

Citation for the published version:

Bautista, M. G., Zhu, H., Zhu, X., Yang, Y., Sun, Y., & Dutkiewicz, E. (2019). Compact Millimeter-Wave Bandpass Filters Using Quasi-Lumped Elements in 0.13µm (Bi)-CMOS Technology for 5G Wireless Systems. IEEE Transactions on Microwave Theory and Techniques. DOI: 10.1109/TMTT.2019.2895581

Document Version: Accepted Version

Link to the final published version available at the publisher:

https://doi.org/10.1109/TMTT.2019.2895581

© 2019 IEEE. Personal use of this material is permitted. Permission from IEEE must be obtained for all other uses, in any current or future media, including reprinting/republishing this material for advertising or promotional purposes, creating new collective works, for resale or redistribution to servers or lists, or reuse of any copyrighted component of this work in other works.

General rights

Copyright© and Moral Rights for the publications made accessible on this site are retained by the individual authors and/or other copyright owners.

Please check the manuscript for details of any other licences that may have been applied and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights. You may not engage in further distribution of the material for any profitmaking activities or any commercial gain. You may freely distribute both the url (http://uhra.herts.ac.uk/) and the content of this paper for research or private study, educational, or not-for-profit purposes without prior permission or charge.

Take down policy

If you believe that this document breaches copyright please contact us providing details, any such items will be temporarily removed from the repository pending investigation.

Enquiries

Please contact University of Hertfordshire Research & Scholarly Communications for any enquiries at rsc@herts.ac.uk

Compact Millimeter-Wave Bandpass Filters Using Quasi-Lumped Elements in 0.13- μ m (Bi)-CMOS Technology for 5G Wireless Systems

Meriam Gay Bautista, Student Member, IEEE, He Zhu[®], Member, IEEE, Xi Zhu[®], Member, IEEE, Yang Yang[®], Senior Member, IEEE, Yichuang Sun[®], Senior Member, IEEE, and Eryk Dutkiewicz[®], Senior Member, IEEE

Abstract—A design methodology for a compact millimeterwave on-chip bandpass filter (BPF) is presented in this paper. Unlike the previously published works in the literature, the presented method is based on quasi-lumped elements, which consists of a resonator with enhanced self-coupling and metal-insulatormetal capacitors. Thus, this approach provides inherently compact designs comparing with the conventional distributed elements-based ones. To fully understand the insight of the approach, simplified LC-equivalent circuit models are developed. To further demonstrate the feasibility of using this approach in practice, the resonator and two compact BPFs are designed using the presented models. All three designs are fabricated in a standard 0.13-\mu (Bi)-CMOS technology. The measured results show that the resonator can generate a notch at 47 GHz with the attenuation better than 28 dB due to the enhanced self-coupling. The chip size, excluding the pads, is only $0.096 \times 0.294 \text{ mm}^2$. In addition, using the resonator for BPF designs, the first BPF has one transmission zero at 58 GHz with a peak attenuation of 23 dB. The center frequency of this filter is 27 GHz with an insertion loss of 2.5 dB, while the return loss is better than 10 dB from 26 to 31 GHz. The second BPF has two transmission zeros, and a minimum insertion loss of 3.5 dB is found at 29 GHz, while the return loss is better than 10 dB from 26 GHz to 34 GHz. Also, more than 20-dB stopband attenuation is achieved from dc to 20.5 GHz and from 48 to 67 GHz. The chip sizes of these two BPFs, excluding the pads, are only $0.076 \times 0.296 \text{ mm}^2$ and $0.096 \times 0.296 \text{ mm}^2$, respectively.

Index Terms—Bandpass filter (BPF), Bi-CMOS, microwave, millimeter wave (mm wave), miniaturization, on-chip resonator, RFIC, silicon–germanium (SiGe).

I. INTRODUCTION

THE rise of 5G begins an exciting new era in the world of mobile connectivity. The so-called millimeter-wave (mm-wave) technology is one of the key factors, which

Manuscript received October 14, 2018; revised December 30, 2018; accepted January 4, 2019. This work was supported by the Australian Research Council under Grant DE160101032. (Corresponding author: Xi Zhu.)

M. G. Bautista, H. Zhu, X. Zhu, Y. Yang, and E. Dutkiewicz are with the Faculty of Engineering and Information Technology, University of Technology Sydney, Ultimo, NSW 2007, Australia (e-mail: xi.zhu@uts.edu.au).

Y. Sun is with the School of Engineering and Technology, University of Hertfordshire, Hertfordshire, AL10 9AB, U.K.

Color versions of one or more of the figures in this paper are available online at http://ieeexplore.ieee.org.

Digital Object Identifier 10.1109/TMTT.2019.2895581

enables not only wireless transmission of gigabits per second that are 20 times faster than the current 4G network, but also low-latency communication that is the fundamental of many emerging applications, such as autonomous vehicles. Among different potential applications, the 28-/39-GHz cellular network [1], 60-GHz Wi-Gig [2], and 77-GHz automotive radar [3] are likely to be widely deployed to support the commercialization of the upcoming 5G in the very near future.

One of the major differences between the conventional transceiver architecture that operates for sub-6 GHz and the emerging mm-wave transceiver architecture is that either subharmonic mixing or frequency multiplication technique is usually used for local oscillator (LO) generation. Both techniques utilize nonlinearity of active devices to produce an mm-wave signal source for the purpose of up/down frequency conversion. Because of nonlinearity is used, the unwanted harmonics must be suppressed sufficiently; otherwise, the overall performance of the mm-wave system could be deteriorated severely. To generate the required LO source operating at mm-wave region, frequency doubler and tripler are the most popular building blocks [4], [5]. Although odd-order harmonics can be inherently suppressed in theory for a "push-push" frequency doubler, a bandpass filter (BPF) is still required for rejecting the unwanted fourth-order harmonics as well as further suppressing the fundamental and third-order harmonics. If a frequency tripler is used in an LO chain, a BPF is also necessary to suppress the fundamental, second-order, and fourth-order harmonics. In addition, the concept of passiveinspired designs has drawn extensive attention recently. It has been widely used for the design of high-performance building blocks, such as amplifiers, signal sources, and switches [4]–[12]. Filter designs are no longer simply treated for interference suppression, but also used for impedance transformation and co-design with other active components.

As far as on-chip filter designs are concerned, several design tradeoffs need to be considered to satisfy different design specifications, such as miniaturized physical size and reduced insertion loss. Several prior works can be found in the literature on this regard, which includes bandstop [13], lowpass [14], [15], and BPFs [16]–[28] design in standard silicon-based technologies. By miniaturizing physical dimensions of

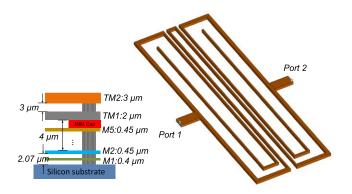


Fig. 1. 3-D view of the proposed resonator and the metal stack-up used for implementation. (Note that ground shielding is removed for better visibility.)

passive components, not only the overall die size can be reduced, but it is also useful for reducing conductor loss of the metal strips. As a result, the overall insertion loss can be optimized efficiently. For these reasons, several novel approaches for the design of miniaturized devices have been presented in the literature. Unlike using the conventional distributed components approach for filter designs [16]–[20], the quasi-lumped components approach has gained attention recently [21]-[28]. The key idea of this emerging approach is to enhance the so-called self-coupling by fully utilizing provided metal stack-up. One of the possible solutions is to use broadside-coupled structures [22]–[25]. However, this idea has a limitation, which is that the vertical gap between each metal layer cannot be changed in the design as they are predefined physical parameters. As a result, the design flexibility is dramatically restricted. Because of this limitation, it is desirable to design a planar structure that can be implemented using single metal layer only to perform the required self-coupling mechanism.

In this paper, a design methodology based on quasi-lumped elements is proposed. By taking advantage of the inherent self-coupling inside a spiral inductor, a resonator is designed to be capable to generate a strong notch at a specific frequency. Using this feature along with metal–insulator–metal (MIM) capacitors, two compact on-chip BPFs are developed and implemented in a standard (Bi)-CMOS 0.13- μ m technology. A good agreement between the simulations and measurements has been achieved for all three designs. The rest of this paper is organized in the following way. In Section II, the principle of the designed resonator with enhanced self-coupling is presented. Using this resonator as a baseline, two BPF design examples are given in Section III and IV, respectively. These are followed by the measured results in Section V, and conclusions are drawn in Section VI.

II. DESIGN AND IMPLEMENTATION OF THE RESONATOR WITH ENHANCED SELF-COUPLING

A. Overview of the Resonator

The 3-D view of the proposed resonator and the metal stackup used for its implementation is shown in Fig. 1. The metal stack-up is from a standard $0.13-\mu m$ (Bi)-CMOS technology that has seven metal layers with aluminum as the thick top

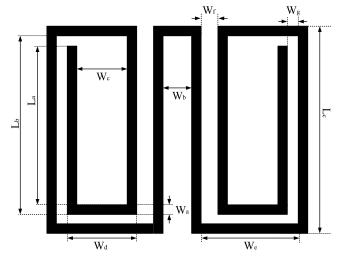


Fig. 2. 2-D view of the proposed resonator with enhanced self-coupling.

two metal layers. The additional MIM layer is placed between TM1 and M5. In addition, the height of the silicon substrate is 200 μ m. The dielectric constant of SiO₂ is 4.1, and the loss tangent is 0.01.

The 2-D view of the resonator is given in Fig. 2. Unlike previously published works, this resonator is based on a planar structure which only requires a single metal layer for implementation. As can be seen, the resonator has a symmetrical structure and consists of a symmetrically folded strip-line. The physical dimensions of the resonator can be varied, depending on which metal layer is selected for its implementation which will be further discussed later.

B. Simplified LC-Equivalent Circuit Model of the Resonator

To understand the principle of the EM structure presented in Fig. 2, a simplified LC-equivalent circuit model is given in Fig. 3. For simplicity, a half-circuit model is first presented in Fig. 3(a). As illustrated, the folded meander line can be split into two parts, which are colored in black and red, respectively. In addition, the capacitors are used to model the capacitive coupling between two parts through edge coupling. To construct a simplified full-circuit model, the half-circuit model can be further simplified to a combination of two inductors L_1 and L_2 representing the black and red inductors, and one capacitor C_1 representing the mutual coupling. In Fig. 3(b), the simplified full-circuit model is presented that consists of two half-circuit models presented in Fig. 3(a).

The input admittance of the resonator can be expressed as

$$Y_{\rm in} = -j \frac{1}{\omega L_2} + \frac{j\omega C_1}{1 - \omega^2 L_1 C_1}.$$
 (1)

To determine the resonant frequency of the resonator, one can solve the equation $Y_{in} = 0$. In this case, the resonant frequency is found to be located at

$$f_o = \frac{1}{2\pi} \times \sqrt{\frac{1}{(L_1 + L_2)C_1}}.$$
 (2)

The 3-D mapping of the relation between f_0 , L_1 , and C_1 is provided in Fig. 4. It is straightforward to find out that

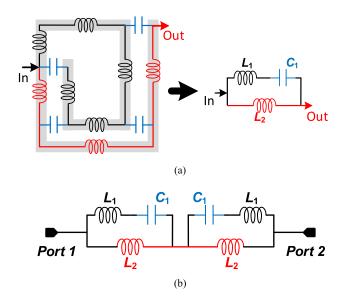


Fig. 3. Simplified LC-equivalent circuits. (a) Half circuit. (b) Full circuit.

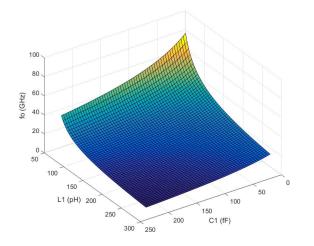


Fig. 4. Simulated resonant frequency using the circuit model presented in Fig. 3.

the resonance f_0 is inversely proportional to L_1 and C_1 . By changing the values of L_1 and C_1 , the resonance can be effectively controlled. Here, it is assumed that L_1 is equal to L_2 for simplicity. If either of them is fixed, a similar variation pattern can be found as well.

C. Implementation of the Resonator

In order to verify the principle of the resonator as well as the simulated results using the ideal lumped components, two design examples are given in this section. The proposed resonator is implemented first using the topmost metal layer, namely, TM2. The detailed physical dimensions of the resonator for this case are summarized in Table I. As previously analyzed, three physical parameters, L_c , W_g , and W_f , can be used to adjust the frequency response of the resonator. Thus, a parametric study is presented in this section to investigate their impact on the resonator design. By fixing the values of W_g and W_f , the value of L_c is swept from 172 to 272 μ m with a

TABLE I
PHYSICAL DIMENSIONS OF THE RESONATOR
IMPLEMENTED IN TM2 LAYER

W_a	W_b	W_c	W_d	W_e
2 μm	8 μm	16 μm	20 μm	26 μm
W_f	W_g	L_a	L_b	L_c
4 μm	2 μm	258 µm	262 μm	268 μm

step of 50 μ m. As illustrated in Fig. 5(a), the resonance can be shifted from 46 to 72 GHz. It indicates that the generated transmission notch is relatively reliable and can be controlled efficiently across a broad bandwidth. Thus, by tuning the length of the resonator, a coarse tuning can be performed. On the other hand, as illustrated in Fig. 5(b) and (c), by fixing the value of W_g and L_c , the optimized value for W_f can be determined. Likewise, if the values of L_c and W_f are fixed, the value of W_g can be used for fine-tuning. Consequently, the resonator can be implemented in a very flexible way.

III. DESIGN AND IMPLEMENTATION OF THE FIRST BPF

To prove that the presented resonator is useful in practice, in this Section, an on-chip BPF design example is given, which uses a combination of the resonator with MIM capacitors.

A. Simplified LC-Equivalent Circuit Model of the First BPF

Using the previously presented resonator as a baseline, a BPF can be designed by introducing an *L*-type capacitive feeding network to the resonator [28], [29]. To understand the impact on the center frequency as well as the bandwidth of the filter due to using different feeding capacitances, a simplified *LC*-equivalent circuit model is given first as shown in Fig. 6.

It is observed that the equivalent circuit model consists of two parts: one is the resonator with enhanced self-coupling, which has been shown in Fig. 3; another part is the L-type feeding network that has a series capacitance C_2 and a shunt capacitance C_3 . Both capacitors could affect the resonance and the bandwidth of the BPF simultaneously. Therefore, it is critical to choose appropriate values for them based on predetermined specifications.

To design a BPF, two aspects need to be focused, which are resonance and bandwidth. For the resonance, the position of the resonance pole can be found by solving the following equation:

$$Y_{\rm in} = 0$$

where

$$Y_{\text{in}}(\omega) = \frac{j\omega C_2 + j\omega C_3 - j\frac{1}{\omega L_2} + \frac{j\omega C_1}{1 - \omega^2 L_1 C_1}}{j\omega C_2 \cdot \left(j\omega C_3 - j\frac{1}{\omega L_2} + \frac{j\omega C_1}{1 - \omega^2 L_1 C_1}\right)}.$$
 (3)

Equivalently, the resonance can be solved by

$$j\omega C_2 + j\omega C_3 - j\frac{1}{\omega L_2} + \frac{j\omega C_1}{1 - \omega^2 L_1 C_1} = 0.$$
 (4)

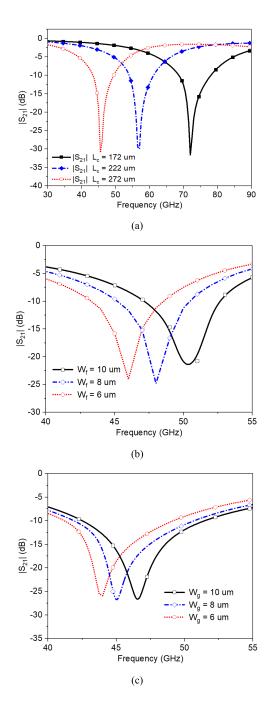


Fig. 5. EM simulated $|S_{21}|$ of the inductor implemented in TM2. (a) W_f and W_g are fixed as 2 and 4 μ m, respectively. (b) W_g and L_c are fixed as 4 and 268 μ m, respectively. (c) W_f and L_c are fixed as 2 and 268 μ m, respectively.

The resonances are found to be located at

$$f_1 = \frac{1}{2\pi} \times \sqrt{\frac{s - \sqrt{s^2 - 4t}}{2t}} \tag{5}$$

$$f_{1}^{'} = \frac{1}{2\pi} \times \sqrt{\frac{s + \sqrt{s^{2} - 4t}}{2t}} \tag{6}$$

where

$$s = C_1 L_1 + (C_1 + C_2 + C_3) L_2 \tag{7}$$

$$t = L_1 L_2 C_1 (C_2 + C_3).$$

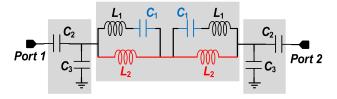


Fig. 6. Simplified LC-equivalent circuit model of the first BPF.

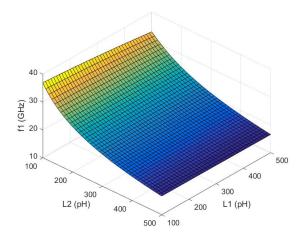


Fig. 7. 3-D mapping of the resonant frequency f_1 against L_1 and L_2 .

As shown, the two resonances f_1 and f_1' are generated. For the BPF design, f_1 is used to form the passband while $f_1^{'}$ is a spurious harmonic located at the upper-stopband, which should be suppressed. Though the resonances are related to C_1, C_2, C_3, L_1 , and L_2 , the values of C_1, L_1 , and L_2 are determined first by (2), since the resonator can produce a transmission zero at f_0 . The resonance of the BPF f_1 can be controlled by L_1, L_2 , and C_1 . The relation among f_1, L_1, L_2 can be described in a 3-D mapping figure, which is shown in Fig. 7. As can be seen that L_2 affects the position of f_1 while L_1 almost has no impact on f_1 . Fig. 8 shows the 3-D mapping relation among f_1 , L_2 and C_1 . Considering (2), the position of the transmission zero and the value of C_1 can be decided first, and then the value of $(L_1 + L_2)$ is decided. Then, according to Fig. 8, one can determine the values of L_1 and L_2 according to the requirement of f_1 .

The external quality factor and bandwidth of the filter are mainly controlled by C_2 and C_3 . For the coupling condition, the external quality factor of the BPF is determined by the susceptance slope parameter of the BPF, which is calculated by

$$Q_{\rm ex} = \frac{2b}{Y_{\rm o}} \tag{9}$$

where

$$b = \frac{\omega_o}{2} \times \frac{\partial \text{Im}[Y_{in}]}{\partial \omega} \bigg|_{\omega = \omega_o}.$$
 (10)

Here, b is the susceptance slope parameter of the resonator and Y_{in} is the input admittance of the BPF considering the effect

of C_2 and C_3 . Replacing b in (9) and using (10), the $Q_{\rm ex}$ can

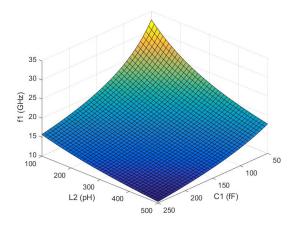


Fig. 8. 3-D mapping of the resonant frequency f_1 against C_1 and L_2 .

be expressed as

$$Q_{\text{ex}} = \frac{\omega_o}{2Y_o} \times \left\{ C_3 + \frac{1}{\omega_o^2 L_2} + \frac{C_1 \left(1 + \omega_o^2 L_1 C_1 \right)}{\left(1 - \omega_o^2 L_1 C_1 \right)^2} + \frac{\frac{2\omega_o C_2^2}{Y_o^2}}{\left[1 + \left(\frac{\omega_o C_2}{Y_o} \right)^2 \right]^2} \right\}. \tag{11}$$

Meanwhile, the external quality factor of the BPF is closely related to the fractional bandwidth (FBW), which can be expressed as

$$Q_{\rm ex} = \frac{\sqrt{g_0 g_1}}{\rm FBW} \tag{12}$$

where g_0 and g_1 refer to the basic values of the traditional lowpass filter. As can be seen from (11) and (12), the FBW and $Q_{\rm ex}$ are related to C_1, C_2, C_3, L_1 , and L_2 simultaneously. Since C_1, L_1 , and L_2 are subjected to the selection of the transmission zero f_0 and the resonant pole f_1 , these three variables are predetermined and thus cannot be changed for the BPF design. In this case, only C_2 and C_3 are used to control the external coupling as well as the bandwidth of the filter. The cascaded capacitor C_2 and shunted capacitor C_3 at the input–output port can be calculated using the following equation:

$$C_2 = \frac{J_{01}}{\omega_o \sqrt{1 - (J_{01}/Y_o)^2}} \tag{13}$$

$$C_3 = \frac{C_2}{(\omega_o C_2 / Y_o)^2} \tag{14}$$

where

$$J_{01} = \sqrt{\frac{Y_o b \times \text{FBW}}{g_0 g_1}}.$$
 (15)

When C_1 , L_1 , and L_2 are chosen, the susceptance slope parameter b is fixed as well. Then, the values of C_2 and C_3 can be decided based on (13) and (14) with given design targets for g_0 , g_1 , FBW, and $Q_{\rm ex}$. The calculated S-parameters of the resonator and the BPF are given in Fig. 9, while the

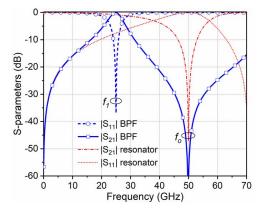


Fig. 9. Calculated S-parameters of the resonator and the first BPF design example using this resonator.

TABLE II
PHYSICAL DIMENSIONS OF THE FIRST BPF

W_a	W_b	W_c	W_d	<i>W_e</i> 16 μm	
2 μm	8 µm	6 μm	10 μm		
W_f	W_g	L_a	L_b	L_c	
4 μm	2 µm	258 µm	264 μm	272 μm	

associated values are selected as $C_1 = 40$ fF, $C_2 = 120$ fF, $C_3 = 200$ fF, and $L_1 = L_2 = 125$ pH. It is seen that the transmission zero f_0 and that of the BPF are located at the same position. This is because the transmission zero is created by the resonator and its position is purely determined by (2). This indicates that the transmission zero can be adopted in the filter design to enhance the upper-stopband suppression of the BPF. Moreover, the resonant pole f_1 is located at a lower frequency than f_0 , which forms the passband of the filter. The bandwidth and the external quality factor can be controlled by tuning the values of C_2 and C_3 which will not affect the transmission zero. In this case, a BPF can be built based on the previously presented resonator with an appropriate external coupling factor provided by the capacitors.

B. Implementation of the First BPF

To prove that the provided analysis is correct, the first BPF is designed and implemented. The physical dimensions of the filter are summarized in Table II. As previously discussed, once the physical dimensions of the resonator are fixed, the selection of feeding network becomes a vital task for BPF design. To verify the impact on the frequency responses due to using a different combination of C_2 and C_3 , the EM simulator is used in conjunction with swept capacitance values. The simulated results are given in Fig. 10. As shown in Fig. 10(a), the capacitance C_2 is fixed, and the capacitance C_3 is swept from 0.1 to 0.2 pF with a step of 50 fF. A similar procedure is repeated with C_3 being fixed and C_2 being swept, while the results are given in Fig. 10(b). As illustrated, both feeding capacitances have a similar impact on the frequency response of the BPF, including the center frequency, insertion loss, return loss, and harmonic suppression. In practice, the selection of the shunt capacitance is more critical because it can be

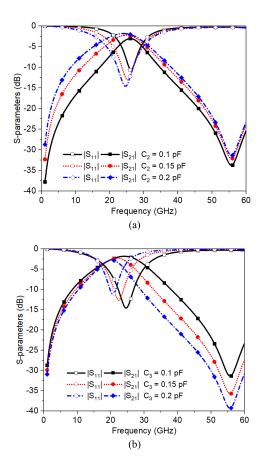


Fig. 10. Simulated S-parameters of the first BPF with different feeding capacitances. (a) C_3 is fixed as 0.1 pF. (b) C_2 is fixed as 0.2 pF.

significantly affected by the grounded parasitic capacitance. Thus, a sufficient margin needs to be taken into consideration. In this design, $C_2 = 0.16$ pF and $C_3 = 50$ fF are used. In order to ensure not only a miniaturized design but also high accuracy in terms of the frequency responses, both capacitors used for the L-type feeding network are implemented using MIM structures. The 3-D view of the designed BPF and the implementation of a MIM capacitor is given in Fig. 11. As shown, the TM1 and M5 are used to implement the top and bottom plates of the MIM capacitor, respectively.

IV. DESIGN AND IMPLEMENTATION OF THE SECOND BPF

Although it has proved that the presented design methodology can be used for BPF design in Section III, the realized filter is a first-order filter and only has one transmission zero at high frequency. To improve the selectivity of the passband, it is desired to design higher order filters which have another transmission zero at a lower frequency to improved the band selectivity. To that end, the second design example is presented in this section with an additional transmission zero at the low frequency. This transmission zero can be introduced by loading a series-LC network in the middle point of the folded stripline. The schematic is given in Fig. 12. The resonant condition of the transmission zero ω_2 can be written as

$$j\omega_2 C_s - j\frac{1}{\omega_2 L_s} = 0. ag{16}$$

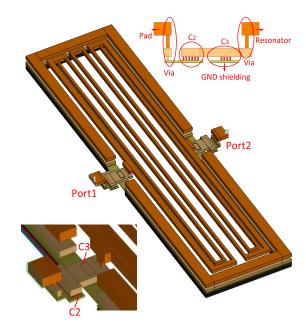


Fig. 11. 3-D view of the first BPF with highlighted MIM capacitors.

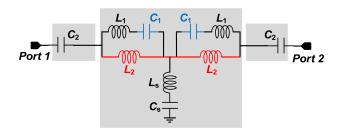


Fig. 12. Simplified LC-equivalent circuit model of the second BPF.

Therefore, the position of the TZ f_2 can be solved as

$$f_2 = \frac{1}{2\pi} \times \sqrt{\frac{1}{L_s C_s}}. (17)$$

The relations between f_2 and the series-LC network L_s and C_s are presented in Fig. 13. It is possible to design the transmission zero at any desired position, and the required value of L_s and C_s for the corresponding f_2 can be found from Fig. 13.

It is observed both from (14) and Fig. 13 that f_2 will move to lower frequencies when L_s or C_s decreases. For f_2 , the value of L_s is relatively small and limited within a small range, which is because L_s refers to the parasitic inductance. The capacitance C_s is obtained using on-chip MIM capacitor. Since L_s is almost unchanged, it is possible to select appropriate value of MIM capacitor C_s to determine the position of f_2 . It is noted that the f_2 is different from the expression of f_o , where the capacitance C_1 is relatively small and L_1 is dominant. The comparisons of the calculated results between the BPFs with and without the low-frequency transmission zero is given in Fig. 14. Comparing with the results presented in Fig. 9, the transmission zero f_0 at higher frequency is located at exactly the same position, which is due to the reason that the resonant conditions of f_0 are the same for

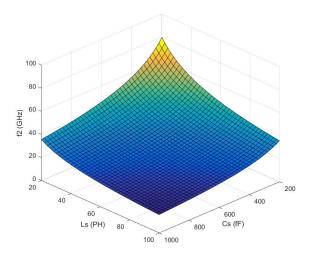


Fig. 13. 3-D mapping of the resonant frequency f_2 against C_s and L_s .

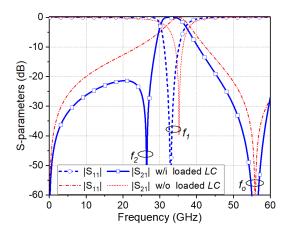


Fig. 14. Comparison of the calculated S-parameters of two designed BPFs with and without low-frequency transmission zero.

TABLE III
PHYSICAL DIMENSIONS OF THE SECOND BPF

$\overline{W_a}$	W_b	W_c	W_d	W_e
2 μm	8 μm	16 μm	20 μm	26 μm
W_f	W_g	L_a	L_b	L_c
4 μm	2 μm	258 μm	264 μm	272 μm

both cases. Meanwhile, it is noted that the resonance pole of the BPF f_1 is slightly shifted to a lower frequency, because f_1 is also affected by the series-LC network. To further investigate the impact on frequency reponses due to the selection of C_2 and C_s , EM simulation is used. The simulated results are given in Fig. 15. As illustrated, both capacitances are critical for the BPF design. The value of C_2 is important for the stopband attenuation at the low freuqency, while the value of C_s can be used to control the location of low-frequency transmission zero. To achieve an optimized performance, in this design, $C_s = 0.79$ pF and $C_2 = 0.08$ pF are used. In addition, the detailed physical dimensions of the folded strip-line for this case are summarized in Table III and the 3-D view of this BPF is given in Fig. 16.

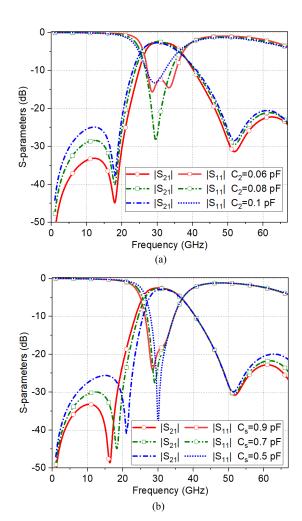


Fig. 15. Frequency responses of the second BPF. (a) $C_s = 0.78$ pF and C_2 is swept from 60 fF to 100 fF with a step of 20 fF. (b) $C_2 = 80$ fF and C_s is swept from 0.5 pF to 0.9 pF with a step of 0.2 pF.

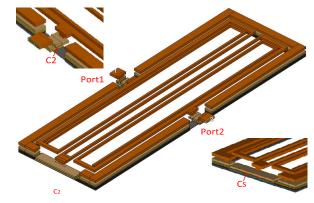


Fig. 16. 3-D view of the second BPF with highlighted MIM capacitors.

V. MEASUREMENT RESULTS AND DISCUSSION

To evaluate the performance of the implemented resoantor as well as two BPFs, all three designs are fabricated in a standard 0.13- μ m (Bi)-CMOS technology. Excluding the testing ground–signal–ground (G-S-G) pads, the chip sizes of the two BPFs are only 0.076 \times 0.296 mm² and 0.096 \times 0.296 mm², respectively. The size of the resonator is 0.096 \times 0.294 mm². The measurements are conducted using on-wafer G-S-G

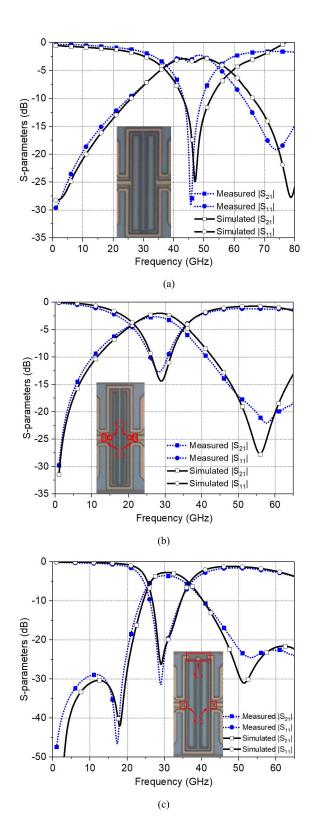


Fig. 17. Measured S-parameters. (a) Resonator. (b) First BPF. (c) Second BPF.

probing from 1 GHz up to 67 GHz with the help of a vector network analyzer E8361A and N5260-60003 from Keysight and $100-\mu m$ pitch (GSG) Waveguide Infinity Probes from FormFactor, Inc. The on-wafer calibration was made by using a conventional short–load–open–thru to move the reference planes from the connectors of the equipment to the tips of

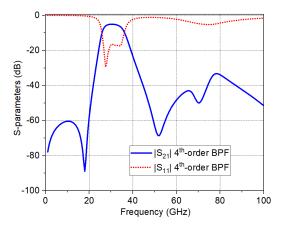


Fig. 18. EM simulation result of a fourth-order BPF using the presented resonators.

the RF probes. For comparison, both the EM simulated and measured $|S_{21}|$ and $|S_{11}|$ of the resonator as well as BPFs are plotted in Fig. 17(a)–(c), respectively.

As illustrated in Fig. 17(a), the simulated self-resonant frequency appears at 47 GHz with 25-dB attenuation while the measured one has a notch at 46 GHz with 28-dB attenuation. Thus, a reasonable agreement between the EM simulated and measured results of the resonator is obtained. Moreover, as can be seen in Fig. 17(b), the first BPF has one transmission zero at 58 GHz with a peak attenuation of 23 dB. The center frequency of this filter is 27 GHz with an insertion loss of 2.5 dB, while the $|S_{11}|$ is better than 10 dB from 26 to 31 GHz. As demonstrated in Fig. 17(c), the second BPF has two transmission zeros, and a minimum insertion loss of 3.5 dB is found at 29 GHz. The $|S_{11}|$ is better than 10 dB from 26 to 34 GHz. Also, more than 20-dB stopband attenuation is achieved from dc to 20.5 GHz and from 48 to 67 GHz. The discrepancy between the simulated and measured results and some ripples that appeared in the measured results above 50 GHz are caused by the G-S-G pads and testing environment, which are not included in the EM simulation. The performance summaries of the presented BPFs as well as the other state-of-the-art designs are given in Table IV. Due to the lossy silicon substrate and ohmic loss, silicon-based BPFs have inherently high insertion loss comparing with their counterparts implemented in other technologies, such as GaAs. However, the presented designs, both the first- and second-order ones, have demonstrated an improved performance in terms of insertion loss, which can be found in the comparison table.

To further demonstrate that the presented approach is also feasible for implementation of a high-order BPF filter, a fourth-order BPF is also designed and the EM simulated results are given in Fig. 18. This BPF is implemented by cascading two of the previously presented second-order filters with additional interstage matching capacitance. It is clearly seen that a flat passband is formed with excellent cutoff selectivity and out-of-band rejection. The insertion loss is around 5.2 dB, and the in-band return loss is below the level of -17 dB. Moreover, due to the high order of the filter, the upper-stopband harmonic f_1' , which is located at 78 GHz, is suppressed to -30 dB. Fig. 18 indicates that the proposed

0.13-μm SiGe

0.13-μm SiGe

0.18-µm CMOS

0.13-μm SiGe

0.13-μm SiGe

0.13-µm SiGe

0.13-µm SiGe

0.024

0.031

0.054

0.012

0.073

0.023

0.028

PERFORMANCE COMPARISONS WITH THE OTHER STATE-OF-THE-ART DESIGNS									
REF.	fc (GHz)	Insertion loss (dB)	Filter order	Fractional bandwidth (%) ^	No. of TZs	Stopband suppression @ low frequency (dB)	Stopband suppression @ high frequency (dB)	Area (mm²)	Technology
[17]	60	9.3	1 st	10	n/a	n/a	n/a	0.11	0.18-μm CMOS
[18]	35	4.5	2^{nd}	37.8	2	n/a	50	0.124	0.18-μm CMOS
[19]	65	3.4	2^{nd}	18.5	2	35	30	0.074	0.18-μm CMOS
[20]	60	4.1	2^{nd}	17	2	52	50	0.287	0.13-μm SiGe
[21]	25	2.5	2^{nd}	31	3	50	38	0.28	0.13-μm CMOS
[22]	60	4	2^{nd}	27.6	2	28	38	0.16	0.18-μm SiGe
[23]	60	2.5	1^{st}	21	2	15	20	0.156	0.18-μm CMOS

2

2

TABLE IV

n/a

n/a

17

n/a

25

n/a

47

NOTE: $^{\land}$ The $|S_{11}|$ is better than -10 dB. All results are extracted from the manuscripts.

 2^{nd}

 2^{nd}

3rd

2nd

22.6

18

21.7

20

68.5

17.5

26.7

method can be used to implement high-order BPFs with better out-of-band rejections and in-band performance. The higher the filter order implemented, the higher the insertion loss must be accommodated. Thus, there is a design tradeoff between stopband suppression and in-band insertion loss.

[24]

[25]

[26]

[27]

[28]

DESIGN 1

DESIGN 2

31

33

59.5

40

31

27

29

2.6

3.3

1.7

3.9

2.5

3.5

VI. CONCLUSION

In this paper, a novel design methodology based on quasilumped elements is presented for miniaturized on-chip BPFs operating in mm-wave region. One resonator with enhanced self-coupling and two BPF design examples using this resoantor are given to satisfy different design specifications. To qualitatively demonstrate the principle of the presented designs, simplified LC-equivalent circuit models are given to investigate their transmission characteristics. Based on the investigation, the dimensions of both designs are optimized in a quantitative way using an EM simulator. To further prove that the presented designs are feasible in practice, all three designs are fabricated in a standard 0.13-μm (Bi)-CMOS technology. A reasonable agreement between the EM simulated and measured results is obtained. According to the overall performances of both designed BPFs, it can be concluded that the proposed methodology is particularly suitable for miniaturized design in silicon-based technologies. In addition, it can be codesigned with other building blocks where harmonics need to be tuned or suppressed, such as power amplifiers and frequency multipliers.

REFERENCES

- [1] K. Kibaroglu, M. Sayginer, T. Phelps, and G. M. Rebeiz, "A 64-element 28-GHz phased-array transceiver with 52-dBm EIRP and 8-12-Gb/s 5G link at 300 meters without any calibration," IEEE Trans. Microw. Theory Techn., vol. 66, no. 12, pp. 5796-5811, Dec. 2018.
- [2] T. Dinc, A. Chakrabarti, and H. Krishnaswamy, "A 60 GHz CMOS full-duplex transceiver and link with polarization-based antenna and RF cancellation," IEEE J. Solid-State Circuits, vol. 51, no. 5, pp. 1125-1140, May 2016.
- [3] J. Hasch, E. Topak, R. Schnabel, T. Zwick, R. Weigel, and C. Waldschmidt, "Millimeter-wave technology for automotive radar sensors in the 77 GHz frequency band," IEEE Trans. Microw. Theory Techn., vol. 60, no. 3, pp. 845-860, Mar. 2012.

[4] S. Chakraborty, L. E. Milner, X. Zhu, L. T. Hall, O. Sevimli, and M. C. Heimlich, "A K-band frequency doubler with 35-dB fundamental rejection based on novel transformer balun in 0.13-μm SiGe technology," IEEE Electron Device Lett., vol. 37, no. 11, pp. 1375-1378,

20

44

27

21

45

23

24

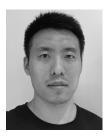
- [5] N. Mazor and E. Socher, "A SiGe distributed millimeter-wave frequency tripler," IEEE Microw. Wireless Compon. Lett., vol. 24, no. 12, pp. 893-895, Dec. 2014.
- [6] W. Z. Chen, W. H. Chen, and K. C. Hsu, "Three-dimensional fully symmetric inductors, transformer, and balun in CMOS technology, IEEE Trans. Circuits Syst. I, Reg. Papers, vol. 54, no. 7, pp. 1413–1423, Jul. 2007.
- [7] Z. Safarian and H. Hashemi, "Wideband multi-mode CMOS VCO design using coupled inductors," *IEEE Trans. Circuits Syst. I, Reg.* Papers, vol. 56, no. 8, pp. 1830-1843, Aug. 2009.
- [8] J. R. Long, Y. Zhao, W. Wu, M. Spirito, L. Vera, and E. Gordon, "Passive circuit technologies for mm-wave wireless systems on silicon," IEEE Trans. Circuits Syst. I, Reg. Papers, vol. 59, no. 8, pp. 1680-1693, Aug. 2012.
- [9] Y. Shang, H. Yu, D. Cai, J. Ren, and K. S. Yeo, "Design of high-Q millimeter-wave oscillator by differential transmission line loaded with metamaterial resonator in 65-nm CMOS," IEEE Trans. Microw. Theory Techn., vol. 61, no. 5, pp. 1892-1902, May 2013.
- [10] R. Shu, J. Li, T. Adrian, B. J. Drouin, and Q. J. Gu, "Coupling-inductorbased hybrid mm-wave CMOS SPST switch," IEEE Trans. Circuits Syst. II, Exp. Briefs, vol. 64, no. 4, pp. 367-371, Apr. 2017.
- [11] Y.-S. Lin and V. K. Nguyen, "94-GHz CMOS power amplifiers using miniature dual Y-shaped combiner with RL load," IEEE Trans. Circuits Syst. I, Reg. Papers, vol. 64, no. 6, pp. 1285-1298, Jun. 2017.
- [12] Z. J. Hou et al., "A W-band balanced power amplifier using broadside coupled strip-line coupler in SiGe BiCMOS 0.13-µm technology," IEEE Trans. Circuits Syst. I, Reg. Papers, vol. 65, no. 7, pp. 2139-2150, Jul. 2018.
- [13] V. N. R. Vanukuru and V. K. Velidi, "Compact millimeter-wave CMOS wideband three-transmission-zeros bandstop filter using a single coupled-line unit," IEEE Trans. Circuits Syst. II, Exp. Briefs, vol. 64, no. 9, pp. 1022-1026, Sep. 2017.
- [14] K. Ma, S. Mou, K. S. Yeo, and W. M. Lim, "A cross-coupled LPF topology and design for millimeter-wave RFIC applications," IEEE Trans. Electron Devices, vol. 59, no. 11, pp. 2902–2909, Nov. 2012.
- [15] P. Sarafis, A. G. Nassiopoulou, H. Issa, and P. Ferrari, "Highperformance on-chip low-pass filters using CPW and slow-wave-CPW transmission lines on porous silicon," IEEE Trans. Electron Devices, vol. 63, no. 1, pp. 439-445, Jan. 2016.
- [16] C.-Y. Hsu, C.-Y. Chen, and H.-R. Chuang, "A 60-GHz millimeterwave bandpass filter using 0.18-μm CMOS technology," IEEE Electron Device Lett., vol. 29, no. 3, pp. 246-248, Mar. 2008.
- L. Nan, K. Mouthaan, Y. Z. Xiong, J. Shi, S. C. Rustagi, and B. L. Ooi, "Design of 60- and 77-GHz narrow-bandpass filters in CMOS technology," IEEE Trans. Circuits Syst. II, Exp. Briefs, vol. 55, no. 8, pp. 738-742, Aug. 2008.

- [18] L.-K. Yeh, C.-Y. Chen, and H.-R. Chuang, "A millimeter-wave CPW CMOS on-chip bandpass filter using conductor-backed resonators," *IEEE Electron Device Lett.*, vol. 31, no. 5, pp. 399–401, May 2010, doi: 10.1109/LED.2010.2043333.
- [19] S.-C. Chang, Y.-M. Chen, S.-F. Chang, Y.-H. Jeng, C.-L. Wei, C.-H. Huang, and C.-P. Jeng, "Compact millimeter-wave CMOS bandpass filters using grounded pedestal stepped-impedance technique," *IEEE Trans. Microw. Theory Techn.*, vol. 58, no. 12, pp. 3850–3859, Dec. 2010, doi: 10.1109/TMTT.2010.2086591.
- [20] A.-L. Franc, E. Pistono, D. Gloria, and P. Ferrari, "High-performance shielded coplanar waveguides for the design of CMOS 60-GHz bandpass filters," *IEEE Trans. Electron Devices*, vol. 59, no. 5, pp. 1219–1226, May 2012.
- [21] C.-L. Yang, S.-Y. Shu, and Y.-C. Chiang, "Design of a K-band chip filter with three tunable transmission zeros using a standard 0.13-\(\mu\mathrm{PMOS}\) technology," *IEEE Trans. Circuits Syst. II, Exp. Briefs*, vol. 57, no. 7, pp. 522–526, Jul. 2010.
- [22] K. Ma, S. Mou, and K. S. Yeo, "Miniaturized 60-GHz on-chip multimode quasi-elliptical bandpass filter," *IEEE Electron. Devices Lett*, vol. 34, no. 8, pp. 945–947, Aug. 2013, doi: 10.1109/LED.2013. 2265165.
- [23] N. Mahmoud, A. Barakat, A. B. Abdel-Rahman, A. Allam, and R. K. Pokharel, "Compact size on-chip 60 GHz H-shaped resonator BPF," *IEEE Microw. Wireless Compon. Lett.*, vol. 26, no. 9, pp. 681–683, Sep. 2016.
- [24] S. Chakraborty *et al.*, "A broadside-coupled meander-line resonator in 0.13-μm SiGe technology for millimeter-wave application," *IEEE Electron Device Lett.*, vol. 37, no. 3, pp. 329–331, Mar. 2016.
- [25] Y. Zhong, Y. Yang, X. Zhu, E. Dutkiewicz, K. M. Shum, and Q. Xue, "An on-chip bandpass filter using a broadside-coupled meander line resonator with a defected-ground structure," *IEEE Electron. Device Lett.*, vol. 38, no. 5, pp. 626–629, May 2017.
- [26] A. S. A. EI-Hameed, A. Barakat, A. B. Abdel-Rahman, A. Allam, and R. K. Pokharel, "Ultracompact 60-GHz CMOS BPF employing broadside-coupled open-loop resonators," *IEEE Microw. Wireless Com*pon. Lett., vol. 27, no. 9, pp. 818–820, Sep. 2017.
- [27] H. Zhu, Y. Yang, X. Zhu, Y. Sun, and S.-W. Wong, "Miniaturized resonator and bandpass filter for silicon-based monolithic microwave and millimeter-wave integrated circuits," *IEEE Trans. Circuits Syst. I, Reg. Papers*, vol. 65, no. 12, pp. 4062–4071, Dec. 2018.
- [28] H. Zhu, X. Zhu, Y. Yang, and Q. Xue, "Design of wideband third-order bandpass filters using broadside-coupled resonators in 0.13-μm (Bi)-CMOS technology," *IEEE Trans. Microw. Theory Techn.*, vol. 66, no. 12, pp. 5593–5604, Dec. 2018.
- [29] D. M. Pozar, Microwave Engineering. New York, NY, USA: Wiley, Nov. 2011.
- [30] L.-K. Yeung, K.-L. Wu, and Y.-E. Wang, "Low-temperature co-fired ceramic filters for RF applications," *IEEE Microw. Mag.*, vol. 9, no. 5, pp. 118–128, Oct. 2008.



Meriam Gay Bautista (S'16) received the B.Sc. degree in electronics engineering from Mindanao State University—Iligan Institute of Technology, Iligan, Philippines, in 2008, the M.Sc. degree in microelectronics from National Taipei University, Taipei, Taiwan, in 2013. She is currently pursuing the Ph.D. degree at the University of Technology Sydney, Ultimo, NSW, Australia.

Her current research interests include RFIC, microwave and millimeter-wave circuits, and systems and power electronics.



He Zhu (M'18) received the B.Sc. and M.Eng. degrees from the South China University of Technology, Guangzhou, China, and the Ph.D. degree in electrical engineering from the School of ITEE, University of Queensland, Brisbane, QLD, Australia.

He is currently a Post-Doctoral Research Fellow with the Global Big Data Technologies Centre, University of Technology Sydney, Ultimo, QLD, Australia. His current research interests include the development of beamforming networks for antenna arrays, radio frequency integrated circuits and sys-

tems, and tunable microwave and millimeter-wave devices.



Xi Zhu (M'19) received the B.E. (Hons.) and Ph.D. degrees from the University of Hertfordshire, Hertfordshire, U.K., in 2005 and 2008, respectively.

He is currently a Lecturer with the School of Electrical and Data Engineering, University of Technology Sydney, Ultimo, NSW, Australia. He has co-authored over 80 refereed publications in his research fields. His current research interests include the areas of analog baseband, radio frequency, and millimeter-wave circuits and systems design.



Yang Yang (S'11–M'14–SM'17) was born in Bayan Nur, China. He received the Ph.D. degree from Monash University, Melbourne, VIC, Australia, in 2013.

From 2015 to 2016, he was a Senior Research Associate with the Department of Engineering, Macquarie University, Sydney, NSW, Australia.

In 2016, he joined the State Key Laboratory of Millimeter-Waves, City University of Hong Kong, Hong Kong, as a Research Fellow. In 2016, he joined the University of Technology Sydney, Ultimo, NSW,

Australia, as a Lecturer. His current research interests include RFIC, microwave and millimeter-wave circuits and systems, reconfigurable antennas, wearable antennas, and wearable medical sensing devices and systems.

Dr. Yang is currently an Associate Editor of IEEE ACCESS. He was a recipient of the of CST University Publication Award 2018, awarded by CST, Germany.



Yichuang Sun (M'90–SM'99) received the B.Sc. and M.Sc. degrees in communications and electronics engineering from Dalian Maritime University, Dalian, China, in 1982 and 1985, respectively, and the Ph.D. degree in communications and electronics engineering from the University of York, York, U.K., in 1996.

He is currently a Professor and the HoD of Electronic, Communication and Electrical Engineering with the School of Engineering and Technology, University of Hertfordshire, Hertfordshire, U.K.

He has authored over 320 papers and 4 text and research books, and contributed 10 chapters in edited books. His current research interests include the areas of wireless and mobile communications and RF and analog circuits.

Dr. Sun was a Series Editor of the IEE Circuits, Devices and Systems book series from 2003 to 2008. He has been an Associate Editor of the IEEE TRANSACTIONS ON CIRCUITS AND SYSTEMS— I: REGULAR PAPERS from 2010 to 2011, 2016 to 2017, and 2018 to 2019. He is also an Editor of the ETRI Journal and the Journal of Semiconductors. He was an Guest Editor of eight IEEE and IEE/IET journal special issues: High-Frequency Integrated Analogue Filters in IEE Proceedings. Circuits, Devices and Systems in 2000, RF Circuits and Systems for Wireless Communications in the IEE Proceedings Circuits, Devices and Systems in 2002, Analogue and Mixed-Signal Test for Systems on Chip in IEE Proceedings Circuits, Devices and Systems in 2004, MIMO Wireless and Mobile Communications in IEE Proceedings Communications in 2006, Advanced Signal Processing for Wireless and Mobile Communications in IET Signal Processing in 2009, Cooperative Wireless and Mobile Communications in IET Communications in 2013, Software-Defined Radio Transceivers and Circuits for 5G Wireless Communications in the IEEE TRANSACTIONS ON CIRCUITS AND SYSTEMS—II: EXPRESS BRIEFS in 2016, and the 2016 IEEE International Symposium on Circuits and Systems in IEEE TRANSACTIONS ON CIRCUITS AND SYSTEMS—I: REGULAR PAPERS in 2016. He has also been widely involved in various IEEE technical committee and international conference activities.



Eryk Dutkiewicz (M'06–SM'17) received the B.E. degree in electrical and electronic engineering and the M.Sc. degree in applied mathematics from the University of Adelaide, Adelaide, SA, Australia, in 1988 and 1992, respectively, and the Ph.D. degree in telecommunications from the University of Wollongong, Wollongong, NSW, Australia, in 1996.

His industry experience includes management of the Wireless Research Laboratory, at Motorola, in 2000. He is currently the Head of the School of Electrical and Data Engineering, University of

Technology Sydney, Ultimo, NSW, Australia. He also holds a professorial appointment at Hokkaido University, Sapporo, Japan. His current research interests include 5G and Internet-of-Things networks.