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# Thermal performance of a mine refuge chamber with human body heat sources under ventilation

Zujing Zhang<sup>a,b</sup>, Hongwei Wu<sup>c</sup>, Kequan Wang<sup>b</sup>, Rodney Day<sup>c</sup>, Yanping Yuan<sup>a\*</sup>

5 <sup>a</sup>School of Mechanical Engineering, Southwest Jiaotong University, Chengdu, 610031, China

6 <sup>b</sup>Chongqing Research Institute of China Coal Technology & Engineering Group, Chongqing, 400037, China

7 <sup>c</sup>School of Engineering and Technology, University of Hertfordshire, Hatfield, AL10 9AB, United Kingdom

8 \*Corresponding author. Email: <u>ypyuan@home.swjtu.edu.cn</u>

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10 Abstract: This paper investigated the dynamic coupling heat transfer characteristics of rock and air 11 in a Mine Refuge Chamber (MRC) under ventilation. In the current work, a comprehensive fiftyperson MRC model combining human-body heat sources and ventilation is established, the 12 proposed model is validated against available experimental data with deviation less than 4%. 13 14 Furthermore, sensitivity analysis is performed to investigate the influence of several control parameters such as heating rate, ventilation and wall area in a MRC through using numerical 15 simulation. Results indicated that: ( i ) the heat transfer process in a MRC will reach a stage of air 16 temperature slow increase (ATSI) in less than 0.5 h. The air temperature rises linearly with the 17 square root of time during the ATSI stage; (ii) for a MRC built in a sandstone seam with an initial 18 rock temperature of less than 27  $^{\circ}$ C, the average air temperature will not exceed 35  $^{\circ}$ C in 96 h when 19 the ventilation volume rate is 0.3 m<sup>3</sup>/min per person; (iii) the rate of temperature rise in MRC is 20 proportional to the rate of heat generation, but it is inversely proportional to the thermal 21 conductivity, density and thermal capacity of the rock, as well as the ventilation volume rate and the 22 wall area; (iv) an empirical correlation for the MRC average air temperature is developed while the 23 24 supply air temperature equals to the initial rock temperature.

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Keywords: Underground; Mine refuge chamber; Air temperature prediction; Ventilation; Heat
 transfer coefficient; Human body heat sources.

Nomenclature						
а	Constant in K expression	V	Ventilation volume for MRC, m <sup>3</sup> /h			
$A_{ m w}$	Wall area of MRC, $m^2$	<i>x</i> , <i>y</i>	Coordinate direction vector			
b	Constant in K expression	Subscripts				
В	Temperature variable, $^{\circ}$ C	а	Air			
С	Constant in K expression	num	Numerical data			
$C_{\mathrm{a}}$	Specific heat capacity of air, J/(kg K)	exp	Experimental data			
$C_{\rm p}$	Specific heat capacity of rock, J/(kg K)	Greek sym	bols			
d	Constant in K expression	α	Surface heat transfer coefficient, $W/(m^2 K)$			
i, j	Constant in <i>B</i> expression	Θ	Difference			
k	Constant in <i>B</i> expression	ho	Density of rock, kg/m <sup>3</sup>			
Κ	<i>Rate</i> for air temperature increasing, $C/s^{0.5}$	$ ho_{ m a}$	Density of air, kg/m <sup>3</sup>			
l	Constant in <i>B</i> expression	λ	Thermal conductivity of rock, W/(m K)			
L	Temperature variable, $^{\circ}$ C	τ	Heat time, h			
$L_{\rm c}$	Perimeter of cross-section tunnel, m	Acronyms				
<i>m</i> , <i>n</i>	Constant in K expression	ATSI	Air temperature slow increase			
р	Pressure, Pa	CE	Critical equilibrium			
Q	Total heat generation rate in MRC, W	MRC	Mine refuge chamber			
$r_0$	equivalent radius of cross-section tunnel, m	MMRC	Movable mine rescue capsules			
Т	Temperature, °C	PCM	Phase change materials			
$T_0$	Initial rock temperature, $^{\circ}$ C					

### 29 1. Introduction

Global energy demand is growing with the improvement of human living standards, especially in 30 developing countries with large populations, such as China<sup>[1]</sup>, India<sup>[2]</sup> and South Africa<sup>[3]</sup>. In these 31 countries, coal consumption accounts for a large proportion of energy consumption. Underground 32 33 coal mining is renowned for being one of the most hazardous sectors in the world since coal accidents may occur at any time due to the complex environment.<sup>[4]</sup> It is known that approximately 34 80% of the personnel trapped below ground in an accident died from carbon monoxide (CO) 35 poisoning or hypoxia asphyxia during the escape process when coal mine explosion and fire 36 accidents occurs. <sup>[5, 6]</sup> Mine refuge emergency system is considered as an effective measure to 37 reduce casualties in coal mine accidents since it can provide a safe living place for miners to survive 38 for over 96 h.<sup>[7, 8]</sup> There are normally two main types of refuge facilities: Mine Refuge Chamber 39 (MRC) and Movable Mine Rescue Capsules (MMRC).<sup>[9]</sup> MRCs in an underground mine are 40 constructed by excavating caverns from the strata on the sides of the escape route or equipping the 41 cross headings in the mine with necessary refuge facilities and equipment.<sup>[10]</sup> While MMRC is a 42 steel-structure cabin which can be moved along with the underground mine working face. In China, 43 MRC is the main refuge place in coal mine. However, high temperature and high concentration 44 harmful gas issue accompanied with the accident becomes a problem in MRC due to human metabolism and harmful elements.<sup>[10, 11]</sup> As one of the basic conditions for safe survival, it is crucial 45 46 to control the air temperature in the MRC. It should be noted that cooling a MRC is challenging 47 since the electrical power supply is often interrupted during and after an accident as well as the risk 48 of re-explosion still exists. This means conventional refrigeration methods cannot be applied.<sup>[12]</sup> 49 Therefore, it is imperative to develop no-electric-power or energy-saving methods to control the air 50 51 temperature in MRC within a reasonable and survivable range.

Determination of the heat source and the allowable temperature range is the premise of cooling for a MRC. 52 The heat in a MRC is mainly generated by people waiting for rescue. Nowadays, it is generally accepted that 53 the heat generation rate of the human metabolic system is approximately 120 W per person and the  $CO_2$  generation rate is 0.32~0.37 L/min per person when people are sitting quietly in MRC. <sup>[13,14]</sup> In order to control 54 55 the temperature in MRC at a lower cost as well as ensure personnel safety, we should be more concerned 56 57 with the ultimate tolerance environment that people can withstand over 96 h. At present, the recommended value of apparent temperature in MRC is below 35 °C. <sup>[15]</sup> Apparent temperature takes into account four 58 major environmental factors, i.e., wind, temperature, relative humidity and radiation from the sun or nearby 59 surfaces, its calculation method can be found in ref. [16]. Du et al. <sup>[17]</sup> pointed out that the conditions for the 60 living environment should be controlled at a temperature less than 35 °C and a relative humidity less than 80%. Li 61 et al.<sup>[18]</sup> concluded that human responses could change significantly when exposed in the environment with a 62 63 high temperature of 33 °C or relative humidity of 85%.

In order to overcome the difficulty of electric-power shortages and save energy for MRC cooling, 64 some low-electric-power or non-electric-power cooling technologies have been developed for MRC 65 66 over recent years. Currently, five main cooling methods for MRC were reported, including explosion-proof air conditioning, ice storage cooling, CO<sub>2</sub> phase-change cooling, PCM cooling and 67 ventilation cooling.<sup>[19]</sup> Among them, the explosion-proof air conditioning is mainly used in metallic 68 and non-metallic mines but not in coal mine due to the refrigerator may not work when the gas 69 explosion occurs. Jia et al. <sup>[20]</sup> proposed a temperature control strategy by using an ice storage 70 capsule within the MRC. They demonstrated that the strategy is effective in relation to the 71 application of the refrigeration by an ice storage capsule within the MRC, through a 24-h manned 72 experiment carried out in a closed cabin. Xu et al. <sup>[12]</sup> proposed a non-electric-power cooling 73 scheme that places the encapsulated ice plates directly in the MRC, their experiment showed that 74 one plate had an average hourly cooling load of approximately 14.3 W. Du et al. <sup>[14]</sup> designed a 75 multifunctional ice storage air conditioning system, the effective working time of this system being 76 more than 96 h for an eight-person movable MRC. Wang et al. <sup>[21]</sup> developed an ice thermal storage 77 system as well as a proper control strategy of the system for a fifty-person MRC, the effective 78 working time of the system was approximately 64.57 h. Yang et al. <sup>[22]</sup> designed an open CO<sub>2</sub> 79 phase-change cooling for MMRC. Their experimental results showed that the system can control 80 the air temperature below 33 °C in a MMRC with a heat rate of 1200 W. Gao et al. <sup>[19, 23~26]</sup> 81

proposed a new coupled cooling method using the latent heat thermal energy storage combined with 82 pre-cooling the envelope. According to their method, the MRC is pre-cooled via a forced-air system 83 in normal times, during which time the surrounding rock and phase change materials (PCM) units 84 placed within the MRC can absorb and store the cold energy. Ventilation could be the most 85 economical measure for cooling a MRC, and it is also considered to be the most effective measure for 86 supplying  $O_2$  and removing  $CO_2$  in a MRC. Ventilation cooling is mainly achieved by sending 87 88 compressed air, generated by an air compressor on the ground, into the MRC through buried protected pipelines or ground drilling pipelines. There is no doubt that the effectiveness of ventilation cooling will 89 be affected by factors such as the thermal properties of the rock, the ventilation volume, and the heating 90 rate of heat sources in the MRC. 91

92 According to [27, 28], an underground profile with a buried depth more than 8 m is characterized as a deeply buried underground building in which the temperature remains almost constant 93 94 throughout the year. MRCs can be considered as a deep buried underground building since a MRC is usually more than 200 m below the ground. In China, the minimum depth of a coal mine is 90 m, 95 which is far greater than 8 m. Huang et al. <sup>[29]</sup> pointed out that the heat transfer characteristics of the 96 97 deeply buried underground buildings are mainly affected by surrounding rock parameters, heat sources, and ventilation conditions. Their test results showed that the heat transfer characteristics of 98 rectangular or arched deep-buried underground buildings are similar to those of cylindrical 99 buildings. The equivalent radius can be calculated as  $r_0 = L_c/2\pi$ . Xiao et al. <sup>[30]</sup> proposed a Z-transfer 100 method to calculate the unstable heat flow through the envelope of an underground cavern. Their 101 results indicated that this method has a reliable computation accuracy with value difference less 102 than 1% and high computation efficiency with computation time less than 1%, compared with 103 numerical method. Su et al.<sup>[31]</sup> developed a numerical simulating model for a deeply buried air-104 rock-tunnel heat exchanger to calculate the temperature and relative humidity of air in the tunnel as 105 well as the rock temperature. Their results showed that the maximum error of the air temperature is 106 107 1.4  $\,^{\circ}$ C and the maximum error of the relative humidity is 10% according to the model. Sasmito et al. <sup>[32]</sup> studied the thermal management strategies of a dead end in an underground mine ventilated 108 through a pipe, their results showed that several control parameters such as the initial rock 109 temperature, the ventilation temperature and the ventilation amount can have a significant effect on 110 temperature control. Kajtar et al. <sup>[33, 34]</sup> developed a new dimensioning method for underground 111 space to investigate the air and wall temperatures, as well as the heat flow through the wall. The 112 method was in favor of the quick sizing of the required heating and cooling performance of 113 underground spaces. However, the model can only be solved by a numerical way, which limits its 114 application in engineering. Habibi et al.<sup>[35]</sup> built a ventilation model to simulate the airflow and heat 115 conditions for coal mine. Their results indicated that, for both flow and temperature, the model 116 simulation predicted results agreed to within 9% accuracy of the actual measurements. Zhang et al. 117 [36] designed a similar surrounding-rock laneway with ventilation to investigate the thermal 118 exchange characteristics of air and surrounding rock in ventilated high geothermal roadways. Their 119 results showed that the relationship between dimensionless temperature and dimensionless radius 120 demonstrates an approximately exponential function. Li et al. <sup>[37]</sup> analyzed the effect of air velocity 121 and the relative roughness on the heat transfer of underground tunnels. Their results showed that 122 both the temperature drop and the cooling efficiency increase gradually with the relative roughness 123 increasing but decrease sharply with the air velocity increasing. Yantek et al. <sup>[38]</sup> studied the effect 124 of initial mine strata surface temperature and initial mine temperature on air temperature in a 125 MMRC. It was found that the mine strata temperature increase has an important effect on the final temperature within the MMRC. Gao et al. <sup>[24~26]</sup> systematically studied the temperature controlling 126 127 characteristics of the PCM cooling plate and PCM cooling seat used in a fifty-person MRC, 128 considering the coupled heat transfer characteristics between surrounding rock, air, and PCM. Most 129 recently, Zhang et al. <sup>[11]</sup> analyzed the thermal performance of a MRC and proposed a new 130 analytical method to predict the air temperature in a MRC under natural convection. They 131 concluded that the temperature in the MRC rises linearly with the square root of the heating time 132 and the air temperature increasing trend becomes slow with the increase of the thermal conductivity, 133

134 density and specific heat capacity of the rock.

There are few, if any, studies have been carried out on the dynamic coupled heat transfer of 135 deeply buried underground close chamber under ventilation. Meanwhile, although some studies on 136 the heat transfer of ventilated underground buildings have been reported, they are mainly applicable 137 to open underground roadways or shallowly buried closed buildings. To determine whether ventilation 138 can meet the temperature control requirement of a MRC, the characteristics of the coupled dynamic heat 139 140 transfer process between the surrounding rock and air in MRC under ventilation by pipelines will be mainly investigated in the current work. The purpose of the study is to demonstrate the trend of air 141 temperature increases in MRC with the heating time, and to reveal the influences of the several 142 control factors such as thermal properties of the surrounding rock, heat generation rate of heat 143 sources, ventilation parameters and wall area on the heat transfer between the air and rock. 144 Thereafter, with the assistance of a fifty-person MRC as an case study, a comprehensive fifty-145 person MRC geometric model under ventilation is newly established. The heat transfer characteristics of the MRC are analyzed by ANSYS Fluent <sup>[39]</sup>. The control factors such as the 146 147 thermal properties of the rock, heat source, and parameters of the air flow affecting the temperature 148 149 rises will be investigated in detail.

# 150 **2. Computational details**

# 151 **2.1. Computational model and mesh**

In the current work, a computational model of a fifty-person MRC was developed. For the 152 purpose of validation, the internal size of the MRC is 20 m in length, 3 m in height, and 4 m in 153 width was selected, which is consist with the available experimental work [11]. It is known that the 154 thickness of the heat-regulating circle of the surrounding rock for MRC within 96 h is 155 approximately 2 m.<sup>[19]</sup> In the current MRC model, the thickness of the wall is 2.5 m. There are 5 air 156 inlets with a diameter of 0.075 m on each side of the two long sides. The inlet is 1.8 m above the 157 ground, and the distance between two adjacent inlets is 3.5 m. At both ends of the MRC, there is an 158 air outlet with a diameter of 0.3 m on each side. The outlet is 2.2 m above the ground. 50 human 159 body models with a surface area of  $2 \text{ m}^2$  are divided into 4 rows in the room, as shown in Fig. 1. 160



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Fig. 1. Geometric model of a fifty-person MRC.

The computational grids are generated by ANSYS ICEM <sup>[40]</sup>. Considering the complexity of the model, unstructured grid is adopted. In order to ensure that the numerical results are independent of the grid, a grid independence study is performed by using six different meshes with  $9.7 \times 10^5$ ,  $15.6 \times 10^5$ ,  $21.3 \times 10^5$ ,  $2.75 \times 10^6$ ,  $3.15 \times 10^6$  and  $4.14 \times 10^6$  cells, respectively. It can be seen from Fig. 2 that the numerical results are not strongly affected when the number of cells over  $21.3 \times 10^5$ .

For the sake of computing resource economics, the mesh with  $2.75 \times 10^6$  cells is selected. The maximum grid size of the inner walls, the external walls, human-body surfaces, the inlet surfaces and the outlet are 0.1 m, 0.5 m, 0.06 m, 0.002 m and 0.005 m, respectively. The maximum grid size of the fluid zone and the solid zone is 0.2 m and 0.5 m, respectively. Fig. 3 shows the cross section of the model mesh.



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177 **2.2.** Turbulence model

In the current work, the diameter of the air inlets is 0.075 m, the average velocity of the air inlets are 2, 3, 4, 6, 8 and 10 m/s, and the kinematic viscosity of the air ranges from  $1.55 \times 10^{-5}$  m<sup>2</sup>/s to  $1.65 \times 10^{-5}$  m<sup>2</sup>/s, and the *Re* value of air inlets can vary from 9091 to 48387. Thus, the air flow in the MRC can be considered as turbulent.

A realizable k- $\varepsilon$  turbulent model will be used since it is known to have a good performance with indoor airflows, temperature and pressure in closed structures. <sup>[41-45]</sup> In the turbulence model, the enhanced wall treatment with pressure gradient effects and thermal effects, and the full buoyancy effect under gravity have been taken into account, but the viscous heating is ignored since the air flows in the living room at a low speed and there is almost no mechanical energy be converted into heat.

# 188 **2.3 Initial and boundary conditions**

189 It is recognized that a conventional MRC is usually built in sandstone rock to ensure the strength 190 of the structure. The thermal conductivity, specific heat capacity and density of sandstone are 2 191 W/(m K), 920 J/(kg K) and 2400 kg/m<sup>3</sup>, respectively.<sup>[11, 23-25]</sup> In the current work, the initial rock

temperature and the air temperature in the MRC are 25 °C. The CO<sub>2</sub> concentration in MRC could be 192 controlled below 1% when the ventilation volume rate was 0.1 m<sup>3</sup>/min per person <sup>[46]</sup>. Therefore, 193 the heat generated by the MRC's carbon dioxide scrubbing system will not be considered since the 194 CO<sub>2</sub> is removed from the MRC by the airflow when ventilation is supplied for MRC. It is 195 recognized that the heat flux of a human body surface is 60  $W/m^2$  since the calorie generated by an 196 adult man sitting in a room is approximately 120 W<sup>[11, 14, 38, 47]</sup>. The velocity at each of the ten air 197 inlets is 6 m/s since the fresh air supply rate in an MRC is specified to be 0.3 m<sup>3</sup>/min per person <sup>[48]</sup>. 198 199 Turbulence intensity, turbulence length scale, turbulence Kinetic energy, and turbulence dissipation energy for the inlets is 5%, 1 m, 1  $m^2/s^2$ , 1  $m^2/s^3$ , respectively. The temperature of the air inlet is 200 equal to the initial rock temperature since the air supply pipeline needs long-distance buried 201 protection and there is heat exchange between the air, pipeline wall, and surrounding rock. 202

### 203 **2.4 Other setup**

204 Boussinesq assumption is used for air operating density to deal with buoyancy term introduced by temperature difference. At standard pressure and 25 °C, the initial air density is 1.225 kg/m<sup>3</sup>. 205 Pressure-implicit with splitting of operators (PISO) is applied. The pressure is discretized by using 206 the standard schemes. The energy, momentum, turbulent kinetic energy, turbulent dissipation, and 207 the transient formulation are discretized by using the second-order upwind schemes. The 208 convergence absolute criteria for energy is  $10^{-6}$ , for other items is  $10^{-3}$ . The calculation is 209 convergent when the time step is within 30 s, and it is shown that the numerical results are 210 211 independent of the time step. In the current work, the time step is 10 s.

## 212 2.5 Model validation

To verify the applicability of the current numerical model, the numerical results are compared 213 with previously published experimental data in ref. [11]. The experiment was carried out in a fifty-214 people MRC laboratory without air supply for the MRC. The wall of the MRC laboratory is made 215 of concrete with the density of 1600 kg/m<sup>3</sup>, the specific heat capacity of 840 J/(kg K) and the 216 thermal conductivity of 0.81 W/(m K). In the experiment, 40 heat lamps with 150 W representing 217 the heat production of 50 persons. The initial air temperature in the MRC is 25  $^{\circ}$ C and the initial 218 wall temperature is 22.3 °C. It was demonstrated that the experimental result could not be affected 219 220 by the external environment within 10.3 h.





Fig. 4. Comparison of the experimental and numerical results.

Fig. 4 shows the comparison between the present numerical results and the experimental data. 223 The deviation between the numerical results and the experimental data is calculated based on the 224 initial rock temperature (22.3 °C), namely,  $\Theta = (T_{\text{num}} - T_{\text{exp}})/(T_{\text{exp}} - T_0)$ . It can be found that the 225 predicted air temperature agrees well with the experimental data. From 0.5~10.5 h, the temperature 226 difference between the predicted result and the experimental data is less than 0.5 °C. Within 0.5~1 h, 227 the deviation decreases with time from 3.3% to 2%. Within 1~10.5 h, the deviation varies within 228  $2\% \sim 2.9\%$ . It can be confirmed that the current numerical model is suitable for application since 229 the predicted result is in good agreement with the experimental data with the deviation less than 5%. 230 231

### 232 **3. Results and discussion**

### **3.1 Heat transfer characteristics of the conventional MRC**

### 234 **3.1.1** *Air temperature rise*





Fig. 5 shows the average air temperature in the MRC varies with time within 96 h. From Fig. 5, it 237 can be seen that, during the initial period of heating, the air temperature rises quickly from 25  $^{\circ}$ C to 238 about 30.2  $^{\circ}$ C in less than 0.5 h. After that, the heat transfer process between the wall and air in 239 MRC will present a relatively dynamic balance, and the air temperature rise rate will decrease with 240 time. For convenience, the air temperature at the time of the dynamic balance critical point is 241 defined as the Critical Equilibrium (CE) temperature, and the heat transfer process in the relative 242 dynamic balanced state is defined as a stage of Air Temperature Slow Increase (ATSI). During the 243 ATSI stage, the heat generated by human metabolic in the MRC is mainly absorbed by the rock 244 through the heat transfer between air and walls, and the remaining heat is taken out from the MRC 245 by the airflow through the air outlets. It can be found that the value of the air temperature rise is less 246 than 3 °C from 0.5 to 96 h. At  $\tau = 96$  h, the average air temperature is approximately 32.7 °C. It 247 can be deduced that when the initial temperature of the rock is 27 °C, the air temperature in the 248 MRC at 96 h is less than 35 °C. Taking 35 °C as the upper limit air temperature in MRC, it means 249 that for a MRC built in sandstone rock with the initial rock temperature less than 27 °C, the average 250 air temperature will not exceed 35  $^{\circ}$ C within 96 h when the ventilation rate is 0.3 m<sup>3</sup>/min per person 251 and people are sitting or lying quietly in the MRC, and it could not need to take another cooling 252 253 measure for temperature control.



Fig. 6. Average air temperature varies with  $\sqrt{\tau}$ .

Fig. 6 illustrates the variation of the average air temperature in the MRC varies with the square 256 257 root of time from 1 to 96 h. It can be found from Fig. 6 that the air temperature increases linearly with the square root of the time. At  $\tau = 1$  h, the difference between the predicted data and the linear 258 value has a maximum value of 0.2 °C. When  $1 \le \tau \le 3.5$  h, the difference gradually decreases with 259 time. This may be caused by the surface heat transfer coefficient tends to be stable with air 260 261 temperature rising slowly. According to the numerical data, the linear fitting formula can be expressed as y = 0.2625x + 30.203,  $R^2 = 0.9956$ . Therefore, during the 96-hour service time, the air 262 temperature in MRC can be expressed as 263

$$T_{\rm air}(\tau) = K\sqrt{\tau} + L = K\sqrt{\tau} + B + T_0 \tag{1}$$

It can be found that the calculation method of K and B needs to be determined firstly for the air temperature prediction in MRC. Both K and B may be related to thermal properties of the surrounding rock, ventilation volume, heat generation rate of heat sources and wall area of the MRC. Among these main control factors, the thermal properties of the surrounding rock are independent of the other factors. Therefore, K and B can be defined as

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$$K = f(V, Q, A_{w}, \alpha, \lambda, \rho, C_{P}) = f(V, Q, A_{w}, \alpha) \cdot f(\lambda, \rho, C_{P})$$
(2)

$$B = F(V, Q, A_{w}, \alpha, \lambda, \rho, C_{p}) = F(V, Q, A_{w}, \alpha) \cdot F(\lambda, \rho, C_{p})$$
(3)

In the following sensitivity analysis, changes in K and B caused by different factors will be mainly discussed to obtain the corresponding calculation method.

### 274 **3.1.2** Temperature distribution in the MRC and the surrounding rock

Fig. 7 presents the air temperature distribution in the MRC at several different time, i.e. 0.5 h, 1 h, 275 276 10 h, 40 h, 70 h, 96 h. It can be found from Fig. 7 that the air temperature distribution at 0.5 h is not uniform, the temperature ranges from 27 °C to 30.5 °C, and the air temperature in the area affected 277 278 by the jet flow is relatively low. As time increases, the temperature distribution in the MRC 279 becomes more and more uniform. At 1 h, the air temperature in the MRC ranges from 28.5 °C to 31 °C. At 10 h, the air temperature in the overall MRC is  $29.5 \sim 31.5$  °C with a difference less than 280 281 2 °C. It can be found that at 40 h, the air temperature is  $31 \sim 32.5$  °C, and the rock temperature in 282 the range of 0.5 m has significantly changed. The temperature in the MRC at 70 h is  $31.5 \sim 33$  °C. At 96 h, the air temperature in the overall MRC is below 34  $\,^{\circ}$ C with a difference less than 1.5  $\,^{\circ}$ C. 283





Fig. 7. Air temperature distribution in the MRC at different time.



Fig. 8. Temperature variation of the surrounding rock at different time.

Fig. 8 demonstrates the temperature variation of the rock at several different time, i.e. 2 h, 10 h, 40 h, 50 h, 70 h, 96 h. The rectangles look deformed since there is a slight tilt in saving the figure to obtain a high contrast temperature contour. It can be seen from Fig. 8 that at 2 h, the wall temperature is approximately 26.5  $^{\circ}$ C, and the affected zone of the surrounding rock is mainly concentrated near the wall. As time increases, the temperature-effected area by the heat transfer becomes larger. At 10 h, the wall temperature exceeds 27  $^{\circ}$ C, and the affected area of the rock has significantly increased compared with that at 2 h. It can be found from the temperature at 40 h, 50 h,
70 h, and 96 h that the temperature-effected zone of the surrounding rock expands outward in a ring
shape over time. The radius of the affected zone at 96 h is 2.2 m, which is less than 2.5 m and close
to the reference value of 2 m given in ref. [19].

### 298 **3.1.3** Wall heat transfer coefficient

Fig. 9 demonstrates the variation of the surface heat transfer coefficient with time in different directions of the walls. It can be found that there are certain differences in the surface heat transfer coefficient in different directions. The surface heat transfer coefficient at the vertical wall is the largest with the value of  $5.3 \sim 5.7 \text{ W/(m}^2 \text{ K})$ , the lowest one is at the bottom wall with the value of  $3.9 \sim 4.1 \text{ W/(m}^2 \text{ K})$ , and the value at the top wall is  $4.6 \sim 4.8 \text{ W/(m}^2 \text{ K})$ . Within  $5 \sim 30 \text{ h}$ , the vertical heat transfer coefficient has a slight decreasing, which may be caused by the air temperature change. From 5 h to 96 h, the average heat transfer coefficient is  $4.9 \sim 5.0 \text{ W/(m}^2 \text{ K})$ 



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### 309 **3.2 Sensitivity analysis**

The initial rock temperature will not be considered since it is independent of the trend of air 310 temperature increase in MRC, according to ref. [29]. However, there is no doubt that the dynamic 311 coupled heat transfer characteristics of the rock and air in MRC under ventilation will be affected 312 by the thermal properties of the rock, the heat rate of heat sources in MRC, the volume and 313 temperature of ventilation as well as the wall area of MRC. As is always the case, the temperature 314 of the fresh air supplied by pipeline will be equal to the initial rock temperature due to the long (>1 315 km) air-supply pipeline and the heat exchange between the pipeline and the external environment 316 (Air in tunnel and Surrounding Rock in direct contact with the pipeline). Therefore, the temperature 317 of ventilation will not be considered in the current work. In order to investigate the influences of 318 319 these control factors, i.e. the thermal conductivity and specific heat capacity as well as density of the rock, heat rate of heat sources in MRC, ventilation volume rate for MRC and wall area of the 320 MRC, a series of numerical cases are conducted for each factor. For the purpose of comparison, 321 only one parameter value will be changed in each numerical case whereas the other parameters are 322 kept the same with the above conventional MRC case (namely,  $T_0=25$  °C,  $T_a(0)=25$  °C,  $\lambda=2$ 323 W/(m K),  $C_{\rm p} = 920$  J/(kg K),  $\rho = 2400$  kg/m<sup>3</sup>, Q = 6000, V = 900 m<sup>3</sup>/h,  $T_{\rm in} = 25$  °C,  $A_{\rm w} = 304$  m<sup>2</sup>). The 324 related parameters are shown in Table 1. 325

Type of factors	Variable Name	symbol	Unit	Variable value
T.:: (:: - 1 1	Initial rock temperature	$T_0$	$^{ m C}$	25
Initial value	Initial air temperature in MRC	$T_{\rm a}(0)$	$^{ m C}$	25
Motorial	Thermal conductivity of rock	λ	W/ (m K)	1, 1.5, 2, 2.5, 3
Wraterial	Specific heat capacity of rock	$C_{\mathrm{p}}$	J/(kg K)	800, 860, 920, 1000, 1100
properties	Density of rock	ρ	kg/m <sup>3</sup>	1500, 2000, 2400, 3000, 3500
Thermal	Heat flux of human-body surfaces	$q_{ m h}$	$W/m^2$	50, 60, 70, 80, 90
boundary	Heat rate in MRC (equivalent)	Q	W	5000, 6000, 7000, 8000, 9000
	Velocity	v	m/s	2, 3, 4, 6, 8, 10
Inlet boundary	Ventilation volume rate for MRC (equivalent)	V	m³/h	300, 450, 600, 900, 1200, 1500
	Air temperature	$T_{\rm in}$	$^{ m C}$	25
Wall area of	Inner length of MRC	$L_{ m in}$	m	14, 16, 18, 20
MRC	Wall area of MRC (equivalent)	$A_{ m w}$	$m^2$	220, 248, 276, 304

It needs to be stressed here that, in order to analyze the effect of wall area of MRC, the geometric structure of the MRC model will be modified from 20 m to 14 m, 16 m and 18 m in length, respectively. In the due course, the modified models need to be meshed again. It is known that the grid quality will affect the numerical analysis in terms of the numerical calculation results or the calculation speed. In order to make the calculated results not to be affected by the grid quality, the meshing parameters of the modified models are consistent with the previous numerical model.

### 333 **3.2.1** *Effect of thermal properties of surrounding rock*



334 335







Fig. 10 (a) shows the average air temperature varies with time at five different thermal conductivity of the rock, i.e.  $\lambda$ =1, 1.5, 2, 2.5 and 3 W/(m K). It can be found that the CE temperature has approximately equal values of 30.1 ~ 30.4 °C under different thermal conductivity of the rock. During the ATSI stage, the air temperature rise rate gradually decreases with the thermal conductivity increases.

Fig. 10 (b) shows the average air temperature varies with time at five different density of the rock, i.e.  $\rho$ =1500, 2000, 2400, 3000 and 3500 kg/m<sup>3</sup>. The predicted result indicates that the CE temperature values are equal to  $31.1 \,^{\circ}$  with the difference less than  $0.1 \,^{\circ}$  under different density. As the density increases, the air temperature rise rate gradually decreases during the ATSI stage.

Fig. 10 (c) shows the average air temperature varies with time at five different specific heat capacity of the rock, i.e.  $C_p$ =800, 860, 920, 1000, 1100 J/(kg K). It can be found that under different specific heat capacity, the CE temperature is approximately equal to 31.1 °C, and the difference is also less than 0.1 °C. Similarly, the air temperature rise rate gradually decreases with the specific heat capacity increases during the ATSI stage.

It can be found from Fig. 10 that, under the joint action of heat source and ventilation, the heat 355 transfer process between the wall and air in the MRC will quickly reach the state of dynamic 356 equilibrium within less than 0.5 h. Thereafter, the heat transfer process will be in the ATSI stage, 357 and the air temperature rise rate will gradually become slower over time. It can also be concluded 358 that under different thermal properties of the rock, the CE temperature is approximately equal, 359 360 which indicates that the CE temperature in MRC is independent of the thermal properties of the rock. Namely, the value of B in Eq. (1) does not depend on the thermal conductivity, specific heat 361 capacity, and density of the rock. Meanwhile, it can be concluded that during ATSI stage, as the 362 value of the thermal conductivity, specific heat capacity, and density of the rock increases, the air 363 364 temperature rise rate gradually decreases, but it is not a linear decreasing relationship. In addition, it can be found that for different thermal properties of the rock, the air temperature in the MRC does 365 not exceed 33.7 °C in 96 h, which indicates that for a MRC built in common rock, when the initial 366 rock temperature is less than 26.3  $^{\circ}$ C and the ventilation volume is 0.3 m<sup>3</sup>/min per person, the air 367 temperature in the MRC will be less than 35  $\,^{\circ}$ C in 96 h, without taking other cool measures. 368

It can be concluded from the data of Fig. 10 that the air temperature has a nearly linear relationship with  $\sqrt{\tau}$  under different properties of the rock, but as the value of  $\lambda$ ,  $\rho$  and  $C_p$  increase, the gradient will decrease. This indicates that an MRC built in sandstone rock is more conductive to air temperature control than that built in the coal seam.

# 374 **3.2.2** Effect of ventilation parameters

To investigate the effect of air ventilation volume for MRC, six cases with different ventilation volume are conducted in this section, i.e. V = 300, 450, 600, 900, 1200 and 1500 m<sup>3</sup>/h. The other parameters,  $\lambda = 2$  W/(m K),  $\rho = 2400$  kg/m<sup>3</sup>,  $C_p = 920$  J/(kg K),  $A_w = 304$  m<sup>2</sup>, Q = 6000 W are keep the same.



373

Fig. 11. Average air temperature profiles with heating time

Fig. 11 shows the average air temperature in MRC varies with time within 96 h at different air 381 ventilation volume. It can be found that under different ventilation volume, the heat transfer process 382 between the wall and air in MRC also quickly reaches the dynamic equilibrium state within less 383 than 0.5 h. The CE temperature decreases with the ventilation volume increases. During the ATSI 384 stage, as the ventilation volume increases, the gradient of the air temperature increase becomes 385 slower. When the ventilation volume is more than 300  $\text{m}^3/\text{h}$ , the air temperature in the MRC will 386 not exceed 35 °C within 96 h, but the air temperature will exceed 32 °C for a long time at the range 387 of 300~900 m<sup>3</sup>/h, the thermal comfort is poor. When the ventilation is more than 1200 m<sup>3</sup>/h, the air 388 temperature will not exceed 32 °C within 96 h, which result in a good thermal comfort in the MRC. 389

At  $\tau = 1$  h, the air temperature in the MRC decreases with the ventilation volume increases, and it can be seen that the average air temperature decreases with the increase of the ventilation volume. It can be concluded from the data of Fig. 11 that, the air temperature also shows a nearly linear growth with  $\sqrt{\tau}$  when  $\tau \ge 1$  h under different ventilation volume rate. The gradient becomes small as the ventilation volume rate increases.

# 396 **3.2.3** Effect of heat generation rate in MRC

To investigate the effect of heat generation rate in the MRC, in this section, a series of cases are conducted for five different heat generation rate: 5000, 6000, 7000, 8000 and 9000 W, while keeping all other parameters unchanged ( $\lambda = 2$  W/(m K),  $\rho = 2400$  kg/m<sup>3</sup>,  $C_p = 920$  J/(kg K),  $A_w = 304$  m<sup>2</sup>, V = 900 m<sup>3</sup>/h).



401 402

395

Fig. 12. Average air temperature profiles with time

Fig. 12 shows the average air temperature in MRC varies with time within 96 h at different heat generation rate. From Fig. 12, the heat transfer process between the wall and air also quickly reaches the state of dynamic equilibrium within less than 0.5 h. It is noted that the larger the heat generation rate in MRC, the higher the CE temperature. During the ATSI stage, the rising rate of the air temperature increases with increasing of Q. When Q = 9000 W, the CE temperature is over 32 °C, and the average air temperature will exceed 35 °C at 96 h. When Q ranges from 7000 to 8000 W, the air temperature at 96 h is less than 35 ℃, but the air temperature will exceed 32 ℃ for
a long time. When *Q* is less than or equal to 5000 W, the air temperature at 96 h is less than 32 ℃.
It can be observed, according to the data of Fig. 12, that the air temperature in the MRC also
shows a nearly linear growth with √τ when τ≥1 h under different heat generation rate, and the
gradient increases with the increase of *Q*.

# 414 3.2.4 Effect of Wall Area of MRC

To investigate the effect of the wall area of MRC, another three models are built with an internal length of the living room as 14, 16 and 18 m, respectively, the corresponding walls area was 220, 248 and 276 m<sup>2</sup>. For the purpose of comparison, this section keeps the other parameters remain unchanged: ( $\lambda = 2$  W/(m K),  $\rho = 2400$  kg/m<sup>3</sup>,  $C_p = 920$  J/(kg K), Q = 6000 W, V = 900 m<sup>3</sup>/h).



419 420

Fig. 13. Average air temperature profiles with time

Fig. 13 shows the average air temperature in MRC varies with time within 96 h at different wall area of MRC. It can be found that under different wall area of MRC, the heat transfer process between the air and walls also quickly enters a state of dynamic equilibrium within 0.5 h. The CE temperature decreases with the wall area increases. As the wall area increases, the air temperature rising rate decreases at the ATSI stage.

According to the data shown in Fig. 13, it can be obviously observed that the air temperature also shows a nearly linear growth with  $\sqrt{\tau}$  when  $\tau \ge 1$  h under different wall area. The gradient value increases with the increase of the wall area.

# 429 **3.3 Air temperature prediction in MRC**

In order to explore the prediction method of air temperature in a MRC under the condition of the supply air temperature equals to the initial rock temperature, data of air temperature varies with the square root of time for each case is linearly fitted, and values of K and B mentioned in Eq. (1) are obtained.

Vλ α Q  $C_{\rm p}$  $A_{\rm w}$ ρ  $R^2$ K В  $m^2$  $W/(m^2 - K)$ m<sup>3</sup>/h W W/(m -K) kg/m<sup>3</sup> J/(kg -K) 304 900 6000 1 2400 920 4.88 0.3397 5.42 0.9945 304 900 6000 1.5 2400 920 4.92 0.2909 5.289 0.992 304 900 6000 2 2400 920 4.92 0.2625 5.203 0.9956 2.5 2400 304 900 6000 920 4.94 0.2418 5.144 0.9961 3 0.2212 304 900 6000 2400 920 4.94 5.124 0.9937 2 304 900 6000 2400 800 4.92 0.2784 5.218 0.9923 2 304 900 6000 2400 860 4.93 0.273 5.188 0.9934 2 304 900 6000 2400 1000 4.95 0.2617 5.162 0.9923 2 304 900 6000 2400 1100 4.93 0.2509 5.142 0.9941 2 304 900 6000 1500 920 4.9 0.3055 5.314 0.9905 2 304 900 6000 2000 920 4.91 0.2797 5.241 0.995 2 304 900 6000 3000 920 4.94 0.2455 5.133 0.9941 2 304 900 6000 3500 920 4.96 0.2261 5.112 0.9938 2 304 300 6000 2400 920 5.48 0.4238 5.815 0.9986 2 304 450 6000 2400 920 5.29 0.3755 5.627 0.9971 304 600 6000 2 2400 920 5.15 0.3281 5.517 0.9966 2 304 1200 6000 2400 920 5.01 0.2263 4.736 0.9974 2 304 1500 6000 2400 920 5.21 0.1925 4.394 0.9958 304 900 5000 2 2400 920 5.1 0.2252 4.387 0.9938 2 304 900 7000 2400 920 4.96 0.3085 5.956 0.9924 2 304 900 8000 2400 920 5.1 0.3501 6.71 0.992 304 900 9000 2 2400 920 5.39 0.3953 7.372 0.9935 2 220 900 6000 2400 920 5.63 0.3149 6.085 0.9947

Table 2 Values of  $\alpha$ , K and B for different conditions

Table 2 illustrates values of  $\alpha$ , *K* and *B* for different cases. It can be found that air temperature in MRC has a good linear relationship with the square root of time with  $R^2 > 0.99$  for each case. Value of *B* is approximately equal with the value ranging from 5.1~5.4 °C under different rock parameters. Therefore, *B* is not a function of thermal conductivity, specific heat capacity and density of the rock. Thus, Eq. (3) can be expressed as

920

920

5.38

5.27

0.2959

0.2778

5.731

5.362

0.9949

0.9932

(4)

2400

2400

440

248

276

900

900

6000

6000

2

2



441 442 Fig. 14 plots *K* varies with  $1/\sqrt{\lambda}$  (both  $\rho$  and  $C_p$  are kept the same) and  $1/\sqrt{\rho \cdot C_p} \times 10^3$  ( $\lambda$  is kept the same), respectively, as well as the corresponding fitting line. It can be found that *K* has a linear relationship with  $1/\sqrt{\lambda}$  and  $1/\sqrt{\rho \cdot C_p} \times 10^3$ . The fitting formulas are, y=0.2739x+0.0674 ( $R^2=0.9947$ ) and y=0.2479x+0.098 ( $R^2=0.9937$ ), respectively. Therefore, Eq. (2) can be further expressed as follow:

$$K = f(V, Q, A_{w}, \alpha) \cdot f(\lambda, \rho, C_{p}) = f(V, Q, A_{w}, \alpha) \cdot \left(\frac{1}{\sqrt{\lambda}} + m\right) \cdot \left(\frac{1}{\sqrt{\rho} \cdot C_{p}} + n\right)$$

$$V(\mathbf{m}^{3}/\mathbf{h})$$

$$V(\mathbf{m}^{3}/\mathbf{h$$

448



Fig. 16 K varies with lnV and B varies with V

Fig.16 plots the *K* value varies with  $\ln V$  and *B* varies with *V*. It can be found that *K* decreases linearly with the increase of  $\ln V$ , the fitting relationship is *y*=-0.1465*x*+1.2637,  $R^2$ =0.9978. Therefore, Eq. (2) can be expressed as

454

 $K = f(V, Q, A_{w}, \alpha) \cdot f(\lambda, \rho, C_{P}) \propto 1/\ln V$ (6)

(5)

455 It can be also found from Fig. 16 that *B* decreases linearly with increasing *V*, the fitting 456 relationship is *y*=-0.0012*x*+6.1973,  $R^2$ =0.9932. Therefore, Eq. (3) can be expressed as 457  $B = F(V, Q, S, \alpha) \propto 1/V$  (7)





Fig. 17 K and B varies with heat generation rate in MRC

Fig. 17 plots the value of *K* and *B* varies with heat generation rate in MRC. It can be found that both *K* and *B* decrease linearly with the increase of heat rate in MRC. Among them, the fitting relationship of *K* varies with *Q* is y=0.0425x+0.0118 following with  $R^2=0.9997$ , and the fitting relationship of *B* varies with *Q* is y=0.7477x+0.6917 following with  $R^2=0.9987$ . Therefore, Eq. (2) and Eq. (3) can be expressed as

$$K = f(V, Q, A_{w}, \alpha) \cdot f(\lambda, \rho, C_{p}) \propto Q$$

$$B = F(V, Q, S, \alpha) \propto Q$$
(8)
(9)



467 468

465

466

Fig. 18. *K* and *B* varies with  $\alpha A_w$  in MRC

Fig. 18 shows that both *K* and *B* decreases linearly with increasing  $\alpha A_w$  according to data of Table 2. It can be found that Both *K* and *B* decrease linearly with  $\alpha A_w$ . Among them, the fitting relationship of *K* varies with  $\alpha A_w$  is *y*=-0.0034*x*+10.328 following with  $R^2$ =0.9975, and the fitting relationship of *B* varies with  $\alpha A_w$  is *y*=-0.0002*x*+0.5228 following with  $R^2$ =0.9815. Therefore, Eq. (2) and Eq. (3) can be expressed as

474

$$K = f(V, Q, A_{w}, \alpha) \cdot f(\lambda, \rho, C_{P}) \propto 1/(\alpha A_{w})$$
(10)

475

481

$$B = F(V, Q, S, \alpha) \propto 1 / (\alpha A_w)$$
(11)

The above analysis indicted that the air temperature in an MRC is proportional to the heat generation rate in the MRC, but it is inversely proportional to the thermal conductivity, density and specific heat capacity of the rock, as well as the heat generation rate, the ventilation volume rate and the area of the MRC walls.

480 According to Eqs. (5), (7), (8) and (10), Eq. (2) can be defined as following

$$K = \frac{Q+a}{b\alpha A_{\rm w} + c\ln\left(\rho_{\rm a}VC_{\rm a}\right) + d} \left(\frac{1}{\sqrt{\lambda}} + m\right) \left(\frac{1}{\sqrt{\rho C_{\rm p}}} \times 10^3 + n\right)$$
(12)

Taking the corresponding data in Table 2 to Eq. (12), it can be solved by regression analysis that a = -725.5, b = 13.05, c = 9870, d = -66871.4, m = 0.32, n = 0.4 with R<sup>2</sup>>0.99. Therefore, as a proper expression, Eq. (2) can be expressed as

485 
$$K = \frac{Q-725.5}{13.05\alpha A_{\rm w} + 9870\ln\left(\rho_{\rm a}VC_{\rm a}\right) - 66871.4} \left(\frac{1}{\sqrt{\lambda}} + 0.32\right) \left(\frac{1}{\sqrt{\rho C_{\rm p}}} \times 10^3 + 0.4\right)$$
(13)

486 According to Eqs. (4), (6), (9) and (11), Eq. (3) can be defined as following

$$B = \frac{kQ}{iV + j\alpha A_{\rm w} + l} \tag{14}$$

Taking the corresponding data in Table 2 to Eq. (14), it can be solved by regression analysis that i=0.93, j=1.62, k=0.776, l=25.82 with  $R^2 > 0.99$ . Therefore, as a proper expression, Eq. (3) can be written as

491

$$B = \frac{0.776Q}{0.93V + 1.62\alpha A_{\rm w} + 25.82} \tag{15}$$

492 According to Eq. (1), (13) and (15), when the air inlet temperature is equal to the initial rock 493 temperature, the average air temperature in the MRC at the stage of ATSI can be calculated as

494 
$$T_{a}(\tau) = \frac{(Q-726)\sqrt{\tau}}{13\alpha A_{w} + 9870\ln(\rho_{a}VC_{a}) - 66871} \left(\frac{1}{\sqrt{\lambda}} + 0.32\right) \left(\frac{1}{\sqrt{\rho C_{p}}} \times 10^{3} + 0.4\right) + \frac{0.78Q}{0.93V + 1.62\alpha A_{w} + 25.82} + T_{0} \quad (16)$$

It should be emphasized here that the proposed analytical method of Eq. (16) is only applicable to the ventilation MRC where the supply air temperature of the inlets is equal to the initial rock temperature, with the time limited in the range of  $\tau \le 96$  h. The application of the method will help to determine whether additional cooling measures are needed for a ventilated MRC, and to achieve energy-saving temperature control by enlarging the area of the MRC or increasing the ventilation volume.

# 501 4. Conclusions

The current study mainly concentrates on the thermal performance of MRC under ventilation to control the air temperature of MRC in no-electric-power and energy-saving way. A series of numerical studies are conducted to investigate the influences of control factors such as the thermal conductivity, density and specific heat capacity of the rock, the ventilation volume, the heat generation rate, as well as the area of the MRC walls. Based on the results of the numerical studies, the following specific conclusions may be made:

(1) During the 96-hours service time, under the condition of ventilation, the coupled heat
transfer process between air and wall will reach a relative dynamic balanced state at less than 0.5 h,
and the air temperature at this moment is not affected by the thermal properties of the rock, whereas
the air temperature is related to the heat generation rate, the ventilation volume and the area of
MRC walls. After that, the air temperature increases linearly with the square root of time.

513 (2) For a common MRC built in sandstone seam, when the initial rock temperature is less than 514 27  $^{\circ}$ C and the air-supply volume is 0.3 m<sup>3</sup>/min per person with the inlet temperature same as the 515 initial rock temperature, the temperature in MRC will not exceed 35  $^{\circ}$ C in 96 h.

(3) Under the ventilation, the rate of the air temperature rise is linearly proportional to the heat generation rate in the MRC, but it is inversely proportional to the thermal conductivity, density and specific heat capacity of the rock, the heat generation rate, the ventilation volume and the area of the MRC walls. Therefore, in order to cool temperature in MRC more energy-efficient, coal MRC is more suitably built in rock rather than in the coal seam. In addition, increasing ventilation volume and the area of the MRC can alleviate the air temperature rise.

522 (4) An analytical method for predicting the air temperature in MRC under ventilation with inlet 523 air temperature equalling to the initial rock temperature is proposed. The method is will provide 524 theoretical guidance in determining the location (built in rock or coal seam) of coal MRC and 525 appropriately enlarging the MRC area or increasing the air-supply volume for MRC to meet the 526 temperature requirement, rather than taking other cooling measures.

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