# Bright and dark helices of light

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**Abstract:** Laser beams can be made to form bright and dark intensity helices of light. Such helices have a pitch length on the order of a wavelength and may have applications in lithography and the manipulation of particles through optical forces. The formation of bright helices is more strongly constrained by optical resolution limits than that of dark helices, corresponding scaling laws are derived and their relevance for photo-lithography pointed out. It is shown how to arrange dark helices on a grid in massively parallel fashion in order to create handed materials using photo-lithographic techniques.

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## 1. Introduction

In recent years there has been considerable interest in monochromatic light beams, such as Laguerre-Gauss beams [1], carrying orbital angular momentum. These are known to have helical wave fronts [1–3] which have been investigated using interferometric techniques [4–6]. Several approaches that create helical beams using the action of Gouy's phase [7] have been suggested [8] and experimentally implemented [9, 10], combinations of plane waves have been used [11], whilst the use of Bessel-beams has also been suggested [12].

Few setups have been envisaged using counterpropagating monochromatic beams, although only these yield helices with pitch lengths on the order of their wavelength. Notable exceptions studying bright helices are [12–14]. Here, dark helices are investigated for the first time; they are not resolution limited and therefore provide us with better contrast than bright helices.

In section 2 bright and dark intensity helices of light are introduced in terms of screw dislocations [15] of ordinary standing waves. This allows us to quantify some of their basic characteristics. In section 3 it is shown that using superpositions with unequal weight to generate the intensity helices allows us to control their widths. Section 4 describes the scaling of the electric field strength around dark and bright intensity helices and how this limits the resolution of bright helices compared to dark ones. Section 5 shows how both types can be arranged in large arrays in massively parallel fashion making them potentially suitable for the creation of helical metamaterial using bulk lithography. Section 6 concludes with a survey of applications and the outlook.

#### 2. Helices through interference

Bright and dark interference fringes extending across a standing wave's cross-section are ubiquitous. In optics they have found applications in Lippmann's photography and Gabor's holography. They arise in laser cavities and interferometry and are used as transporters [16] and imaging elements [17] in atom optics. They are on the order of half a wavelength  $\lambda$  of the interfering light apart and since light beams are more than a wavelength wide and can overlap over considerable areas these bright fringes often resemble very tall stacks of pancakes [16]. They can also be slightly modified (the pancakes become deformed), due to the dispersive effects of Gouy's phase, in interfering multimode beams [7]. Instead of using the superposition of identical beams we want to consider the interference between two monochromatic, collinear, *counterpropagating* partial waves with *different* orbital angular momenta [1,2]. In this case one can imagine the pancakes (which are centered on the beam axis, compare Fig. 1 (a) top) to be cut open on one side, then splayed open and glued to the opposing cut faces of their neighbours, compare Fig. 1 (a) middle. In the most tightly wound case such a screw dislocation [15] yields a helix with pitch length  $z_1 = \lambda/2$ , see Fig. 1. The common pancake stack scenario can



Fig. 1. (a) illustrates the 'pancake' scenario explaining that helices form with pitch heights which are integer multiples of  $\lambda/2$ . (b) shows the superposition  $\frac{1}{2}u_{0,0}(x, y, z) + u_{0,1}(x, y, -z)$  yielding a single bright helix (red line) enveloping a single dark helix (black line) with the minimal pitch length  $\lambda/2$  [colored mesh was numerically determined as the locations with 90% of peak intensity]. (c) shows the magnitude |E| of its focal field distribution illustrating quadratic variation of |E| around the maximum and linear variation around the minimum. In (b) and (c) the *x*- and *y*-axes are given in units of focal beam radius  $w_0$ , *z*-axis in units of  $\lambda$ , in (c) the peak intensity is normalized to unity.

be viewed as the special case of a degenerate helix with orbital angular momentum difference l - l' = 0 and associated pitch length  $z_0 = 0$ . Alternatively to linking up nearest neighbours, the cut-open pancakes can be connected to their second nearest neighbour with pitch length  $z_2 = \lambda$  leaving the nearest neighbour to form part of a second helix on the opposite side of the beam axis, compare Fig. 1 (a) bottom and Fig. 2.

In general the helices' pitch lengths  $z_{l-l'}$  (orbital angular momentum quantization implies that *l* is an integer [1]) are determined by the difference l - l' in orbital angular momentum of the used laser beams and obey  $z_{l-l'} = \lambda (l - l')/2$ . A negative helix length  $z_{l-l'}$  describes inverted handedness. Different superpositions can yield a larger number of intertwined helices and concentric shells of helices, compare Fig. 2. Per nodal shell, there tend to exist a number of |l - l'| separate bright helices with dark helically wound regions between them, see references [2,3] and text following eq. (1) below. Details depend on the mode structures and relative weights of the employed superpositions, see sections 2 and 4.

The helices' widths can be controlled through the width of the laser beams' waists  $2w_0$ . Their lengths are practically limited by the laser beams' divergence. If the beams' cross-sections are



Fig. 2. Superposition  $u_{0,2}(x, y, z) + \frac{1}{2}u_{1,0}(x, y, -z)$  yields a pair of dark and bright helices with pitch  $\lambda$  (a); its focal intensity distribution (b) [all units as in Fig. 1, note that compared to Fig. 1 the helices' orientation is reversed].

elliptically deformed, so are the helices. Suitable laser beam modes that carry orbital angular momentum, such as Laguerre-Gauss-modes [1], are readily available [2–5,18] and the required superpositions are straightforward to implement [19]. The relative phases of the interfering beams can be shifted such that the intensity-helices revolve around their main axis (including very rapid rotations through frequency detuning between the two opposing beams [18, 20]).

For specificity, we will consider the paraxial Laguerre-Gauss modes  $U_{p,l}(x, y, z)$  [1, 21] (formed from 'generalized Laguerre polynomials'  $L_{p,|l|}$  rather than, say, orbital angular momentum carrying Bessel-beams [12])

$$U_{p,l} \quad (z_{R},\lambda;r,\phi,z) = \sqrt{\frac{2}{(1+\delta_{0,l})\pi} \frac{p!}{(p+|l|)!}}$$

$$\times \quad \left(\frac{\sqrt{2}r}{w(z)}\right)^{|l|} L_{p,|l|} \left(2\frac{r^{2}}{w(z)^{2}}\right) \left(\frac{e^{il\phi}}{w(z)}\right)$$

$$\times \quad \exp\left[-\frac{r^{2}}{w(z)^{2}} - i(2p+|l|+1)\zeta(z) + \frac{ikr^{2}}{2\rho(z)}\right]. \tag{1}$$

Here  $\lambda$  is the laser's wavelength and  $k = 2\pi/\lambda$  its wave number; x and y are the transverse coordinates, and z parameterizes the beam axis. Kronecker's  $\delta$ -function takes care of the correct normalization. The azimuthal angle  $\phi$  is connected to the transverse coordinates via the relation  $e^{il\phi} = (x + iy)^i$ : l is the integer orbital angular momentum index with the associated orbital angular momentum  $L_l = \hbar l$  [1,2]. The discrete radial index p counts the number of nodal rings in the radial direction  $r = \sqrt{x^2 + y^2}$ . The beam radii are given by  $w(z) = w_0 \sqrt{1 + z^2/z_R^2}$  with the beam waist radius  $w_0 = \sqrt{\lambda z_R/\pi}$  where  $z_R$  is the Rayleigh-length which also parameterizes the Gouy-phase shifts  $\zeta(z) = \arctan(z/z_R)$  and the wavefront radii  $\rho(z) = (z^2 + z_R^2)/z$  [1,21].

In order to form bright (or dark) light helices we have to create constructive (or destructive) interference along the entire phase front. For this it suffices to interfere two radially matching pure Laguerre-Gauss laser modes, with suitably chosen amplitudes C and C', travelling in opposite directions, namely, to form a superposition

$$\mathbf{E}(x, y, z; t) = E(x, y, z; t) \cdot \mathbf{P} = [C u_{p,l}(x, y, z) + C' u'_{p',l'}(x, y, -z)] \cdot e^{-i\omega t} \cdot \mathbf{P},$$
(2)

where we used  $\omega = c_0 k$  for the angular frequency of the light and the paraxial solutions

$$u_{p,l}(x,y,z) = U_{p,l}(x,y,z)e^{ikz} .$$
(3)

We assume that the laser is uniformly polarized and the wave front curvatures can be neglected. Thus the electric field **E** is a scalar field multiplied with the polarization vector **P** [22]. From this superposition we can extract the spatially fast-oscillating term  $e^{i(kz+l\phi)}$  which yields the interference term  $\cos(2kz + (l - l')\phi)$ . When a full turn ( $\Delta \phi = 2\pi$ ) along the intensity helix is tracked the ensuing spatial shift yields the pitch length in the z-direction

$$z_{l-l'} = (l-l')2\pi/(2k) = \lambda(l-l')/2.$$
(4)

This confirms the intuitive description in terms of a screw dislocation given above. Note that the interference between two beams travelling in the same direction yields interference fringes [4] but not helical intensity spirals on the wavelength scale; instead it forms intensity rods which are modulated by Gouy's phase thus forming rods with a twist [8, 23], very similar to those displayed in Fig. 1 of reference [24].

Intuitively, this should not be too surprising since in a forward-only configuration light following a helical path would travel superluminally. The effects discussed here are not a consequence of Gouy's phase, unlike the helical intensity distributions considered in references [8] and [25] or the nodal lines investigated in reference [19], all giving rise to 'half-oscillations' [7] on the scale of the beam's Rayleigh length.

The effects discussed here necessarily require interference of two counterpropagating partial waves; this implies that the medium needs to be sufficiently transparent. Also note that reflection by a mirror inverts the helicity of a mode and therefore beams carrying orbital angular momentum, say within a laser cavity, form intensity helices (but not single standalone helices since  $|l - l'| \neq 1$  in the reflection case).

#### 3. Some features of single dark helix beams

In this section some features of the single-helix superposition

$$\Sigma(x, y, z; C) = C \cdot u_{0,0}(x, y, z) + u_{0,1}(x, y, -z)$$
(5)

of an ordinary Gaussian beam  $u_{0,0}$  with a counterpropagating Laguerre-Gauss mode  $u_{0,1}$  with one unit of orbital angular momentum are elucidated.

The single-helix superposition  $\Sigma(C)$  forms a single bright and a single dark helix, the Gaussian beam's relative weight fixes the dark helix' radius since the field's zero in the focal plane occurs at  $(x_0, y_0, 0) = (-Cw_0/\sqrt{2}, 0, 0)$ . The stronger the field of the added Gaussian beam the more the zero of the Laguerre-Gaussian mode gets displaced from the beam axis, compare Figs. 2 and 4. For small values of the coefficient *C* the dark helices are slim and steep, yet, unlike bright helices they remain distinguishable, see Fig. 4.

In general, determination of the precise form of helices along the beam axis requires solving an implicit problem. For beams formed from superpositions of the form (2) (of which  $\Sigma(C)$ of eq. (5) is a special case) the following mapping allows for a quick determination of the approximate location of the helices.

$$(x(z) + iy(z)) = (x_0 + iy_0)\sqrt{(1 + z^2/z_R^2)}\exp(i\chi(z))$$
(6)

with the phase 
$$\chi(z) = -(2(1+p+p')+|l|+|l'|)\zeta(z) + \frac{kr^2}{\rho(z)} + 2kz.$$
 (7)

This is to be read as a complex-plane representation of a mapping of a helix' focal plane location  $(x_0, y_0)$  to its location (x(z), y(z)) along the beam axis.

Next we determine the field gradients for a dark helix formed from a single-helix beam  $\Sigma(C)$  at the intensity zero in the focal plane  $(x_0, y_0, 0) = (-Cw_0/\sqrt{2}, 0, 0)$ 

$$\partial_x E = 2\sqrt{\pi} \exp(-C^2/2)/(z_R \lambda),$$
(8)

$$\partial_{\nu}E = -i\partial_{x}E,\tag{9}$$

and 
$$\partial_z E = C(-3\lambda + C^2\lambda + 4z_R\pi)/\sqrt{2\pi z_R\lambda} \cdot \partial_y E;$$
 (10)

here, the complex phases represent the gradients' phase differences within the optical cycle. The gradients can be used to derive the exact pitch angle  $\alpha$  the helix forms with the focal plane

$$\alpha = \arctan\left(\frac{-\partial_{y}E}{\partial_{z}E}\right) = -\arctan\left(\frac{\sqrt{2\pi z_{R}\lambda}}{C(-3\lambda + C^{2}\lambda + 4z_{R}\pi)}\right).$$
 (11)

In this derivation the first step arises from the observation that since the helix passes through  $(-Cw_0/\sqrt{2}, 0, 0)$  its tangent vector has the form  $\boldsymbol{\tau} = (0, \tau_y, \tau_z)$ . Since the helix is dark it moreover fulfils the condition  $\tau_y \cdot \partial_y E + \tau_z \cdot \partial_z E = 0$  which implies eq. (11) above. For large values of *C* and  $z_R/\lambda$  this expression conforms with the 'naive' expectation  $|\alpha| \approx \arctan(h/x_0) = \arctan(\lambda/(2^{3/2}\pi Cw_0))$ .

With the abbreviation  $g = \partial_z E / \partial_y E$ , we can write down the normalized focal tangent vector  $\boldsymbol{\tau} = (1+g^2)^{-1/2}(0,-g,1)$  and complete the associated Frenet trihedron by forming the third vector  $\boldsymbol{\eta} = \hat{\mathbf{x}} \times \boldsymbol{\tau} = -(1+g^2)^{-1/2}(0,1,g)$ .

A dark helix can be used as the core of a blue-detuned [26], helical atom waveguide [14]. Whereas  $\boldsymbol{\tau}$  points in the direction of free movement,  $\hat{\mathbf{x}} = (1,0,0)$  and  $\boldsymbol{\eta}$  form the principal axes of the local transverse trapping potential

$$V(x,\eta) \propto |\partial_x E|^2 x^2 + |\partial_\eta E|^2 \eta^2$$
, where  $\partial_\eta E = \boldsymbol{\eta} \cdot \boldsymbol{\nabla}(E)$  with (12)

$$\partial_{\eta}E = -i\partial_{x}E \cdot \sqrt{\frac{2z_{R}\pi + 9C^{2}\lambda - 6C^{4}\lambda - 24C^{2}\pi z_{R} + C^{6}\lambda + 8C^{4}\pi z_{R} + 16C^{2}\pi^{2}z_{R}^{2}/\lambda}{2z_{R}\pi}}$$
(13)

This can be used to determine the transverse potential's trapping frequencies and their anisotropy ratio  $A = \omega_x/\omega_\eta$  [14], which is plotted in Fig. 3.



Fig. 3. The frequency anisotropy  $A = \omega_x / \omega_\eta = |\partial_x E| / |\partial_\eta E|$  of the transversal trapping potential of dark helices of a single-helix beam  $\Sigma(C)$ . The anisotropy A < 1,  $A \approx 1$  if one uses small helix radii (*C* small); qualitatively the same behaviour was seen for bright helices in reference [14] [ $z_R$  measured in units of  $\lambda$ ].



Fig. 4. The focal intensity distribution of superposition  $u_{0,2}(x,y,z) + u_{0,0}(x,y,-z)$  (a) and its logarithm for superposition  $u_{0,2}(x,y,z) + \frac{1}{10}u_{0,0}(x,y,-z)$  (b) demonstrate that narrowly wound bright helices self-overlap and become ill defined whereas dark helices remain distinguishable [coordinate axes scaled in units of beam width  $w_0$ ].

#### 4. Dark helices are more sharply contoured than bright helices

Unlike bright features dark features can provide superresolution [27]. This allows us to create structures with spatial extensions below the diffraction limit. To see how the optical resolution limit constrains bright helices much more than dark ones, recall that bright helices are centered on the maximum position  $\mathbf{r}_{\mathbf{M}}$  of the local electric field. According to Fourier's theorems monochromatic light fields in free space have to be smooth and differentiable, they cannot have spikes. Therefore, the *field's magnitude*, when moving by a small displacement  $\delta \mathbf{r}$  away from the core of the helix, can at best drop quadratically with  $\delta \mathbf{r}$ , namely, with  $\kappa$  as the maximal local curvature we have

$$|\delta \mathbf{E}_{\mathbf{M}}(\delta \mathbf{r})| = |\mathbf{E}(\mathbf{r}_{\mathbf{M}})| - |\mathbf{E}(\mathbf{r}_{\mathbf{M}} + \delta \mathbf{r})| \le \frac{|\kappa|}{2} \cdot (\delta \mathbf{r})^2 + O((\delta \mathbf{r})^3) .$$
(14)

Around a zero at location  $\mathbf{r}_{\mathbf{m}}$  the field instead typically rises linearly (unless one tracks the helix core), this variation is bounded by the largest local gradient  $\gamma$ 

$$|\delta \mathbf{E}_{\mathbf{m}}(\delta \mathbf{r})| \approx |\mathbf{E}(\mathbf{r}_{\mathbf{m}} + \delta \mathbf{r})| - 0 \le |\gamma| \cdot |\delta \mathbf{r}| + O((\delta \mathbf{r})^2) .$$
(15)

This difference in the scaling of the variation of the local field magnitude allows for sharper definition of dark helices compared with bright ones; this is represented graphically in the field magnitude plot of panel (c) of Fig. 1, in the comparison in Fig. 4, and in the 'spikiness' of the dark helices' intensity profiles in Fig. 5 (b) and (c). Note that the location of the bright helices in these two plots are harder to make out than those of the dark ones. One might be tempted to argue that a logarithmic plot 'unfairly' pronounces the presence of dark helices over bright ones, but photoresists, for example, tend to have logarithmic response functions [28] so the use of Figs. 4 (b), and 5 (b) and (c) is quite suitable when trying to gauge outcomes in a photo-lithographic application.

## 5. Lattices of dark helices

It is possible to embed dark helical beams into a background of roughly uniform illumination. To this end a hexagonal lattice is used because it has high symmetry and can be very tightly packed. Ordinarily, regions of completely destructive interference of such tightly packed beams would be unavoidable. In order to make certain that no dark areas other than the desired dark



Fig. 5. A set of parallel equal Gaussian beams  $u_{0,0}(x - X_G, y - Y_G, z)$  travelling along the *z*-axis and with their beam axes centered on a set of grid points { $(X_G, Y_G, 0)$ } of a hexagonal lattice is arranged into three sub-lattices with different polarization orientations (beam centers and respective beam polarizations indicated by arrows in panel (a); nearest neighbour distance  $2.3 w_0$ ). Despite its tight packing this sub-lattice arrangement avoids destructive interference between beams of equal polarization and yields a roughly uniformly bright background, see panels (b) and (c). The focal intensity distribution of a single dark helix created from the superposition  $\Sigma(x, y, z; \frac{1}{8})$  of eq. (5) is displayed in panel (d) together with an artist's impression of the location of its dark helix as a black line. Such dark helices can be inserted into the bright background without losing their contrast, (b) and (c), for details see section 5. Massively parallel or sparse implementation of dark helices with good contrast is possible. All position coordinate axes are scaled in units of beam width  $w_0$ .

helices are created, we partition the lattice into three triangular sub-lattices with different polarization directions, see colour-coding of Fig. 5 (a). In our examples, the hexagonal lattice is either filled with ordinary Gaussian  $u_{0,0}$ -beams, Fig. 5 (b), or with single-helix beams  $\Sigma(C)$ , see Fig. 5 (c); one of which is displayed in Fig. 5 (d).

In case 5 (b), the lattice beam  $u_{0,0}(x,y,z)$  at the origin (black arrow) is removed, a very weak +y-polarized beam is superimposed to remove spill-over from the nearest neighbours and a single-helix beam  $\Sigma(x,y,z;\frac{1}{8})$  inserted at the origin. This construction demonstrates that a single dark helix can be embedded into a bright uniform background. Alternatively, the entire lattice is formed from helical beams with equal strength, see Fig. 5 (c); where, on account of the slightly wider lattice spacing, no spill-over compensation is applied.

The logarithmic intensity plots 5 (b) and (c) confirm that the background intensity is quite uniform (varies less than an order of magnitude) and yet allows for embedded dark spirals with excellent intensity contrast: the helix cores are at least four orders of magnitude darker than the background.

## 6. Applications, conclusions and outlook

The generation of bright and dark intensity helices from paraxial laser beams was studied. Such intensity helices of light may turn out to be applied in nanolithography of bulk media [29] to create sharply contoured helical imprints in potentially massively parallel fashion.

In section 4 the variation of the electric field magnitude in the immediate vicinity of intensity helices was characterized. This variation scales linearly for dark and quadratically for bright helices.

The very much sharper intensity contrasts this scaling implies for dark helices may turn out to be of considerable practical importance. The logarithmic intensity plots of Fig. 5 (b) and (c) show that we can create sharp spikes of the intensity distribution of dark helices whereas this is impossible for bright helices. This observation is relevant for lithographic applications because photoresists tend to show logarithmic responses to the magnitude of the irradiating field [28].

In order to demonstrate the versatility of the approach presented here it was shown that single dark helices can be sparsely embedded in a uniform bright background but also tightly packed into a dense hexagonal grid embedded in a uniform bright background.

The intensity helices' defined handedness might, for example, help with the production of chiral optical meta-materials [30, 31], possibly using longer wavelengths such as from THz-radiation [32].

Filtering of handed molecules, through a membrane with photo-lithographically etched helical holes or via handedness-sensitive trapping in solution, might become possible.

For the manipulation of ultra-cold gas clouds via the optical dipole force, dark (blue-detuned) and bright (red-detuned [14]) helical beams may turn out to be useful [26].

The fact that helices can be made to intertwine, see section 2, opens up the possibility of studying intertwined transport. In the presence of gravity or other uniform force fields a helix that is tilted away from vertical by more than its pitch angle can potentially serve as a microscopic Archimedean-screw transporter [16] or a helical ratchet-potential. Transport along helices is expected to show localization behaviour [33, 34] and other interesting quantum-transport phenomena [35].

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