intensity in control and *smn-1(ok355)* nematodes across all MEL concentrations tested. MEL treatment did not significantly alter DHE fluorescence intensity in control animals. However, in *smn-1(ok355)* mutants MEL treatment significantly

increased DHE fluorescence intensity at $10 \,\mu\text{M}$ and $100 \,\mu\text{M}$, although did not have any significant effect at $1000 \,\mu\text{M}$. Data are presented as mean \pm SEM from at least two independent trials. Statistical significance was determined using two-way ANOVA (P < 0.0001) followed by Dunnett's multiple comparisons test. ns P > 0.05; ** P < 0.01; **** P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

Overall, the antioxidant agents evaluated in this study demonstrated variable degrees of efficacy in modulating *smn-1(ok355)* neuromuscular defects and intracellular ROS levels (**Figures 4.2-4.11**). Although NAC, EGCG, and MEL treatments partially ameliorated *smn-1(ok355)* neuromuscular defects, CUR and VITC administration did not led to any beneficial effects. Notably, neuromuscular improvements following NAC, EGCG and MEL treatments did not directly correlate with a reduction in cytoplasmic ROS levels, which challenged our initial hypothesis where increased ROS levels had a deleterious effect on the *smn-1(ok355)* SMA phenotype.

Table 4.1. Differential effects of antioxidant treatment on *smn-1(ok355)* neuromuscular function and intracellular ROS levels

Antioxidant	ROS reduction in smn-1(ok355)	Neuromuscular improvement in smn-1(ok355)	Correlation neuromuscular improvement / ROS reduction
N-Acetyl cysteine	Yes, across all concentrations	Yes, at medium concentration	Partial, only at medium concentration
Curcumin	Yes, across all concentrations	No	No
Vitamin C	No	No	NA
Epigallocatechin gallate	No, it increased ROS at lower concentration	Yes, at higher concentration	No
Melatonin	No, it increased ROS at lower and medium concentrations	Yes, at medium and higher concentrations	No

4.2.3. Impact of ROS on SMN-1 protein levels

To investigate the relationship between intracellular ROS levels and SMN-1 protein levels *in vivo*, we utilised the strain PHX8399 *smn-1(ok355) I/hT2[smn-1(syb8399)*]. In these animals, the fluorescent tag wrmScarlet was inserted at the C-terminus of the endogenous wildtype copy of

the *smn-1* gene (*smn-1::wrmScarlet*) in the *smn-1(ok355)/hT2* background (referred herein as *syb8399*). Thereby, the *smn-1::wrmScarlet* tag is transmitted through the *hT2* balancer chromosome. Consequently, *smn-1(ok355)* mutants produce *smn-1(ok355)* homozygous offspring that lack any functional *smn-1* copy and rely solely on the maternally loaded wrmScarlet SMN-1 protein.

When maintained at standard conditions smn-1(ok355) I/hT2[smn-1(syb8399)] heterozygous mutants exhibited normal embryonic viability and a visible phenotype similar to that of smn-1(ok355)/hT2 and wild-type animals. Furthermore, using fluorescent microscopy, we observed that the SMN-1 protein was ubiquitously expressed across the body in these animals, as previously suggested (Briese et al., 2008) (Figure 4.12A, B). We also noticed that their smn-1(ok355) offspring, loaded with maternal wrmScarlet-SMN-1 protein, exhibited larval arrest at L4 stage, similar to the smn-1(ok355) mutant progeny of smn-1(ok355)/hT2 heterozygous parents. In addition, under fluorescence microscopy, we observed that the SMN-1 protein was also ubiquitously distributed throughout the body of smn-1(ok355) animals (Figure 4.12C, D), although expression was considerably less than those from smn-1(ok355) I/hT2[smn-1(syb8399)] (maternal contribution versus maternal and smn-1 gene contribution) (Figure 4.12E; P < 0.0001). These findings suggest that the smn-1::wrmScarlet tag did not impair SMN-1 protein expression and therefore, it that can be used in further experimental procedures to evaluate whether oxidative stress affects SMN-1 protein levels in vivo.

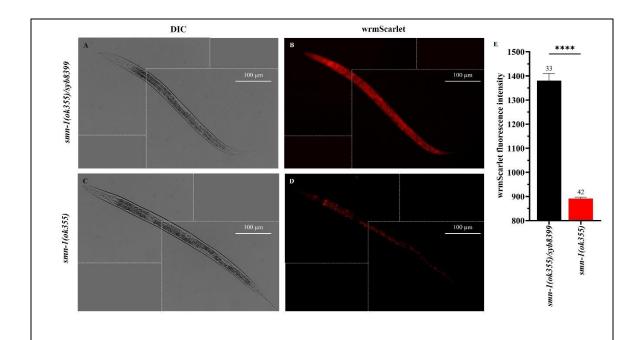


Figure 4.12. Visualization of the SMN-1 protein in the smn-1(ok355) C. elegans SMA model

(A-D) Differential interference contrast (DIC) and fluorescence (wrmScarlet) representative images of heterozygous *smn-1(ok355) I/hT2[smn-1(syb8399)*] and *smn-1(ok355)* homozygous *C. elegans*. Red fluorescence corresponds to the ubiquitously expressed endogenous wrmScarlet-SMN-1 protein transcribed from the *syb8399* allele in *smn-1(ok355) I/hT2[smn-1(syb8399)*] animals (maternal and *smn-1* gene contribution); red fluorescence in *smn-1(ok355)* animals corresponds to the maternally loaded SMN-1 protein (maternal contribution). For each nematode displayed, two images were captured at 20X magnification and overlapped to create a high-resolution picture that contained the whole body of the animal. The dotted lines indicate the contours of the two original images captured. Scale bars: 100 μm.

(E) Quantification of wrmScarlet-SMN-1 fluorescence intensity in smn-1(ok355) I/hT2[smn-1(syb8399)] and smn-1(ok355) nematodes. As expected, smn-1(ok355) animals displayed a substantial reduction in the levels of endogenous SMN-1 protein. Images used for the quantification of wrmScarlet-SMN-1 fluorescence were captured at 10X magnification to allow the whole body of the animals to fit in a single picture. Data are presented as mean \pm SEM from three independent trials. Statistical significance was determined using the nonparametric Mann-Whitney test. **** P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

Among the antioxidants evaluated in this study, NAC and MEL emerged as promising SMA therapeutic agents because of their capacity to ameliorate *smn-1(ok355)* neuromuscular defects. Additionally, their opposite effect on regulating *smn-1(ok355)* intracellular ROS content make them interesting candidates to elucidate the impact of ROS in SMN-1 protein levels.

To evaluate whether NAC and MEL can regulate SMN-1 protein levels *in vivo*, *smn-1(ok355)* mutants that derive from heterozygous *smn-1(ok355)* I/hT2[smn-1(syb8399)] parents were cultivated for three days on plates containing 0 mM (vehicle water), 3 mM, 6 mM, or 9 mM NAC; or 0 μ M (vehicle DMSO), 10 μ M, 100 μ M, or 1000 μ M MEL. Then, endogenous *smn-1(ok355)* SMN-1 protein levels were visualised by fluorescence microscopy. Quantification of wrmScarlet-SMN-1 fluorescence revealed that NAC increased SMN-1 protein levels in the *smn-1(ok355)* C. *elegans* SMA model. While treatment with 3 mM NAC did not significantly change the levels of the SMN-1 protein, 6 and 9 mM NAC administration significantly increased *smn-1(ok355)* SMN-1 levels compared to vehicle-treated animals (**Figure 4.13A-I**; P = 0.8297, P = 0.0283, P = 0.0002, for 3, 6 and 9 mM NAC, respectively). Furthermore, fluorescence quantification revealed that MEL treatment significantly increased SMN-1 protein levels at 100 μ M MEL only, whereas no differences with vehicle-treated animals were observed at 10 μ M or 1000 μ M (**Figure 4.14A-I**; P = 0.9866, P = 0.0015, P = 0.9998, for 10, 100, or 1000 μ M MEL, respectively).

Overall, these results demonstrate that treatment with NAC and MEL increased maternally loaded SMN-1 protein levels. However, the levels of SMN-1 protein did not directly correspond to the levels at which the drug proved more effective in ameliorating *smn-1* neuromuscular defects.

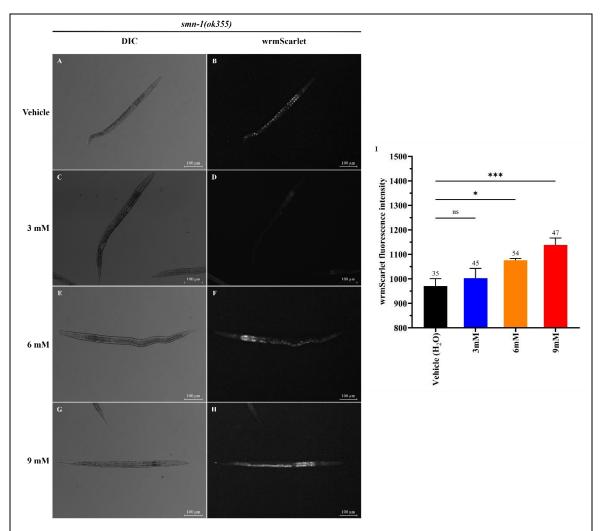


Figure 4.13. N-acetyl cysteine increases SMN-1 protein levels in the *smn-1(ok355) C. elegans* SMA model

(A-H) Differential interference contrast (DIC) and fluorescence (wrmScarlet) representative images of *smn-1(ok355) C. elegans*. Animals were cultivated on plates containing 0 (vehicle), 3, 6 and 9 mM NAC. Scale bars: 100 µm.

(I) Quantification of wrmScarlet-SMN-1 fluorescence intensity in smn-1(ok355) nematodes. NAC treatment significantly increased wrmScarlet-SMN-1 fluorescence intensity at 6 and 9 mM. Data are presented as mean \pm SEM of three independent trials. Statistical significance was determined using one-way ANOVA (P=0.0002) followed by Dunnett's multiple comparisons test. ns P>0.05; *P<0.05; *** P<0.001. The sample size (n) for each condition is shown above of the corresponding error bar.

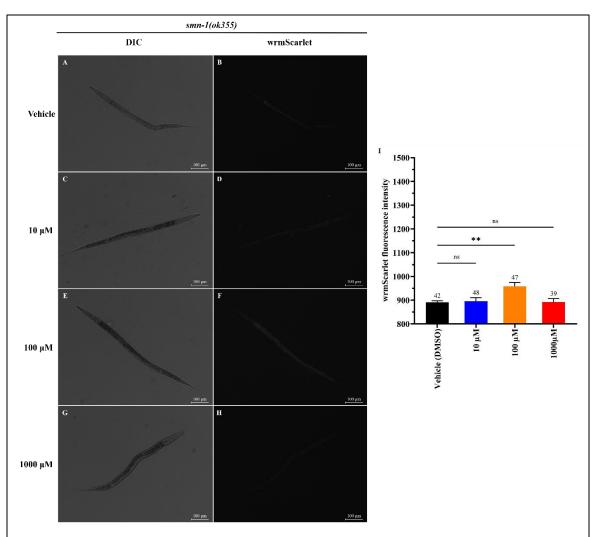


Figure 4.14. Melatonin increases SMN-1 protein levels in the *smn-1(ok355) C. elegans* SMA model

- (A-H) Differential interference contrast (DIC) and fluorescence (wrmScarlet) representative images of *smn-1(ok355) C. elegans*. Animals were cultivated on plates containing 0 (vehicle), 10, 100, and 1000 μM MEL. Scale bars: 100 μm.
- (I) Quantification of wrmScarlet-SMN-1 fluorescence intensity in smn-1(ok355) nematodes. MEL treatment significantly increased wrmScarlet-SMN fluorescence intensity at 100 μ M. Data are presented as mean \pm SEM of three independent trials. Statistical significance was determined using one-way ANOVA (P=0.0006) followed by Dunnett's multiple comparisons test. ns P>0.05, ** P<0.01. The sample size (n) for each condition is shown above of the corresponding error bar.

4.3. Discussion

The central aim of this thesis is to elucidate whether oxidative stress contributes to SMA progression and/or severity. This chapter examines two derivate hypotheses: firstly, that mitigating oxidative stress might ameliorate SMA pathogenesis; and secondly, that oxidative stress directly impacts SMN-1 protein levels. We administered five different antioxidant treatments to the *C. elegans* SMA model and evaluated their individual efficacy in ameliorating neuromuscular function decline, a primary hallmark central to the pathophysiology of SMA. Furthermore, we explored the mechanistic insights into how oxidative stress might influence SMA, by investigating the relationship between oxidative stress and SMN-1 endogenous protein levels in *smn-1(ok355)* animals.

4.3.1. Neuromuscular function and ROS levels

Our analysis did not reveal a direct correlation between oxidative stress modulation and neuromuscular function in SMA. The secondary hypothesis underlying the use of antioxidants in the *smn-1(ok355)* SMA model was suggesting that ROS reduction would ameliorate SMA phenotypes, which in this study is correlated with the alleviation of the neuromuscular defects. The set of antioxidants compounds selected for this study proved to have a beneficial effect on neurodegenerative diseases in which the role of oxidative stress has been well established, such as Alzheimer's disease (AD) and Parkinsons's disease (PD) (Houldsworth, 2024). A wide range of studies using different models of AD and PD have found that antioxidant treatment decreases oxidative stress markers, reduces protein aggregation, prevents apoptosis, and improves cognitive and motor function following treatment with NAC, CUR, VITC, EGCG, and MEL (Table 1.3. Effect of antioxidant treatment in Alzheimer's Disease and Parkinson's Disease pre-clinical models). However, our results demonstrate that the relationship between oxidative stress and neuromuscular function in the *smn-1(ok355) C. elegans* SMA model is not straightforward. For clarity, the results are discussed here in three separate groups, depending on the effect of the specific antioxidant treatment on endogenous ROS levels in *smn-1(ok355)* animals.

NAC reduced ROS burden in smn-1(ok355) animals in a concentration-dependent manner. Although our analysis revealed significant improvements in the neuromuscular function of these animals, they appeared solely at the medium concentration utilised, and did not correlate with ROS amelioration in smn-1(ok355) animals. Moreover, while CUR treatment also decreased ROS levels in a concentration-dependent manner in smn-1(ok355) animals, these animals did not experience any significant improvement in neuromuscular function.

Interestingly, VITC treatment did not modify intracellular ROS levels or neuromuscular function in *smn-1(ok355)* animals. One possible explanation for this could be that VITC is not bioavailable in *C. elegans* at the concentrations utilised. Some studies have reported that *C. elegans* impermeable cuticle can constitute an obstacle for proper pharmacological absorption, leading to reduced drug bioavailability (Xiong, Pears and Woollard, 2017; Giunti et al., 2021). However, previous research has shown that even at the lower concentration utilised in this study (1 mM), VITC is able to modulate different phenotypes in *C. elegans*, which proves its bioavailability (Desjardins et al., 2017; Polyak et al., 2018). Furthermore, although we did not observe any changes in the neuromuscular function or intracellular ROS levels of *smn-1(ok355)* animals, VITC treatment modulated the neuromuscular function of control animals. Therefore, a more plausible explanation is that the concentrations administered in this study were not sufficient to modulate ROS levels nor induce any changes in the neuromuscular phenotype in *smn-1(ok355)* animals.

Notably, EGCG and MEL increased the intracellular ROS levels in *smn-1(ok355)* animals. Although initially unexpected, the prooxidant effects of MEL and EGCG in the *smn-1(ok355) C. elegans* SMA model are not atypical. The impact of a drug, or more specifically, an antioxidant, is highly dependent on previous factors in the cellular environment, such as glucose levels, oxidative stress, or pH levels (Moldasheva et al., 2023). Several studies have demonstrated that MEL can increase mitochondrial ROS production in cancerous cells (Bejarano et al., 2011; Chen et al., 2021; Florido et al., 2022; Yun et al., 2016), whereas it can generally reduce oxidative stress in non-tumorous cells (Deng et al., 2023; Ding et al., 2014; Zavodnik et al., 2006). Similarly,

EGCG exhibits both antioxidant and prooxidant effects depending on its concentration, cell type, and experimental conditions (Lecumberri et al., 2013). Particularly, the prooxidant effects of EGCG have been well documented in cancer research, where they are associated with its antitumour activity (Della Via et al., 2021; Kuriya et al., 2023). Despite exacerbating oxidative stress burden in *smn-1(ok355)* animals, these drugs still led to improvements in neuromuscular function.

Overall, our results do not support a direct correlation between ROS reduction and neuromuscular improvement in SMA. Instead, our data suggest that ROS levels alone do not determine neuromuscular function in *smn-1(ok355)* animals, at least within the range of the endogenous ROS levels observed.

4.3.2. SMN-1 protein and ROS levels

Next, we aimed to gain a deeper understanding into of mechanism that connects oxidative stress with SMA pathology. Previous research has demonstrated that inducing oxidative stress can reduce the levels of functional SMN-1 protein via exon skipping during mRNA translation (Seo et al., 2016) or via redox-induced dysfunctional SMN-1 protein complexes (Wan et al., 2008). Furthermore, the use of pharmacological agents, such as CUR, EGCG, and NAC, utilised for their antioxidant properties in this study, have been reported to counteract these effects by promoting correct *SMN2* splicing (Dayangac-Erden et al., 2011b; Sakla & Lorson, 2008b) and increase SMN protein levels (Thelen, Wirth and Kye, 2020). If oxidative stress has the potential to influence SMN-1 protein stability *in vivo*, *smn-1(ok355)* animals might be particularly susceptible to increased SMN-1 degradation because of their increased ROS levels, thereby exacerbating their neuromuscular defects. To investigate whether ROS levels influence SMN-1 protein stability in *C. elegans* we quantified SMN-1 endogenous protein levels following NAC and MEL treatments, which, despite exerting opposing effects on ROS levels, modified *smn-1(ok355)* neuromuscular function.

Our results demonstrated that NAC treatment decreased ROS levels in *smn-1*(*ok355*) animals while increasing SMN-1 protein levels in a concentration-dependent manner. In addition, MEL treatment increased SMN-1 protein levels in *smn-1*(*ok355*) animals when administered at medium concentrations, despite exacerbating their intracellular ROS levels at medium and high concentrations. As both depletion of ROS following NAC treatment and exacerbation of ROS following MEL treatment resulted in an increase in SMN-1, it can be concluded that the effect of these drugs on SMN-1 was not directly mediated by ROS. Although we cannot entirely reject the hypothesis that ROS-induced protein modifications influence SMN protein stability *in vivo*, as postulated by Wan et al., (2008), our findings suggest that the ROS levels observed in *smn-1*(*ok355*) animals do not seem to directly affect endogenous SMN-1 protein levels.

4.3.3. Neuromuscular function and SMN-1 protein levels

Furthermore, we examined whether the neuromuscular improvements observed after NAC and MEL administration could be attributed to the elevated SMN-1 protein levels detected after antioxidant treatment. Although NAC treatment resulted in a concentration-dependent increase in SMN-1 protein levels, neuromuscular function did not consistently improve at all concentrations, but only at the medium concentration of NAC. Furthermore, MEL treatment increased SMN-1 levels only at the medium concentration administered, whereas neuromuscular improvements were observed at both medium and high concentrations. Our findings indicate that the neuromuscular improvements observed in *smn-1(ok355)* animals do not directly correlate with the observed increase in SMN-1 protein levels. Notably, previous studies have demonstrated that even a modest increase in SMN protein levels can lead to significant phenotypic rescue in SMA models (Bowerman et al., 2012; Kwon et al., 2011; Naryshkin et al., 2014), which appears to contradict the findings of our experiments in the nematode model. Nevertheless, there are several possible explanations for our results.

Previous research has emphasised that an increase in total SMN levels, without considering the temporal and spatial distribution where the protein is increased, may not achieve comprehensive

therapeutic effects (Groen et al., 2018). For instance, while an increase in SMN protein in the central nervous system has been shown to improve neuromuscular function in SMA mouse models, it does not necessarily correlate with an increase in lifespan. Instead, it has been proposed that restoration of SMN in both the central and peripheral nervous systems is required for a full phenotypic rescue (Hua et al., 2011; Kwon et al., 2011). This idea was also observed in the *smn-1(ok355) C. elegans* SMA model. In *C. elegans*, pan-neuronal expression of *smn-1* has been shown to partially rescue the SMA phenotype of *smn-1(ok355)* mutants, including body size, lifespan, and neuromuscular defects. Conversely, muscle-directed expression of *smn-1* did not rescue body size and neuromuscular defects, and only exhibited a modest increase in lifespan, suggesting that neuronal SMN-1 expression is critical for overall fitness and survival in *C. elegans* (Briese et al., 2008). In our study, we did not differentiate the SMN-1 protein levels in specific tissues. This differential distribution may explain the absence of a correlation between SMN-1 protein levels and neuromuscular improvement in *smn-1(ok355)* animals.

Overall, our results demonstrate that in the *smn-1(ok355) C. elegans* SMA model, ROS amelioration did not directly influence neuromuscular function, nor SMN-1 protein levels.

4.3.4. Relationship between ROS, neuromuscular function and SMN-1 protein levels

Given that neither ROS amelioration nor SMN-1 protein increase can fully explain the neuromuscular improvement observed in *smn-1(ok355)* animals, we explored alternative pathways that may be responsible for the therapeutic effects observed following NAC, EGCG, and MEL administration. The research question that we aim to address in this section was: what distinguishes the group of antioxidants that demonstrated efficacy in ameliorating *smn-1(ok355)* neuromuscular defects (NAC, EGCG, and MEL) from the group that did not (CUR and VITC)? Some of the therapeutic properties associated with the pharmacological agents utilised in this study were not directly associated with the amelioration of ROS, but rather to the modulation of specific molecular pathways. **Table 4.2** summarises the most relevant pathways modulated by

these antioxidants in the context neurodegenerative diseases. All five antioxidants examined in this study have been reported to modulate the NF-kB inflammatory pathway and the NRF2 antioxidant response pathway. Notably, a significant difference between the "effective" (NAC, EGCG, and MEL) and "ineffective" (CUR and VITC) antioxidants in our model was their differential regulation of the ERK and PI3K/AKT pathways and their downstream target mTOR. NAC has been reported to activate the Ras-ERK pathway and its downstream effector mTOR in neuronal cell cultures (Yan and Greene, 1998; Thelen, Wirth and Kye, 2020). Similarly, EGCG has been demonstrated to stimulate AKT and ERK signalling in various biological systems (Na and Surh, 2008; Na et al., 2008; Abbas and Wink, 2010). Moreover, MEL has also been found to enhance mTOR activation in neuronal cultures, resulting in reduced autophagy and neuroprotection (Kongsuphol et al., 2009). Conversely, CUR has been reported to suppress PI3K, AKT, and mTOR activity in neuronal cell models (Boyao et al., 2019; He et al., 2022; Wang et al., 2014). Although the specific effect of VITC on these pathways in the nervous system remains unclear, existing evidence suggests that VITC induces autophagy via mTOR inhibition (Somade et al., 2022; Xu et al., 2016; Yang et al., 2018; Zhang et al., 2022).

Table 4.2. Modulation of molecular pathways by antioxidants utilised in this study

Antioxidant	NF-ĸB	Ras/ERK	NRF2	PI3K/Akt	mTOR
NAC	Inhibition	Activation		No effect	Activation
CUR	Inhibition		Activation	Inhibition	Inhibition
VITC	Inhibition		Activation	-	-
EGCG	Inhibition	Activation	Activation	Activation	-
MEL	Inhibition		Activation	-	Activation

Interestingly, mTOR pathway was found to be downregulated in our bioinformatic analysis presented in Chapter III, suggesting that this pathway warrants further investigation as a potential therapeutic target in SMA. While these findings remain hypothetical and require experimental validation, they offer new avenues for future research aimed at elucidating molecular targets for new therapeutic approaches in SMA.

Chapter V. Investigating the oxidative stress response in the *Caenorhabditis elegans* spinal muscular atrophy model

5.1. Introduction

In Chapter IV, we demonstrated that simply reducing ROS levels was not sufficient to ameliorate the neuromuscular defects detected in *smn-1(ok355) C. elegans* SMA animals. It is important to emphasise that the impact of oxidant molecules on physiological processes is not exclusively detrimental; rather, they can also serve as critical signalling agents in various cellular pathways. (Bardaweel et al., 2018; Sies and Jones, 2020; Kozlov, Javadov and Sommer, 2024).

Based on this understanding, in Chapter V we adopted a more targeted approach by examining specific components of the *C. elegans* stress response that are dysregulated in SMA. In *C. elegans* there is not a unique pathway dedicated to oxidative stress protection. Instead, mechanisms that mitigate oxidative stress are typically integrated within broader, environment-responsive pathways. Among these pathways, the insulin/IGF-1 signalling (IIS) pathway and the p38 mitogen-activated protein kinase (MAPK) pathway, regulated in *C. elegans* by DAF-16 and SKN-1 transcription factors, respectively, are especially relevant (Back et al., 2012; Lin et al., 2023; Liu et al., 2022; Priya Dharshini et al., 2020; Tejchman et al., 2021). In this introduction, we provide a comprehensive overview of oxidative stress-related pathways and antioxidants that could shed light on the impact of ROS imbalance detected in SMA.

5.1.1. Transcription factors that regulate the oxidative stress response

5.1.1.1. DAF-16

In *C. elegans*, DAF-16, the functional orthologue of the mammalian transcription factor FOXO, functions as the central transcription factor that regulates the IIS signalling pathway (Murphy & Hu, 2013; Ogg et al., 1997). Consequently, DAF-16 plays a crucial role in regulating metabolism, lifespan, protein homeostasis and stress resilience by controlling the transcription of numerous genes in response to environmental and cellular cues (Murphy & Hu, 2013; Zhang et al., 2022).

The IIS pathway is initiated when insulin-like peptides (ILPs) bind to DAF-2, a receptor tyrosine kinase analogous to mammalian insulin/IGF-1 receptors (Kimura et al., 1997). *C. elegans* encodes

approximately forty ILPs, some of which function as agonists (activating DAF-2) while others act as antagonist (inhibiting DAF-2) (Zheng et al., 2019). Upon ILP binding, DAF-2 undergoes autophosphorylation and subsequently phosphorylates AGE-1, the ortholog of the mammalian PI3K (Morris, Tissenbaum and Ruvkun, 1996; Wolkow et al., 2002). AGE-1 catalyses the conversion of PIP2 to PIP3, thereby recruiting PDK-1, which in turn activates the serine/threonine kinases AKT-1 and AKT-2 (Paradis et al., 1999; Toker and Newton, 2000). These kinases phosphorylate DAF-16 (FOXO), retaining it in the cytoplasm and thus preventing its translocation to the nucleus, where it would induce gene expression changes associated with stress resistance and enhanced longevity (Henderson & Johnson, 2001; Padmanabhan et al., 2009). Conversely, DAF-18, the ortholog of the mammalian PTEN, functions as a negative regulator of the IIS signalling cascade, dephosphorylating PIP3 and thus promoting DAF-16 activity (Rouault et al., 1999) (Figure 5.1).

Overall, under normal favourable conditions (i.e. sufficient nutrients and minimal stress), signalling through the *C. elegans* insulin receptor DAF-2 remains active, inhibiting DAF-16 translocation to the nucleus. Conversely, in unfavourable conditions (i.e. nutrient deprivation or oxidative stress), IIS signalling is attenuated, allowing DAF-16 to translocate into the nucleus and activate the transcription of target genes that fortify the organism response against adverse conditions (Henderson & Johnson, 2001). These include antioxidant enzymes such as superoxide dismutases and catalases, heat-shock proteins, and other regulators of cellular protein homeostasis, and immune response (Honda et al., 2008; Hsu et al., 2003; McElwee et al., 2003; Meléndez et al., 2003; Yanase et al., 2002, 2020).

Notably, different studies have demonstrated that many DAF-16 target genes may differ based on developmental stage, the nature of the stress, and interactions with other pathways. For example, JNK and AMPK pathways, which are activated under stress conditions, can regulate DAF-16 by either promoting its nuclear translocation or by enhancing its transcriptional activity on stress-responsive genes, respectively (Oh et al., 2005; Greer et al., 2007). Consequently, the precise

subset of genes upregulated after DAF-16 induction can vary among different experiments, explaining some of the inconsistencies found in the literature (C. T. Murphy, 2006).

5.1.1.2. SKN-1

Skinhead-1 (SKN-1) is the *C. elegans* functional orthologue of human transcription factor NRF2 (Walker et al., 2000). Although it is best known for its involvement in tissue specification during embryonic development (Bowerman et al., 1992), SKN-1 is involved in multiple regulatory processes in the cells, including protein homeostasis, stress resistance and longevity (An & Blackwell, 2003; Inoue et al., 2005; Li et al., 2011; Tullet et al., 2008).

SKN-1 expression and location vary across different cell types and specific cellular environments. For example, SKN-1 is abundant in the nuclei of ASI sensory neurons under normal conditions, but not in the nuclei of intestinal cells, suggesting that in the absence of stressors SKN-1 plays a role in preventing the entry of *C. elegans* in the dauer diapause state (An and Blackwell, 2003), a stress-resistant, developmentally arrested larval stage that promotes survival under unfavourable environmental conditions (Corsi, Wightman and Chalfie, 2015). Conversely, under exposure to stressors such as oxidative stress, SKN-1 becomes activated and migrates to the nuclei in intestinal cells, but not in neurons, via phosphorylation by the p38 MAPK cascade (An and Blackwell, 2003; Inoue et al., 2005). Importantly, recent studies have highlighted that genetic changes induced in intestinal cells are sufficient to initiate a systemic protective response in other cell types, including neurons, where they enhance synaptic transmission at the neuromuscular junction. (Staab et al., 2013, 2014). Another example of specific regulation among different tissues is that starvation tiggers nuclear translocation of SKN-1 in ASI sensory neurons, but not in intestinal cells (Bishop and Guarente, 2007), highlighting that increased SKN-1 activation must be interpreted carefully in specific contexts.

SKN-1 activation in oxidative stress conditions occurs through phosphorylation via the p38 MAPK cascade. Specifically, oxidative stress triggers the activation of SEK-1 (MAPKK), which

phosphorylates PMK-1 (MAPK), which in return phosphorylates SKN-1, facilitating its translocation to the nucleus (Inoue et al., 2005) (**Figure 5.1**). Once in the nucleus, SKN-1 binds to specific DNA sequences to activate the transcription of genes involved in stress-response, detoxification and metabolism, including glutathione S-transferases (GST), thioredoxin, cytochrome P450 and mitochondrial genes among others (Oliveira et al., 2009; Park, Tedesco and Johnson, 2009; Staab et al., 2014). Notably, although the cellular response activated by SKN-1 does not specifically focus on increasing antioxidant defences, its activation enhances cellular integrity through detoxification and cellular repair, that consequently results in increased oxidative stress resistance (Tullet et al., 2008; Oliveira et al., 2009).

SKN-1 is regulated by the integration of multiple cellular signals which allow for fine tune regulation of the cellular response to different cues. For example, recent studies have shown that a pool of cytoplasmic SKN-1 is bound to the outer mitochondrial membrane, where it functions as a mitochondrial sensor, activating genes related with metabolism and adaptation to starvation when mitochondria function is compromised (Paek et al., 2012; Sheng et al., 2021). Additionally, several studies have highlighted the complex relationship between elements from the IIS pathway (such as AKT-1/2 and DAF-16) and SKN-1. For instance, intestinal cell kinases from the insulin/IGF-1 signalling (IIS) pathway, such as AKT-1/2 and SGK-1, not only inhibited the activation of DAF-16 but also directly suppressed SKN-1 activity, demonstrating that stress responses mediated by both transcription factors are regulated in parallel by IIS signalling (Tullet et al., 2008). In line with this evidence, further research from the same group (Tullet et al., 2017), demonstrated that under conditions of IIS signalling deactivation, SKN-1 expression is upregulated in response to DAF-16 overexpression, possibly due to DAF-16's ability to bind to SKN-1 promoter regions (Schuster et al., 2010). This parallel activity could explain why the activation of both transcription factors can regulate similar processes (i.e. response to oxidative stress and longevity).

Importantly, the responses elicited following DAF-16 and SKN-1 activation are not stationary and can vary depending on specific contexts (i.e. specific tissues or specific stress responses). For

example, although activation of DAF-16 is known to confer protection against oxidative stress (Li et al., 2008), in contexts where mitochondrial ROS levels were elevated, DAF-16 activation was insufficient to prevent increased sensitivity to oxidative stress (Senchuk et al., 2018). Other examples illustrate how SKN-1 was necessary for lifespan extension independently of IIS signalling in some contexts (studies on whole body or neuronal tissues) (Houthoofd et al., 2003; Bishop and Guarente, 2007), while it was not required for IIS mediated longevity in others (study on intestinal cells) (Tullet et al., 2017).

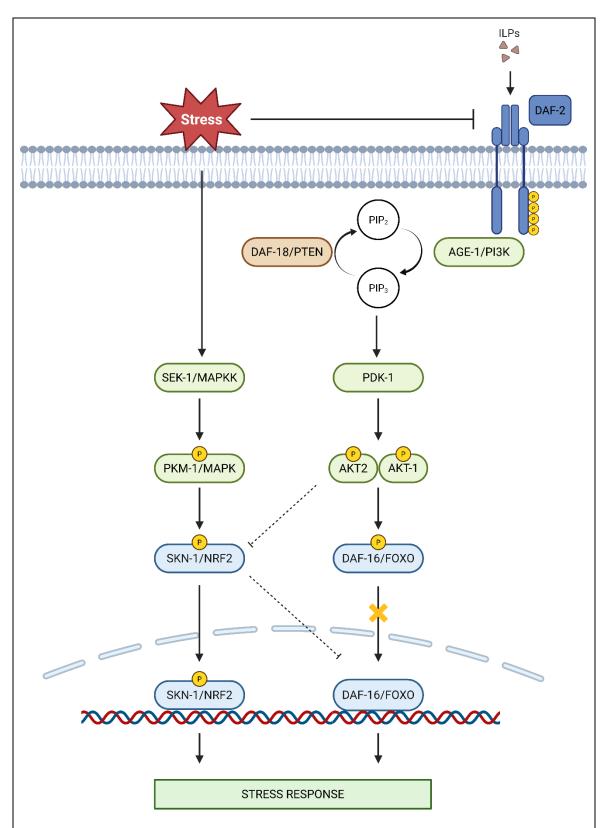


Figure 5.1. Regulatory pathways controlling the activation of the transcription factors DAF-16 and SKN-1 in *Caenorhabditis elegans*

Insulin-like peptides (ILPs) activate the *C. elegans* insulin receptor DAF-2, which triggers a signalling cascade through AGE-1/PI3K that facilitates the conversion of PIP₂ to PIP₃. PIP₃ activates PDK-1, which phosphorylates AKT-1 and AKT-2. Phosphorylated AKTs, in turn, phosphorylate DAF-16, sequestering it in the cytoplasm and thereby inhibiting the transcription

of stress response genes. DAF-18/PTEN functions antagonistically to AGE-1 by converting PIP₃ back to PIP₂, thereby inhibiting the phosphorylation of DAF-16 and allowing its translocation to the nucleus. In parallel, cellular stress, such as oxidative stress, inhibits IIS signalling and activates the MAPK pathway via SEK-1 and PKM-1, resulting in SKN-1 phosphorylation and its translocation to the nucleus. SKN-1 and DAF-16 can function independently or in parallel to induce expression of genes that activate cellular stress responses. Dashed lines indicate regulatory interactions between these pathways.

5.1.2. Endogenous antioxidant enzymes

5.1.2.1. Superoxide dismutase

Superoxide dismutases (SOD) catalyse the conversion of superoxide anion into hydrogen peroxide. The C. elegans genome encodes five SOD enzymes: SOD-1, SOD-2, SOD-3, SOD-4, and SOD-5. SOD-1 (Cu,Zn-SOD) is ubiquitously expressed in the cytoplasm and mitochondrial intermembrane space of cells, and it accounts for the majority of cellular SOD activity under both normal and stress conditions (Gems and Doonan, 2008; Yanase et al., 2009). Loss of SOD-1 in C. elegans reduced lifespan and increased sensitivity to stressors such as oxidative and heat stress (Gems & Doonan, 2008; Van Raamsdonk & Hekimi, 2009; Yanase et al., 2009). SOD-2 (Mn-SOD) is primary responsible for superoxide detoxification in mitochondria across different tissues (Gems and Doonan, 2008; Suthammarak et al., 2013; Dues et al., 2017). Deletion of SOD-2 reduced C. elegans developmental rate and egg laying behaviour and increased oxidative stress vulnerability without influencing other stress responses (i.e. heat or osmotic stress) (Gems & Doonan, 2008; Suthammarak et al., 2013; Van Raamsdonk & Hekimi, 2009). Interestingly, despite increasing endogenous ROS levels, SOD-2 depletion could extend C. elegans lifespan (Dingley et al., 2010; Gems & Doonan, 2008; Van Raamsdonk & Hekimi, 2009). SOD-3 (Mn-SOD) localises to the mitochondrial matrix, predominantly in non-neuronal tissues (Gems and Doonan, 2008; Honda, Tanaka and Honda, 2008; Suthammarak et al., 2013). Under normal conditions the expression levels of SOD-3 remain low, which could explain the minimal phenotypic effects in C. elegans upon sod-3 deletion (Libina, Berman and Kenyon, 2003; Gems and Doonan, 2008; Dues et al., 2017). SOD-4 (Cu,Zn-SOD) is a low-expressed SOD isoform, which is anchored to the membrane of intestinal cells under normal conditions (Gems and Doonan, 2008; Paavanen-Huhtala et al., 2023). Studies have shown that loss of sod-4 has minimal effects on C. elegans' phenotype, with no changes on oxidative stress resistance or longevity in comparison to wildtype animals (Gems & Doonan, 2008; Honda et al., 2008; Van Raamsdonk & Hekimi, 2009). SOD-5 (Cu,Zn-SOD) represents a minor SOD isoform, expressed at low levels in a limited set of neurons (Gems and Doonan, 2008; Dues et al., 2017). Similar to other minor SOD isoforms, the loss of SOD-5 did not result in significant phenotypic changes under normal

conditions (Gems & Doonan, 2008; Van Raamsdonk & Hekimi, 2009). SOD enzymes can be upregulated upon stress conditions such as starvation or oxidative stress (Panowski et al., 2007; Gems and Doonan, 2008; Yanase et al., 2009; Meng et al., 2016; Dues et al., 2017). Interestingly, increased SOD activity did not necessarily correlate with reduced ROS levels or increased lifespan (Cabreiro et al., 2011), illustrating a complex interplay among the five SOD isoforms, ROS levels and lifespan in *C. elegans*.

5.1.2.2. Catalase

Catalases (CTL) are essential to the maintenance of intracellular redox homeostasis by catalysing the decomposition of hydrogen peroxide (H₂O₂) into water and oxygen. The C. elegans genome encodes three CTL enzymes: CLT-1, CTL-2 and CTL-3. CTL-1 is a cytosolic catalase found predominantly in intestinal cells (Hamaguchi et al., 2019), yet its absence has minimal impact on C. elegans phenotypes such as survival or reproduction (Petriv and Rachubinski, 2004). Conversely, deletion of CTL-2, which localise to peroxisomes and constitutes the majority of catalase activity in the cells, resulted in shortened lifespan, reduced reproduction, and abnormal peroxisome morphology (Petriv and Rachubinski, 2004). CTL-3 remains understudied due to challenges in producing viable knockout mutants; however, studies suggested that it might play a relevant role in neurons and muscles of the C. elegans pharynx, as its expression was limited to those tissues (Petriv and Rachubinski, 2004). Similar to other endogenous antioxidants, the regulation of catalase expression within cells is complex, with studies emphasising its upregulation by SKN-1 and DAF-16 under oxidative stress conditions or in long-lived mutants (Hekimi et al., 2001; Yanase, Yasuda and Ishii, 2002; Petriv and Rachubinski, 2004; Park, Tedesco and Johnson, 2009). Notably, research has shown that while overexpression of catalases enhances the tolerance of C. elegans to H₂O₂ exposure, excessive catalase activity resulted in detrimental phenotypes (i.e. reduced lifespan and reproductive defects) (Cabreiro et al., 2011), underscoring the necessity for a precise balance of intracellular H₂O₂ within cells.

5.1.2.3. Glutathione peroxidase

Glutathione peroxidases (GPx) reduce hydrogen peroxide (H2O2) and lipid hydroperoxides to water and corresponding alcohols, using glutathione (GSH) as a reducing agent. In C. elegans there are eight putative GPx isoforms. From those, four isoforms (GPx-1, GPx-2, GPx-6 and GPx7) are homologous to human GPX4 and are predominantly expressed in the intestine (Sakamoto et al., 2014). Deletion of the four GPX4 homologous in C. elegans did not yield changes in the developmental rate or neuromuscular function, although it resulted in a reduced lifespan (Sakamoto et al., 2014, 2020). On the other hand, the remaining four isoforms (GPx3, GPx4, GPx 5, (gpx-3, gpx-4, gpx-5, gpx-8) are homologous to human GPX3 or GPX5 (Sakamoto et al., 2014) and have been less extensively studied, with no reported direct phenotype following single knockouts in C. elegans (Sternberg et al., 2024). The expression of GPx genes in C. elegans is upregulated in response to metal exposure, suggesting that they might play an important role during detoxification (Song et al., 2014). During dietary restriction, only expression of gpx-6 was enhanced (Sakamoto et al., 2014). Although GPx deletion increased the levels of lipid peroxidation (Sakamoto et al., 2020), it did not significantly alter C. elegans sensitivity to H₂O₂ exposure (Sakamoto et al., 2014). These findings, along with the lack of significant phenotypic changes following gene knockouts, suggest functional redundancy of GPx with other antioxidant mechanisms specialized in the reduction of H₂O₂, such as catalases and peroxiredoxins.

5.1.2.4. Peroxiredoxin

Peroxiredoxins (PRDXs) are thiol-based peroxidases that reduce hydrogen peroxide (H₂O₂) and organic hydroperoxides using thioredoxin as a reducing agent. The *C. elegans* genome encodes three peroxiredoxins: PRDX-2, PRDX-3, and PRDX-6. PRDX-3 is predicted to be localised to mitochondria where it associates with respiratory chain complexes (Ferguson and Bridge, 2019). Early studies demonstrated that knockout of *prdx-3* did not modify *C. elegans* sensitivity to H₂O₂ and did not lead to phenotypic alterations (Isermann et al., 2004; Oláhová et al., 2008), possibly due to upregulation of other antioxidant genes. However, PRDX-3 depletion during adulthood did modify the *C. elegans* phenotype, resulting in reduced ATP levels and impaired neuromuscular

function, although their lifespan remained unaffected (Ranjan et al., 2013). PRDX-2, is the most extensively studied isoform, is highly expressed across multiple tissues, including the intestine, muscles and neurons in head and tail (Isermann et al., 2004; Bhatla and Horvitz, 2015). Depletion of PRDX-2 reduces *C. elegans* brood size, decreases lifespan and increases susceptibility to hydrogen peroxide exposure (Isermann et al., 2004; Oláhová et al., 2008). Notably, PRDX-6 remains less characterised, possibly due to early reports indicating the lack of any characteristic phenotypes in its absence (Isermann et al., 2004; Sternberg et al., 2024). Beyond direct H₂O₂ decomposition, PRDX-2 has also been associated with redox modulation of signalling pathways, particularly inhibiting DAF-16 and SKN-1 through activation of IIS signalling in normal conditions (Olahova and Veal, 2015), and increasing p38 MAPK signalling cascade in response to H₂O₂.

5.1.3. Research objectives

In this this chapter, we utilise bioinformatic approaches to determine central elements of the oxidative stress response dysregulated in SMA, with the objective of determining whether these genes can modulate oxidative stress and neuromuscular phenotypes associated with SMA. Oxidative stress sensitivity was assessed exposing *C. elegans* to pro-oxidant compounds (paraquat and juglone). *C. elegans* neuromuscular function was assessed using the pharyngeal pumping and WormLab locomotion assays as described in Chapter IV.

The specific objectives of Chapter V were as following:

- 1. To identify central oxidative stress-related genes dysregulated in smn-1(ok355) animals.
- 2. To investigate the impact of selected genes in modulating oxidative stress sensitivity in *smn-1(ok355)* animals.
- 3. To assess the capacity of selected genes in modulating the neuromuscular defects observed in *smn-1(ok355)* nematodes.

Overall, we aim to determine whether the precise targeting of ROS signals within cells can deepen our understanding of the impact of oxidative stress in SMA. This research could hold the potential to identify novel redox therapeutic targets specifically relevant to SMA.

5.2. Results

5.2.1. Dysregulated genes controlling the oxidative stress response in spinal muscular atrophpy

The results obtained in Chapter IV demonstrated that although ROS levels were elevated in the *smn-1(ok355) C. elegans* SMA model, modulation of ROS alone was insufficient to ameliorate SMA-associated neuromuscular defects. These findings suggest a complex relationship between ROS and SMA pathology. Therefore, we sought to identify key regulatory genes within the oxidative stress response pathways that might contribute to SMA pathogenesis beyond the mere alteration of ROS levels.

We obtained a list of genes implicated in the oxidative stress response (OxSR) in *C. elegans* utilising the GO database (GO:0006979). From this dataset, we identified 13 genes that were significantly upregulated (**Figure 5.2A**) and 34 genes that were significantly downregulated (**Figure 5.2B**), yielding a total of 47 oxidative stress related genes differentially expressed (OxSR-DE) in *smn-1(ok355) C. elegans* SMA. Among these, gene targets of DAF-16 and SKN-1 transcription factors were also observed, including GSH enzymes, antioxidants, and mitochondrial genes.

To gain a better understanding of the precise role that our candidate OxSR-DE genes play in SMA, we performed GO and KEGG functional annotations. The identified OxSR-DE genes were significantly enriched in 59 different GO terms: 65 BP, 13 MF and 11 CC. Among the biological processes terms, we observed enrichment for "Response to oxidative stress", "Response to toxic substance" and "Response to reactive oxygen species" (Figure 5.2C). Among the molecular function (MF) terms, we observed enrichment for "Antioxidant activity", "Peroxidase activity"

and "chondroitin 4-sulfotransferase activity" (**Figure 5.2D**). Finally, within the cellular component terms, we observed enrichment for "Mitochondrion", "Mitochondrial prohibitin complex" and "inner mitochondrial membrane protein complex" (**Figure 5.2E**). Additionally, KEGG pathway analysis identified seven significantly enriched pathways, including "Glutathione metabolism", "Peroxisome" and "Longevity regulating pathway-multiple species" (**Figure 5.2F**).

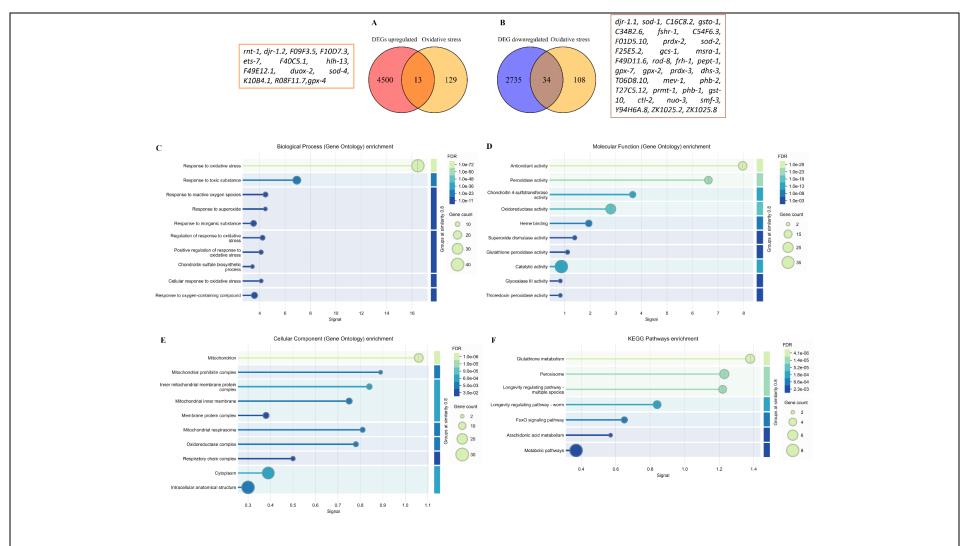


Figure 5.2. Oxidative stress-response genes differentially expressed (OxSR-DE) in the smn-1(ok355) C. elegans SMA model

(A-B) OxSR-DE genes were found in the intersection between DEGs that were upregulated (A) and downregulated (B) in transcriptomic analysis, and genes belonging to the GO dataset "response to oxidative stress" (GO:0006979). The specific 13 upregulated genes (A) and 34 downregulated gene (B) that were yield by the analysis are shown next to each diagram.

(C-F) Functional annotations for OxSR-DE genes in categories biological process (C), molecular function (B), cellular component (E), and KEGG pathways (F). The size of each dot indicates the number of dysregulated genes in each category, and the dot colour represents the adjusted p-value (FDR) of the analysis.

To identify the key regulatory genes in our dataset, we first constructed a protein-protein interaction (PPI) network using the online database STRING. This software allows us to visualise how the protein products of the OxSR-DE genes connect functionally, with lines between proteins representing jointly contributions to a shared function (**Figure 5.3A**). We next analysed the topology of this network using the Molecular Complex Detection (MCODE) plug in Cytoscape. This software enables a deeper understanding of the organisation within the PPI network and the relationships between its components, assigning a "centrality score" value that reflects the influence of specific genes within relevant clusters. Utilising this method, two sub-networks with high centrality scores were detected. The first sub-network contained seven genes, each with a centrality score of 5 (**Figure 5.3B**), while the second sub-network consisted of six genes, each with a centrality score of 3 (**Figure 5.3C**). By integrating these findings, we refined our candidate gene list to 13 oxidative stress response "hub" genes that were differentially expressed in *smn-1(ok355)* animals compared to *smn-1(+)* controls.

Among these 13 hub genes, there were key members of antioxidant defence systems, including three genes belonging to the superoxide dismutase (SOD) family (sod-1, sod-2, sod-4), three genes belonging to the glutathione peroxidase (GPX) family (gpx-2, gpx-4, gpx-7), two genes belonging to the peroxiredoxin (PRDX) family (prdx-2, prdx-3), two genes belonging to the glutathione-independent glyoxalase enzymes (djr-1.1, djr-1.2), one catalase (CTL) enzyme (ctl-2) and one methionine sulfoxide reductase (msra-1). From those, only djr-1.2, sod-4 and gpx-4 were found upregulated in smn-1(ok355) in comparison to smn-1(+) controls, while the rest were significantly downregulated (Figure 5.3D).

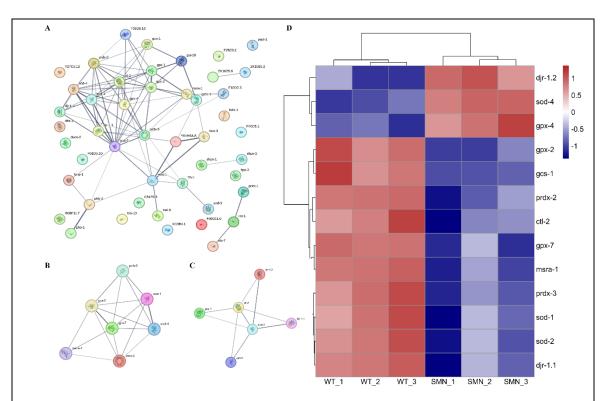


Figure 5.3. Identification of oxidative stress hub genes in the *smn-1(ok355) C. elegans* SMA model using protein-protein interaction (PPI) network analysis

- (A) PPI network of OxSR-DE genes was generated using the STRING database. Edges represent functional interactions between proteins based on experimental evidence, co-expression data, and curated databases.
- (B-C) Highly interconnected clusters identified from the main PPI network using the MCODE plugin in Cytoscape. The first cluster (B) contains seven genes, each with a centrality score of 5. The second cluster (C) contains six genes, each with a centrality score of 3.
- (D) Heat map illustrating the differential gene expression (log2 fold-change) between *smn-1(+)* (WT_1, WT_2, WT_3) controls and *smn-1(ok355)* (SMN_1, SMN_2, SMN_3). Among the final 13 hub genes identified from the integrated MCODE clusters, three genes were significantly upregulated (red) in *smn-1(ok355)*, while ten were significantly downregulated (blue).

To identify the transcriptional factors (TF) regulating the expression of the 13 hub genes we utilised the JASPAR database via NetworkAnalyst. Importantly, this online tool does not recognise *C. elegans* identifiers, thus, prior to the analysis, each *C. elegans* gene was mapped to its closest human ortholog using the OrthoList2 (**Table 5.1**).

Table 5.1. Conversion of candidate *C. elegans* OxSR-DE genes to their closest human ortholog

C. elegans gene	Wormbase ID	Human ortholog	Ensembl ID
sod-4	WBGene00004933	SOD1	ENSG00000142168
phb-2	WBGene00004015	PHB2	ENSG00000215021
phb-1	WBGene00004014	РНВ	ENSG00000167085
mev-1	WBGene00003225	SDHC	ENSG00000143252
	WBGene00011045	GPX7	ENSG00000116157
gpx-2		GPX4	ENSG00000167468
		GPX8	ENSG00000164294
	WBGene00019846	GPX8	ENSG00000164294
gpx- 7		GPX4	ENSG00000167468
		GPX7	ENSG00000116157
sod-1	WDC00004020	CCS	ENSG00000173992
soa-1	WBGene00004930	SOD1	ENSG00000142168
		NDUFA6	ENSG00000184983
		NDUFA6	ENSG00000272765
nuo-3	WDGana00012209	NDUFA6	ENSG00000273397
nuo-s	WBGene00013308	NDUFA6	ENSG00000277365
		NDUFA6	ENSG00000281013
		BRK1	ENSG00000254999
Y94H6A.8	WBGene00022380	NDUFA12	ENSG00000184752
sod-2	WBGene00004931	SOD2	ENSG00000112096

The resultant TF network is shown in **Figure 5.4**. The details of the analysis have been compiled in **Table 5.2**, where only nodes (input genes or predicted TFs) that exhibit five or more connections within the network have been included. Among the input hub genes, *gpx-2*, *gpx-7*, *nuo-3*, *phb-2* and *sod-2* demonstrated the highest number of connections within the cluster, implying that their transcription is modulated by multiple TFs predicted by the analysis. Notably, all five genes are downregulated in *smn-1*(*ok355*) animals (**Figure 5.3D**). The five TFs with more

connections within the network were FOXC1, GATA2, RELA, PPARG, and HOXA5. *C. elegans* possess orthologues for four of these: DAF-16 (FOXO), PHA-4 (FOXA, a paralogue of FOXC1), ETL-2 (GATA), and DAF-12 (PPARG). In *C. elegans*, these TFs are associated with stress responses and development (Ogg et al., 1997; Gaudet and Mango, 2002; Dowell et al., 2003; Zárate-Potes et al., 2020). The remaining TF, RELA, which is part of the NF-κB pathway, lacks a direct equivalent in *C. elegans* (Brena et al., 2020). It is important to note that, while this transcription factor network offers valuable insights for generating hypotheses regarding the regulatory control of our hub genes, the cross-species approach employed means that the results should be regarded as approximations rather than a definitive transcriptional regulatory map.

Table 5.2. Transcription factor analysis of hub oxidative stress hub genes identified in *smn-1(ok355)* animals

Node type	Human ID	C. elegans ID	Connections
Gene	GPX4	gpx-2/gpx-7	19
Gene	NDUFA12	nuo-3	11
Gene	BRK1	nuo-3	11
Gene	РНВ2	phb-2	11
TF	FOXC1	DAF-16/PHA-4	10
TF	GATA2	ELT-2	9
Gene	SOD2	sod-2	9
Gene	NDUFA6	Y94H6A.8	8
Gene	РНВ	phb-1	7
Gene	GPX7	gpx-2/gpx-7	7
Gene	CCS	sod-1	6
TF	RELA	NA	6
Gene	SOD1	sod-1/sod-4	6
Gene	SDHC	mev-1	5
TF	PPARG	DAF-12	5
TF	HOXA5	LIN-39	5
Gene	GPX8	gpx-2/gpx-7	5

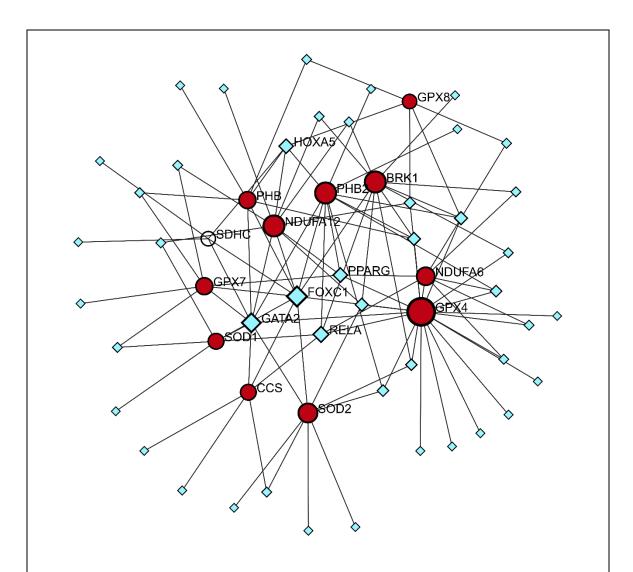


Figure 5.4. Transcription-factor (TF) network of oxidative stress hub genes identified in *smn-1(ok355)* animals

Transcriptional regulatory map predicted by NetworkAnalyst (JASPAR database) employing human orthologs for our 13 oxidative stress hub genes. The input genes are represented by red circles, while TFs are depicted as blue diamonds.

5.2.2. Evaluation of the impact of *sod* knockouts on neuromuscular function

The identification of 13 hub genes enriched in oxidative stress-related pathways highlight their significant role in modulating the ROS imbalance observed in SMA pathology. Among those genes, the SOD family emerged as a key candidate due to its central presence in both PPI and TF networks. Furthermore, unlike other antioxidant enzyme families, whose function may overlap (i.e., CTL, GPX, and PRDX catalyse similar reactions converting H₂O₂ into H₂O), the SOD family performs a specialised and non-redundant role within cells catalysing the conversion of O₂•– into H₂O₂.

To determine whether SOD activity can modulate smn-1(ok355) SMA phenotypes, we introduced the available sod null alleles into smn-1(ok355) animals by genetic crossing, generating smn-1(ok355); sod double mutant strains. We included all five members of the SOD family in our analysis, leveraging their distinct subcellular compartmentalisation to investigate how the fine modulation of ROS influences SMA-related phenotypes.

5.2.2.1. Superoxide dismutase 1

To elucidate the impact of SOD-1 depletion in the oxidative stress response of *C. elegans*, *smn-1(+)*, sod-1(tm776), smn-1(ok355), and smn-1(ok355); sod-1(tm776) animals were assessed under chronic (4 mM paraquat) and acute (60 μ M juglone) oxidative stress conditions.

Our analysis indicated that sod-1(tm776) animals exhibit increased sensitivity to chronic oxidative stress conditions relative to smn-1(+) controls (Figure 5.5A; P < 0.0001). Similarly, smn-1(ok355); sod-1(tm776) double mutants demonstrated increased sensitivity to chronic oxidative stress exposure in comparison to smn-1(ok355) animals (Figure 5.5A; P < 0.0001). Previous unpublished work from our group has shown that the sensitivity of sod-1(tm776) animals to low levels of acute oxidative conditions did not differ from that of smn-1(+) animals (Supplementary Figure 7A; P = 0.1556). Likewise, smn-1(ok355); sod-1(tm776) double mutants exhibited the same sensitivity to acute oxidative stress exposure as smn-1(ok355) animals

(Supplementary Figure 7A; P = 0.2114). Combined, these findings highlight the significant role of SOD-1 in conferring protection against chronic oxidative stress conditions, while its role in acute oxidative stress protection appears less relevant at the concentrations tested in this study.

Next, we investigated whether SOD-1 depletion can modulate C. elegans neuromuscular behaviour using the pharyngeal pumping and locomotion assays. Previous unpublished work from our group demonstrated that the pharyngeal pumping rate of sod-1(tm776) animals did not significantly differ from that of smn-1(+) animals (Supplementary Figure 8A; P > 0.9999). In addition, smn-1(ok355);sod-1(tm776) double mutants did not exhibit significant change in their pharyngeal pumping rate in comparison to smn-1(ok355) animals (Supplementary Figure 8A; P = 0.0001). We then further evaluated the influence of SOD-1 depletion in C. elegans neuromuscular performance and observed no statistically significant difference in the number of body bends during locomotion assays between sod-1(tm776) and smn-1(+) animals (**Figure 5.5B**; P = 0.4240). Similarly, we found no differences in the number of body bends between smn-1(ok355); sod-1(tm776) double mutants and smn-1(ok355) animals (Figure 5.5B; P = 0.1434). Furthermore, assessment of the speed during locomotion revealed no significant differences between sod-1(tm776) and smn-1(+) animals (Figure 5.5C; P = 0.9396). Likewise, the speed of smn-1(ok355);sod-1(tm776) remained similar to that exhibited by smn-1(ok355) animals alone (**Figure 5.5C**; P = 0.3458). Moreover, the time that the animals spent inactive during the assay was similar for both sod-1(tm776) and smn-1(+) animals (Figure 5.5D; P = 0.9838). Notably, the time that smn-1(ok355);sod-1(tm776) animals remained inactive during the assay was significantly increased in comparison to smn-1(ok355) animals (Figure 5.5D; P = 0.0037). Overall, deletion of sod-1 increases sensitivity to oxidative stress under chronic conditions and exacerbates the neuromuscular defects of smn-1(ok355) animals.

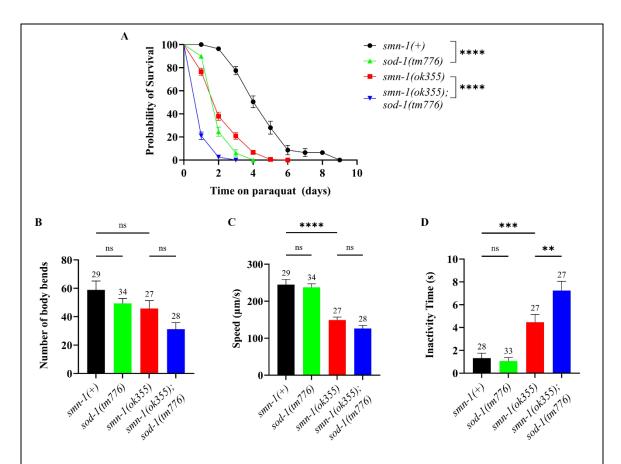


Figure 5.5. Deletion of *sod-1* increases sensitivity to oxidative stress and exacerbates neuromuscular defects in the *smn-1(ok355) C. elegans* SMA model

(A) Deletion of sod-1(tm776) increased sensitivity to chronic oxidative stress induced by 4 mM paraquat; this was also evident when sod-1 was deleted in smn-1(ok355) mutants. Probability of survival over time is presented in a Kaplan-Meier survival curve. Each time point represents the mean \pm SEM of three independent trials. Statistical significance was determined using separate log-rank test applied to pairwise genotype comparisons. ****P < 0.0001. smn-1(+) (n = 77), sod-1(tm776) (n = 118), smn-1(ok355) (n = 186), and smn-1(ok355);sod-1(tm776) (n = 157).

(B-D) *C. elegans* neuromuscular function was assessed in a locomotion assay and evaluated through number of body bends (B), speed (C) and inactivity time (D). Deletion of sod-1(tm776) did not affect the animal's neuromuscular function. However, deletion of sod-1(tm776) in smn-1(ok355) mutants significantly exacerbated their neuromuscular defects, reflected as an increase in the time that the animals spent inactive (D). Data are presented as the mean \pm SEM from at least two independent trials. Statistical significance was determined using one-way ANOVA (P=0.0024, P<0.0001, and P<0.0001, for figures B, C, and D, respectively), followed by Sidak's multiple comparison test. ns P>0.05; **P<0.01; ***P<0.001; ****P<0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

5.2.2.2. Superoxide dismutase 2

To elucidate the impact of SOD-2 depletion in the oxidative stress response of *C. elegans*, *smn-1(+)*, sod-2(ok1030), smn-1(ok355), and smn-1(ok355); sod-2(ok1030), animals were assessed under chronic (4 mM paraquat) and acute (60 μ M juglone) oxidative stress conditions.

Our analysis indicated that sod-2(ok1030) animals exhibited increased sensitivity to chronic oxidative stress conditions relative to smn-1(+) controls (Figure 5.6A; P < 0.0001). Similarly, smn-1(ok355); sod-2(ok1030) double mutants demonstrated increased sensitivity to chronic oxidative stress exposure in comparison to smn-1(ok355) animals alone (Figure 5.6A; P < 0.0001). In contrast, the sensitivity of sod-2(ok1030) animals to acute oxidative conditions did not differ from that of smn-1(+) animals (Figure 5.6B; P = 0.1493). Likewise, smn-1(ok355); sod-2(ok1030) double mutants exhibited the same sensitivity to acute oxidative stress exposure as smn-1(ok355) animals (Figure 5.6B; P = 0.1027). Combined, these findings highlight the significant role of SOD-2 in conferring protection against chronic oxidative stress conditions, while its role in acute oxidative stress protection appears less relevant at the concentrations tested in this study.

Next, we investigated whether SOD-2 depletion modulate C. elegans neuromuscular behaviours using the pharyngeal pumping and locomotion assays. Our findings demonstrated that the pharyngeal pumping rates of sod-2(ok1030) animals were significantly reduced in comparison to smn-1(+) animals (**Figure 5.6C**; P < 0.0001). In contrast, the pharyngeal pumping rate of smn-1(ok355); sod-2(ok1030) double mutants was not statistically significantly different from smn-1(ok355) animals (**Figure 5.6C**; P > 0.9999). Building upon those findings, we further evaluated the influence of SOD-2 depletion in C. elegans neuromuscular performance and observed no statistically significant difference in the number of body bends during locomotion between sod-2(ok1030) and smn-1(+) animals (**Figure 5.6D**; P = 0.2396). Similarly, we found no differences in the number of body bends between smn-1(ok355); sod-2(ok1030) double mutants and smn-1(ok355) single mutant animals (**Figure 5.6D**; P = 0.5390). Furthermore, assessment of the animal's speed during locomotion revealed that the speed of sod-2(ok1030) animals was

significantly reduced in comparison to smn-1(+) animals (**Figure 5.6E**; P=0.0141). Conversely, the speed of smn-1(ok355); sod-2(ok1030) double mutants remained similar to that exhibited by smn-1(ok355) animals (**Figure 5.6E**; P=0.9433). Moreover, the time that sod-2(ok1030) animals remained inactive during the assay was significantly increased in comparison to smn-1(+) animals (**Figure 5.6F**; P=0.0101). However, no significant differences were found in the time that smn-1(ok355); sod-2(ok1030) double mutants remained inactive in comparison to smn-1(ok355) animals (**Figure 5.6F**; P=0.9982). Collectively, these findings highlight a role for SOD-2 in neuromuscular function; however, SOD-2 does not seem to act as a genetic modifier of smn-1(ok355) neuromuscular defects.

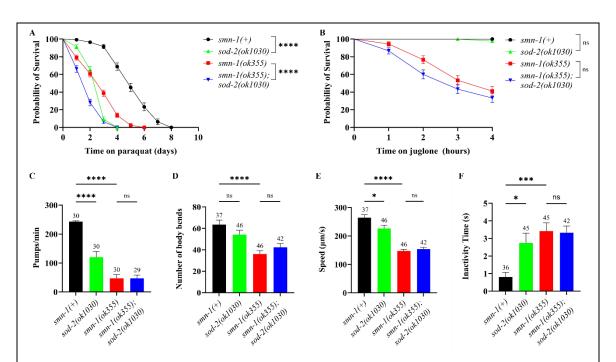


Figure 5.6. Deletion of *sod-2* increases sensitivity to oxidative stress without exacerbating neuromuscular defects in the *smn-1(ok355) C. elegans* SMA model

- (A) Deletion of sod-2(ok1030) increased sensitivity to chronic oxidative stress induced by exposure to 4 mM paraquat; this was also evident when sod-2 was deleted in smn-1(ok355) mutants. smn-1(+) (n = 88), sod-2(ok1030) (n = 126), smn-1(ok355) (n = 196), and smn-1(ok355); sod-2(ok1030) (n = 153).
- (B) Deletion of sod-2(ok1030) alone did not affect sensitivity to acute oxidative stress induced by exposure to 60 μ M juglone; this was also evident when sod-2 was deleted in smn-1(ok355) mutants. smn-1(+) (n = 91), sod-2(ok1030) (n = 89), smn-1(ok355) (n = 90), and smn-1(ok355); sod-2(ok1030) (n = 90). Probability of survival over time is presented in a Kaplan-Meier survival curve. Each time point represents the mean \pm SEM of three independent trials. Statistical significance was determined using separate log-rank test applied to pairwise genotype comparisons. ns P > 0.05; ****P < 0.0001.
- (C-F) *C. elegans* neuromuscular function was assessed via pharyngeal pumping assay (C) and locomotion assay, evaluated through number of body bends (D), speed (E) and inactivity time (F). Deletion of sod-2(ok1030) alone impaired neuromuscular function by decreasing the rate of pharyngeal pumping (C), decreasing the speed of the animals while crawling (E) and increasing the time that the animals spent inactive (F). However, deletion of sod-2(ok1030) in smn-1(ok355) mutants did not affect their neuromuscular function. Data are presented as the mean \pm SEM from at least two independent trials. Statistical significance was determined using one-way ANOVA (P < 0.0001, P < 0.0001, P < 0.0001, and P = 0.0003, for figures A, B, C, and D, respectively), followed by Sidak's multiple comparison test. ns P > 0.05; **P < 0.01; ****P < 0.001; ****P < 0.001. The sample size (n) for each condition is shown above of the corresponding error bar.

5.2.2.3. Superoxide dismutase 3

To elucidate the impact of SOD-3 depletion in the oxidative stress response of *C. elegans*, *smn-1(+)*, sod-3(tm760), smn-1(ok355), and smn-1(ok355); sod-3(tm760), animals were assessed under chronic (4 mM paraquat) and acute (60 μ M juglone) oxidative stress conditions.

Our analysis indicated that sod-3(tm760) animals exhibited increased sensitivity to chronic oxidative stress conditions relative to smn-1(+) controls (Figure 5.7A; P < 0.0001). In contrast, smn-1(ok355); sod-3(tm760) double mutants exhibited the same sensitivity to chronic oxidative stress exposure as smn-1(ok355) animals (Figure 5.7A; P = 0.5107). In addition, previous unpublished work from our group has shown that the sensitivity of sod-3(tm760) animals to acute oxidative conditions did not differ from that of smn-1(+) animals (Supplementary Figure 7B; P = 0.3085). Likewise, smn-1(ok355); sod-3(tm760) double mutants exhibited the same sensitivity to acute oxidative stress exposure as smn-1(ok355) animals (Supplementary Figure 7B; P = 0.1569). Despite SOD-3 having a role in chronic oxidative stress, its absence did not modify smn-1(ok355) oxidative stress sensitivity.

Next, we investigated whether SOD-3 depletion modulated *C. elegans* neuromuscular behaviours using the pharyngeal pumping and locomotion assays. Previous unpublished work from our group demonstrated that the pharyngeal pumping rate of sod-3(tm760) animals did not significantly differ from that of smn-1(+) animals (**Supplementary Figure 8A**; P = 0.2913). Likewise, there was no statistically significant difference in the pharyngeal pumping rate of smn-1(ok355);sod-3(tm760) double mutants and smn-1(ok355) animals (**Supplementary Figure 8A**; P = 0.9914). Building upon those findings, we further evaluated the influence of SOD-3 depletion in *C. elegans* neuromuscular performance and observed no statistically significant difference in the number of body bends during locomotion in sod-3(tm760) versus smn-1(+) animals (**Figure 5.7B**; P = 0.8045). Similarly, we found no differences in the number of body bends between smn-1(ok355);sod-3(tm760) double mutants and smn-1(ok355) animals (**Figure 5.7B**; P = 0.8045). Furthermore, assessment of the animals' speed during locomotion revealed no significant differences between sod-3(tm760) and smn-1(+) animals (**Figure 5.7C**; P = 0.9997). Likewise,

the speed of smn-1(ok355); sod-3(tm760) double mutants remained similar to that exhibited by smn-1(ok355) animals (**Figure 5.7C**; P=0.8868). Moreover, the time that the animals spent inactive during the assay was similar for both sod-3(tm760) and smn-1(+) animals (**Figure 5.7D**; P=0.8876). Similarly, there was no difference in the time that smn-1(ok355); sod-3(tm760) double mutants and smn-1(ok355) animals remained inactive (**Figure 5.7D**; P=0.3351). Collectively, these findings suggest that SOD-3 depletion does not significantly modulate C. elegans neuromuscular function.

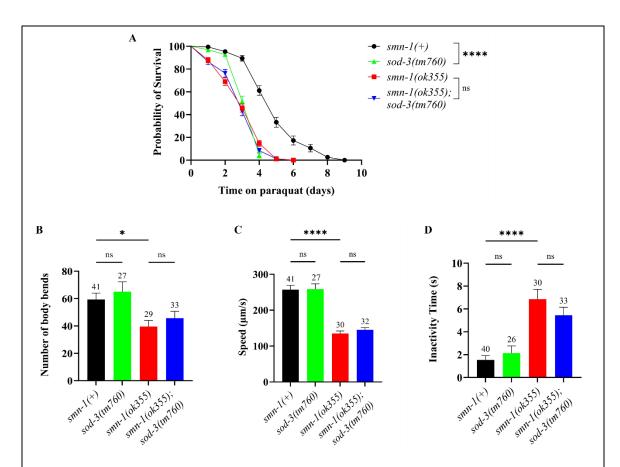


Figure 5.7. Deletion of *sod-3* did not increase sensitivity to oxidative stress or affect neuromuscular function in the *smn-1(ok355) C. elegans* SMA model

A) Deletion of sod-3(tm760) alone increased sensitivity to chronic oxidative stress induced by 4 mM paraquat; this was not evident when sod-3 was deleted in smn-1(ok355) mutants. Probability of survival over time is presented in a Kaplan-Meier survival curve. Each time point represents the mean \pm SEM of three independent trials. Statistical significance was determined using separate log-rank test applied to pairwise genotype comparisons. ns P > 0.05; ****P < 0.0001. smn-1(+) (n = 111), sod-3(tm760) (n = 94), smn-1(ok355) (n = 190), and smn-1(ok355); sod-3(tm760) (n = 156).

(B-D) *C. elegans* neuromuscular function was assessed in a locomotion assay and evaluated through the number of body bends (B), speed (C) and inactivity time (D). Deletion of *sod-3(tm760)* did not affect the animals' neuromuscular function alone nor in the *smn-1(ok355)* mutant background. Data are presented as the mean \pm SEM from at least two independent trials. Statistical significance was determined using one-way ANOVA (P = 0.0043, P < 0.0001, and P < 0.0001, for figures B, C, and D, respectively), followed by Sidak's multiple comparison test. ns P > 0.05; *P < 0.01; ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

5.2.2.4. Superoxide dismutase 4

To elucidate the impact of SOD-4 depletion in the oxidative stress response of *C. elegans*, *smn-1(+)*, sod-4(gk101), smn-1(ok355), and smn-1(ok355); sod-4(gk101), animals were assessed under chronic (4 mM paraquat) and acute (60 μ M juglone) oxidative stress conditions.

Our analysis indicated that sod-4(gk101) animals exhibited increased sensitivity to chronic oxidative stress conditions relative to smn-1(+) controls (Figure 5.8A; P=0.0004). Interestingly, smn-1(ok355); sod-4(gk101) double mutants demonstrated decreased sensitivity (i.e. increased tolerance) to chronic oxidative stress exposure in comparison to smn-1(ok355) animals (Figure 5.8A; P<0.0001). In contrast, previous unpublished work from our group has shown that the sensitivity of sod-4(gk101) animals to acute oxidative conditions did not differ from that of smn-1(+) animals at low juglone concentration (Supplementary Figure 7C; P=0.3297). Notably, smn-1(ok355); sod-4(gk101) double mutants exhibited decreased sensitivity (i.e. increased tolerance) to acute oxidative stress exposure in comparison to smn-1(ok355) animals (Supplementary Figure 7C; P<0.0001). Combined, these findings highlight that although SOD-4 is required for protection against chronic oxidative stress, its absence in smn-1(ok355) animals unexpectedly conferred resistance to oxidative stress insults.

Next, we investigated whether SOD-4 depletion can modulate C. elegans neuromuscular behaviours using the pharyngeal pumping and locomotion assays. Previous unpublished work from our group demonstrated that the pharyngeal pumping rate of sod-4(gk101) animals did not significantly differ from that of smn-1(+) animals (**Supplementary Figure 8C**; P=0.2374). In contrast, smn-1(ok355); sod-4(gk101) double mutants exhibited significantly increased pharyngeal pumping rates in comparison to smn-1(ok355) animals (**Supplementary Figure 8CA**; P=0.0003). Building upon those findings, we further evaluated the influence of SOD-4 depletion in C. elegans neuromuscular performance and observed that the number of body bends during locomotion was significantly reduced in sod-4(gk101) animals in comparison to smn-1(+) control animals (**Figure 5.8B**; P=0.0115). In contrast, smn-1(ok355); sod-4(gk101) double mutants exhibited increased number of body bends in comparison to smn-1(ok355) animals (**Figure 5.8B**;

P=0.0001). Furthermore, assessment of speed during locomotion revealed no significant differences between sod-4(gk101) and smn-1(+) animals (**Figure 5.8C**; P=0.1616). Likewise, the speed of smn-1(ok355); sod-4(gk101) remained similar to that exhibited by smn-1(ok355) animals (**Figure 5.8C**; P=0.0753). Moreover, the time that the animals spent inactive during the assay was similar for both sod-4(gk101) and smn-1(+) animals (**Figure 5.8D**; P=0.9652). Similarly, we found no differences in the time that smn-1(ok355); sod-4(gk101) and smn-1(ok355) animals remained inactive (**Figure 5.8D**; P<0.0001). Collectively, these findings suggest that depletion of SOD-4 could be acting as a protective genetic modifier of smn-1(ok355) defects since deletion of sod-4 decreased sensitivity to oxidative stress and ameliorated neuromuscular defects in the smn-1(ok355) C. elegans SMA model.

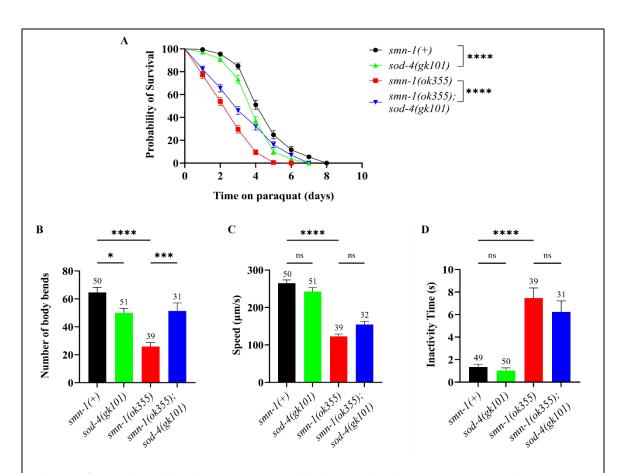


Figure 5.8. Deletion of *sod-4* decreases sensitivity to oxidative stress and ameliorates neuromuscular defects in the *smn-1(ok355) C. elegans* SMA model

(A) Deletion of sod-4(gk101) alone increased sensitivity to chronic oxidative stress induced by 4 mM paraquat, while decreased sensitivity to oxidative stress in smn-1(ok355) mutants. Probability of survival over time is presented in a Kaplan-Meier survival curve. Each time point represents the mean \pm SEM of three independent trials. Statistical significance was determined using separate log-rank test applied to pairwise genotype comparisons. ****P < 0.0001. smn-1(+) (n = 150), sod-4(gk101) (n = 148), smn-1(ok355) (n = 189), and smn-1(ok355); sod-4(gk101) (n = 198).

(B-D) *C. elegans* neuromuscular function was assessed in a locomotion assay and evaluated through number of body bends (B), speed (C) and inactivity time (D). Deletion of sod-4(gk101) impaired the animals' neuromuscular function, reflected by their decreased rate of pharyngeal pumping (B). However, deletion of sod-4(gk101) ameliorated smn-1(ok355) neuromuscular defects, reflected in their increased pharyngeal pumping rate (B). Data are presented as the mean \pm SEM from at least two independent trials. Statistical significance was determined using oneway ANOVA (P < 0.0001, P < 0.0001, and P < 0.0001, for figures B, C, and D, respectively), followed by Sidak's multiple comparison test. ns P > 0.05; *P < 0.05; ***P < 0.001; ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

5.2.2.5. Superoxide dismutase 5

To elucidate the impact of SOD-5 depletion in the oxidative stress response of *C. elegans*, *smn-1(+)*, sod-5(tm1146), smn-1(ok355), and smn-1(ok355); sod-5(tm1146), animals were assessed under chronic (4 mM paraquat) and acute (60 μ M juglone) oxidative stress conditions.

Our analysis indicated that the sensitivity of sod-5(tm1146) animals to chronic oxidative stress conditions did not differ from that of smn-I(+) animals (Figure 5.9A; P = 0.8583). Interestingly, smn-1(ok355);sod-5(tm1146) double mutants demonstrated decreased sensitivity (i.e. increased tolerance) to chronic oxidative stress exposure in comparison to smn-1(ok355) animals (Figure **5.9A**; P < 0.0001). Likewise, previous unpublished work from our group has shown that the sensitivity of sod-5(tm1146) animals to acute oxidative stress conditions did not differ from that of smn-1(+) animals (Supplementary Figure 7D; P > 0.9999). Notably, smn-1(ok355); sod-5(tm1146) double mutants exhibited decreased sensitivity (i.e. increased tolerance) to acute oxidative stress exposure in comparison to smn-1(ok355) animals (Supplementary Figure 7D; P < 0.0001). Combined, these findings highlight the differential impact of SOD-5 in smn-1(+) animals and smn-1(ok355). While it is not required for oxidative stress protection in smn-1(+) animals, its absence in smn-1(ok355) animals conferred protection against oxidative stress insults. Next, we investigated whether SOD-5 depletion modulated C. elegans neuromuscular behaviours using the pharyngeal pumping and locomotion assays. Previous unpublished work from our group demonstrated that the pharyngeal pumping rate of sod-5(tm1146) animals did not significantly differ from that of smn-l(+) animals (Supplementary Figure 8D; P = 0.6155). Likewise, there was no statistically significant difference in the pharyngeal pumping rate of smn-1(ok355);sod-5(tm1146) double mutants and smn-1(ok355) animals (Supplementary Figure 8D; P = 0.0838). Building upon those findings, we further evaluated the influence of SOD-5 depletion in C. elegans neuromuscular performance and observed that the number of body bends during locomotion was significantly increased in sod-5(tm1146) animals in comparison to smn-1(+) animals (Figure **5.9B**; P = 0.0060). In contrast, we found no differences in the number of body bends between smn-1(ok355); sod-5(tm1146) double mutants and smn-1(ok355) animals (Figure 5.9B; P =

0.1605). Furthermore, assessment of the speed during locomotion revealed that the speed of *sod-5(tm1146)* animals was significantly increased in comparison to that of smn-1(+) animals (**Figure 5.9C**; P = 0.0188). Conversely, the speed of smn-1(ok355); sod-5(tm1146) double mutants remained similar to that exhibited by smn-1(ok355) animals (**Figure 5.9C**; P = 0.0525). Moreover, the time that the animals spent inactive during the assay was similar for both sod-5(tm1146) and smn-1(+) animals (**Figure 5.9D**; P = 0.9545). Notably, the time that smn-1(ok355); sod-5(tm1146) animals remained inactive during the assay was significantly reduced in comparison to smn-1(ok355) animals (**Figure 5.9D**; P = 0.0325). Collectively, these findings suggest that deletion of sod-5 decreased sensitivity to oxidative stress and ameliorated neuromuscular defects in the smn-1(ok355) C. elegans SMA model.

Altogether, these findings highlight the distinct contributions of each SOD isoform to oxidative stress sensitivity and neuromuscular function in SMA, as summarized in **Table 5.3**, whose significance is discussed in the following section.

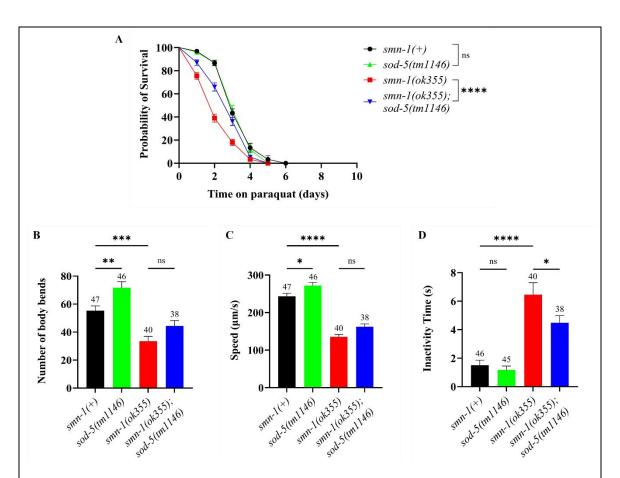


Figure 5.9. Deletion of *sod-5* decreases sensitivity to oxidative stress and ameliorates neuromuscular defects in the *smn-1(ok355) C. elegans* SMA model

(A) Deletion of sod-5(tm1146) alone did not affect sensitivity to chronic oxidative stress induced by 4 mM paraquat; however, sod-5 loss decreased sensitivity to oxidative stress in smn-1(ok355) mutants. Probability of survival over time is presented in a Kaplan-Meier survival curve. Each time point represents the mean \pm SEM of three independent trials. Statistical significance was determined using separate log-rank test applied to pairwise genotype comparisons. ns P > 0.05; ****P < 0.0001. smn-1(+) (n = 125), sod-5(tm1146) (n = 96), smn-1(ok355) (n = 205), and smn-1(ok355); sod-5(tm1146) (n = 185).

(B-D) *C. elegans* neuromuscular function was assessed in a locomotion assay and evaluated through number of body bends (B), speed (C) and inactivity time (D). Deletion of sod-5(tm1146) increased the number of body bends (B) and speed (C) in *C. elegans*. Moreover, deletion of sod-5(tm1146) ameliorated smn-1(ok355) neuromuscular defects, reflected in reduced inactivity time (D). Data are presented as the mean \pm SEM from at least two independent trials. Statistical significance was determined using one-way ANOVA (P < 0.0001, P < 0.0001, and P < 0.0001, for figures B, C, and D, respectively), followed by Sidak's multiple comparison test. ns P > 0.05; *P < 0.05; **P < 0.01; ****P < 0.001; ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.

Table 5.3. Summary of phenotypic outcomes observed in *C. elegans* single *sod* mutants and *smn-1(ok355);sod* double mutans across oxidative stress and neuromuscular function assays.

	Paraquat	Juglone	Pumping	Body Bends	Speed	Inactivity Time
sod-1(tm776) vs. smn-1(+)	↑ Sensitivity	ns	ns	ns	ns	ns
smn-1(ok355);sod-1(tm776) vs. smn-1(ok355)	↑ Sensitivity	ns	ns	ns	ns	↑ Inactive time
sod-2(ok1030) vs. smn-1(+)	↑ Sensitivity	ns	↓ Pumps/min	ns	↓ Speed	↑ Inactive time
smn-1(ok355);sod-2(ok1030) vs. smn-1(ok355)	↑ Sensitivity	ns	ns	ns	ns	ns
sod-3(tm760) vs. smn-1(+)	↑ Sensitivity	ns	ns	ns	ns	ns
smn-1(ok355);sod-3(tm760) vs. smn-1(ok355)	ns	ns	ns	ns	ns	ns
sod-4(gk101) vs. smn-1(+)	↑ Sensitivity	ns	ns	↓ Body Bends	ns	ns
smn-1(ok355);sod-4(gk101) vs. smn-1(ok355)	↓ Sensitivity	↓ Sensitivity	† Pumps/min	↑ Body bends	ns	ns
sod-5(tm1146) vs. smn-1(+)	ns	ns	ns	↑ Body Bends	↑ Speed	ns
smn-1(ok355);sod-5(tm1146) vs. smn-1(ok355)	↓ Sensitivity	↓ Sensitivity	ns	ns	ns	↓ Inactive time

5.3. Discussion

Accumulating evidence indicates that SMA patients exhibit elevated ROS levels (**Table 1.4. Evidence of elevated reactive oxygen species in spinal muscular atrophy**). Indeed, we have observed elevated ROS levels in the *smn-1(ok355) C. elegans* SMA model, reinforcing the notion that increased oxidative stress is consistently present across different SMA models. Furthermore, these animals display increased sensitivity specifically to oxidative stress, but not to other stressors, suggesting a particular vulnerability to oxidative damage (Chapter III). Although it is known that oxidative stress can trigger mitochondrial abnormalities, protein misfolding, synapse dysfunction, and other degenerative processes in neurons (Barnham et al., 2004; Chen et al., 2012; Houldsworth, 2024; Singh et al., 2019) its precise impact on SMA remains poorly understood. In this line, our own findings revealed that modulating ROS levels alone did not always improve neuromuscular function in *C. elegans* SMA (Chapter IV). Thus, understanding how managing ROS levels interacts with SMA phenotypes could provide a deeper understanding into the molecular mechanisms that drive motor neuron loss.

5.3.1. Identification of central oxidative stress related genes differentially expressed

In this context, a central challenge emerges: which oxidative-stress-related genes stand out as crucial regulators of SMA pathology? By identifying oxidative stress-related genes differentially expressed in SMA, we aimed to gain novel insights into the mechanisms driving the disease, ultimately contributing to the identification of new therapeutic targets to mitigate the effects of oxidative stress in SMA.

Multiple studies have identified dysregulated genes associated with mitochondrial bioenergetics, oxidative stress modulation, and antioxidant defence in SMA models (Shababi et al., 2012; Miller et al., 2016; Boyd et al., 2017; Thelen, Wirth and Kye, 2020; El Khoury et al., 2023; Barbo et al., 2024). However, few have investigated the functional significance of these genes in relation to

specific SMA phenotypes. For example, Miller et al., (2016) and Thelen et al., (2020) reported significant alterations in cellular processes related to mitochondrial function, but did not further examine individual targets from the pool of genes identified. Notably, Boyd et al., (2017) reported similar mitochondrial dysregulation and focused on PGK1, a gene linked to ATP production downregulated in SMA. Reducing PGK1 expression in wild-type zebrafish partially replicated the SMA phenotype, while its overexpression ameliorated motor neuron axon phenotypes in cell culture, highlighting the critical role of maintaining mitochondrial integrity in SMA.

Other studies have concentrated on specific modulators of oxidative stress. El Khoury et al., (2023) investigated NADPH oxidases NOX2 and NOX4, while Shababi et al., (2012) assessed differential expression of Nox2, Rac1 and p47-phox. Among these, only NOX4 (upregulated in SMA) was further investigated and emerged as a promising candidate for therapeutic intervention, as researchers observed that NOX4 inactivation prevented motor neuron loss and improved the motor behaviour in severe SMA mice. In a broader examination, Köstel et al., (2012) evaluated the expression of 84 antioxidant-response genes in fibroblasts from SMA patients and healthy controls, identifying five genes whose expression was significantly altered (SEPP1, AOX1, TTN, DHCR24 were upregulated, while COX2 was downregulated), although the study did not examine the effect of those genes in the SMA phenotype. More recently, Barbo and colleagues explored genetic polymorphisms across seven oxidative-stress-related genes and found that variants in SOD2, CAT, NFE2L2, GPX1, and HMOX1 correlated with clinical severity and disease onset (Barbo et al., 2024). These findings further underscore the potential role of specific elements of the oxidative stress-response as modifier of SMA progression.

Nevertheless, the majority of these studies have focused on a limited subset of predetermined genes, potentially overlooking significant yet underrecognized regulators of the oxidative stress response. Recent advancements in the field of bioinformatics facilitate a more comprehensive and unbiased approach to identifying "hub" genes within complex disease networks (Gu et al., 2023; Zhang & Kiryu, 2023; Zhu & Jiang, 2023), enabling the discovery of novel oxidative-stress-associated genes in SMA that may not have been previously prioritised.

In accordance with these methodologies, our study advances previous research by identifying, from an extensive set of oxidative stress-related genes, those that may play a critical role in SMA pathogenesis. This integrative bioinformatics approach identified 13 key hub genes that encompass the four main antioxidant families, superoxide dismutase (SOD), catalase (CAT), glutathione peroxidase (GPx), and peroxiredoxin (PRDx), as well as enzymes of the glutathione-independent glyoxalase system (DJR) and methionine sulfoxide reductase (MSRA), highlighting the complex nature of oxidative stress regulation in SMA. From these, we prioritised the *C. elegans sod* family to further evaluate their functional significance experimentally, due to its central presence in both PPI and TF networks, its non-redundant role within cells and their different compartmentalisation within cells, which will allow us to understand how fine modulation of ROS interferes with SMA phenotypes. Among the 13 hub genes yielded by our analysis, three *sod* genes were identified: cytoplasmic *sod-1*, mitochondrial *sod-2* and extracellular *sod-4*. We also included the inducible mitochondrial *sod-3* and cytoplasmic *sod-5* in our analysis for a more comprehensive understanding of how subcellular location and regulatory signalling influence oxidative stress management and regulation in SMA.

5.3.2. SOD isoforms can modulate oxidative stress sensitivity in the *Caenorhabditis elegans* spinal muscular atrophy model

We first assessed the contributions of each of the *sod* genes to ROS management in *C. elegans*. Consistent with previous evidence, our findings demonstrated that individual deletion of *sod-1*, *sod-2* and *sod-3* markedly increased the sensitivity of *C. elegans* to chronic oxidative stress induced by paraquat. In contrast, the sensitivity of *sod-5* mutants remained similar to that of *smn-1(+)* controls (Gems & Doonan, 2008; Van Raamsdonk & Hekimi, 2009; Yanase et al., 2009). Interestingly, contrary to some earlier reports, our data suggest that *sod-4* mutants exhibited greater sensitivity to paraquat-induced oxidative stress than wildtype *C. elegans* (Gems & Doonan, 2008; Van Raamsdonk & Hekimi, 2009). Furthermore, while previous literature has indicated that *sod-1* and *sod-2* mutants display increased sensitivity to acute oxidative stress

conditions induced by juglone (Van Raamsdonk & Hekimi, 2009), our analysis did not reveal differences in juglone sensitivity among any of the sod mutants. These subtle yet significant discrepancies between our study and previous ones likely originate from differences in experimental setups. For instance, while Van Raamsdonk & Hekimi (2009) assessed paraquat sensitivity on day-7 C. elegans, we utilised day-3 animals. Additionally, the same research group evaluated acute sensitivity to oxidative stress using 240 µM juglone, whereas we employed a much lower concentration (60 µM juglone), allowing us to potentially detect differential phenotypes without causing uniformly lethal effects. Notably, differences in lifespan and stress sensitivity of C. elegans sod mutants, as reported by various research groups, are not uncommon. For instance, we observed inconsistencies regarding whether sod-1 and sod-2 mutants decrease or increase C. elegans lifespan, or whether sod-3 mutants present increased sensitivity to paraquat-induced oxidative stress or not (Gems & Doonan, 2008; Honda et al., 2008; Suthammarak et al., 2013; Van Raamsdonk & Hekimi, 2009; Yanase et al., 2009; Yang & Hekimi, 2010. These disagreements provide a theoretical framework to understand the differences in oxidative stress sensitivity found between our work and others. Additionally, they underscore the necessity for careful consideration of even subtle differences in genetic background and experimental conditions when comparing our results, as these factors can significantly influence the phenotypes under study.

Deletion of *sod* genes in the *smn-1(ok355) C. elegans* SMA model will deepen our understanding on how each of the *sod* genes contribute to ROS regulation in SMA and ultimately, how they influence the disease phenotype. Our study revealed distinct impacts of individual *sod* gene deletions on oxidative stress sensitivity in the *smn-1(ok355) C. elegans* SMA model. Specifically, deletion of *sod-1* and *sod-2* exacerbated sensitivity to chronic oxidative stress induced by paraquat but did not affect acute stress sensitivity to juglone, highlighting the critical role for cytoplasmic SOD-1 and mitochondrial SOD-2 during prolonged stress conditions. Conversely, *sod-3* deletion did not alter the sensitivity of SMA nematodes to either chronic or acute oxidative insults, consistent with its minor, inducible role in mitochondrial ROS handling. Interestingly, deletion of

extracellular *sod-4* and cytoplasmic *sod-5* improved the survival of *smn-1(ok355)* animals under both acute and chronic oxidative stress conditions, suggesting that subtle adjustments in extracellular or cytoplasmic ROS equilibrium may correct deleterious oxidative stress response signalling pathways in the context of SMA.

Collectively, these observations underscore the unique contributions of each SOD isoform to oxidative stress sensitivity in SMA, shaped by their distinct subcellular localisations and specific roles within ROS signalling networks.

5.3.3. SOD isoforms can modulate neuromuscular function in the *Caenorhabditis elegans* spinal muscular atrophy model

Some studies have demonstrated that oxidative stress have potential detrimental effects on *C. elegans* motor function. For example, *C. elegans* exposed to the superoxide generator paraquat exhibited reduced pumping rates, speed and overall motility associated with neurodegeneration (Ji et al., 2022; Taylor et al., 2021; Wu et al., 2018). However, there is not always a direct correlation between the levels of oxidative damage and neuromuscular behaviour in *C. elegans*. For instance, Taylor et al., (2021) evaluated the motility of different mitochondrial mutants and found no association between their lifespan or oxidative stress profiles and their locomotor abilities. Instead, the fine-tuning of ROS levels and compartment-specific redox signalling appears more critical than ROS levels alone. This complexity further highlights the importance of the type, localisation, and intensity of ROS exposure in shaping behavioural phenotypes.

While previous studies have investigated the effects of *sod* knockouts on *C. elegans* lifespan and stress sensitivity, few have explored how SOD activity modulates neuromuscular phenotypes. This gap in the literature presents a challenge for directly comparing our findings with previous work. To facilitate interpretation, we will discuss the impact of each *sod* gene deletion grouped according to the subcellular compartment in which the corresponding isoform is localised.

5.3.3.1. Cytoplasmic sods

The current literature indicates that, under normal conditions, *sod-1* mutants do not experience motor neuron loss and exhibit enhanced cholinergic signalling, although their locomotion rates remain unchanged (Baskoylu et al., 2018b). In agreement with those findings, our results demonstrated that the knockout of cytoplasmic *sod-1* did not modify *C. elegans* neuromuscular function under normal conditions. Notably, when *sod-1* is knocked out in the *smn-1(ok355)* background, we observed no change in the pharyngeal pumping rates but diminished locomotion activity. Interestingly, our findings differed regarding the cytoplasmic enzyme SOD-5. Deletion of *sod-5* boosted *C. elegans* locomotor activity. Similarly, deletion of *sod-5* in *smn-1(ok355)* animals led to mild yet significant improvements in motor function.

Interestingly, recent studies have indicated that animals lacking SOD-1 and SOD-5 enzymes display enhanced locomotion behaviour when exposed to conditions that increase their endogenous ROS levels (i.e. paraquat exposure or pathogen infection) (Baskoylu et al., 2018b; Horspool & Chang, 2017). This observation could explain the amelioration of neuromuscular defects observed in smn-1(ok355);sod-5(tm1146) animals, but is not consistent with the absence of neuromuscular improvements in smn-1(ok355);sod-1(tm776) mutants. However, the differential impact of sod-1 and sod-5 deletions in the neuromuscular phenotype of smn-1(ok355) animals could be attributed to the differential expression of the SOD-1 and SOD-5 enzymes in C. elegans. Although both enzymes are found in the cytoplasm, SOD-1 is ubiquitously expressed and is responsible for the majority of SOD activity within cells, whereas SOD-5 presence is limited to a specific subset of neurons in the pharynx and represented only 0.5% of the total SOD mRNA (Gems and Doonan, 2008). While the experimental conditions utilised by Baskoylu et al., (2018) and Horspool & Chang, (2017), which reported enhanced locomotion behaviour in sod-1 and sod-5 mutants when exposed to increased ROS levels, are known for their ability to induce oxidative stress, the specific cellular conditions of smn-1(ok355) animals are very likely to be different from those induced in the referenced studies. It is possible that in smn-1(ok355) animals, the elimination of SOD-1, one of the primary enzymes responsible for global ROS detoxification in C. elegans, may push smn-1(ok355); sod-1(tm776) animals beyond a threshold where ROS

induced damage would overcome ROS signalling benefits. The overcoming of this threshold would be particularly evident in behaviours that require significant effort and the coordinated activity of large groups of muscles and neurons, such as crawling behaviour, just as it was observed in *smn-1(ok355);sod-1(tm776)* animals.

Overall, the results presented here suggest that subtle elevations in cytoplasmic superoxide anion within specific neurons may enhance *smn-1(ok355)* locomotor activity. Nonetheless, the underlying mechanisms responsible for this enhanced behaviour remain unidentified.

5.3.3.2. Mitochondrial sods

Currently, there is limited evidence of the neuromuscular phenotype of mitochondrial *sod* mutants in *C. elegans*. However, Taylor et al., (2021) reported that the speed of *sod-2* and *sod-3* mutants was not different from that of control animals, suggesting no visible neuromuscular defects. Interestingly, our data suggested that deletion of mitochondrial *sod-2* did not compromise *C. elegans* neuromuscular function. By contrast, no differences in the neuromuscular phenotype of *smn-1(ok355)* animals were observed in our analysis after *sod-3* deletion. Studies using *C. elegans* models have demonstrated that muscles and neurons are highly energy-demanding tissues that rely heavily on mitochondrial ATP production. These studies have also established a strong association between mitochondrial integrity and proper neuronal and muscular function (Scholtes et al., 2018; Byrne et al., 2019; Guha et al., 2022). Thus, despite the limited but significant evidence provided by previous literature, the fact that we found neuromuscular defects in *sod-2* knockout animals did not appear surprising to us.

The differential phenotypes observed in *sod-2* and *sod-3* deletion mutants can be explained by differences in their regulatory profiles. While *sod-2* represents between 18% and 34% of the total sod mRNA, *sod-3* accounts for only 1% of the total sod mRNA under normal conditions (Gems and Doonan, 2008; Dues et al., 2017). That means that under normal conditions, overall SOD activity does not rely heavily on SOD-3, which could explain the lack of observed effects on *C. elegans* neuromuscular phenotype. For example, while studies have found that *sod-2* deletion

causes developmental delays and reproductive defects, *sod-3* mutants do not exhibit such defects (Gems and Doonan, 2008).

Interestingly, in the *smn-1(ok355)* background, neither *sod-2* nor *sod-3* deletion modify *smn-1(ok355)* neuromuscular performance. Assuming that the effects of *sod-2* and *sod-3* deletion primarily impact mitochondrial function, the lack of observable influence of these genes on the neuromuscular behaviour of *smn-1(ok355)* animals could be explained by the fact that SMN-1 depletion already compromises mitochondrial homeostasis, potentially masking additional effects. For instance, our own bioinformatic analysis showed profound dysregulation of mitochondrial genes (Chapter I). Furthermore, *smn-1(ok355)* animals exhibited abnormal mitochondrial morphology and mislocalisation within muscle cells, which has been associated with neuromuscular defects by previous studies (Schultz et al., 2017).

Overall, our findings highlight the effect of reducing mitochondrial ROS detoxification in C. elegans. Although deletion of sod-2 or sod-3 did not lead to additional defects in pharyngeal pumping or locomotion, this does not necessarily indicate that muscle or neuronal mitochondria are unaffected. On the contrary, it may suggest that mitochondrial dysfunction in smn-1(ok355) animals is already saturated, leaving little room for further impairment by the loss of these mitochondrial SODs. The question here therefore remains if increasing superoxide detoxification in smn-1(ok355) would lead to improvements.

5.3.3.3. Extracellular sod

Previous reports indicated that, under normal conditions, depletion of the extracellular enzyme SOD-4 alone did not significantly alter the lifespan, development, or reproductive capacity of *C. elegans* (Gems and Doonan, 2008). However, the specific impact of *sod-4* deletion on neuromuscular phenotypes has not yet been explored. Our results demonstrated that animals lacking *sod-4* exhibited subtle neuromuscular defects. Interestingly, deleting *sod-4* in *smn-1(ok355)* mutants resulted in improved pharyngeal pumping rates and locomotion performance.

Beyond their classical antioxidant roles, superoxide dismutases can modulate cellular signalling by balancing the production of superoxide ($O_2^{\bullet-}$) and hydrogen peroxide (H_2O_2). Under normal conditions, SOD enzymes reduce $O_2^{\bullet-}$ levels, concomitantly increasing H_2O_2 ($O_2^{\bullet-} \rightarrow H_2O_2$). Elevated levels of H_2O_2 are known to inhibit protein tyrosine phosphatases (PTPases) (Tanner et al., 2011; Zhou et al., 2011), enzymes that negatively regulate protein-tyrosine kinase activity. Thus, inhibition of PTPases by H_2O_2 indirectly promotes the phosphorylation and activation of tyrosine kinases, such as those involved in the IIS signalling pathway. For instance, studies *in vitro* demonstrated that H_2O_2 exposure significantly activate downstream elements of the insulin signalling (IIS) pathway, such as Akt, by enhancing the phosphorylation of PI3K in muscle cells (Kim et al., 2006). Similarly, in *C. elegans* treatment with H_2O_2 increases PIP3 levels and promotes the cytosolic retention of DAF-16, in a process that is dependent of AGE-1, the PI3K homologue in *C. elegans* (Weinkove et al., 2006) (**Figure 5.10A**).

Deletion of *sod-4* is predicted to cause a reduction of local extracellular or membrane-adjacent intracellular production of H₂O₂. A decrease in H₂O₂ levels would lead to decreased oxidative inhibition of PTPases, thereby enhancing their activity and reducing the activation of downstream targets of the IIS pathway, such as Akt. Consequently, reduced Akt phosphorylation would promote the nuclear translocation of DAF-16, thereby activating its stress-response and longevity-associated gene targets (**Figure 5.10B**). Consistent with this hypothesis, among the five *sod* genes in *C. elegans*, only the deletion of *sod-4* has been shown to extend the lifespan of long-lived *daf-2* mutants, an effect known to be dependent on DAF-16 nuclear localization (Doonan et al., 2008). Notably, a recent study evaluated the effects of *daf-2* (the *C. elegans* insulin receptor) downregulation in *smn-1(ok355)* animals (Wu et al., 2019). Introduction of the *daf-2(e1368)* mutation in *smn-1(ok355)* animals lead to a significant increase in *smn-1(ok355)* lifespan and rescued their motor performance. Notably, those effects were dependent on the presence of DAF-16.

Ultimately, our results highlight the critical importance of subcellular compartmentalisation of ROS management in SMA and suggest their therapeutic potential of selectively modulating the activity of specific SOD isoforms. It is important to distinguish between intracellular and extracellular localisation of ROS, as well as the specific signalling roles of O₂• and H₂O₂. Indeed, our findings illustrate that not all SOD isoforms uniformly protect against oxidative stress, especially in contexts involving mitochondrial dysfunction or disrupted cellular homeostasis. Hence, elevated ROS levels in SMA should not be regarded merely as indicators of a pathological state, but rather as integral components of cellular signalling.

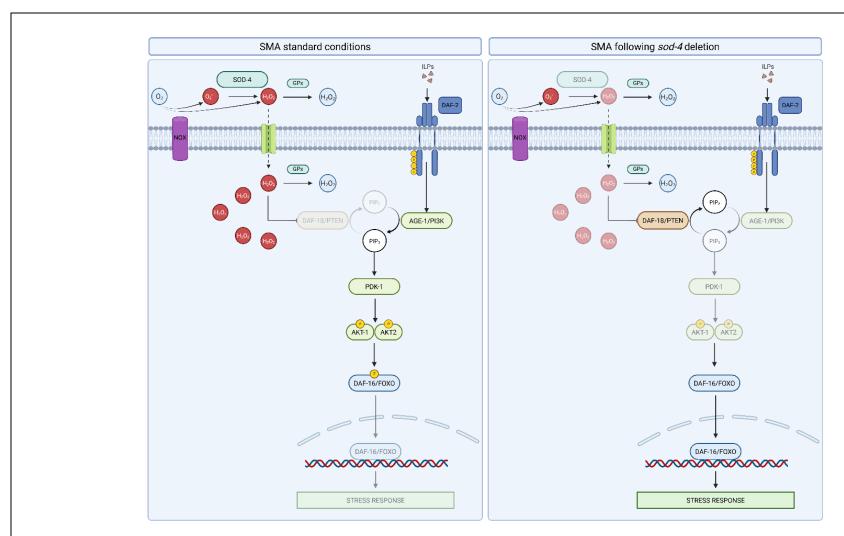


Figure 5.10. Hypothetical model illustrating how loss of sod-4 modulates IIS signalling and enhances stress responses in the C. elegans SMA model

Under SMA conditions (left panel), the conversion of superoxide anion $(O_2 \bullet^-)$ into hydrogen peroxide (H_2O_2) by SOD-4 increases the presence of extracellular and membrane-adjacent H_2O_2 . H_2O_2 inhibits the protein-tyrosine phosphatase DAF-18/PTEN, which allows the accumulation of PIP₃, resulting in the phosphorylation and activation of (AKT-1/2). Active AKT inhibits nuclear translocation of DAF-16/FOXO, suppressing the expression of genes involved in the stress response.

Following sod-4 deletion (right panel), reduced local H₂O₂ levels result in increased DAF-18/PTEN activity. DAF-18 activation decreases PIP₃ levels, reducing Akt phosphorylation and promoting nuclear translocation of DAF-16/FOXO. Enhanced DAF-16 nuclear activity consequently increases the expression of genes associated with stress response, potentially explaining the improved neuromuscular function observed in smn-1(ok355);sod-4(gk101) double mutants.

Chapter VI. Discussion

6.1 Project outcomes

The presence of elevated markers of oxidative stress (i.e. mitochondrial dysfunction, elevated ROS levels and oxidative damage to proteins and lipids) has been long noted in different SMA models (1.4 Oxidative stress in spinal muscular atrophy). Yet, the precise molecular mechanisms by which oxidative damage contributes to neuromuscular degeneration in SMA remains relatively elusive. Recent therapeutic advances, especially gene-replacement strategies, have resulted in significant improvements in SMA patients' lives, increasing their life expectancy and improving their motor function (1.1.5 Approved therapies). In the context of ongoing advancements, elucidating the molecular pathways perturbed by SMN deficiency is of critical importance, as it holds the potential to identify novel therapeutic targets and inform the development of more effective intervention strategies. This project was undertaken to address how oxidative stress can be targeted or modulated for therapeutic benefit in SMA, utilising the smn-1(ok355) C. elegans SMA model.

In Chapter III, we aimed to characterise the molecular features of oxidative stress in the *smm-1(ok355) C. elegans* SMA model, thereby establishing its validity for investigating oxidative stress related mechanisms in *C. elegans*. Through transcriptomic analysis, we identified the downregulation of energy production pathways, particularly those involving mitochondrial components (**Figure 3.4**). Alongside these transcriptional alterations we observed an increased sensitivity to oxidative stress in *smn-1(ok355)* nematodes, despite their unexpectedly enhanced resilience to other stressors such as heat or osmotic stress (**Figure 3.6**, **Figure 3.7**). Finally, utilising *in vivo* fluorescence ROS detection methods, we identified a significant elevation in cytoplasmic ROS levels in *smn-1(ok355)* animals (**Figure 3.10**). Notably, the selective inability to counteract pro-oxidant challenges, while other stress response mechanisms remain relatively intact, suggest that heightened susceptibility to oxidative stress may represent a key pathological feature in spinal muscular atrophy (SMA). Collectively, the results of this chapter underscore oxidative stress as a critical feature of the SMA phenotype and provide the foundation for future research investigating the relationship between ROS management and SMA in *C. elegans*.

In Chapter IV, we investigated whether there is a direct correlation between overall ROS levels and neuromuscular function in the smn-1(ok355) C. elegans SMA model. We also explored whether oxidative stress directly regulates SMN-1 protein levels, as prior reports have suggested that redox-sensitive post-translational modifications in SMN could lead to its degradation (Wan et al., 2008). We administered five known antioxidant compounds that have been shown to have beneficial effects in other neuromuscular diseases (NAC, CUR, VITC, EGCG, MEL) (Table 1.3) and evaluated their effects in smn-1(ok355) endogenous ROS levels and neuromuscular phenotypes. Some antioxidants reduced ROS but this did not robustly translate into a functional improvement (i.e. CUR) (Figure 4.4, Figure 4.5) or did so only at specific doses (i.e. no positive results were found for NAC at low or high concentration, only at medium concentration) (Figure **4.2**, Figure 4.3), while others unexpectedly increased ROS levels in smn-1(ok355) animals yet still led to partial improvements in neuromuscular function (i.e. EGCG and MEL) (Figure 4.8, Figure 4.9, Figure 4.10, Figure 4.11). Additionally, we evaluated whether the modulation of ROS in C. elegans SMA resulted in an elevation of SMN-1 protein levels, which could shed light into the mechanism behind the improvements in motor function of smn-1(ok355) animals. Surprisingly, both ROS reduction and ROS elevation were compatible with increased SMN-1 levels (Figure 4.13, Figure 4.14), indicating that the endogenous levels of ROS observed in smn-1(ok355) nematodes do not strictly dictate SMN-1 protein abundance. Furthermore, we underscored the importance of spaciotemporal regulation of SMN-1 within specific tissues (i.e., neuron versus muscle) as a critical factor in functional recovery, as increased SMN-1 levels did not strictly dictate motor recovery in smn-1(ok355) animals. Collectively, these findings underscore that neuromuscular dysfunction in SMA cannot be fully ameliorated solely through the reduction of endogenous ROS, implying that additional molecular targets modulated by these compounds may exert a more pronounced effect on motor phenotypes than ROS attenuation alone.

In Chapter V, we focused on identifying specific oxidative stress related genes that were dysregulated in C. elegans SMA and investigated whether they could contribute to SMA

pathophysiology. By leveraging novel bioinformatic methods, we identified a set of thirteen oxidative stress related genes that were dysregulated in smn-1(ok355) animals. Among those, five genes belonging to the *C. elegans* antioxidant *sod* family were selected for further investigation. By individually deleting each of the sod genes in the smn-1(ok355) background, we evaluated their ability to regulate oxidative stress sensitivity and neuromuscular function in C. elegans SMA. Deletion of the main cytoplasmic and mitochondrial sod isoforms (sod-1 and sod-2, respectively) exacerbated smn-1(ok355) oxidative stress sensitivity (Figure 5.5, Figure 5.6). However, their impact in neuromuscular function was varied. While sod-1 deletion led to the deterioration of smn-1(ok355) neuromuscular phenotype (Figure 5.5), deletion of mitochondrial sod-2 did not modify C. elegans SMA motor function (Figure 5.6). Conversely, deletion of mitochondrial sod-3 did not modify smn-1(ok355) oxidative stress sensitivity or motor performance on behavioural assays (Figure 5.7). Notably, deletion of extracellular sod-4 and cytoplasmic sod-5 increased smn-1(ok355) resilience to oxidative stress challenges and improved their motor performance in behavioural assays under normal conditions (Figure 5.8, Figure 5.9). The diverse outcomes revealed by each SOD isoform are noteworthy. Although reduction of ROS levels was not associated with amelioration of SMA neuromuscular defects (Chapter IV), oxidative stress resilience did correlate with neuromuscular improvements. Overall, this could indicate that subtle manipulation of extracellular or cytoplasmic ROS can modulate redox signalling in SMN-1 deficient animals, which could result in improved neuromuscular health.

6.2. Future directions

This section delineates three major avenues for future research. First, it considers additional methodological advancements that could strengthen the findings presented in this thesis. Second, it explores specific signalling pathways and molecular targets that may shed light into novel treatment strategies. Third, it discusses the direction of therapeutic strategies targeting oxidative

stress in SMA. Overall, this section provides suggestions on how to further validate our results and explored more focused hypotheses on the interplay between ROS regulation and SMN-1 biology, ultimately increasing the translational value of our findings.

6.2.1. Methodological considerations

The extensive dysregulation of oxidative stress-related cellular processes observed in the C. elegans SMA model constitute a critical foundation for this thesis, as it strongly points towards oxidative stress as a key contributing factor in the disease pathology (Chapter III). To characterise the transcriptomic profile of smn-1(ok355) animals, we utilised bulk RNA sequencing. This methodology involved the analysis of samples composed by hundreds of C. elegans animals, thereby encompassing multiple tissues and cell types. A significant advantage of this technique was its ability to identify the molecular pathways that are most relevant to SMA pathology at a global level, which aligned well with the objectives of this thesis. Nevertheless, it is important to note that SMN-1 depletion does not uniformly affect all cell types. For example, in SMA patients, motor neurons are the first cells to undergo degeneration, whereas other cell types and tissues remain largely unaffected until later stages (Burr and Reddivari, 2023). Consequently, by relying on whole-organism analyses, we may have obscured critical cell-specific gene expression changes that could be of critical importance to neuromuscular function. To overcome this limitation, future research should consider gene expression analyses at the tissue or single-cell level, which may reveal more accurate targets. Additionally, validating gene expression through qRT-PCR and protein quantification assays, particularly for the thirteen hub genes identified in Chapter V, would significantly strengthen the validity and reproducibility of our bioinformatic findings.

Another methodological advancement involves the use of genetically encoded ROS fluorescent detectors. In this thesis we have relied on small molecule fluorescence probes, which are advantageous due to their feasibility to provide insights into intracellular ROS levels across a wide range of conditions and genetic backgrounds. However, based on our findings, future research would benefit from focusing on compartment-specific measurements rather than global

ROS assessments. This targeted approach could elucidate the differential role of ROS in SMA based on specific cellular compartments and cell types. For example, although treatments with MEL and EGCG increased overall ROS levels, it remains a possibility that they induced a beneficial redistribution of ROS within specific cellular compartments that led to the improvements in neuromuscular function.

The experimental design of this thesis was developed to investigate the relationship between oxidative stress and neuromuscular function in SMA. As a result, the phenotypic changes observed in smn-1(ok355) animals following antioxidant treatments or individual sod deletions were discussed from the perspective of changes in oxidative stress. Nevertheless, future research should explore how antioxidant treatment or depletion of a particular SOD isoform affects the expression of other antioxidant genes or relevant pathways. It is plausible that some of the phenotypic outcomes observed resulted from molecular and genetic compensatory adaptations rather than the modulation of ROS levels itself. This is particularly evident in the results presented in Chapter IV, where the behavioural improvements in C. elegans SMA could not be directly correlated with ROS modulation. Furthermore, in Chapter V, deletion of sod-4 and sod-5 unexpectedly enhanced oxidative stress resistance in smn-1(ok355) animals, despite the assumption that SOD deletion would reduce intracellular detoxification capacity. Thus, it is possible that changes induced by the aforementioned sod deletions could activate adaptive responses in broader cellular networks, conferring unexpected protective effects. Additionally, future research should expand the range of physiological parameters assessed (i.e. lifespan and reproductive fitness) to provide a more comprehensive understanding of how oxidative stress impacts the overall pathogenesis of SMA.

Ultimately, future research endeavours should focus on validating and expanding the mechanistic insights gained from *smn-1(ok355) C. elegans* across additional SMA models. This approach will enhance the translational relevance of the therapeutic targets and strategies identified in this project.

6.2.2. Refine molecular targets modulated by ROS in spinal muscular atrophy

A central consideration that emerged from Chapters III, IV, and V is the possibility that oxidative stress is not that much of a uniform contributor to SMA pathology itself, but more of an active modulator of specific signalling pathways that have an impact on determining neuromuscular health. For instance, through this project, we have presented several lines of evidence to suggest that the IIS signalling pathway and its downstream targets, in particular mTOR, may hold particular significance in SMA.

In Chapter III we observed that the transcriptomic profile of *smn-1(ok355)* animals aligned with the reduction of mTOR activity (**Figure 3.3**), a hypothesis supported by findings in SMN-deficient neurons (Kye et al., 2014). Notably, mTOR regulation in SMA appears to be tissue-specific, with studies pointing towards increased signalling in motor neurons and decreased signalling in skeletal muscle and fibroblasts (Rashid & Dimitriadi, 2024b).

In Chapter IV, we demonstrated that NAC, EGCG and MEL treatments have the potential to ameliorate SMA-associated neuromuscular defects. However, our evidence dictates that their therapeutic effect is not mediated by the modulation of ROS alone. Notably, a review of the existing literature revealed a significant difference between the antioxidants that we found "effective" (NAC, EGCG, and MEL) and "ineffective" (CUR and VITC) in enhancing *C. elegans* SMA motor function. Specifically, NAC, EGCG and MEL have been reported to activate the ERK and PI3K/AKT pathways along with their mTOR downstream target, whereas CUR and VITC demonstrated to supress them (Table 4.2). These findings suggest that the activation of PI3K/AKT/mTOR and ERK pathways may represent a plausible unifying mechanism underlying the beneficial effects observed on *C. elegans* SMA following NAC, EGCG and MEL treatments. Chapter V suggested an important multifaceted role of the SOD enzymes, functioning not only as detoxifiers of superoxide anions but also as key modulators of redox-sensitive signalling pathways. Importantly, deletion of certain SOD isoforms, *sod-4* and *sod-5*, in *smn-1(ok355)* animals unexpectedly increased their resistance to pro-oxidant compounds. These findings

underline the significant influence of O₂•-/H₂O₂ ratios, modulated by SOD activity, on cellular redox signalling. Notably, there is wide evidence in previous research that connect the balance between superoxide and hydrogen peroxide ROS at the cell membrane and the modulation of the IIS signalling pathway through the modification of the phosphorylation sites of key protein tyrosine phosphatases (Tanner et al., 2011; Zhou et al., 2011). In *C. elegans*, IIS is mediated by the insulin receptor DAF-2, leading to the activation of AGE-1/PI3K, PIP₃ generation, phosphorylation of PDK-1 and AKT and, ultimately, the exclusion of DAF-16 from the nucleus, a transcription factor broadly associated with longevity and enhanced cellular protection against cellular and environmental stressors (Murphy & Hu, 2013; Zhang et al., 2022). Conversely, DAF-18/PTEN, a protein tyrosine phosphatase that is inhibited by H₂O₂, antagonises this pathway by converting PIP3 back to PIP2. Thus, modulating local H₂O₂ concentrations at the plasma membrane can significantly impact IIS pathway activity.

The beneficial effect observed following *sod-4* deletion, a gene that is significantly overexpressed in *smn-1(ok355)* animals, can be explained by a reduction in local extracellular or membrane-proximal H₂O₂ levels. This reduction decreases oxidative inhibition of PTPases, restoring their activity, which in turn decreases PI3K and AKT phosphorylation. Lowered AKT activity promotes DAF-16 nuclear localisation, activating stress-response genes and ultimately enhancing stress resistance and longevity. Indeed, previous research supports this model, demonstrating that *sod-4* deletion uniquely extends the lifespan of *daf-2* long-lived mutants through enhanced DAF-16 nuclear translocation. Additionally, and more specific to our study, previous research in *C. elegans* have shown that *daf-2* inhibition enhances DAF-16 localisation to the nucleus, resulting in increased lifespan and improved motor behaviour (Wu et al., 2019). Although this interaction was not empirically validated in the present study, it remains a plausible hypothesis that the amelioration of neuromuscular defects in *smn-1(ok355)* mutants following *sod-4* deletion may be mediated by reduced H₂O₂ levels and increase DAF-16 activity (**Figure 5.10**).

Notably, other studies in SMA mouse models have suggested that reduced IIS signalling might be beneficial in the context of SMA, reporting increased lifespan and motor behaviour after

inhibition of the insulin-like growth factor-1 receptor (Igf-1r) in muscles (Biondi et al., 2015). Conversely, other researchers have suggested the opposite relation, showing that increased Igf-1r expression in SMA mouse muscles increases myofibers size and increases lifespan (Bosch-Marcé et al., 2011). Interestingly, while IIS inhibition is associated with decreased PI3K/AKT/mTOR signalling in normal conditions, studies have shown that this relationship might be inverted in SMA. For example, a recent study found elevated NOX4 activity in SMA cells (El Khoury et al., 2023). NOX4 is a membrane-bound enzyme that generates H₂O₂ and activates IIS through oxidative inhibition of cellular PTPases (Mahadev et al., 2004). However, in SMA models, increased NOX4 activity was associated with reduced Akt phosphorylation, which is a sign of reduced IIS signalling. Notably, pharmacological inhibition of NOX4 restored Akt phosphorylation to control levels, reduced motor neuron degeneration, and improved motor function (El Khoury et al., 2023). Additionally, Biondi et al., (2015) found that inhibition of Igf-1r in muscle, which led to increased lifespan and motor behaviour, caused activation, and not inhibition, of the AKT/mTOR pathway. These distinctions highlight the critical role that dose, timing and tissue context of IIS activation might play in determining protective versus deleterious outcomes.

Nonetheless, the role of the insulin pathway in regulating neuromuscular function in the *smn-l(ok355) C. elegans* SMA model after *sod-4* deletion remains hypothetical and requires experimental validation. Future studies should include measurements of the phosphorylation state of key signalling proteins, and evaluate downstream processes regulated by this pathway, such as protein synthesis and autophagy. Importantly, the overall outcome of modulating PI3K/AKT signalling depends heavily on the cellular context, thereby, future studies should carefully consider IIS signalling in different tissues and disease stages.

6.3. Therapeutic opportunities

In this thesis, we have demonstrated that despite the extensive evidence indicating elevated oxidative stress in SMA (Chapter III), simply decreasing ROS levels does not consistently translate into beneficial outcomes for SMA pathology (Chapter IV). Surprisingly, the deletion of certain SOD isoforms, enzymes critical for cellular antioxidant defence, led to improved neuromuscular function in the smn-1(ok355) C. elegans SMA model (Chapter V). Does this suggest that oxidative stress research in SMA should be abandoned in favour of other cellular processes? Not necessarily. Instead, a shift in perspective is needed. A common misconception is that ROS are merely harmful metabolic byproducts. However, recognising ROS as key physiological signalling molecules could explain the limited efficacy of non-selective antioxidants. It is possible that these treatments are failing because while reducing oxidative damage, they also disrupt essential redox signalling pathways. Therefore, future therapeutic approaches should aim to strategically target the mechanisms responsible for oxidative damage while preserving or enhancing beneficial ROS signalling. Although further investigation is required to corroborate relevant points of redox regulation in SMA, our study highlights the potential therapeutic benefits of reducing hydrogen peroxide (H₂O₂) at the membrane level, for example, through the pharmacological inhibition of NADPH oxidase (NOX) or extracellular superoxide dismutase (SOD) enzymes.

6.4. Conclusion

Beginning with a thorough mapping of transcriptome perturbations and increased oxidative stress (Chapter III), moving on to an experimental investigation of the association between ROS levels and neuromuscular function (Chapter IV), and concluding with the identification of key oxidative stress-related genes that are dysregulated in SMA, the findings presented here provide a solid framework for understanding how oxidative stress interacts with SMA pathology. This thesis ultimately demonstrates that while oxidative stress is integral to SMA pathology, novel

therapeutic strategies should focus on achieving a finer spatiotemporal control of redox chemistry and the downstream pathways regulated by ROS, rather than on the global suppression of ROS.

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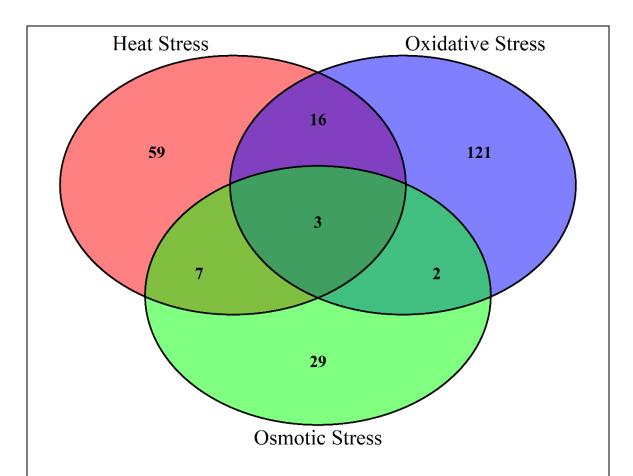
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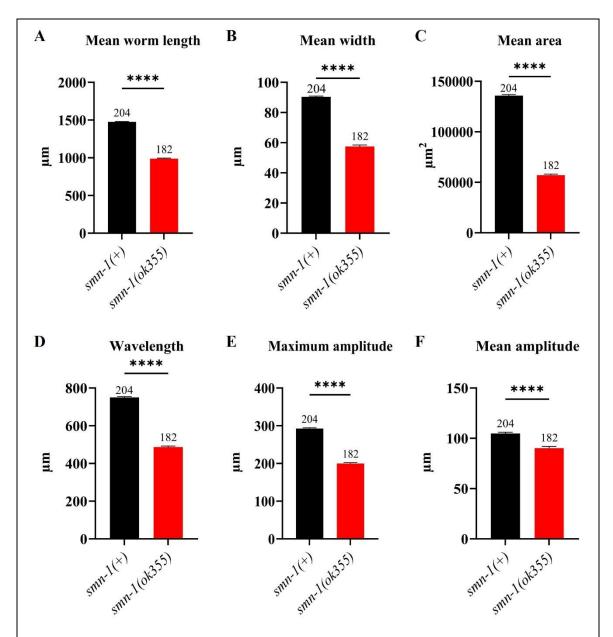
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Anexo I



Supplementary Figure 1. Venn diagram illustrating the overlap of molecular components involved in the oxidative, heat and osmotic stress responses in *C. elegans*

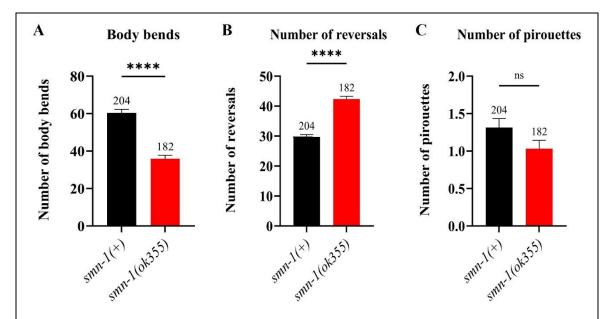
The list of genes associated with each stress response were obtained from the Gene Ontology (GO) database under the identifiers GO:0006979 (oxidative stress response), GO:0009408 (heat stress response) and GO:0006970 (osmotic stress response). Only 3 genes were common to all three stress responses, whereas 121, 59, and 29 genes were specific to oxidative, heat, and osmotic stress, respectively. This limited overlap supports the notion that stress responses are partially independent, and my explain why certain mutants display selective sensitivity to specific stressors.



Supplementary Figure 2. WormLab shape-based parameters

At day 3 post-hatching, the length (A), width (B), and area (C) of smn-1(ok355) nematodes were significantly smaller than those of smn-1(+) animals (P < 0.0001, P < 0.0001, and P < 0.0001, respectively). Similarly, parameters related to the sinusoidal curvature of the body of the animals while crawling, such as wavelength (D), maximum amplitude (E), and mean amplitude (F), were also significantly reduced in smn-1(ok355) animals in comparison to healthy smn-1(+) (P < 0.0001, P < 0.0001, and P < 0.0001, respectively), as such parameters are dependent on the size (length, width, and area) of the animals. Therefore, these parameters were not taken into consideration for the study herein.

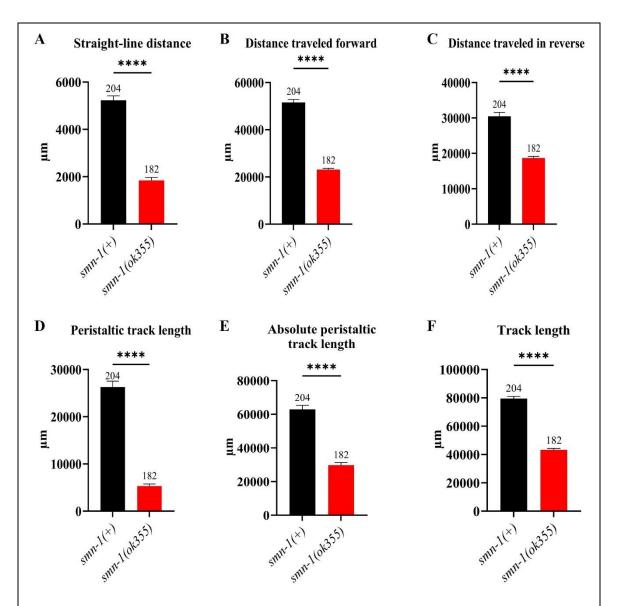
Data are presented as the mean \pm SEM from at least ten independent trials. Statistical significance was determined using Mann-Whitney unpaired test. ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.



Supplementary Figure 3. WormLab movement-behaviour parameters

- (A) The number of body bends is a general measure of a nematode's body angle during crawling. One body bend is defined as the bending angle between the head and tail of the nematode of at least 20 degrees amplitude, maintained for at least five frames. During a five-minutes recording, smn-1(ok355) animals had significantly fewer body bends than smn-1(+) animals (P < 0.0001).
- (B) *C. elegans* has two types of motion: forward and reverse. The number of reversals refers to the number of times *C. elegans* changed from forward to reverse locomotion. Our analysis revealed that smn-1(ok355) animals exhibited an increased number of reversals compared to smn-1(+) nematodes (P < 0.0001).
- (C) *C. elegans* can undergo sudden changes in direction through pirouettes. A pirouette is defined as a reversal movement followed by a bending angle between the head and tail of the nematode of at least 90 degrees amplitude (omega shape Ω conformation). Our analysis revealed no significant differences in the number of pirouettes between smn-1(ok355) and smn-1(+) animals (P=0.8815).

Data are presented as the mean \pm SEM from at least ten independent trials. Statistical significance was determined using Mann-Whitney unpaired test. ns P > 0.05; ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.



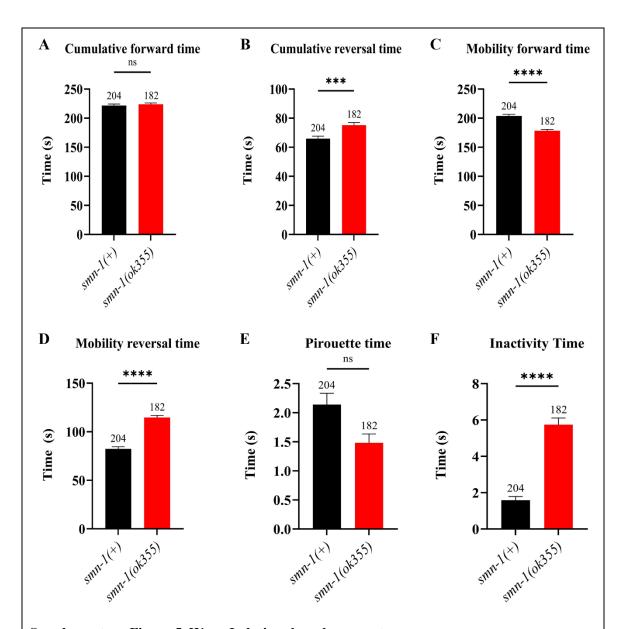
Supplementary Figure 4. WormLab distance-travelled parameters

The straight-line distance (A) is defined as the length of an imaginary straight line drawn from the initial position "X" occupied by the animal assessed at the beginning of the filming, until the final position "Y" occupied by the same animal at the end of the filming. Our analysis revealed that the length of the straight-line travelled by smn-1(ok355) animals during a five-minute film was significantly lower than that of smn-1(+) nematodes (P < 0.0001). Moreover, the total distance travelled in forward locomotion (B) and reverse locomotion (C) during the same period was reduced in smn-1(ok355) mutants compared to that of healthy smn-1(+) nematodes (P < 0.0001 and P < 0.0001, respectively).

WormLab generates additional distance-travelled parameters derived from (A), (B) and (C) measurements. The peristaltic track length (D) is the total distance travelled in forward locomotion minus the total distance travelled in reverse locomotion (D = B - C). The absolute peristaltic track length (E) is the total distance travelled in forward locomotion plus the total distance travelled in reverse locomotion (E = B + C). Finally, the track length (F) parameter is very similar to the absolute peristaltic track length parameter, except that C. elegans movement behaviour needs to be maintained for a minimum number of frames for the locomotion to be considered either forward or reverse. This threshold was not considered when calculating (D) or (E), which is why the track length was always slightly higher than the absolute peristaltic track length (F). Our analysis revealed that smn-1(ok355) exhibited decreased peristaltic track length

(D), absolute peristaltic track length (E) and track length (F) in comparison with healthy *smn-1(+)* animals (P < 0.0001, P < 0.0001, and P < 0.0001, respectively)

Data are presented as the mean \pm SEM from at least ten independent trials. Statistical significance was determined using Mann-Whitney unpaired test. ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.



Supplementary Figure 5. WormLab time-based parameters

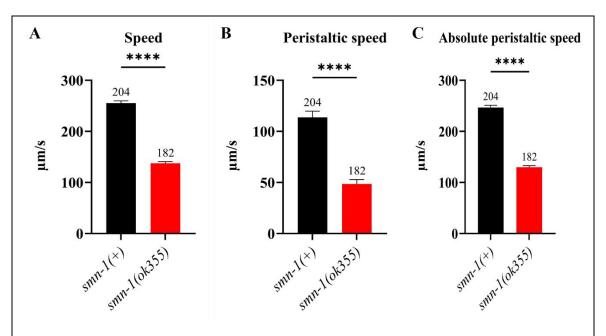
The cumulative forward time (A) encompasses all periods during which the animal assessed is engaged in forward locomotion, with no threshold for the minimum number of frames to be maintained to define forward locomotion. There was no significant difference in cumulative forward time (A) between smn-1(ok355) mutants and smn-1(+) healthy animals (P=0.4851). The cumulative reversal time (B) encompasses all periods during which the animal assessed is engaged in reverse locomotion, with no threshold for the minimum number of frames to be maintained to define reverse locomotion. Our analysis revealed that the cumulative reversal time (B) was significantly increased in smn-1(ok355) nematodes compared to that in smn-1(+) animals (P<0.001).

The mobility forward time (C) refers to the total amount of time during which the animal is actively moving forward, with the requirement that the behaviour needs to be maintained for a minimum number of frames for the locomotion to be considered forward. Our analysis revealed that smn-1(ok355) animals exhibited significantly lower mobility forward time than smn-1(+) animals (P < 0.0001). The mobility reversal time (D) refers to the total amount of time during which the animal is actively moving in reverse, with the requirement that the behaviour needs to be maintained for a minimum number of frames for locomotion to be considered reversal. Our

analysis revealed that smn-1(ok355) animals exhibited significantly greater mobility reversal time than smn-1(+) animals (P < 0.0001).

WormLab also calculated the time that the animals spent engaging in pirouette behaviour (E), with no statistically significant differences found between smn-1(ok355) and smn-1(+) animals (P=0.0610). Finally, the mobility idle time, defined as the total amount of time that the animals spent inactive during the film, was significantly higher in smn-1(ok355) animals than in smn-1(+) healthy nematodes (P < 0.0001).

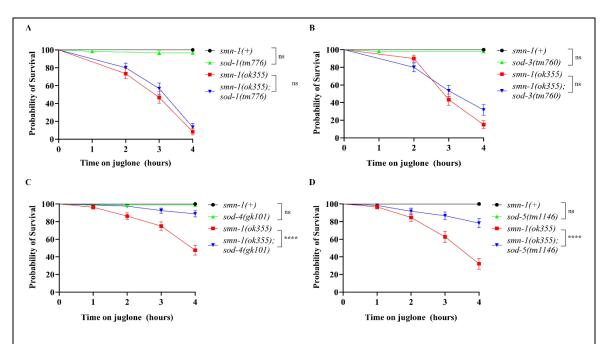
Data are presented as the mean \pm SEM from at least ten independent trials. Statistical significance was determined using Mann-Whitney unpaired test. ns P > 0.05; ***P < 0.001; ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.



Supplementary Figure 6. WormLab speed measurements

WormLab calculated three different speed measurements derived from different distance travelled parameters divided by the total duration of the film. Speed (A) uses the distance calculated in the track length parameter, peristaltic speed (B) uses the distance calculated in the peristaltic track length parameter, and absolute peristaltic speed (C) uses the distance calculated in the absolute peristaltic track length parameter. Our analysis revealed that all three parameters of speed (A), (B), and (C) were significantly reduced in smn-1(ok355) animals compared to smn-1(+) healthy nematodes (P < 0.0001, P < 0.0001, and P < 0.0001, respectively).

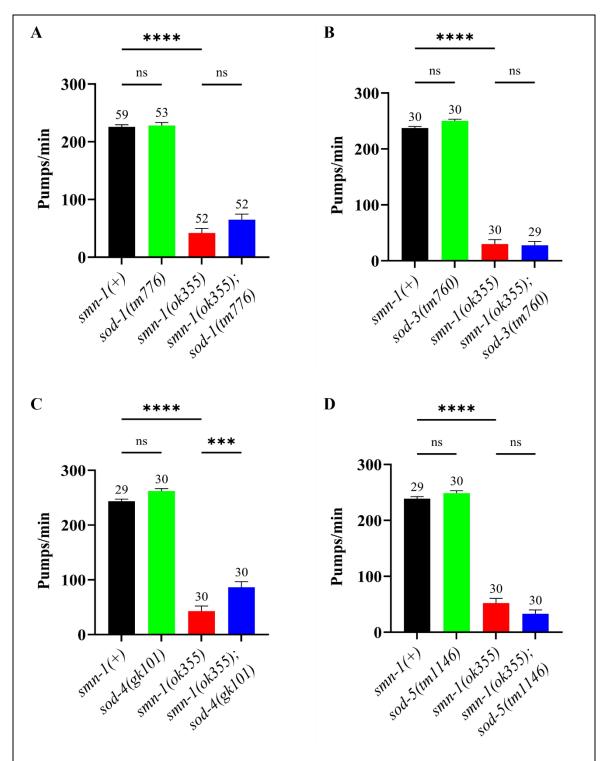
Data are presented as the mean \pm SEM from at least ten independent trials. Statistical significance was determined using Mann-Whitney unpaired test. ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar.



Supplementary Figure 7. Deletion of individual *sod* genes modifies the sensitivity to oxidative stress in *smn-1(ok355) C. elegans* SMA

- (A) Deletion of sod-1(tm776) did not affect the sensitivity to acute oxidative stress induced by exposure to 60 μ M juglone in smn-1(ok355) mutants. smn-1(+) (n = 60), sod-1(tm776) (n = 60), sod-1(tm776) (n = 60).
- (B) Deletion of sod-3(tm760) did not affect sensitivity to acute oxidative stress induced by exposure to 60 μ M juglone in smn-1(ok355) mutants. smn-1(+) (n = 56), sod-3(tm760) (n = 54), smn-1(ok355) (n = 60), and smn-1(ok355); sod-3(tm760) (n = 60).
- (C) Deletion of sod-4(gk101) did not affect sensitivity to acute oxidative stress induced by exposure to 60 μ M juglone in smn-1(ok355) mutants. smn-1(+) (n = 76), sod-4(gk101) (n = 80), smn-1(ok355) (n = 80), and smn-1(ok355); sod-4(gk101) (n = 80).
- (D) Deletion of sod-5(tm1146) did not affect sensitivity to acute oxidative stress induced by exposure to 60 μ M juglone in smn-1(ok355) mutants. smn-1(+) (n = 56), sod-5(tm1146) (n = 57), smn-1(ok355) (n = 59), and smn-1(ok355); sod-5(tm1146) (n = 60).

Probability of survival over time is presented in a Kaplan-Meier survival curve. Each time point represents the mean \pm SEM of three independent trials. Statistical significance was determined using separate log-rank test applied to pairwise genotype comparisons. ns P > 0.05; ****P < 0.001. The data presented here was generated previously by another member in the Dimitriadi Lab (Fathama Mutaleb).



Supplementary Figure 8. Deletion of *sod-4* gene can modify *smn-1(ok355) C. elegans* neuromuscular defects

- (A) Deletion of sod-1(tm776) did not affect the pharyngeal pumping rate of smn-1(ok355) mutants.
- (B) Deletion of sod-3(tm760) did not affect the pharyngeal pumping rate of smn-1(ok355) mutants.
- (C) Deletion of sod-4(gk101) increased the number of pumps/min of smn-1(ok355) mutants.

(D) Deletion of *sod-5(tm1146)* did not affect the pharyngeal pumping rate of *smn-1(ok355)* mutants.

Data are presented as the mean \pm SEM from at least two independent trials. Statistical significance was determined using one-way ANOVA (P < 0.0001, P < 0.0001, P < 0.0001, and P < 0.0001, for A, B, C, and D, respectively), followed by Sidak's multiple comparison test. ns P > 0.05; ***P < 0.001; ****P < 0.0001. The sample size (n) for each condition is shown above of the corresponding error bar. The data presented here was generated previously by another member in the Dimitriadi Lab (Fathama Mutaleb).

Anexo II

A1. Life and Medical School Annual Research Conference 2021 – 22 June 2021 – University of Hertfordshire (online), Hatfield, United Kingdom – Poster presentation.

University of Hertfordshire Dissecting the role of oxidative stress in Spinal Muscular Atrophy in Spinal Muscular Atrophy

Pacheco Torres, P and Dimitriadi, M Clinical and Pharmaceutical Science, School of Life and Medical Sciences, University of Hertfordshire, UK

1. Abstract

Spinal Muscular Atrophy is a genetic disorder caused by the homozygous deletion of the Survival Motor Neuron gene. In last years, oxidative stress have been related with SMA. With this project, we aim to elucidate the molecular pathways that drive SMA pathology and its relation with oxidative stress.

2. What is SMA?

- Spinal Muscular Atrophy (SMA) is an autosomal recessive disorder caused by the depletion of the Survival Motor Neuron protein (SMN).
- SMA is the most common genetic cause of death
- SMN is important in every cell of our body, but it is not known how SMN depletion causes neuromuscular defects in SMA patients.
- SMA initiates with a progressive denervation of muscles that culminates in a complete paralysis and death.

3. The importance of oxidative stress

- Oxidative stress (OS) is the consequence of a imbalance between Reactive oxygen species (ROS) production and its removal.
- One study has observed inhibition of the SMN complex following ROS production, whereas other studies have noted increased apoptosis, mitochondria dysfunction and ATP reduction in different SMA models when ROS level were increased.
- It is still uncertain to what extent OS contributes to SMA pathogenesis.

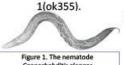
4. What do we know now?

- Previous results show that the induction of OS with compounds that increase intracellular superoxide such as juglone and paraquat had reduced survival.
- We test this additional form of stress To determine whether the increased sensitivity of smn-1 animals to juglone and paraguat is OS specific we will test another form of stress.

5. Methods

The C. elegans SMA model

Previously described model called smn-



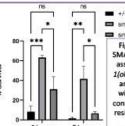
Heat Stress Experiment (HES)

To assess an additional form of stress animals were exposed to an increased temperature of 35ºC during a 6-hour period and assessed for survival after recovery.

We aim to delineate whether OS contributes to SMA pathogenesis by using our SMA model

7. Results

smn-1 animals show resistance to heat stress exposure, suggesting that the increased sensitivity of the C. elegans SMA animals to juglone/paraquat is likely to be oxidative stress specific.



Time exposure (35°C)

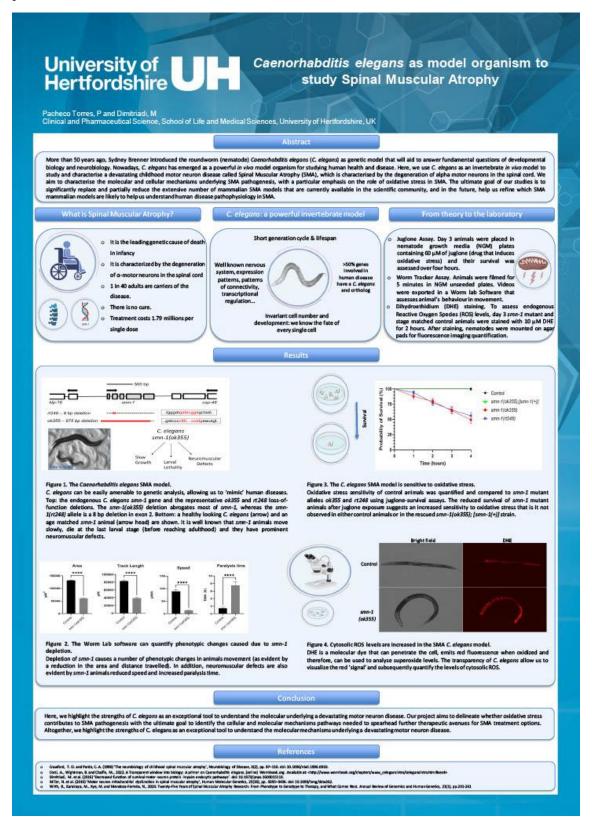
■ smn-1(ok355) smn-1(rt248)

Figure 2 Reprentation of survival of SMA animals to heat stress. In other to assess resistance to heat stress smn-1(ok355) animals were incubated for 6 and 7 hours at 35°C and compared with wildtype controls +/+ and smn controls smn-1(rt248). Results shows a resistance of smn-1/ok355) animals in comparison with controls. *p<0.05, **p<0.01, ***p<0.001.

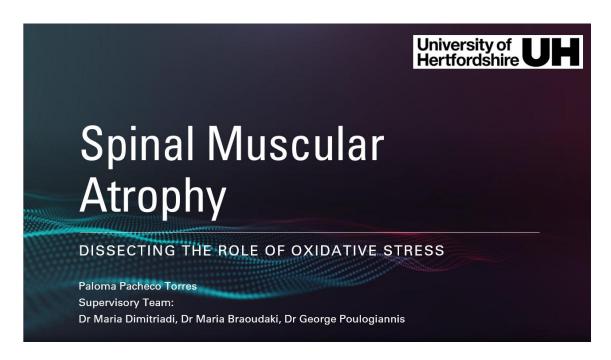
8. Future work

To futher validate our data we will stain smn-1 animals with Dihydroethidium (DHE), a marker that penetrates within the cell, interacts with superoxide products and emits red fluorescence. This will allow us to visualize the presence of ROS in order to elucidate whether there is an increased ROS production when SMN levels drop.

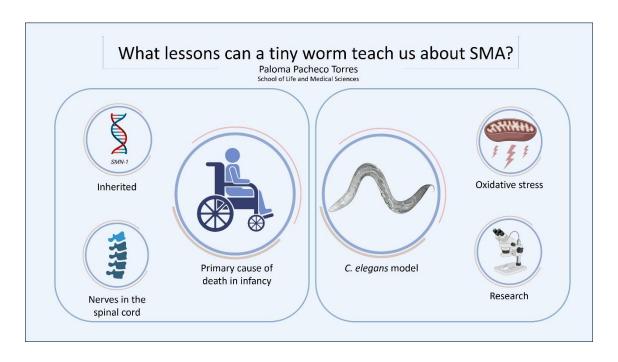
A2. LMS Annual Research Conference – 21 June 2022 – University of Hertfordshire – Poster presentation.



A3. Postgraduate Research Seminars 2022 – 10 Oct 2022 – University of Hertfordshire, Hatfield, United Kingdom – Presentation .



A4. 3 Minute Thesis Competition – 5 May 2022 – University of Hertfordshire, Hatfield, United Kingdom – Presentation – (Appendices 2D).

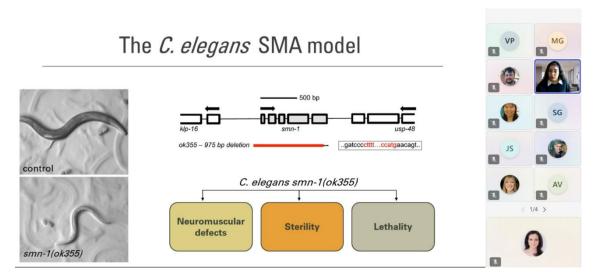


A5. Life and Medical School Annual Research Conference 2024 – 11 Jun 2024 – University of Hertfordshire, Hatfield, United Kingdom – Presentation.





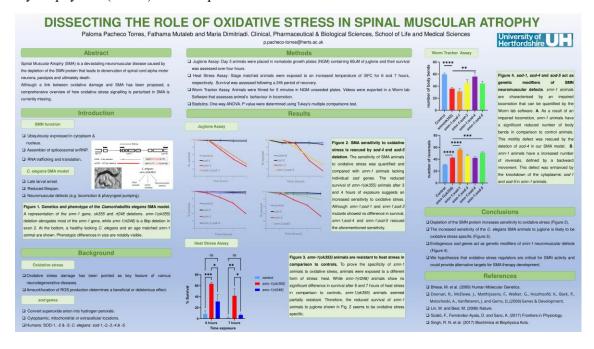
A6. Postgraduate Research Seminars 2024-11 Nov 2024- University of Hertfordshire, Hatfield, United Kingdom – Presentation.



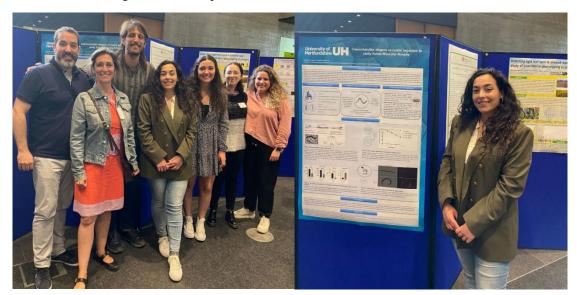
B1. Worm Club Seminars 2021 - 3 Nov 2021 -The London Worm Club (online), United Kingdom – Presentation.



B2. 15th UK Neuromuscular Translational Research Conference – 26-27 Apr 2022 –Muscular Dystrophy UK (online) – Poster presentation.



B3. IX International Symposium SRUK – 1-3 July 2022 – Spanish Researchers in the UK, Oxford, United Kingdom – Poster presentation.



IX INTERNATIONAL SYMPOSIUM SRUK/CERU | OXFORD 2022



Paloma Pacheco Torres

has been awarded the

Best Poster Award

for their contribution to the IX International Symposium SRUK/CERU







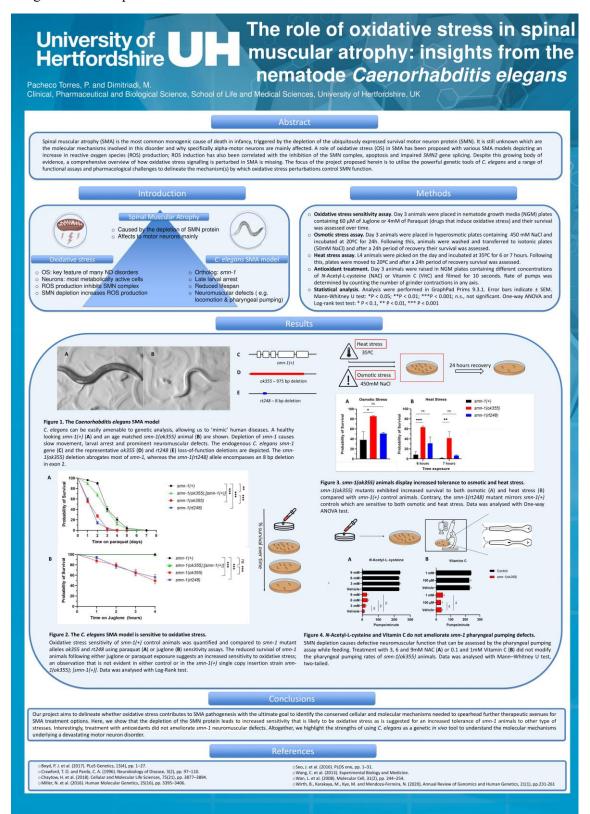




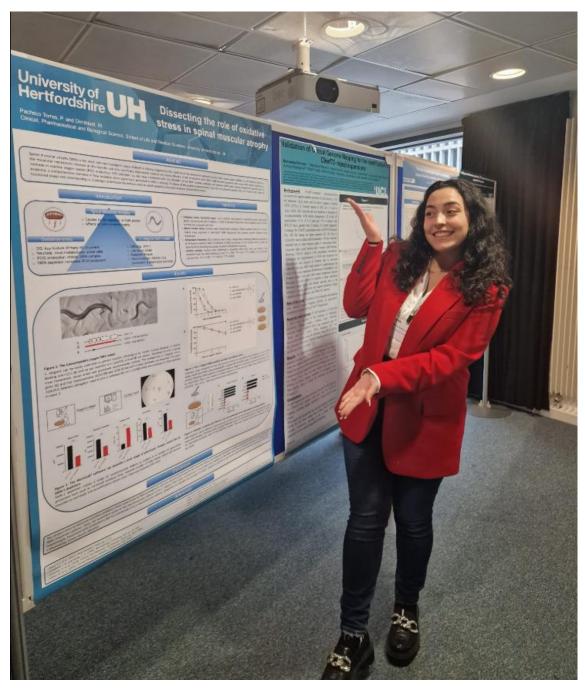
B4. SRUK Ageing and Diseases Conference -9 Jun 2023 – Spanish Researchers in the United Kingdom, Cambridge, United Kingdom – Presentation.



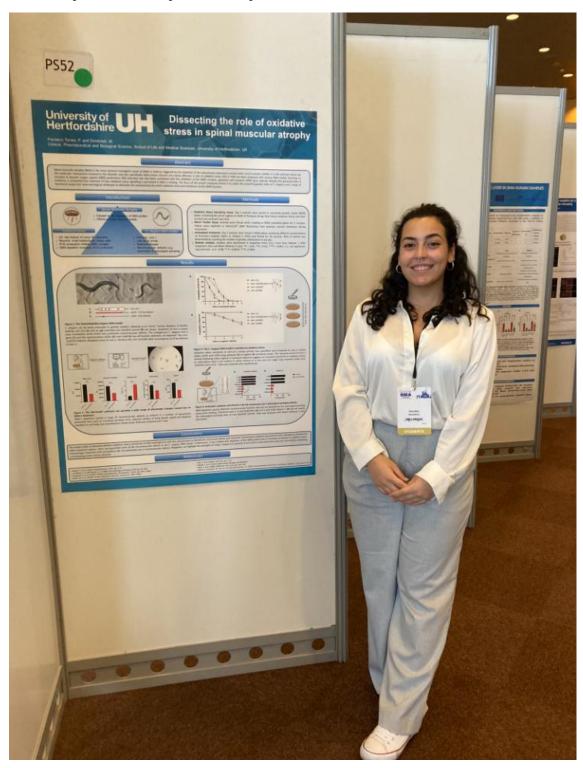
B5. UK Worm Meeting 2023 – 13 Jan 2023 – University of East Anglia, Norwich, United Kingdom – Poster presentation.



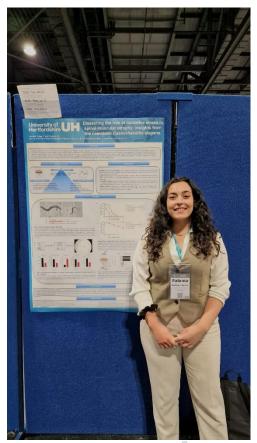
B6. 16th UK Neuromuscular Translational Research Conference – 29-30 Mar 2023 – Muscular Dystrophy UK, University College London, London, United Kingdom – Poster presentation.



C1. 3rd International Scientific Congress on Spinal Muscular Atrophy – 21-23 October 2022 – SMA Europe, Barcelona, Spain – Poster presentation.



C2. 24th International *C. elegans* Conference – 24-28 June 2023 – Genetics Society of America, Glasgow, Scotland – Poster presentation.





C3. 4th International Scientific Congress on Spinal Muscular Atrophy - 14-16 March 2024 - SMA Europe, Ghent, Belgum - Poster presentation.

